



*The* ROYAL MARSDEN  
NHS Foundation Trust



# How to intensify preoperative therapy in rectal cancer? Pro chemo

Prof David Cunningham

Director of Clinical Research and NIHR Biomedical Research Centre

The Royal Marsden Hospital & Institute of Cancer Research

London and Surrey, UK

**NHS**  
**National Institute for  
Health Research**



# *Disclosure*

---

- Research funding from: Roche, Amgen, Celgene, Sanofi, Merck Serono, Novartis, AstraZeneca, Bayer, Merrimack, MedImmune.

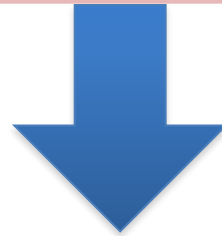
# *Why to intensify preoperative therapy?*

TRIAL	N	TREATMENT	3-yr DFS	5-yr OS
CAO/ARO/AIO-04	623	Control Arm	71.2%	78.3%
	613	Investigational Arm	75.9%	78.0%
PETACC-6	547	Control Arm	74.5%	-
	547	Investigational Arm	73.9%	-

## Median follow-up:

CAO/ARO/AIO-04: 50 months

PETACC-6: 31 months



**After high-quality surgery (TME), the survival of locally advanced (T3/4, N+) rectal cancer patients has reached a plateau**



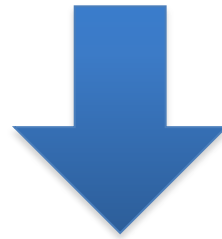
# How to intensify preoperative therapy?

TRIAL	N	TREATMENT	Local relapse rate	Distant relapse rate
CAO/ARO/AIO-04	623	Control Arm	3.7%	<b>23.9%</b>
	613	Investigational Arm	1.9%	<b>18.8%</b>
PETACC-6	547	Control Arm	7.6%	<b>19.2%</b>
	547	Investigational Arm	4.6%	<b>17.6%</b>

## Median follow-up:

CAO/ARO/AIO-04: 50 months

PETACC-6: 31 months

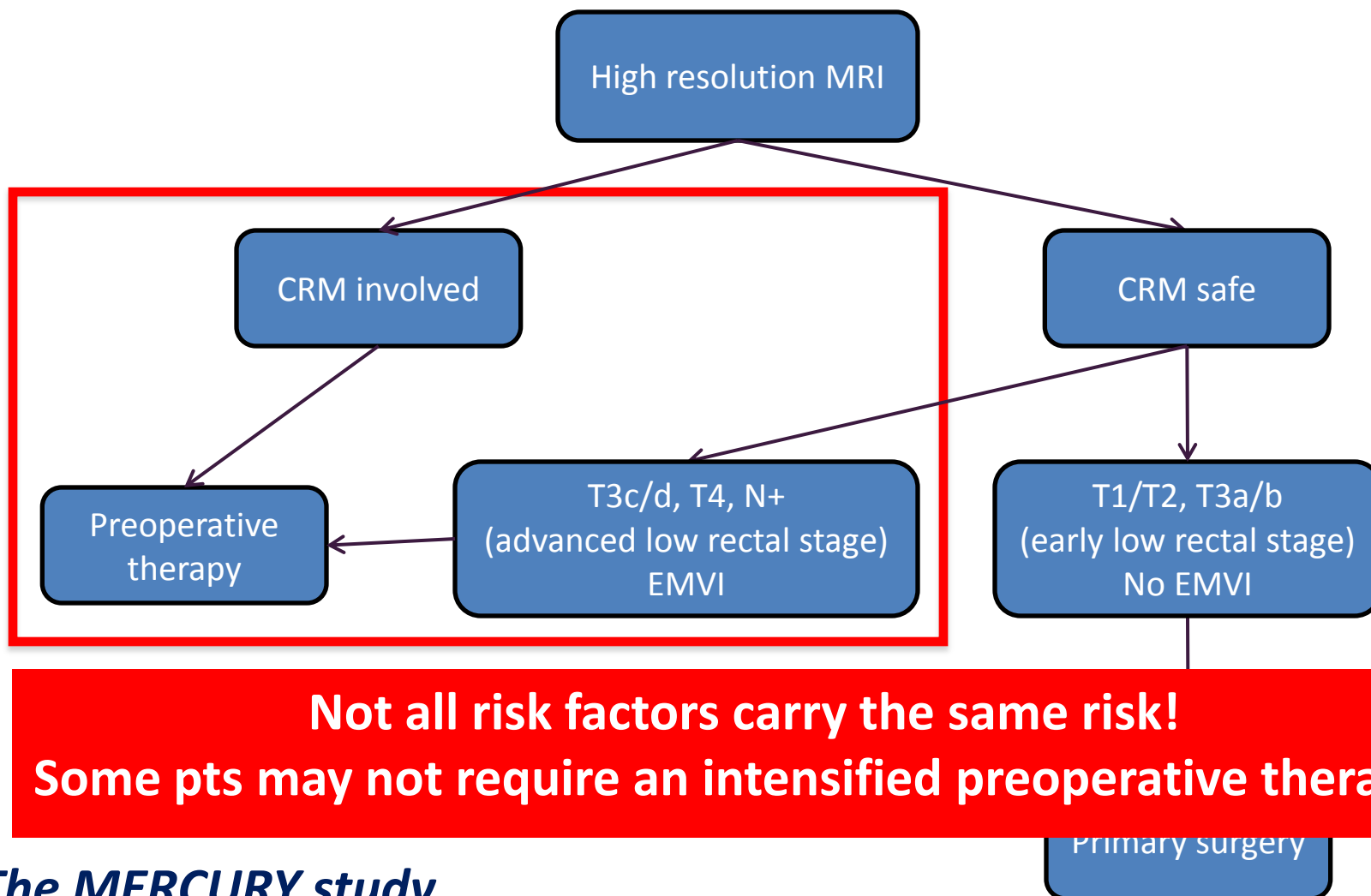


**Local recurrence has become a relatively uncommon event**

**Distant recurrence is the main cause of treatment failure and death**



# *A risk-based treatment approach to rectal cancer is feasible*



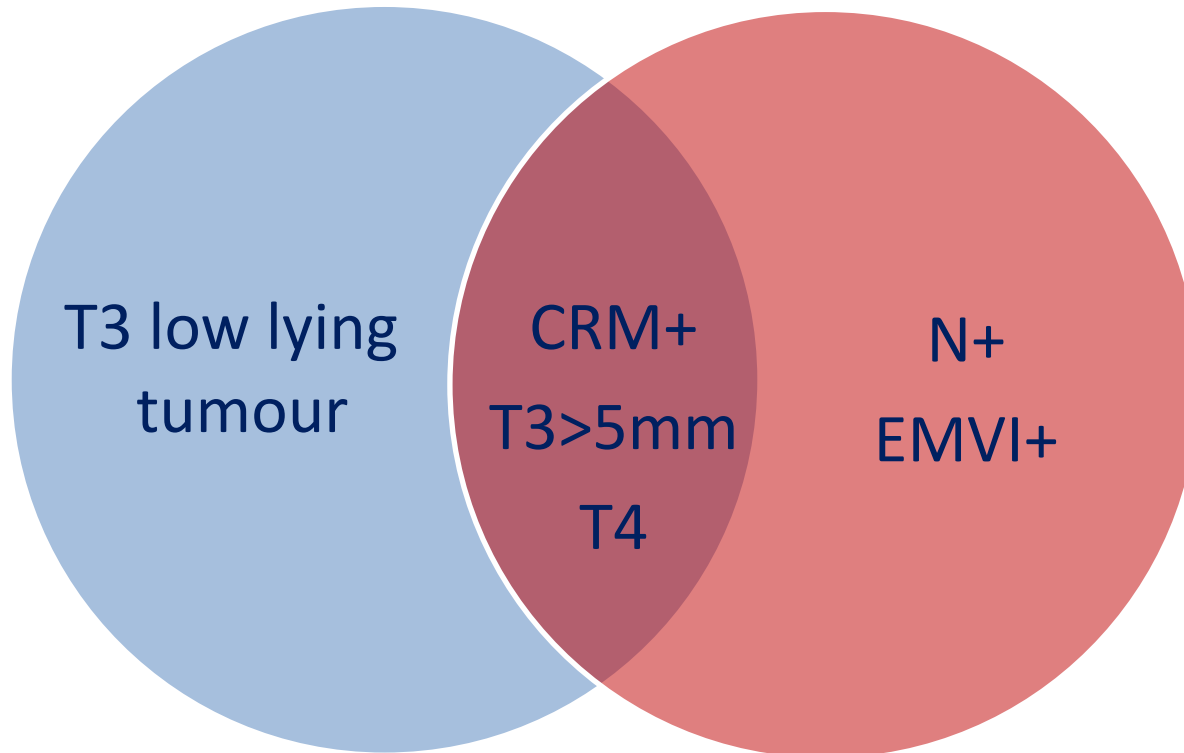
***The MERCURY study***



# *In those patients who need preoperative therapy, which factors influence the choice of treatment?*

**Local recurrence**

**Distant recurrence**



- **Patient characteristics:** age (<70 vs ≥70), PS, comorbidities
- **Prognostic/predictive biomarkers:** *KRAS?* *RAS?* *TP53?*



# *Who might benefit from an intensified preop treatment with doublet systemic chemotherapy?*

---

- Patients who may be offered postoperative doublet chemotherapy:
  - ✓ Node positive disease
  - ✓ Age <70 years
  - ✓ Good performance status
- Patients with  $\geq$ T3c tumours or those who need tumour downsizing/downstaging in order to achieve a negative CRM



# *What are the advantages of preoperative systemic chemotherapy?*

---

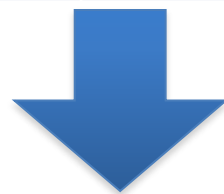
- Better tolerated than adjuvant chemotherapy
- Permit evaluation of tumour sensitivity to chemotherapy
- Early treatment of micrometastases
- Potential improvement in survival
- May limit the need for radiotherapy (and spare from the related toxicity)





# *Adherence to adjuvant chemotherapy is limited*

Trial	Treatment arm	% started adj CT	% received all cycles	% RDI >80%
CAO/ARO/AIO-04	Control Arm	77%	63%	-
	Investigational Arm	78%	59%	-
PETACC-6	Control Arm	80%	69%	Cape 80%
	Investigational Arm	75%	57%	Cape 62% Oxali 46%



**Only 75-80% start adjuvant chemotherapy**  
**Only 60-65% complete the planned course of treatment**



# Systemic CT is better tolerated when given before surgery - The Grupo Cáncer de Recto 3 trial

## Phase II (N=108)

Inclusion criteria: CRM <2mm or T4 or low T3 or T3N+

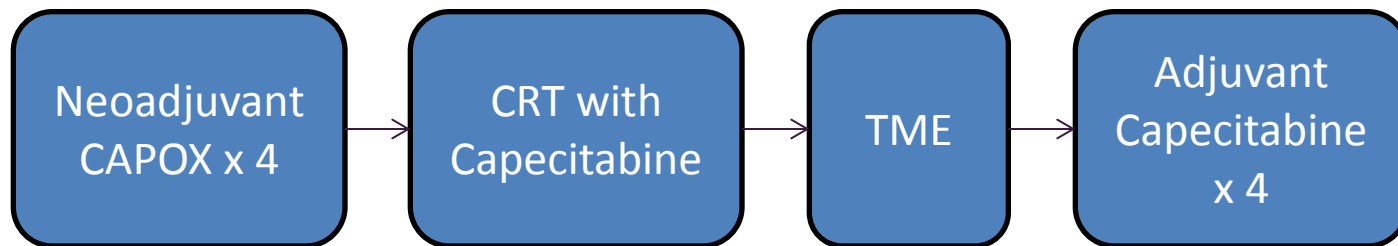
Arm A: CRT → Surgery → **CAPOX x 4**  
 Arm B: **CAPOX x 4** → CRT → Surgery

	Neoadjuvant CT	Adjuvant CT	P
<b>G3/4 tox</b>	19%	54%	0.0004
<b>Max N cycles</b>			0.0001
0	0%	25%	
≤2	2%	14%	
3	4%	4%	
4	94%	57%	
<b>Mean RDI</b>			
Capecitabine	0.91	0.67	<0.0001
Oxaliplatin	0.94	0.73	<0.0001



# Neoadjuvant CT followed by CRT is feasible and effective - The EXPERT trial

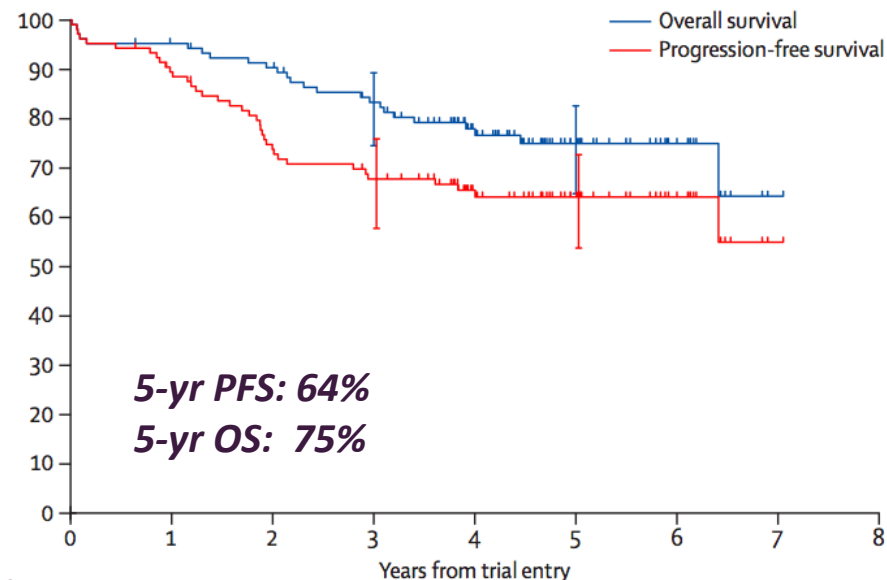
**Phase II**  
**N=105**  
**MRI-defined**  
**high-risk pts\***



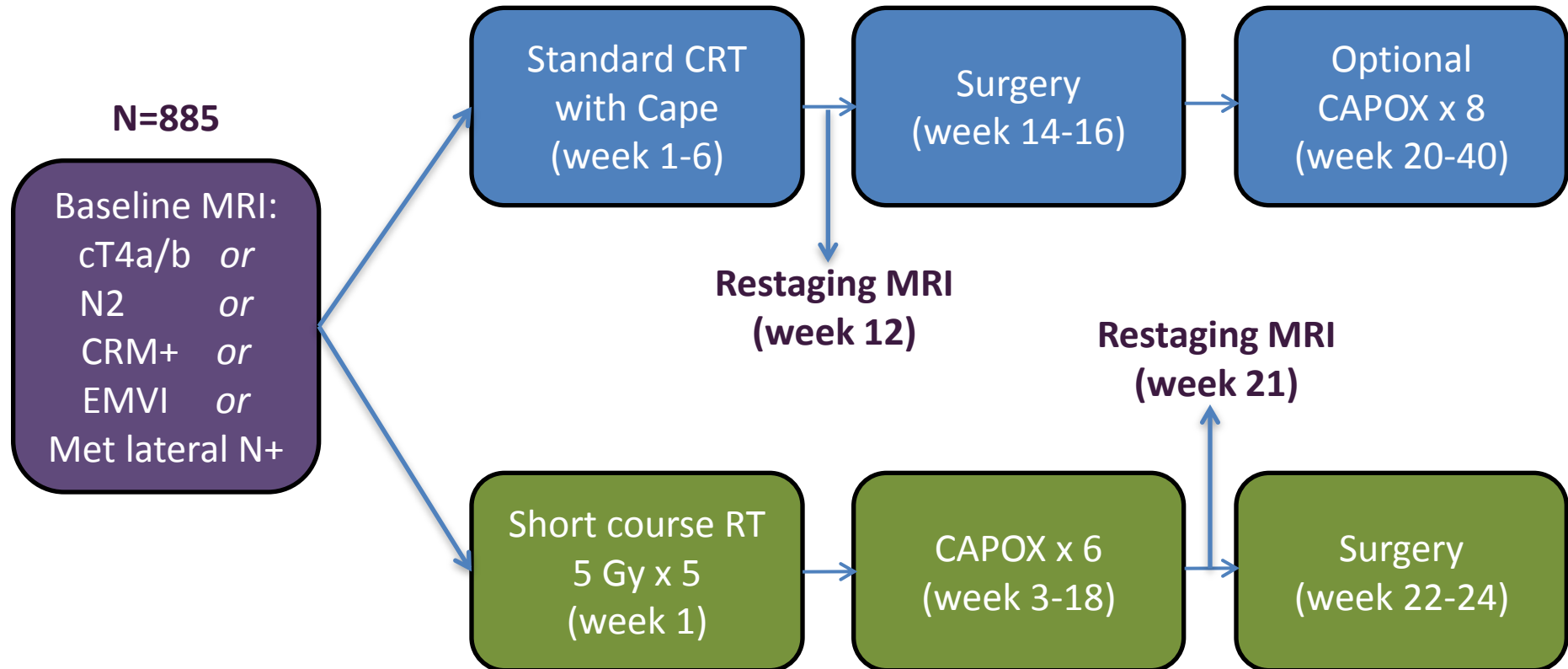
\* High-risk features: CRM+,  $\geq$ T3c, T4, T3 at/below levators, N2.

Response <sup>¶</sup>	After CT	After CRT
CR + PR	74%	88%
SD	15%	4%
PD	0%	0%
pCR	-	20%

<sup>¶</sup> ITT population



# Neoadjuvant CT after short course RT may be an alternative option – The RAPIDO trial



Primary endpoint: 3-yr DFS



# *Intensification of preoperative treatment with systemic therapy - Open questions*

---

- Is there a role for targeted therapies in the preoperative treatment of rectal cancer?



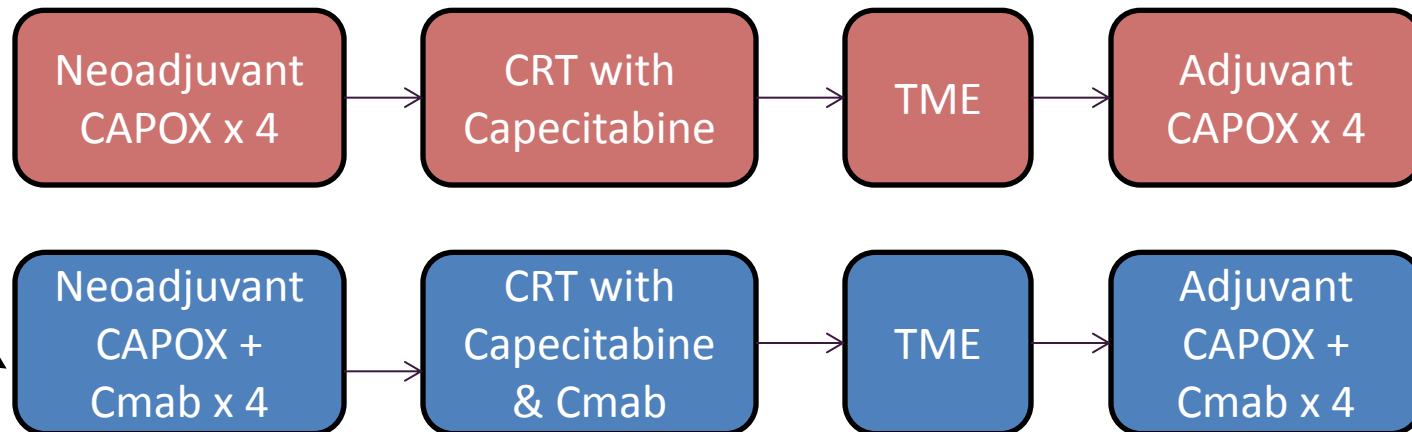
# *Anti-EGFR monoclonal antibodies do not appear to increase tumour radiosensitivity*

TRIAL	N	TREATMENT	ypCR rate
Machiels, 2007	40	Cape-Cmab + RT	5%
Rödel, 2008	48	CapOx-Cmab + RT	8%
Bertolini, 2009	40	5FU-Cmab + RT	8%
Horisberger, 2009	50	Caplri-Cmab + RT	8%
McCollum, 2010	62	5FU + RT	26%
	67	5FU-Cmab + RT	26%
Velenik, 2010	37	Cape-Cmab + RT	8%
Kim, 2011	40	Caplri-Cmab + RT	23%
Pinto, 2012	60	5FUOx-Pmab + RT	20%



# *Cetuximab may be beneficial if given with systemic neoadjuvant CT - The EXPERT-C trial*

**Phase II**  
**N=164** **R**  
**MRI-defined**  
**high-risk pts\***



\* High-risk features: CRM+,  $\geq$ T3c, T4, T3 at/below levators, EMVI.

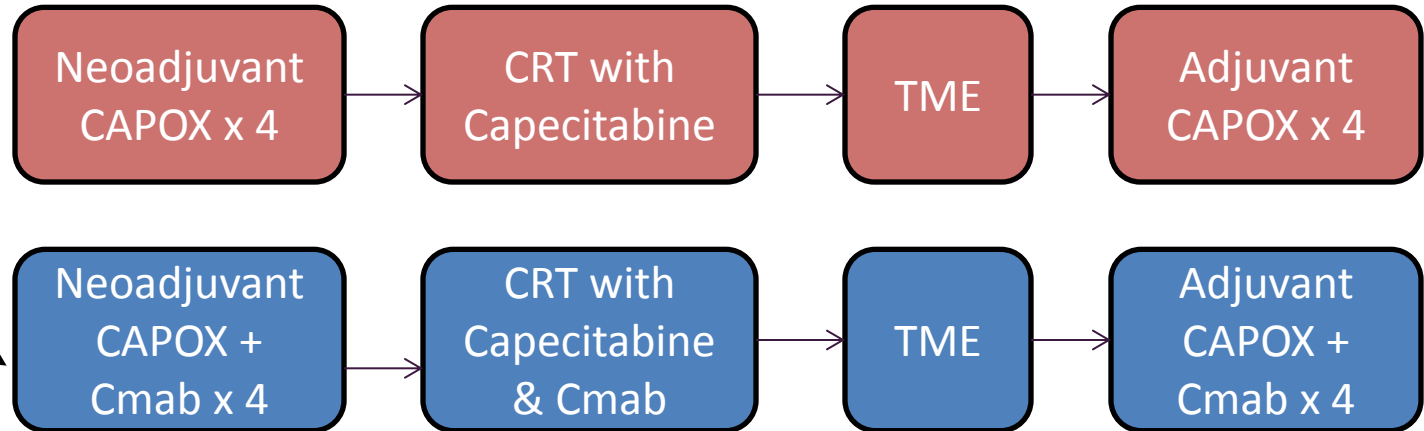
Response <sup>¶</sup>	After CAPOX	After CAPOX-C	After CAPOX + CRT	After CAPOX-C + CRT
CR + PR	51%	71%	75%	93%
SD	46%	26%	14%	7%
PD	2%	0%	9%	0%
CR (cCR + pCR)	-	-	9%	11%

<sup>¶</sup> ITT, KRAS/BRAF wild-type population

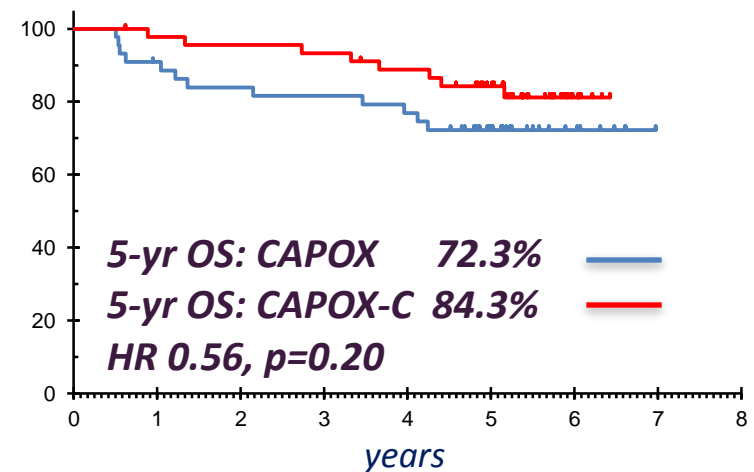
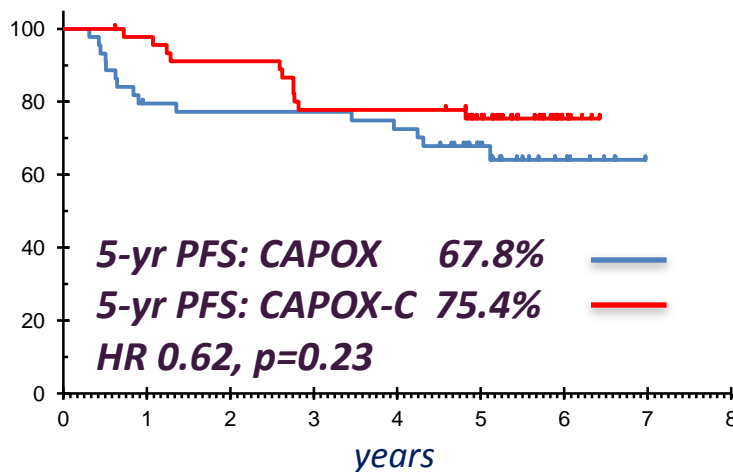


# *Cetuximab may be beneficial if given with systemic neoadjuvant CT - The EXPERT-C trial*

**Phase II**  
**N=164** **R**  
**MRI-defined**  
**high-risk pts\***



\* High-risk features: CRM+,  $\geq$ T3c, T4, T3 at/below levators, EMVI.



\* ITT, KRAS/BRAF wild-type population; median follow-up 63.8 months





# *Bevacizumab may have a role in the intensification of neoadjuvant RT treatment*

TRIAL	N	TREATMENT	ypCR rate
Kennecke, 2008	42	CapOx + Bev + RT	18%
Willett, 2009	32	5FU + Bev + RT	16%
Crane, 2010	25	Cape + Bev + RT	32%
Velenik, 2011	37	Cape + Bev + RT	13%
Martinez-Villacampa, 2011	46	Cape + RT	11%
	44	Cape + Bev + RT	16%
Gasparini, 2012	43	Cape + Bev + RT	14%
Nogu�, 2011	47	CapeOX + Bev -> Cape/Bev + RT	36%
Dipetrillo, 2012	26	FOLFOX + Bev -> 5FU/OX/Bev + RT	20%



# *Bevacizumab may have a role in the intensification of neoadjuvant RT treatment*

<b>However it may also increase the risk of anastomotic leak and post-surgical complications!</b>			<b>Anastomotic leak rate</b>
Kennecke, 2008	42	CapOx + Bev + RT	23%
Willett, 2009	32	5FU + Bev + RT	na
Crane, 2010	25	Cape + Bev + RT	17%
Velenik, 2011	37	Cape + Bev + RT	12%
Martinez-Villacampa, 2011	46	Cape + RT	na
	44	Cape + Bev + RT	
Gasparini, 2012	43	Cape + Bev + RT	na
Nogu�, 2011	47	CapeOX + Bev -> Cape/Bev + RT	17%
Dipetrillo, 2012	26	FOLFOX + Bev -> 5FU/OX/Bev + RT	10%



# *Intensification of preoperative treatment with systemic therapy - Open questions*

---

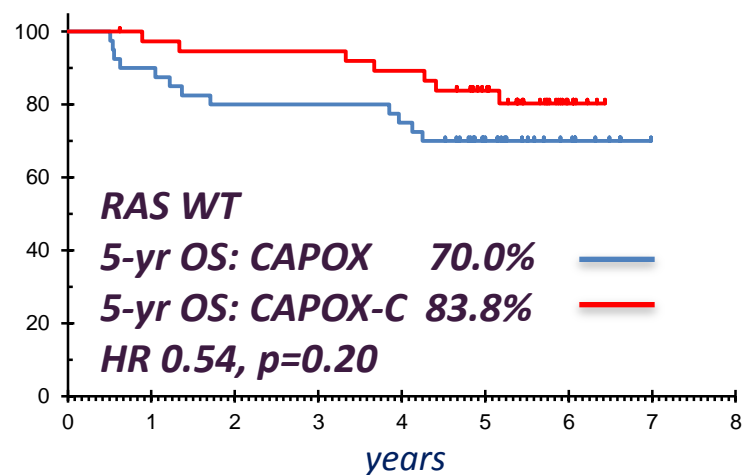
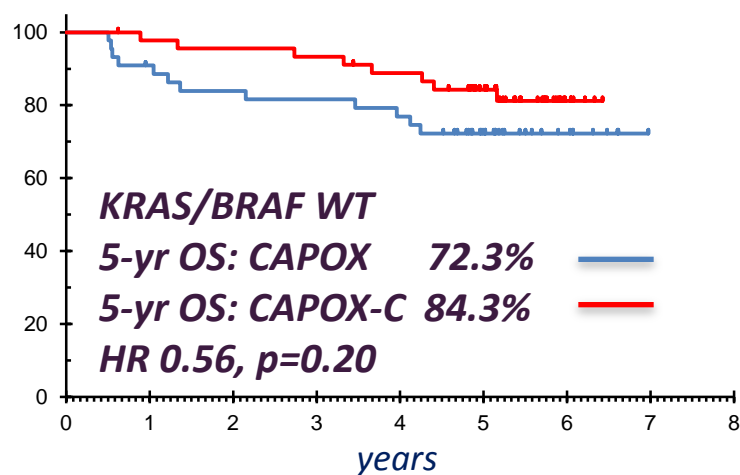
- Is there a role for targeted therapies in the preoperative treatment of rectal cancer?
- Do we have predictive biomarkers to select patients for targeted therapies? Should we use the same biomarkers we use in the metastatic setting?



# RAS mutations in the EXPERT-C trial

Tumour response in **KRAS/BRAF** wild-type and **RAS** wild-type patients in EXPERT-C

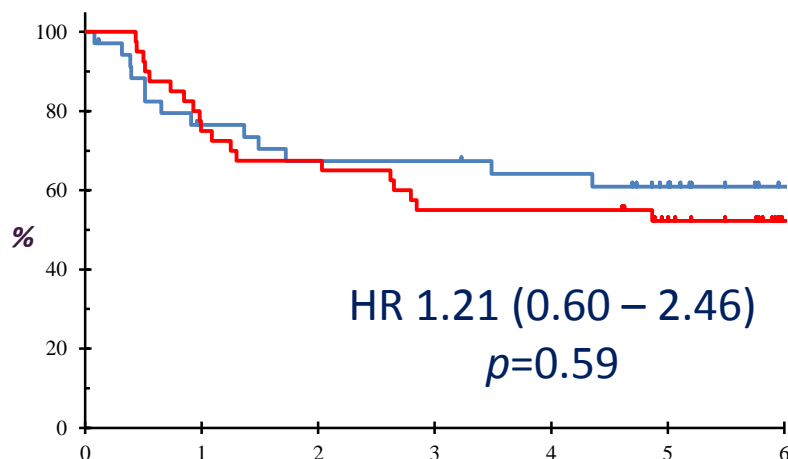
	CAPOX		CAPOX-C		p Value
	N	%	N	%	
Neoadjuvant chemotherapy <sup>a</sup>					
<i>KRAS</i> <sup>b</sup> / <i>BRAF</i> wild-type	22/43	51.2	32/44	72.7	0.038
<i>RAS</i> wild-type	21/39	53.8	28/36	77.8	0.030
Chemoradiotherapy <sup>a</sup>					
<i>KRAS</i> <sup>b</sup> / <i>BRAF</i> wild-type	32/42	76.2	40/45	88.9	0.117
<i>RAS</i> wild-type	30/38	78.9	32/37	86.5	0.290
Complete response (pCR + rCR)					
<i>KRAS</i> <sup>b</sup> / <i>BRAF</i> wild-type	4/44	9.1	5/46	10.9	1.0
<i>RAS</i> wild-type	3/40	7.5	6/38	15.8	0.305



# TP53 mutations in the EXPERT-C trial

Retrospective TP53 mutational analysis (n=144, 88%)

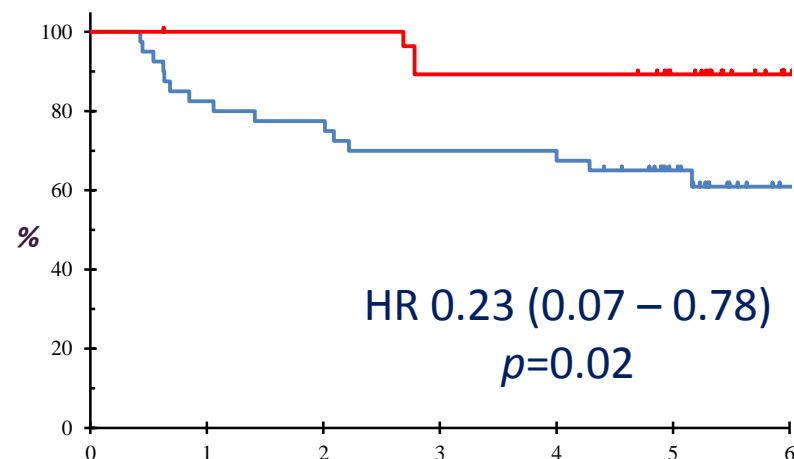
## TP53 MUTANT (N=75, 52%)



Time from randomisation (months)

— CAPOX 5-yr PFS 60.9% (44.2-77.6)  
— CAPOX-C 5-yr PFS 52.3% (36.8-67.8)

## TP53 WILD TYPE (N=69, 48%)



Time from randomisation (months)

— CAPOX 5-yr PFS 65.0% (50.3-79.7)  
— CAPOX-C 5-yr PFS 89.3% (77.9-100)

Test for interaction:  $p=0.023$ .

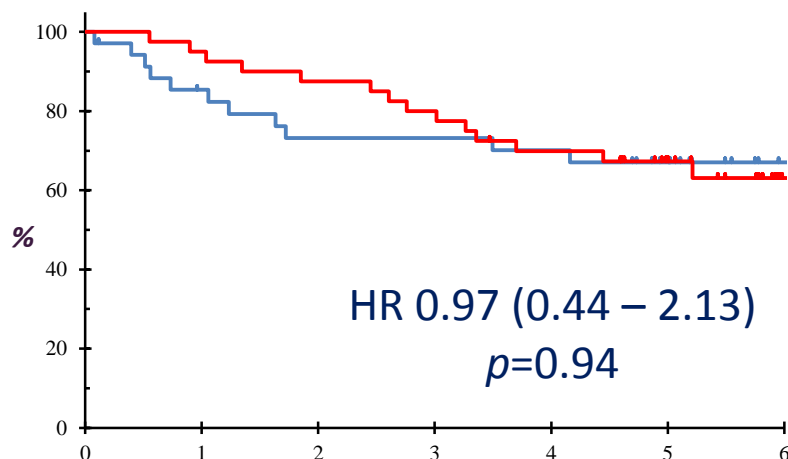
Multivariate analysis of treatment by TP53 interaction:  $p=0.023$



# TP53 mutations in the EXPERT-C trial

Retrospective TP53 mutational analysis (n=144, 88%)

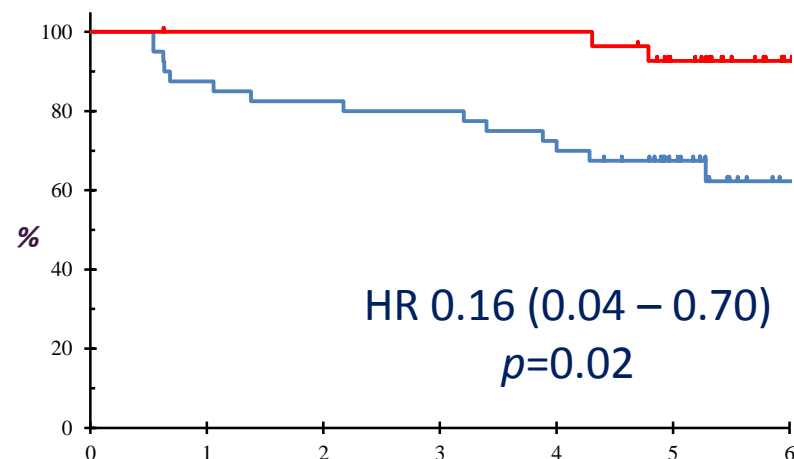
## TP53 MUTANT (N=75, 52%)



Time from randomisation (months)

— CAPOX 5-yr OS 67.1% (51.2-83.0)  
— CAPOX-C 5-yr OS 67.3% (52.8-81.8)

## TP53 WILD TYPE (N=69, 48%)



Time from randomisation (months)

— CAPOX 5-yr OS 67.5% (53.0-82.0)  
— CAPOX-C 5-yr OS 92.7% (82.9-100)

Test for interaction:  $p=0.036$ .

Multivariate analysis of treatment by TP53 interaction:  $p=0.038$



# *Intensification of preoperative treatment with systemic therapy - Open questions*

---

- Is there a role for targeted therapies in the preoperative treatment of rectal cancer?
- Do we have predictive biomarkers to select patients for targeted therapies? Should we use the same biomarkers we use in the metastatic setting?
- Is pelvic radiotherapy still necessary following neoadjuvant systemic chemotherapy?



# *Advantages and disadvantages of radiotherapy*

---

## **Advantages**

- Tumour downsizing and downstaging
- Reduce risk of local recurrence

## **Disadvantages**

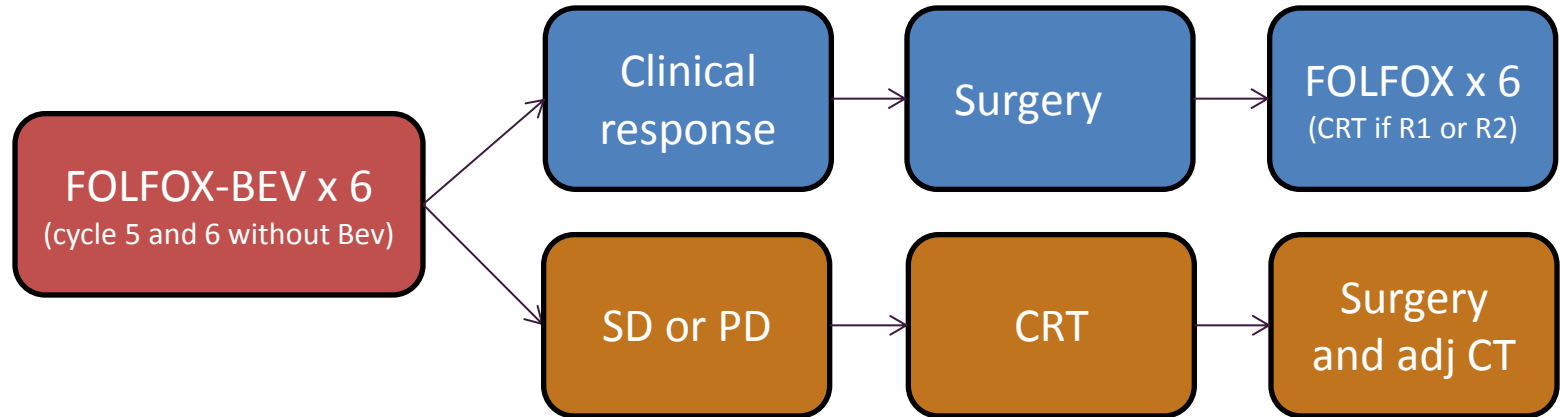
- Acute side effects and increased postoperative complications
- Mid- and long-term toxicities (bowel function, sexual function, increased risk of second cancers)
- Does not increase overall survival in patients receiving TME surgery





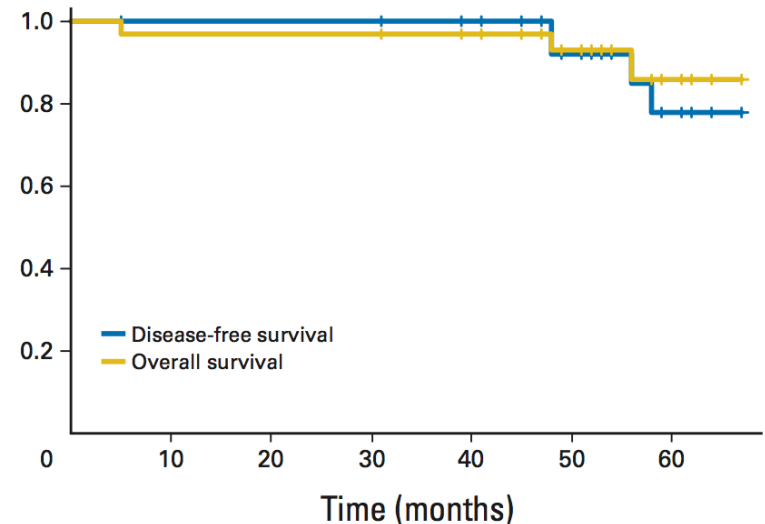
# Radiotherapy may not be necessary after neoadjuvant systemic chemotherapy

**Phase II**  
**N=32**  
**uT2N+ or**  
**uT3 any N**  
**(except N2 bulky)**

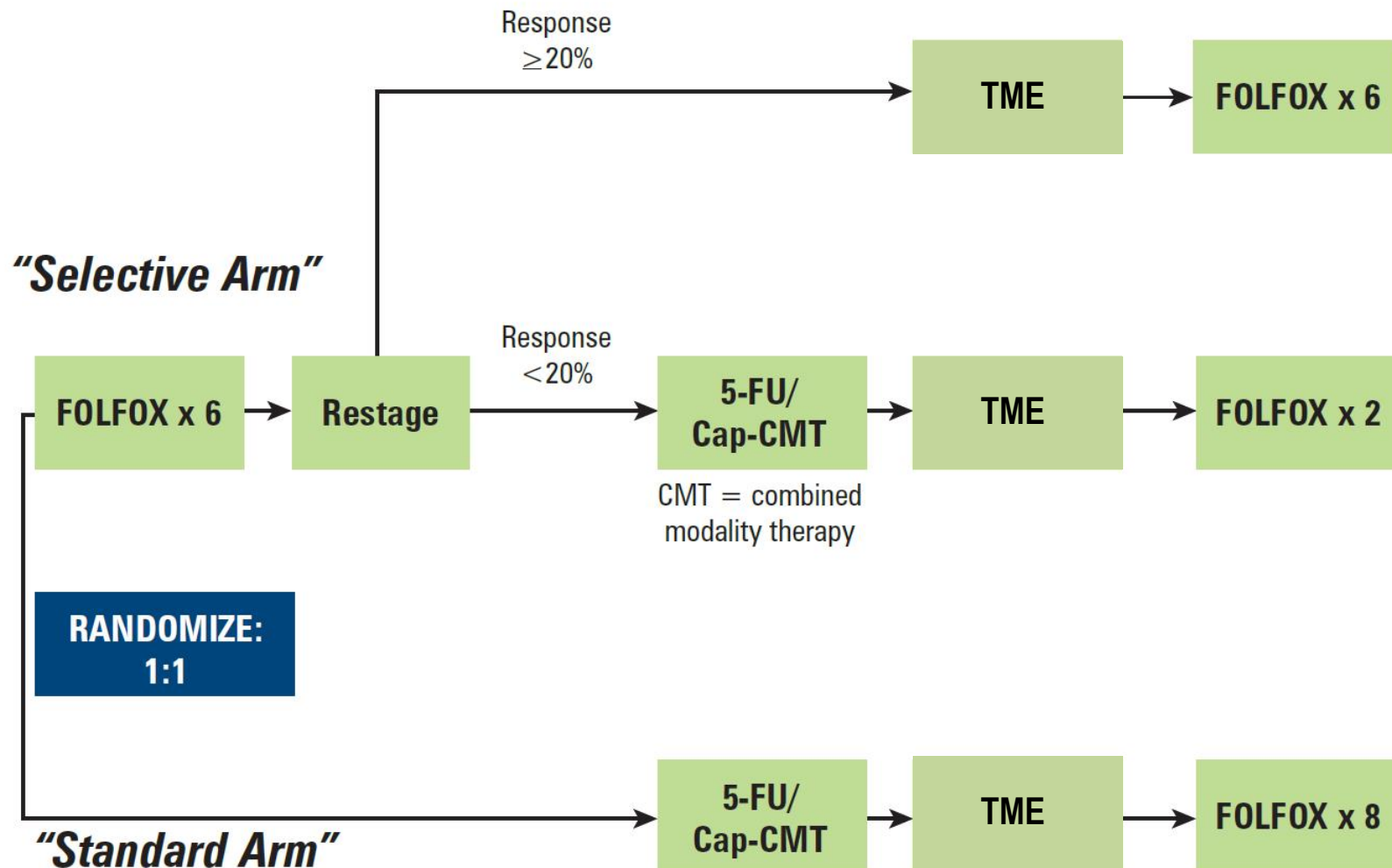


**Mean follow-up 53 months**

Outcome	N	%
R0 resection rate	32	100
pCR	8	25
4-year local recurrence rate	0	0
4-year DFS	27	84
4-year OS	29	91



# Neoadjuvant chemotherapy without radiotherapy – The PROSPECT trial



Sponsor: North Central Cancer Treatment Group

Collaborator: National Cancer Institute



# *Intensification of preoperative treatment with systemic therapy - Open questions*

---

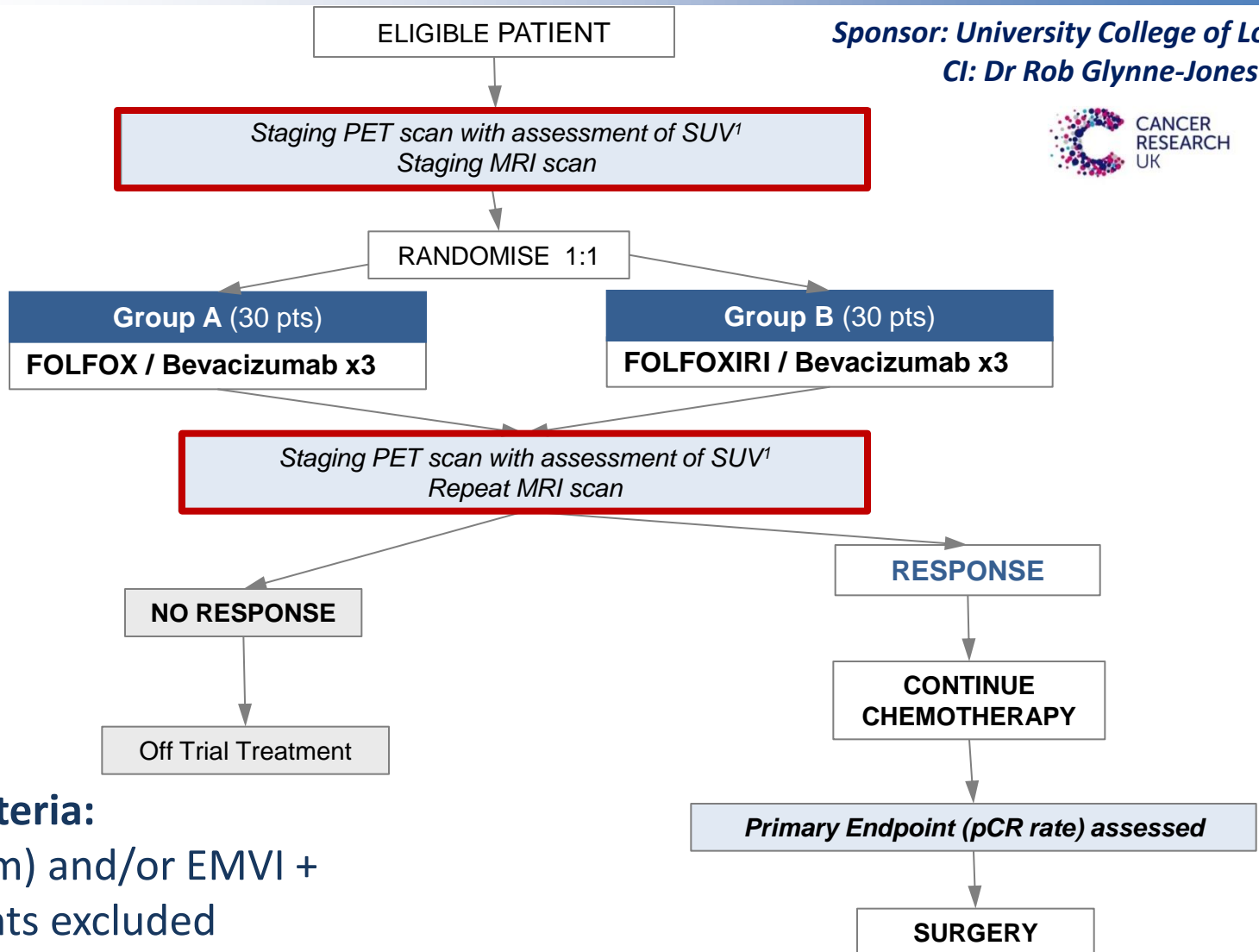
- Is there a role for targeted therapies in the preoperative treatment of rectal cancer?
- Do we have predictive biomarkers to select patients for targeted therapies? Should we use the same biomarkers we use in the metastatic setting?
- Is pelvic radiotherapy still necessary following neoadjuvant systemic chemotherapy?
- Could triplet chemotherapy be an option for selected high-risk patients (CRM+, T4) who are usually excluded or under-represented in clinical trials?



# Neoadjuvant triplet chemotherapy

## The BACCHUS trial

Sponsor: University College of London  
CI: Dr Rob Glynne-Jones



### Inclusion criteria:

≥T3b (≥4 mm) and/or EMVI +  
CRM+ patients excluded



# Conclusions

---

- Investigation of intensified preoperative treatments for locally advanced rectal cancer is warranted
- Prognostic markers for risk-stratification and identification of those patients who may benefit most from intensified therapies are needed
- Preoperative systemic chemotherapy (doublet or triplet) appears to be the most effective strategy to reduce the risk of distant recurrence (high dose intensity, good compliance, early treatment of micrometastases)
- Targeted therapies may have a role in the preoperative treatment but:
  - ✓ Predictive biomarkers for patient selection are crucial
  - ✓ Caution is needed when using anti-angiogenic therapies (adequate interval before surgery, defunctioning stoma)
- Neoadjuvant chemotherapy without radiotherapy may represent a potential option for patients with low risk of local recurrence

