# Trastuzumab emtansine in Asian patients with previously treated HER2-positive locally advanced or metastatic breast cancer: data from the phase 3 EMILIA study

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# Background

- The phase 3 EMILIA study (NCT00829166) was initiated based on phase 1 and 2 data identifying the HER2-targeted antibody–drug conjugate trastuzumab emtansine (T-DM1) as an active therapy for HER2-positive advanced breast cancer previously treated with trastuzumab and chemotherapy<sup>1-3</sup>
- The results of the EMILIA study demonstrated that T-DM1 significantly prolonged progression-free survival (PFS) and overall survival (OS) with less toxicity than lapatinib plus capecitabine, and led to the approval of T-DM1 in patients with HER2-positive metastatic breast cancer previously treated with trastuzumab and a taxane, separately or in combination<sup>4,5</sup>
- Here, we report a subgroup analysis of EMILIA data in Asian patients

# Methods

- The randomized, open-label, phase 3 EMILIA study enrolled patients with centrally confirmed HER2-positive, unresectable, locally advanced or metastatic breast cancer previously treated with trastuzumab and a taxane
- The subgroup of patients included in the current analysis was enrolled by study sites in the Asia region
- Patients received T-DM1 3.6 mg/kg IV every 3 weeks (q3w) or lapatinib 1250 mg/day orally (po) daily plus capecitabine 1000 mg/m² po twice daily, days 1–14, q3w
- After the confirmatory analysis of OS was completed, patients in the lapatinib plus capecitabine arm were allowed to cross over to receive T-DM1
- PFS was determined by Independent Review Committee assessment
- The objective response rate (ORR) was determined using modified Response Evaluation Criteria in Solid Tumors (RECIST) version 1.0 in patients with measurable disease at baseline
- Adverse events (AEs) were graded according to Common Terminology Criteria for Adverse Events, version 3.0
- The Kaplan-Meier approach was used to estimate median PFS, OS, and duration of response for each treatment arm. A Cox proportional hazards model was used to estimate the hazard ratio between the two treatment arms (ie, the magnitude of treatment effect) and its 95% confidence interval (CI)
- An estimate of the ORR and its 95% CI (Blyth—Still—Casella) was calculated for each treatment arm
- Comparisons between data in the Asian and global patient populations are descriptive only

# Results

#### **Patients**

- At the data cut-off date of January 14, 2012, 158 Asian patients were enrolled in EMILIA (~16% of the overall population)
- Patients were from Republic of Korea (n=103), Taiwan (n=28), Singapore (n=22), Hong Kong (n=3) and The Philippines (n=2)
- Of these, 82 and 76 patients were randomized to T-DM1 and lapatinib plus capecitabine groups, respectively (efficacy evaluable)
- 80 and 75 patients, respectively, were safety evaluable (ie, had been administered at least 1 dose of study drug)

- Baseline demographics and clinical characteristics were generally similar between Asian patients and the global population, except that Asian patients had lower median height and weight than the global population (**Table 1**)
- Among Asian patients, those in the T-DM1 arm were more likely to have an Eastern Cooperative Oncology Group performance status of 1, visceral disease, and 3 or more metastatic sites than those in the lapatinib plus capecitabine arm (**Table 1**)

## Table 1. Baseline demographics and clinical characteristics

	Asian subgroup		Global population	
	T-DM1 (n=82)	Lapatinib + capecitabine (n=76)	T-DM1 (n=495)	Lapatinib + capecitabine (n=496)
Median age (range), years	51.5	51.0	53.0	53.0
	(25.0–68.0)	(28.0–71.0)	(25.0–84.0)	(24.0–83.0)
Sex, n (%) Female Male	82 (100.0) 0	76 (100.0) 0	494 (99.9) 1 (0.2)	492 (99.2) 4 (0.8)
Median height (range), cm	155.0	157.0	162.0	161.0
	(144.0–173.0)	(140.0–165.0)	(134.6–185.0)	(140.0–185.0)
Median weight (range), kg	57.0	57.0	66.0	68.0
	(43.0–86.0)	(37.2–96.2)	(43.0–133.0)	(37.2–221.0)
ECOG performance status, n (%) 0 1	39 (47.6)	43 (56.6)	299 (60.6)	312 (63.9)
	43 (52.4)	33 (43.4)	194 (39.4)	176 (36.1)
Median (range) LVEF by central assessment, %	62.6	60.4	61.5	60.9
	(49.6–74.0)	(51.0–77.2)	(31.3–81.0)	(41.0–82.0)
Prior chemotherapy regimens for locally advanced or metastatic disease, n (%)  0-1  >1	46 (56.1)	44 (57.9)	304 (61.4)	305 (61.5)
	36 (43.9)	32 (42.1)	191 (38.6)	191 (38.5)
Disease involvement, n (%) Visceral Non-visceral	60 (73.2)	48 (63.2)	334 (67.5)	335 (67.5)
	22 (26.8)	28 (36.8)	161 (32.5)	161 (32.5)
Metastatic sites (independent review), n (%)				
1	31 (37.8)	25 (32.9)	143 (28.9)	151 (30.4)
2	23 (28.0)	26 (34.2)	155 (31.3)	156 (31.5)
3 or more	28 (34.1)	22 (28.9)	189 (38.2)	175 (35.3)
Missing	0	3 (3.9)	8 (1.6)	14 (2.8)

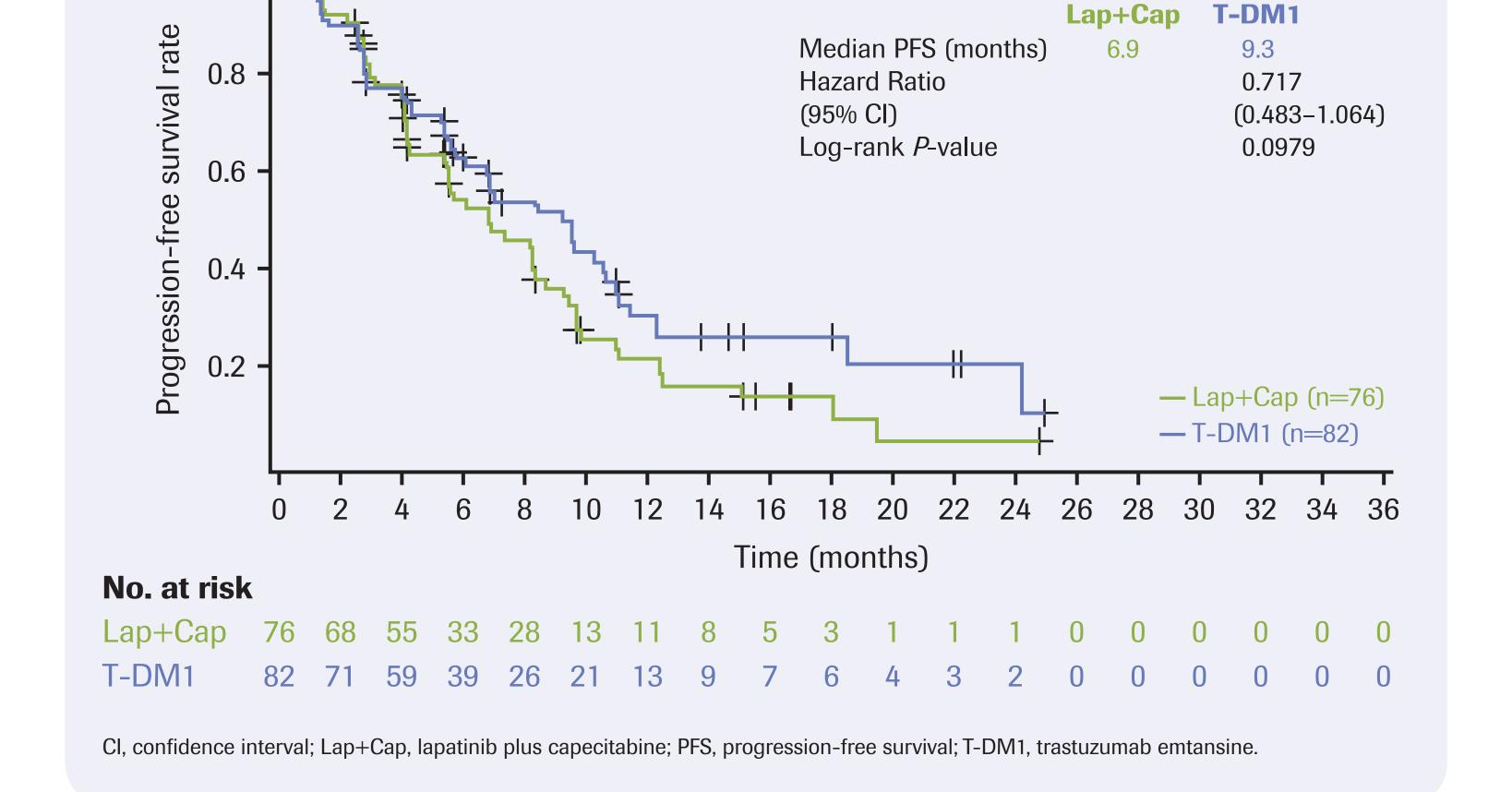
#### **Efficacy**

• At a median follow up of 13 months, median PFS was 9.3 vs 6.9 months with T-DM1 versus lapatinib plus capecitabine (hazard ratio [HR]=0.72; 95% CI: 0.48–1.06) in the Asian subgroup (**Figure 1**)

CI, confidence interval; ECOG, Eastern Cooperative Oncology Group; LVEF, left ventricular ejection fraction; T-DM1, trastuzumab emtansine

- This is consistent with the median PFS seen in the overall population (9.6 vs 6.4 months; HR 0.65; 95% CI 0.55–0.77; P < 0.001)<sup>4</sup>
- Objective response rates (modified RECIST v1.0) were 44.4% (95% CI: 31.9–57.5) and 38.7% (95% CI: 26.7–51.9) with T-DM1 versus lapatinib plus capecitabine, respectively
- The median duration of response was 9.6 versus 6.9 months, respectively

# Figure 1. Kaplan-Meier plot of PFS in the Asian subgroup (all-randomized patients)



• Clinical response rates in the Asian subpopulation were consistent with those in the global patient population (**Table 2**)

# Table 2. Clinical response in the Asian subgroup and global population

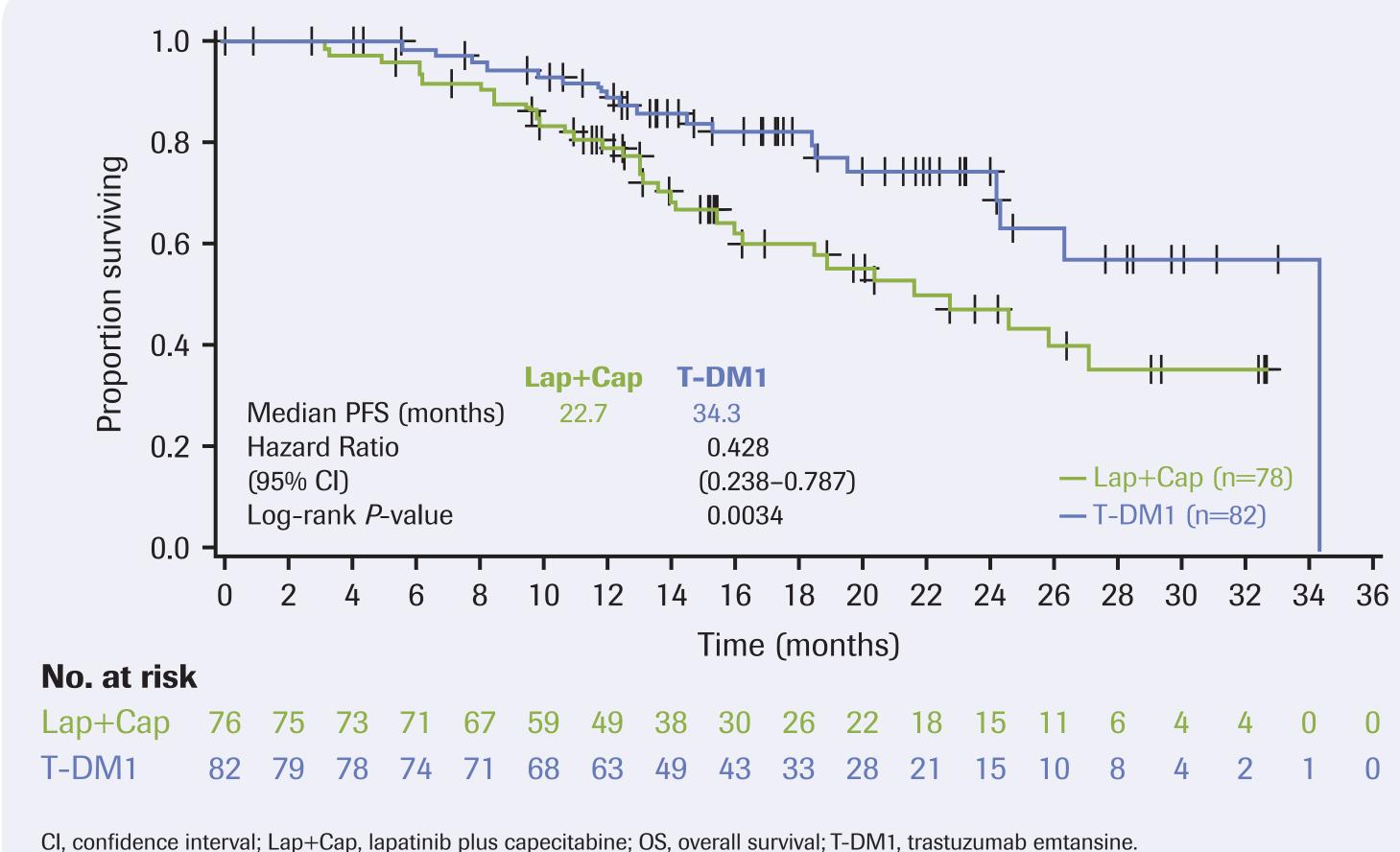
	Asian subgroup		Global population				
	T-DM1 (n=82)	Lapatinib + capecitabine (n=76)	T-DM1 (n=495)	Lapatinib + capecitabine (n=496)			
Patients with measurable disease at baseline <sup>a</sup> , n	63	62	397	389			
ORR (95% CI), %	44.4 (31.9–57.5)	38.7 (26.7–51.9)	43.6 (38.6–48.6)	30.8 (26.3–35.7)			
Median duration of response (95% CI), months	9.6 (4.8-NR)	6.9 (5.6–8.3)	12.6 (8.4–20.8)	6.5 (5.5–7.2)			
<sup>a</sup> Based on modified RECIST criteria, version 1.0 Cl, confidence interval; NR, not reached; ORR, objective response rate; RECIST, Response Evaluation Criteria in Solid Tumors; T-DM1, trastuzumab emtansine							

• At the second interim analysis, with a median follow up of 19 months, median OS was 34.3 versus 22.7 months with T-DM1 versus lapatinib plus capecitabine (HR=0.43; 95% CI: 0.24–0.77) in the Asian subgroup (**Figure 2**)

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- The safety profile in Asian patients was generally similar to that in the global population (**Table 3**)
- No new safety signals were noted in Asian patients
- However, the incidence of grade 3–4 thrombocytopenia was greater with T-DM1 than with lapatinib plus capecitabine, and consistently greater in the Asian subgroup than in the global population (**Table 3**)
- No differences between the Asian and global populations were observed for clinically significant hemorrhage (i.e., Grade ≥2; **Table 3**)

## Figure 2. Kaplan-Meier plot of OS in the Asian subgroup (all-randomized patients)



### Table 3. Overview of AEs

	Asian subgroup		Global population	
n (%)	T-DM1 (n=80)	Lapatinib + capecitabine (n=75)	T-DM1 (n=490)	Lapatinib + capecitabine (n=488)
Any AEs	78 (97.5)	74 (98.7)	470 (95.9)	477 (97.7)
Grade ≥3	48 (60.0)	41 (54.7)	200 (40.8)	278 (57.0)
AEs leading to discontinuation	5 (6.3)	L: 1 (1.3) C: 3 (4.0)	29 (5.9)	L: 37 (7.6) C: 46 (9.4)
Serious AEs	8 (10.0)	15 (20.0)	76 (15.5)	88 (18.0)
Thrombocytopenia <sup>a</sup> Grade 1 Grade 2 Grade 3 Grade 4	42 (52.5) 3 (3.8) 4 (5.0) 28 (30.5) 7 (8.8)	1 (1.3) 1 (1.3) 0 0	149 (30.4) 34 (6.9) 47 (9.6) 55 (11.2) 13 (2.7)	14 (2.9) 9 (1.8) 4 (0.8) 0 1 (0.2)
Hemorrhage <sup>a</sup> Grade 1	29 (36.3) 29 (36.3)	13 (17.3) 10 (13.3)	146 (29.8) 121 (24.7)	77 (15.8) 60 (12.3)
Grade 2 Grade 3 Grade 4	0 0 0	1 (1.3) 2 (2.7) 0	18 (3.7) 6 (1.2) 1 (0.2)	13 (2.7) 4 (0.8) 0
Hepatotoxicity Overall Grade 1 Grade 2 Grade 3 Grade 4 Grade 3 AST increase Grade 3 ALT increase Total bilirubin ≥1.5 x ULN, ALT ≥2.5 x ULN, and AST ≥2.5 x ULN	28 (35.0) 10 (12.5) 9 (11.3) 9 (11.3) 0 6 (7.5) 3 (3.8) 1 (1.3)	21 (28.0) 6 (8.0) 13 (17.3) 2 (2.7) 0 0 0 1 (1.3)	152 (31.0) 52 (10.6) 57 (11.6) 41 (8.4) 2 (0.4) 21 (4.3) 14 (2.9) 10 (2.0)	123 (25.2) 43 (8.8) 57 (11.7) 23 (4.7) 0 4 (0.8) 7 (1.4) 5 (1.0)
Cardiotoxicity LVEF <50% and ≥15-point decrease from baseline	1 (1.3)	0	8 (1.6)	7 (1.4)

<sup>a</sup>Medical Dictionary for Regulatory Activities (MedDRA) preferred term
AEs, adverse events; ALT, alanine transaminase; AST, aspartate transaminase; LVEF, left ventricular ejection fraction; T-DM1, trastuzumal emtansine; ULN, upper limit of normal

- The incidence of hepatotoxicity events was broadly comparable in the Asian and global patient populations
- Despite a higher number of transaminase-related AEs (elevations of alanine transaminase [ALT] and aspartate transaminase [AST]) with T-DM1 versus lapatinib plus capecitabine (Table 3), no grade 4 events were reported in the Asian subgroup
- The percentage of patients with ALT and AST elevation with concurrent total bilirubin elevation was low in both the Asian and global populations
- No patients enrolled in Asian countries had an AE in the cardiac dysfunction category
- The number of patients with left ventricular ejection fraction (LVEF) of <50% and with a ≥15-point decrease from baseline was low in both treatment arms (Table 3)</li>

# Conclusions

- T-DM1 conferred a clinically meaningful PFS and OS benefit compared with lapatinib plus capecitabine in the Asian subgroup of patients with trastuzumab- and taxane-pretreated HER2-positive, locally advanced or metastatic breast cancer in the EMILIA study, which was generally consistent with that in the global population
- Although generally similar to the global safety profile, an increased incidence of grade 3–4 thrombocytopenia was noted with T-DM1 in the Asian subgroup, but there was no grade >1 hemorrhage and rates of treatment discontinuation due to AEs were low and similar between the treatment arms
- These data reinforce the favorable T-DM1 benefit-risk profile in Asian patients with mBC

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## Acknowledgements

The authors gratefully acknowledge the contributions of the late Dr. Liu. The EMILIA study was sponsored by F. Hoffmann–La Roche, Ltd. Medical writing support was provided by Andrea Bothwell and Holly Strausbaugh, PhD of Twist Medical, LLC, and funded by F. Hoffmann–La Roche, Ltd.

#### **Disclosures**

Prof. Im reports grants and personal fees from AstraZeneca; personal fees from Amgen, Eisai, Hanmi, and Lilly; grants, personal fees. and other from Novartis and MSD; grants and personal fees from Pfizer and Roche; non-financial support from Dae Woong; and personal fees from GSK outside the submitted work. For disclosures of co-authors please see abstract.

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