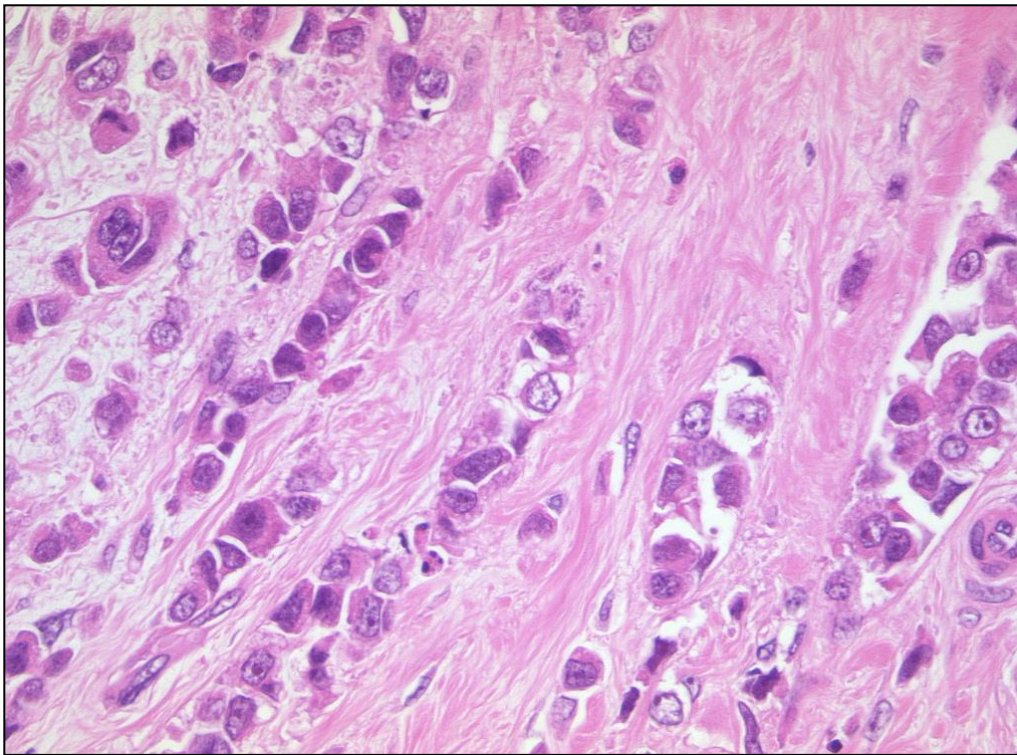


# Techniques in pathology



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7.5.14  
IMPAKT Meeting, Brussels



## Conflict of interest statement

- Research funding, honoraria, shareholder: Sividon Diagnostics
- Research funding: Siemens Medical Solutions

# Outline – techniques in pathology

## – Introduction

- research strategies in pathology
- strengths and weaknesses

## – FFPE tissue

- H&E
- immunohistochemistry
- digital imaging
- RNA analysis
- DNA analysis
- NGS sequencing

## – workflow options

# Options for research in pathology

- Why are we doing the research project?
- What are the aims?

## Options for pathologists:

- „traditional approach“ - definition and description of new tumor entities
- hypothesis-generating research
- predictive biomarker-focussed research
- practice changing research

# Level of evidence for biomarker studies

Type of tumor marker study		Definition	Possible level of evidence	
A	Prospective	clinical trial designed to address tumormarker	1	validation preferred, but not required
B	Prospective using archived samples “prospective-retrospective”	prospective biomarker design, existing samples collected in clinical trial	1	two studies with identical results
			2	only one study
C	Prospective observational	prospective registry and sample collection, no standardized treatment and follow-up	2	two studies with identical results
			3	only one study
D	Retrospective observational	collection of samples from archive, no standardized treatment	4-5	hypothesis generating, no clinical utility

Simon, Paik, Hayes JNCI, 2009

Publication of Tumor Marker Research Results: The  
Necessity for Complete and Transparent Reporting

*Lisa M. McShane and Daniel F. Hayes*

### **Table 1.** Requirements for a Marker-Based Test to Reach Level IB Evidence of Clinical Utility Based on Prospective-Retrospective Studies

1. Adequate amounts of archived specimen must be available from enough patients from a prospective trial (which for predictive factors should generally be a randomized design) for analyses to have adequate statistical power and for the patients included in the evaluation to be clearly representative of the patients in the trial.
2. The marker-based test should be analytically and preanalytically validated for use with archived specimens.
3. The plan for marker evaluation should be completely specified in writing before the performance of marker assays on archived specimens and should be focused on evaluation of a single completely defined marker-based test.
4. The results from archived specimens should be validated using specimens from one or more similar, but separate, studies.

NOTE. Guidelines adapted.<sup>22</sup>

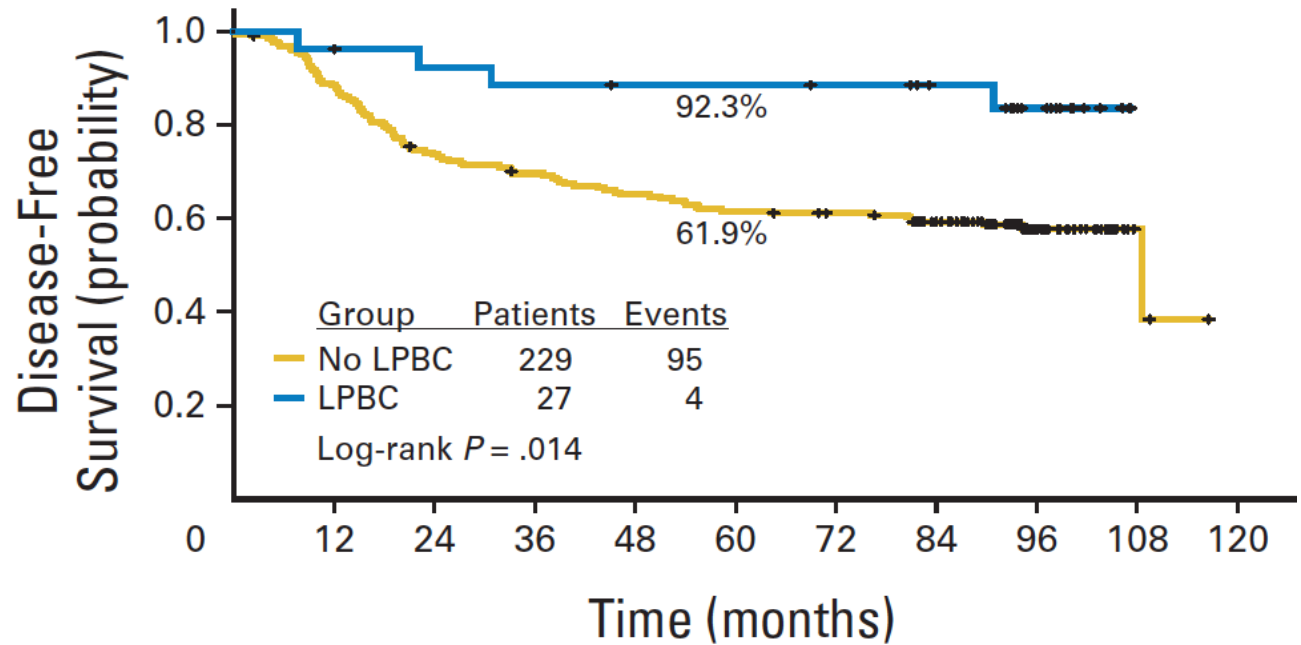
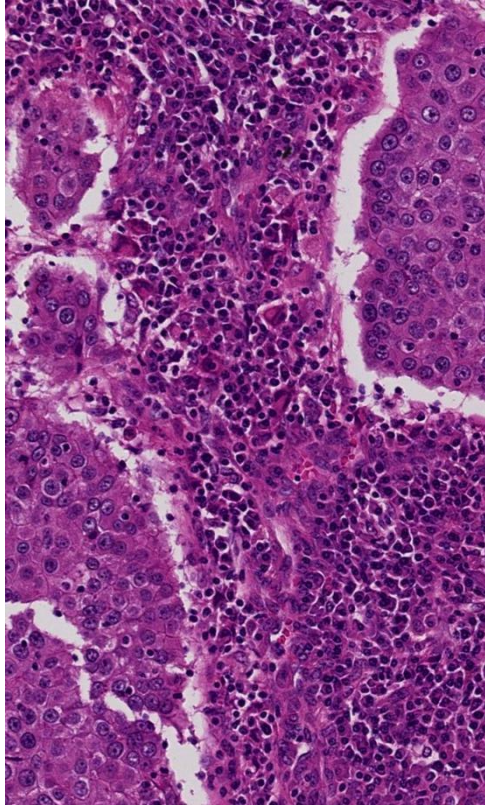
# Requirements for pathology research 2014

- large sample cohorts (aim: >1000 samples, but difficult to reach)
  - only possible for FFPE tissue
  - heterogenous cohorts vs tumor-type specific cohorts ?
    - prognostic markers may simply be markers of luminal differentiation
    - very difficult to find markers in TNBC cohorts
  - additional cohorts for validation
- prespecified analysis plan as a document
- methods validation



# H&E based studies - Prognosis of TNBC – increased lymphocytic infiltrate defines a good prognosis group

Loi et al, JCO 2013 BIG2-98 study (total n=2009, TNBC n=256)

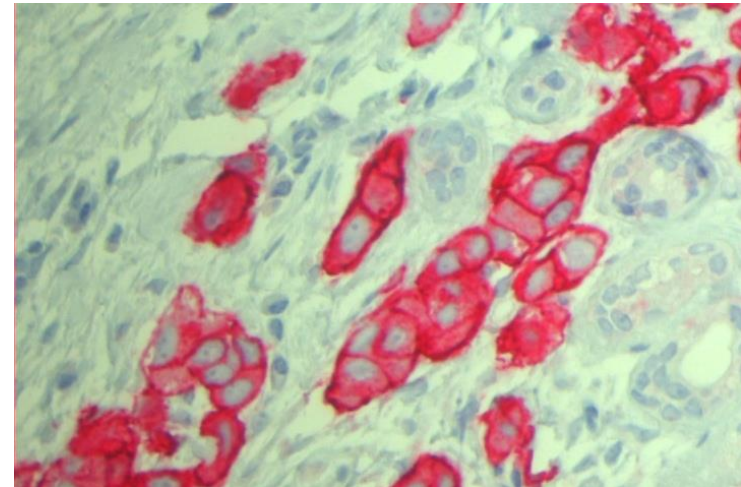
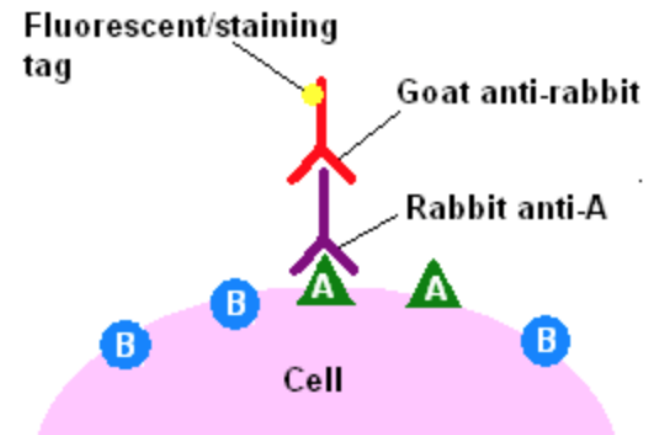


No. at risk											
No LPBC	229	202	167	156	146	138	134	116	41	3	0
LPBC	27	26	24	23	22	22	21	18	11	0	0

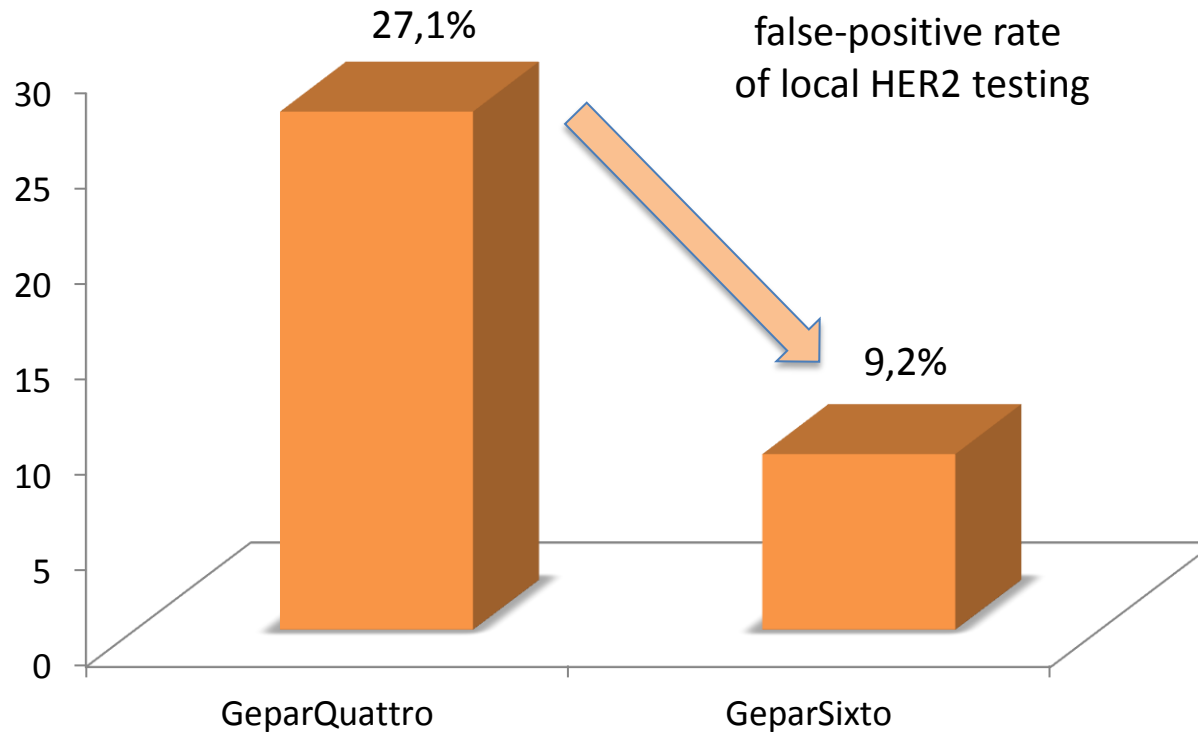


# Immunohistochemistry = „in situ proteomics“

- antibody-based detection of molecular markers on tissue slides
- Advantages:
  - easy, useful on FFPE tissue
  - combined molecular and morphological information
  - type of cells, localisation in cells
- Disadvantages:
  - standardisation issues
  - staining intensity
  - percentage of of positive cells

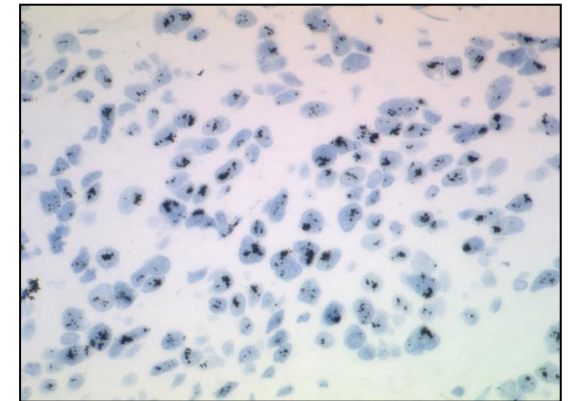
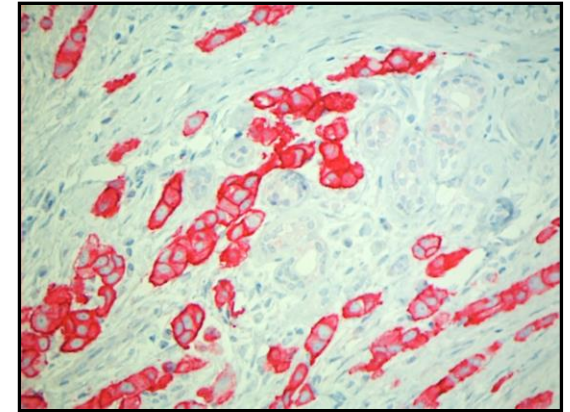


# Comparison of local and central HER2 in GBG trials

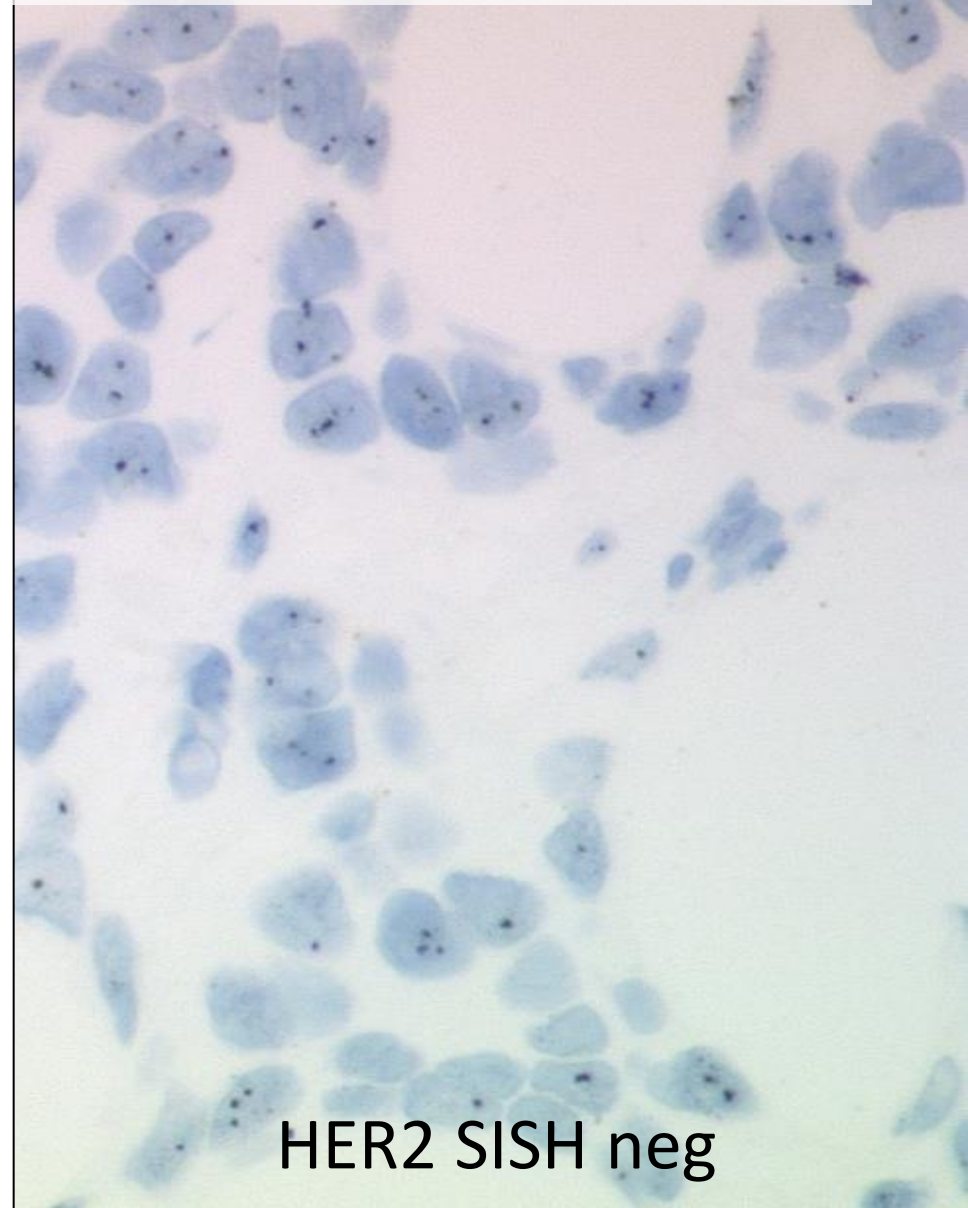
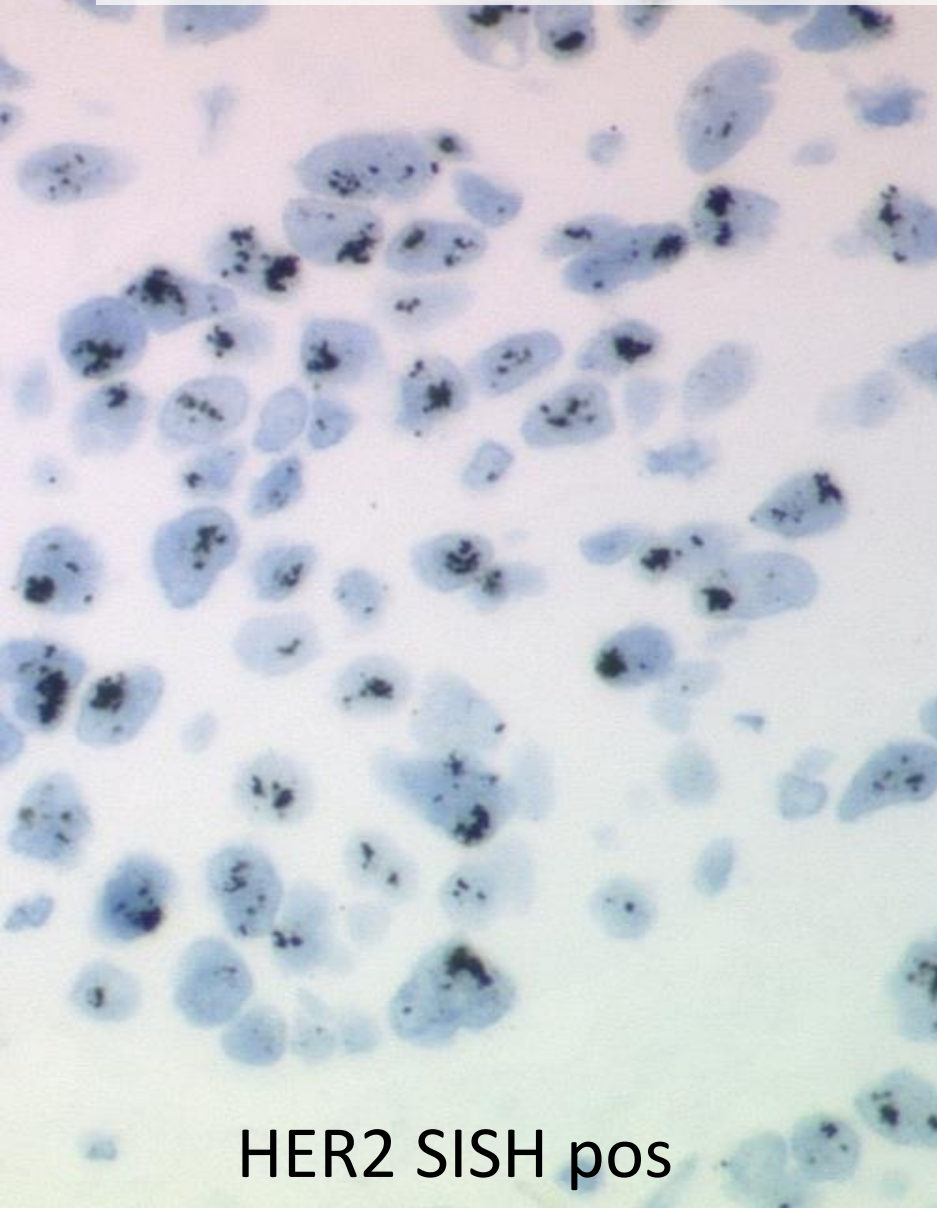


GeparQuattro  
N=217 local HER2+  
2005-2006

GeparSixto\*  
N=158 local HER2+  
2011-2012  
Preliminary 2.5.12



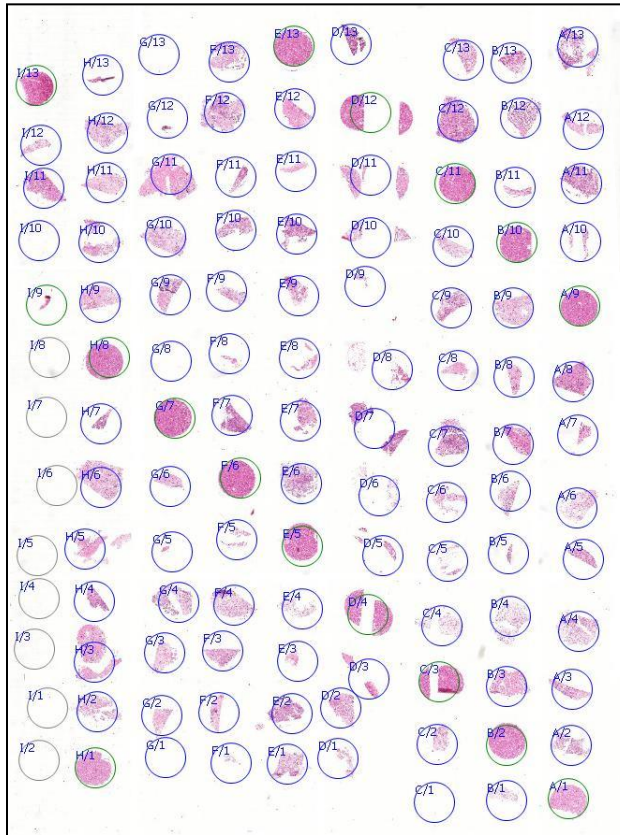
# in-situ hybridisation



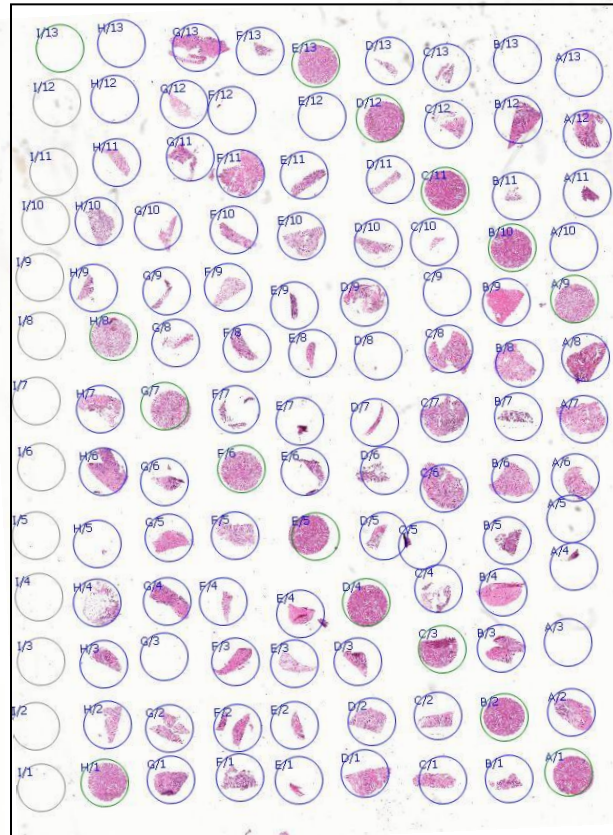


# TMA – tissue microarray

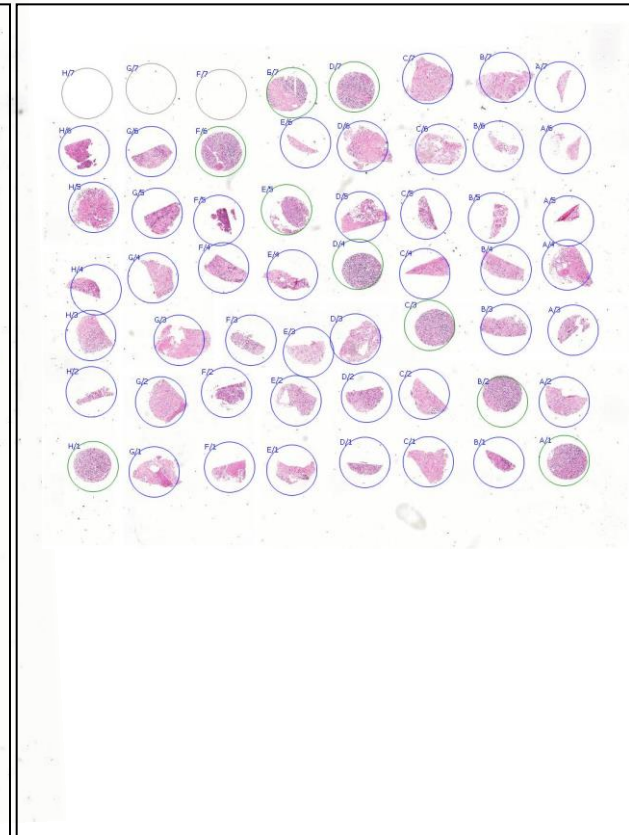
TMA from 227 pretherapeutic core biopsies from HER2-positive Tumors from the GeparQuattro-trial



TMA 1

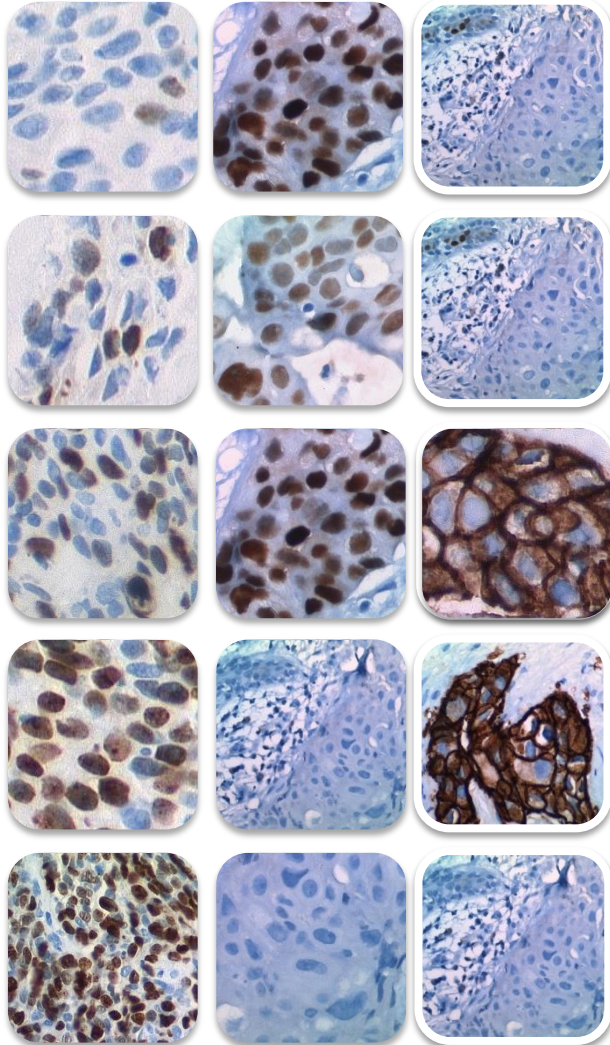


TMA 2



TMA 3

# Limitations of immunohistochemistry

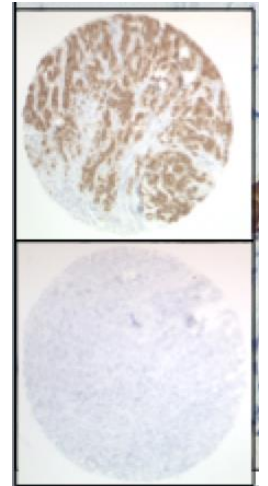
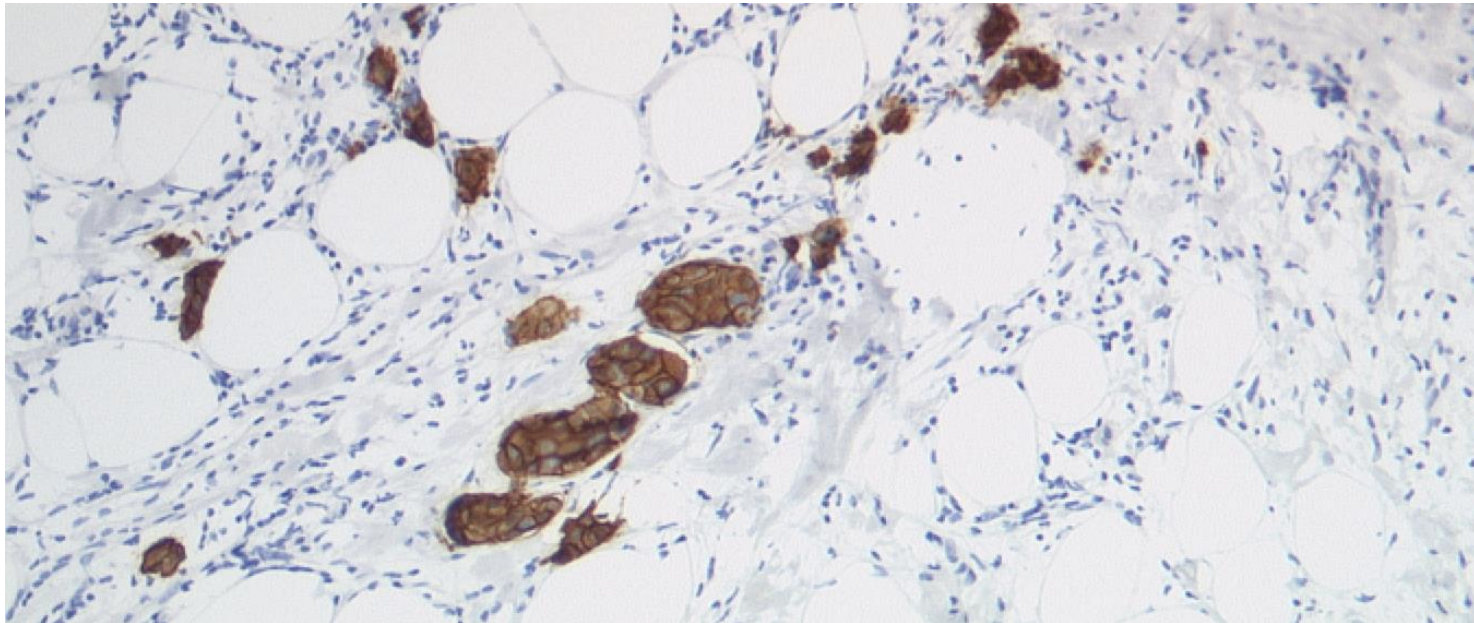


- Technical issues
  - Quantification of markers and cutoffs, e.g. Ki67
  - Interobserver variability
  - Assay standardisation
- More complex questions:
  - Endocrine Tx vs. Chemo-endocrine Tx
  - Different types of anti-HER2 therapy
  - Response to anti-angiogenic Tx



# Immunohistochemistry - standardisation

- use of Autostainers
- internal / external controls
- quantitative markers – image analysis

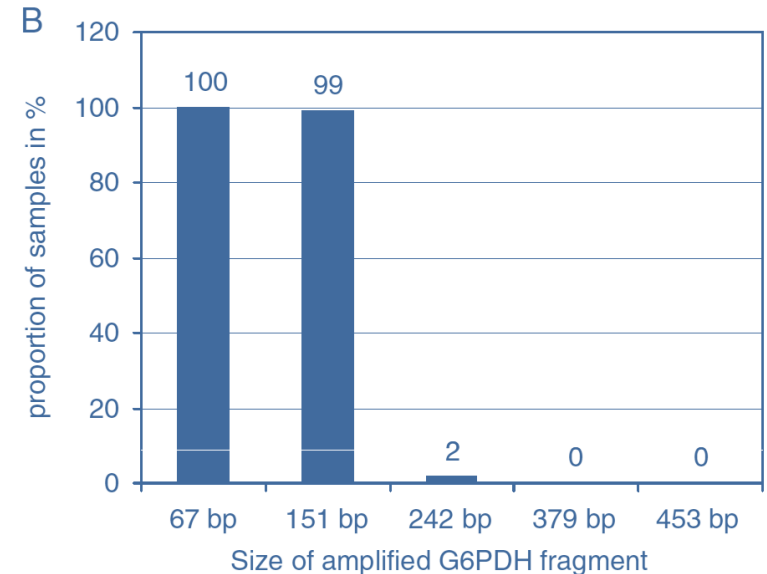
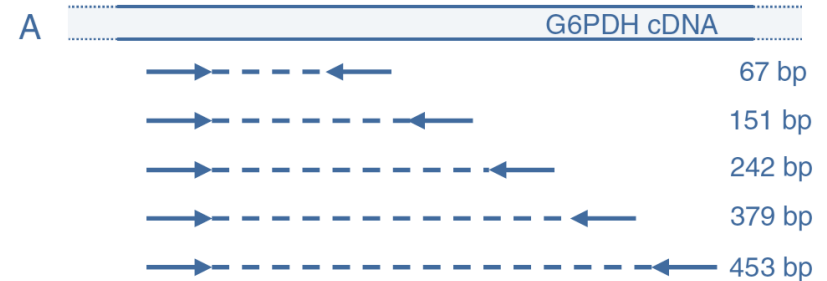
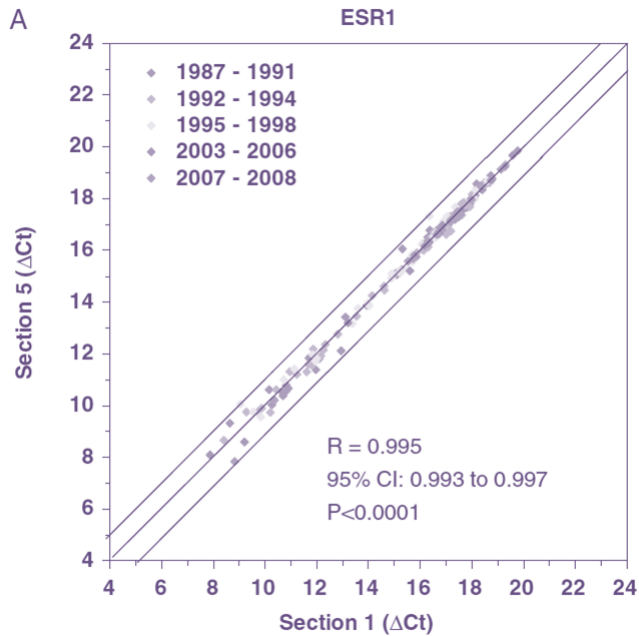


## mRNA biomarkers in FFPE tissue

1. mRNA isolation is feasible from FFPE tissue („Formalin is an RNA-protective substance“)
2. mRNA analysis can be used to assess breast cancer biomarkers
3. EndoPredict / Oncotype Dx – routine mRNA expression analysis in breast cancer

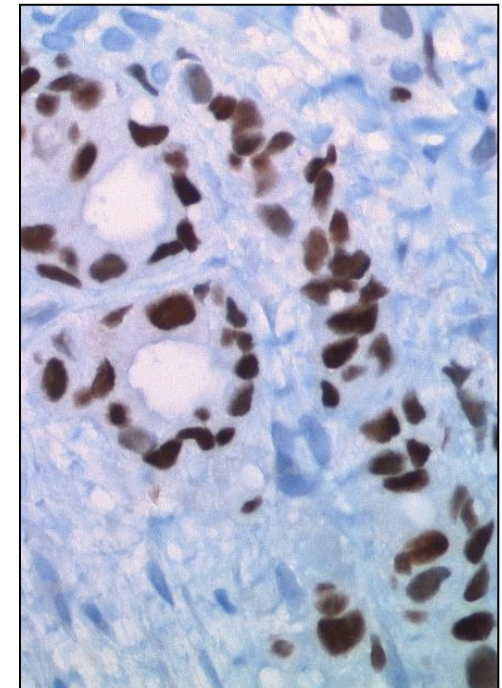
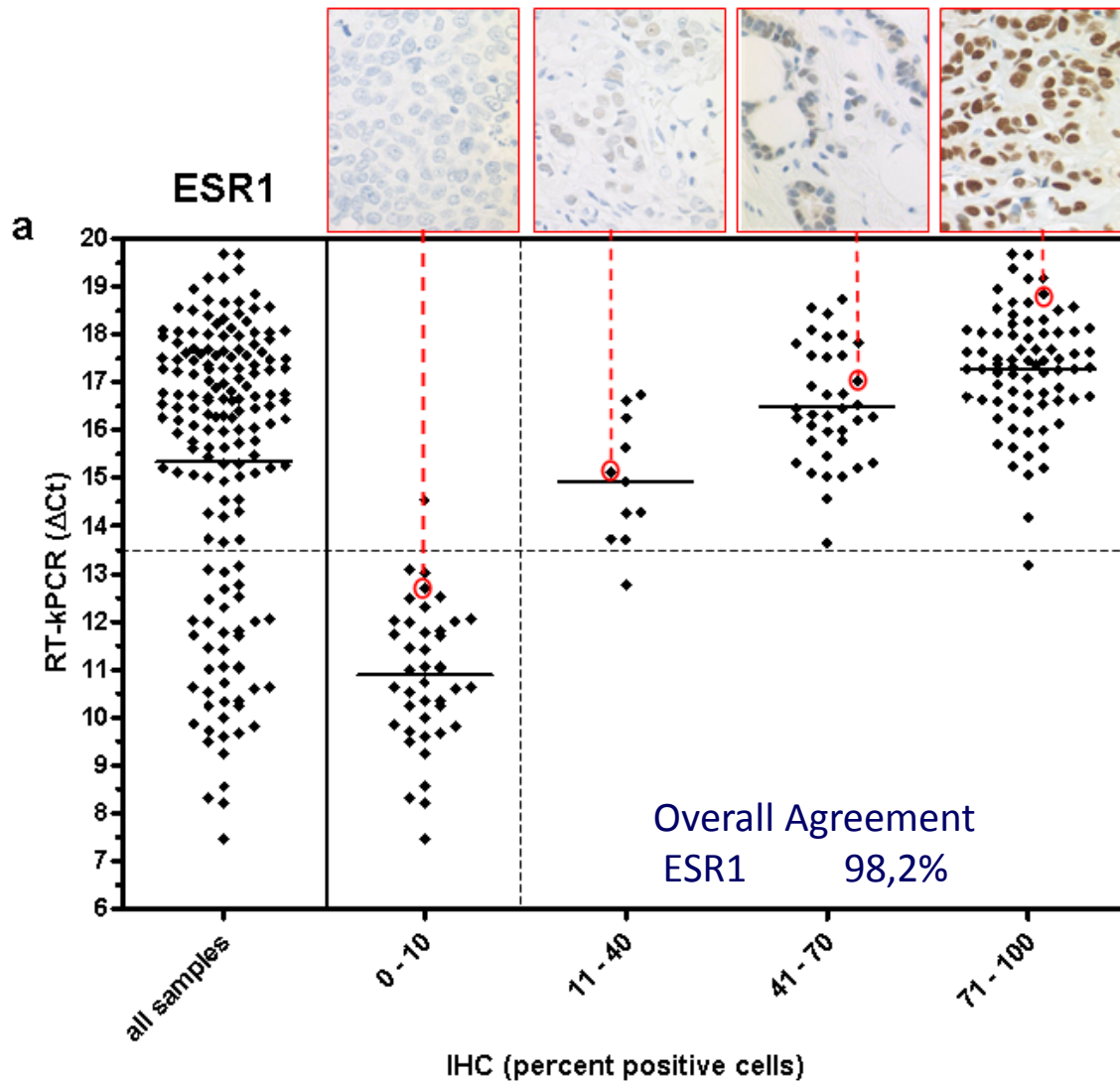


# RNA Isolation from FFPE tissue is feasible



- 167 FFPE samples, age up to 21 years
- 501 RNA isolations
- Fragment length: ca 150bp
- RNA: ca. 1ug/10um section
- = ca. 100 PCR reactions
- High concordance of consecutive sections

# Concordance for ESR1 measured by RNA analysis and immunohistochemistry



# Recurrence Score - Oncotype DX

16 genes and 5 control genes

## Proliferation

Ki-67  
STK15  
Survivin  
Cyclin B1  
MYBL2

## Estrogen

ER  
PR  
Bcl2  
SCUBE2

$$\begin{aligned} \text{RS} = & + 0.47 \times \text{HER-2 group score} \\ & - 0.34 \times \text{ER group score} \\ & + 1.04 \times \text{proliferation group} \\ & + 0.10 \times \text{invasion group score} \\ & + 0.05 \times \text{CD68} \\ & - 0.08 \times \text{GSTM1} \\ & - 0.07 \times \text{BAG1} \end{aligned}$$

GSTM1

BAG1

## Invasion

Stromelysin 3  
Cathepsin L2

CD68

## HER-2

GRB7  
HER-2

## Reference

Beta-actin  
GAPDH  
RPLPO  
GUS  
TFRC

Category	RS (0 – 100)
Low risk	RS < 18
Intermediate risk	RS ≥ 18 and < 31
High risk	RS ≥ 31

Sparano JCO 2007

# Recurrence Score - Oncotype DX

16 genes and 5 control genes

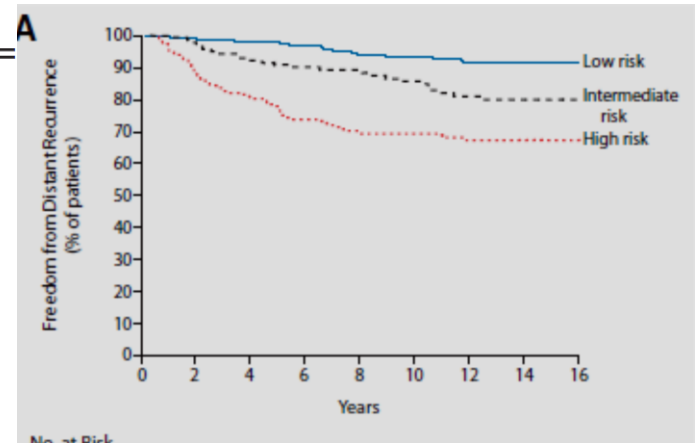
## Proliferation

Ki-67  
STK15  
Survivin  
Cyclin B1  
MYBL2

## Estrogen

ER  
PR  
Bcl2  
SCUBE2

RS =



GSTM1

BAG1

## Invasion

Stromelysin 3  
Cathepsin L2

CD68

## Reference

Beta-actin  
GAPDH  
RPLPO  
GUS  
TFRC

## HER-2

GRB7  
HER-2

Category	RS (0 – 100)
Low risk	RS < 18
Intermediate risk	RS ≥ 18 and < 31
High risk	RS ≥ 31

Sparano JCO 2007

# Development of the Endopredict assay

## Training

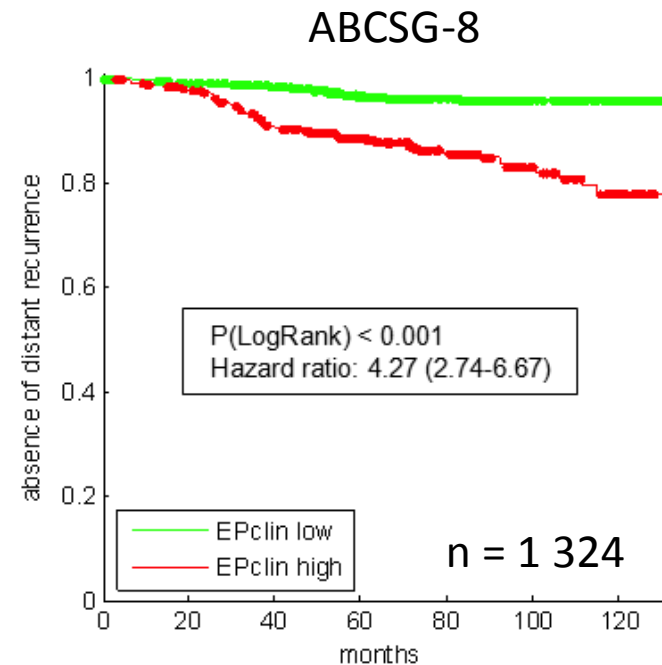
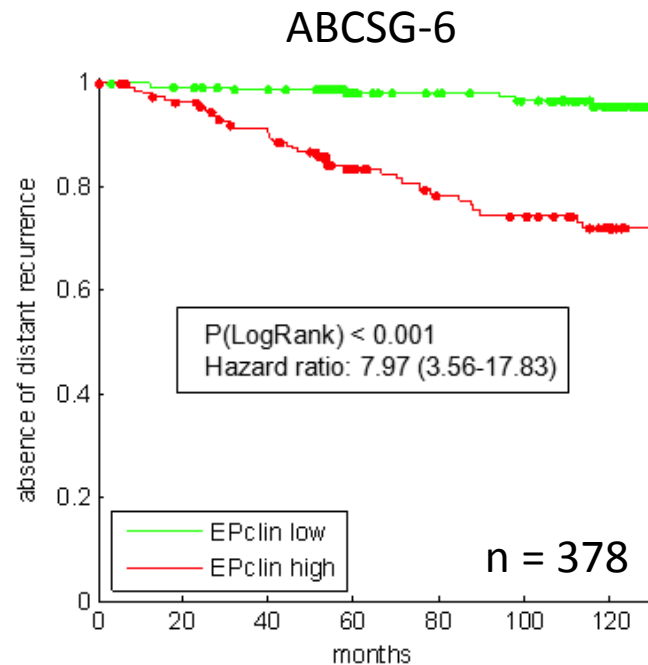
**Multicenter**  
Tam Monotherapy  
(n=964)

## Validation I

**ABCSG 6**  
TAM vs.  
1st gen. AI  
(n=378)

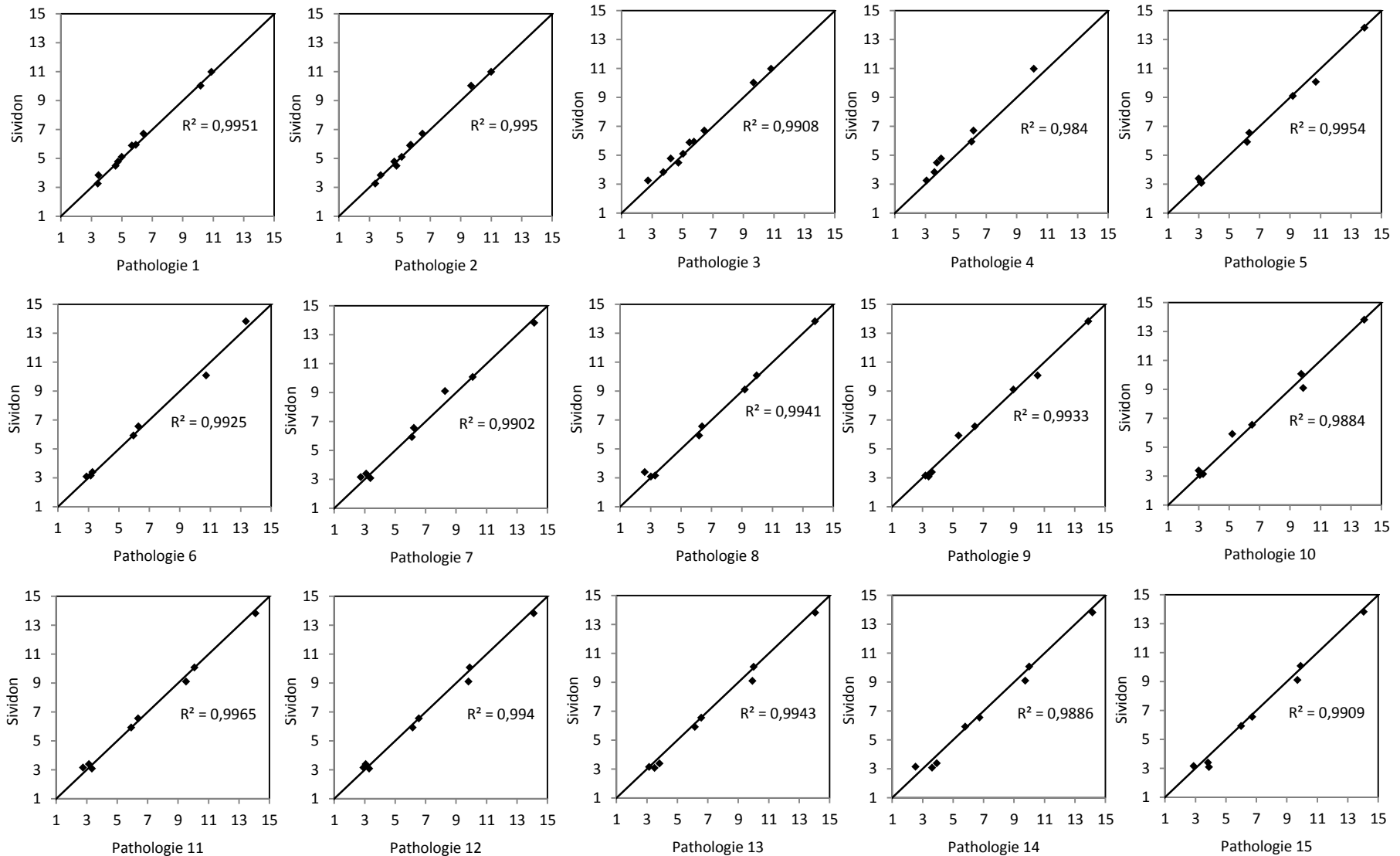
## Validation II

**ABCSG 8**  
TAM vs.  
TAM/Anastrozol  
(n=1.324)



Filipits et al. Clinical Cancer Res. 2011

# Endopredict Test – 15 different laboratories



# DNA markers and mutation analysis

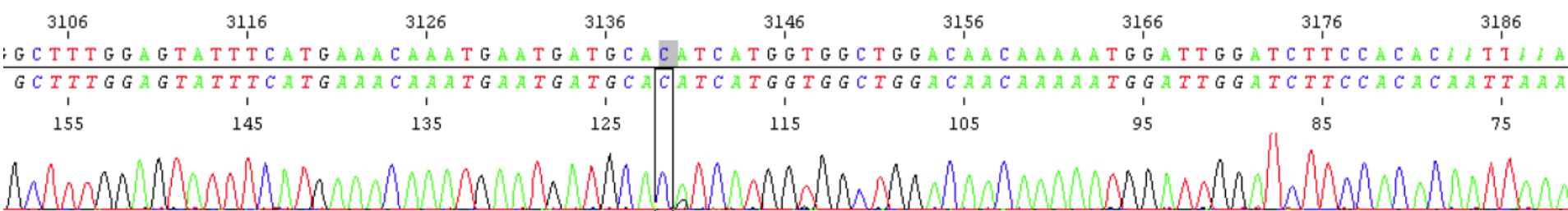
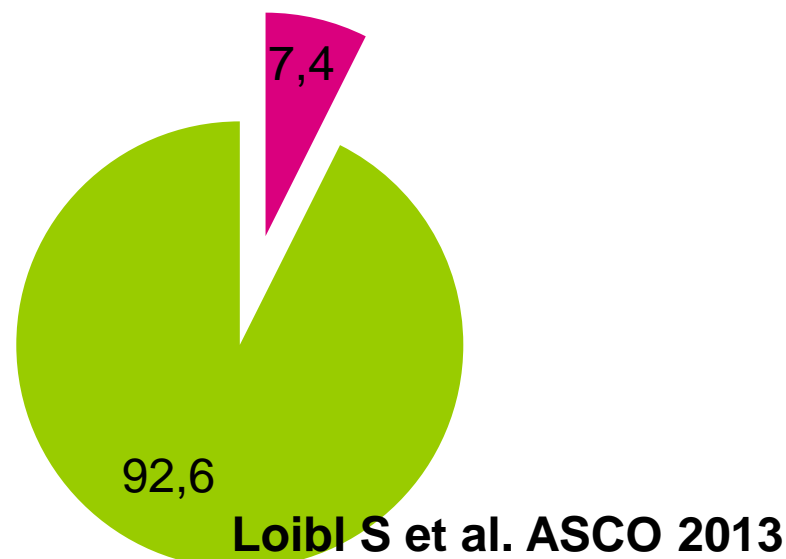
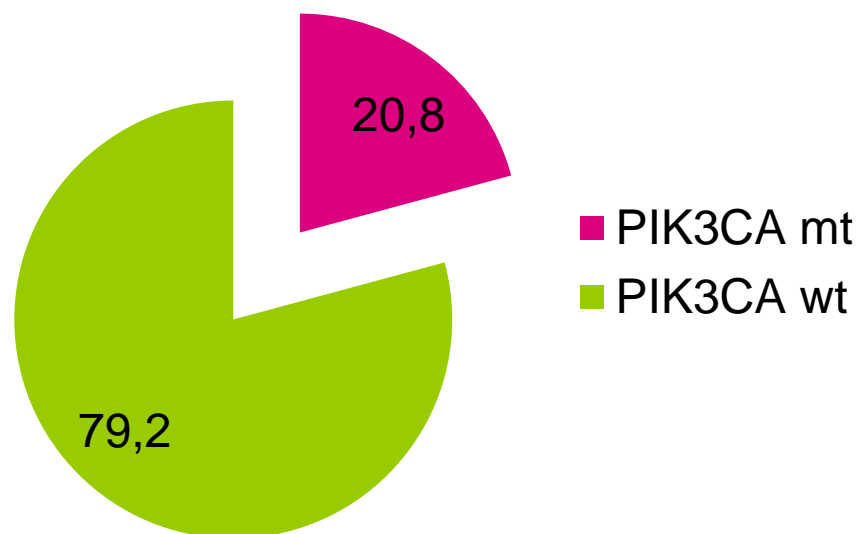
- DNA is more stable than mRNA
  - can be isolated from FFPE tissue
  - fragmentation occurs – focus on analysis of small fragments
- 
- classical Sanger sequencing
  - NGS sequencing



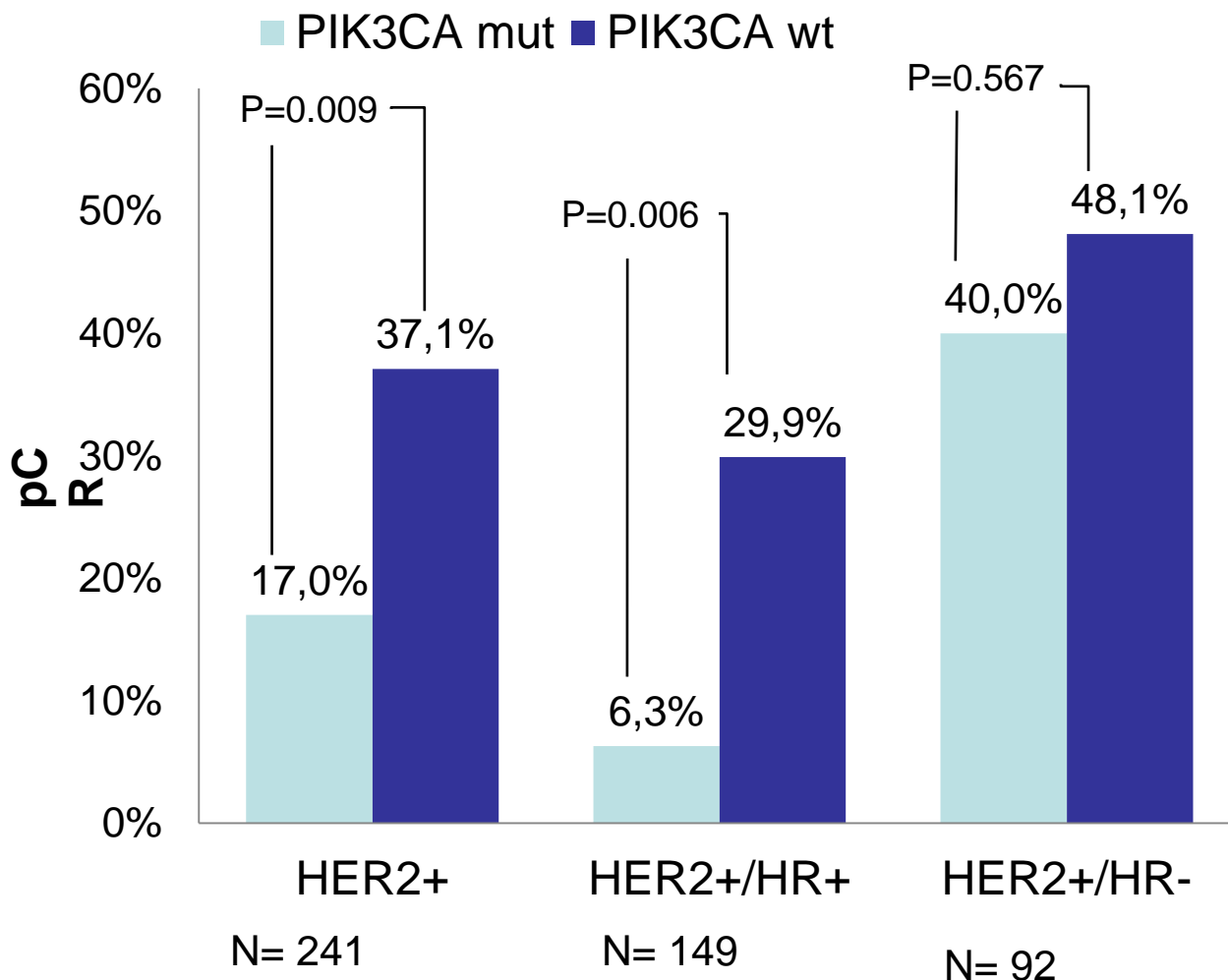
# PIK3CA Mutation analysis (Exon 9 & 20) in FFPE samples of the GeparQuinto and GeparSixto study

**HER2+ tumours n=360**

**triple-negative tumours n=285**



# pCR rate according to *PIK3CA* mutation status in GeparSixto study

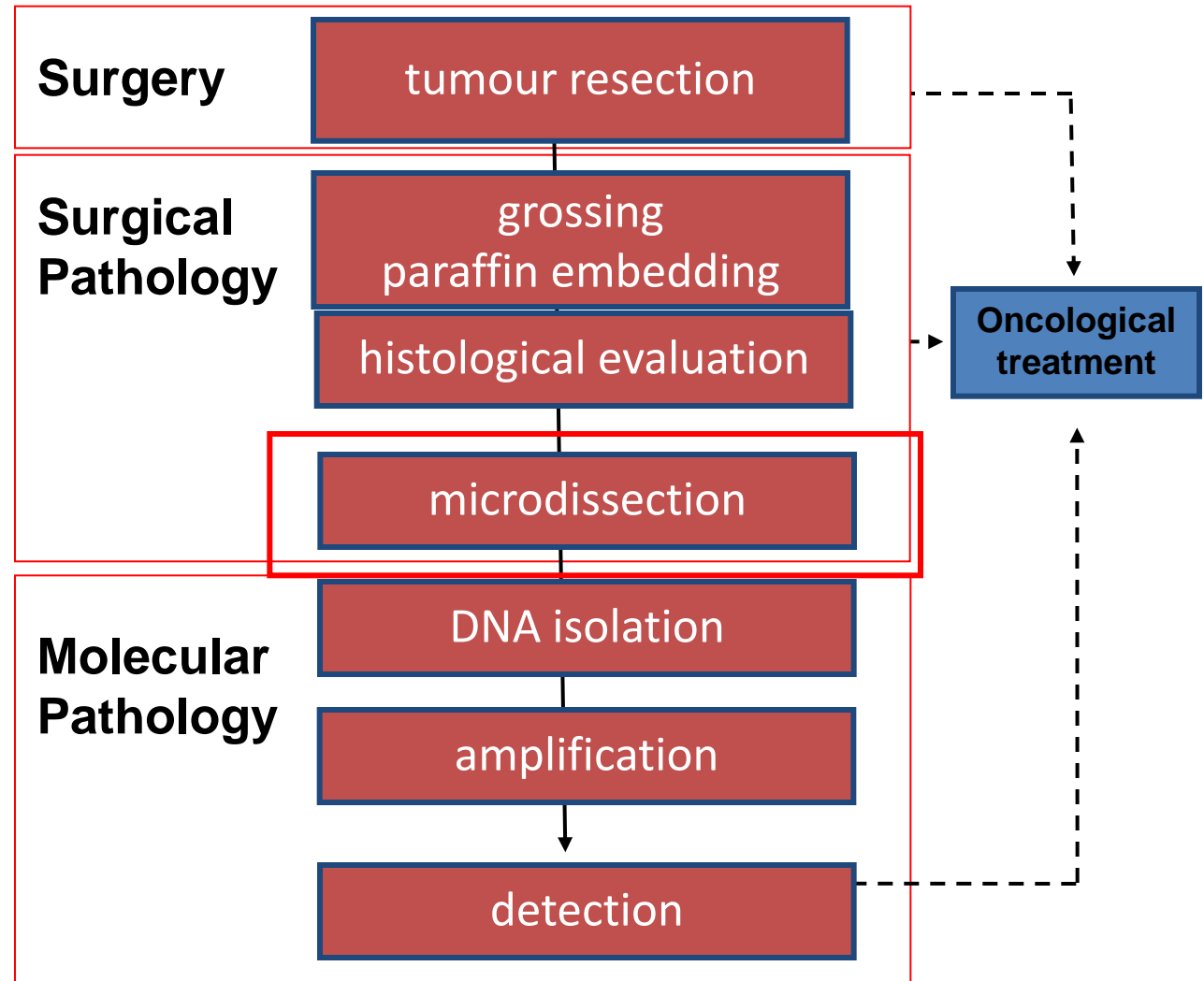


## Multivariate

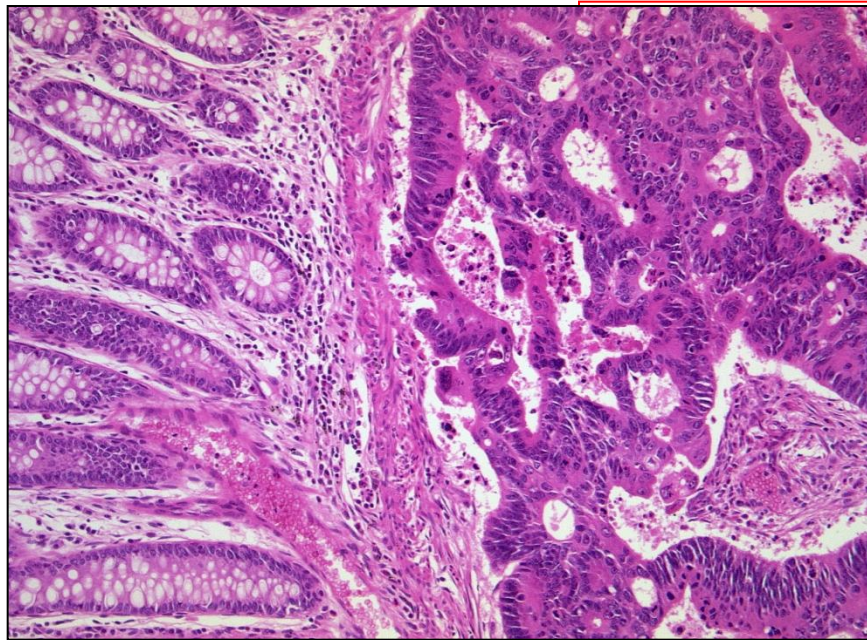
		Odds ratio	P-value
HR status	neg	1.00	0.006
	pos	0.44 (0.24-0.79)	
PIK3CA	wt	1.00	0.007
	mut	0.29 (0.12-0.71)	

adjusted for therapy, age,  
tumour and nodal status, histotype  
and grading

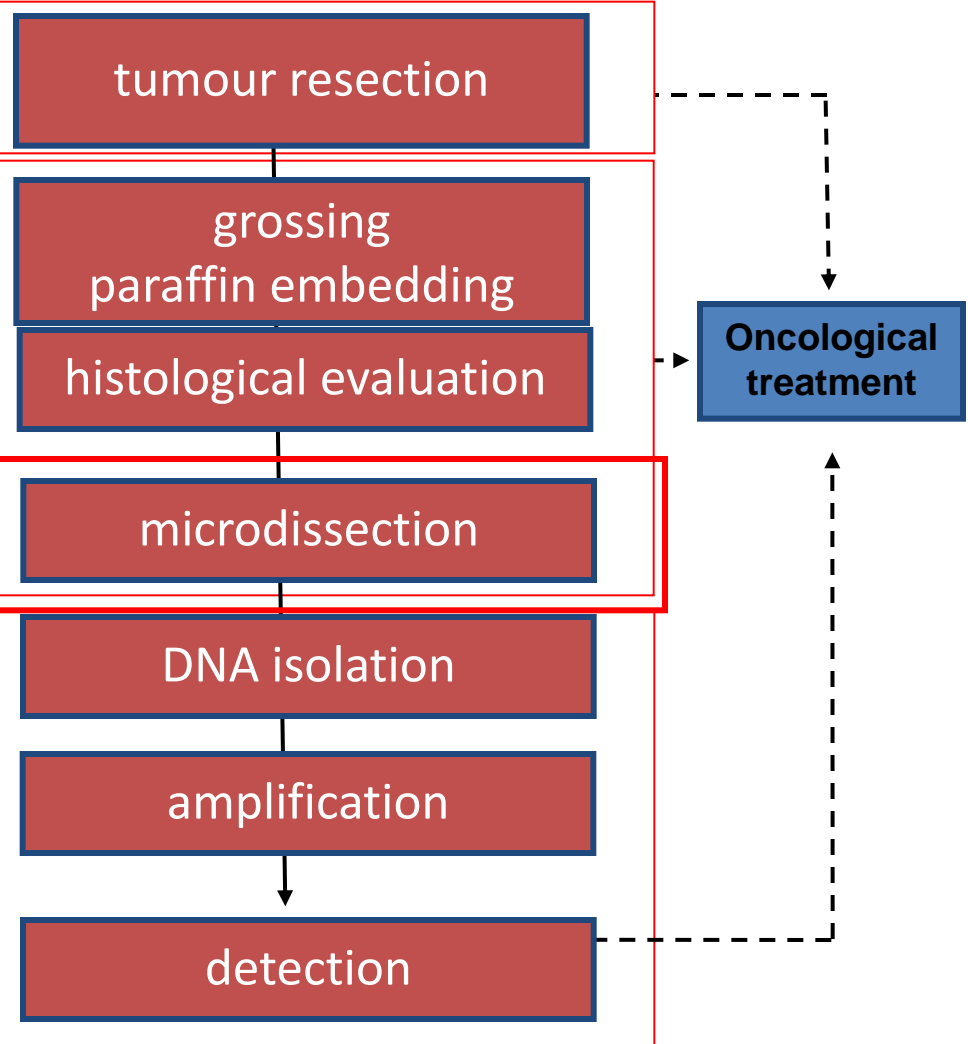
# Workflow in molecular pathology



# Workflow in molecular pathology



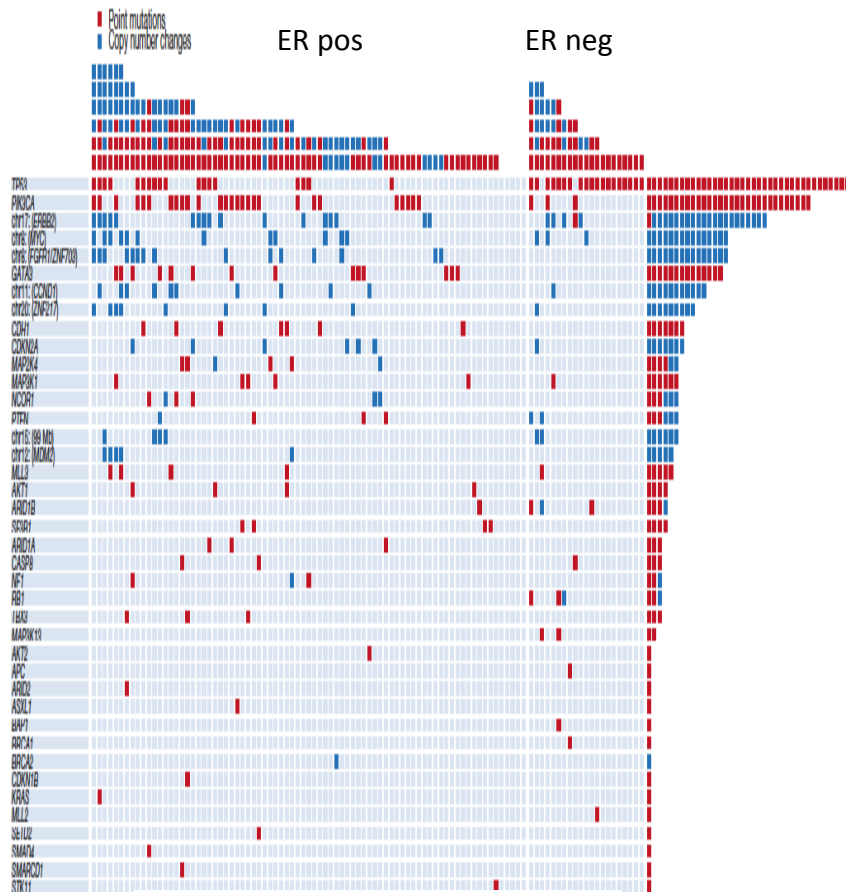
## Molecular Pathology



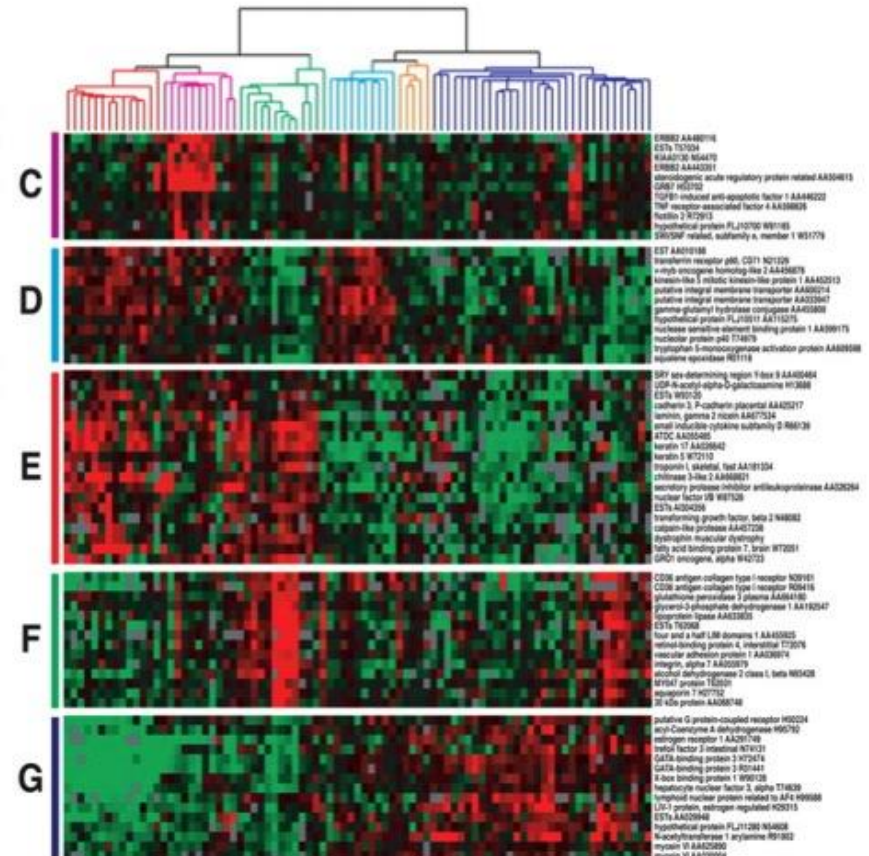
# Next generation sequencing

- NGS: all genes / transcripts in a tumor are measured
- overview on all genetic alterations in a tumor
  - Mutations
  - Copy number variations
  - Amplifikations
  - Deletions
- applicable to routine pathology: targeted exome sequencing

# High throughput technologies - mRNA and DNA alterations in breast cancer



Stephens, Nature June 2012

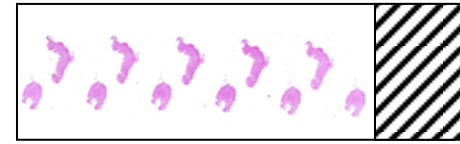
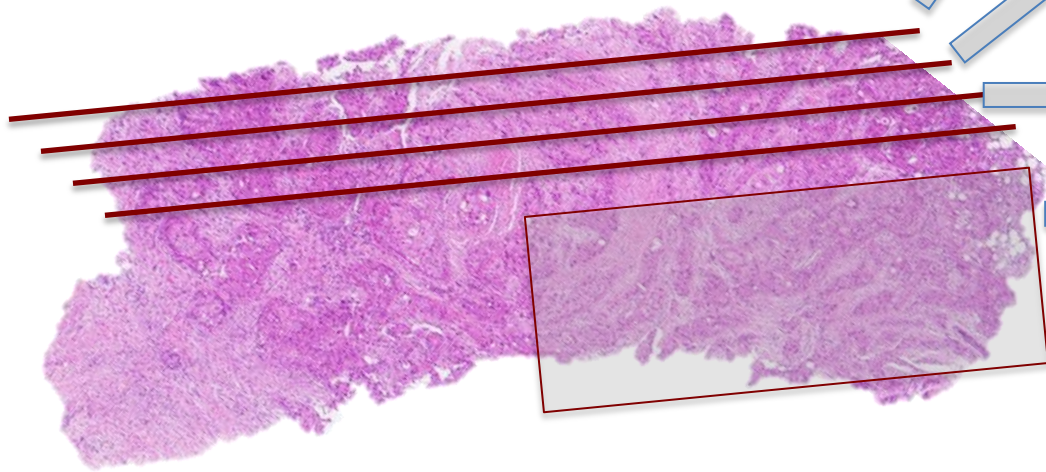


Sorlie, et al. (2001)

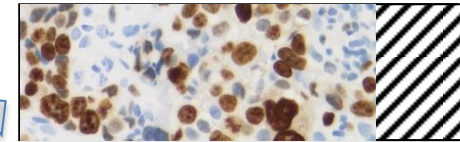
Proc. Natl. Acad. Sci. USA 98, 10869-10874



# Efficient workflow: Tumor samples in the neoadjuvant situation



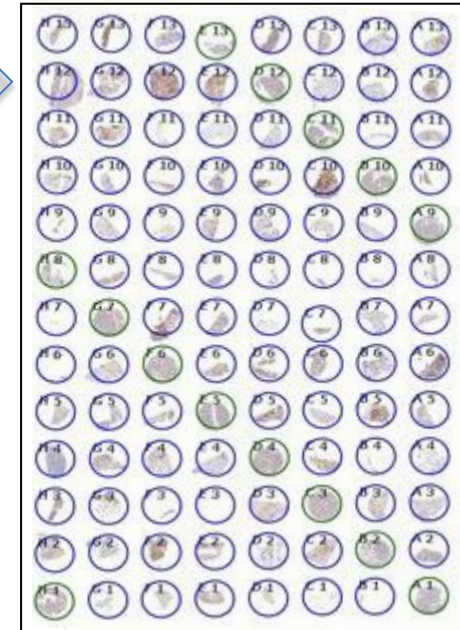
H&E



Immuno



RNA/DNA



TMA's





## Conclusion – methods in pathology

- FFPE tissue is suitable for analysis of protein, mRNA and DNA markers
- targeted exome sequencing as an upcoming method in routine pathology
- critical parameters are:
  - standardisation and quantification for immunohistochemistry
  - selection of primers for mRNA / DNA analysis
  - selection of tissue area for mRNA / DNA analysis
- requirements for practice changing research in pathology: high level of evidence, „prospective-retrospective“ studies, large sample collections