

# **Perspective on the Management for HCC**

## **Toward Global Harmonization of Staging and Etiology**

ESMO Asia, Dec. 19, 2015, Singapore

*Ann-Lii Cheng M.D., Ph.D.*

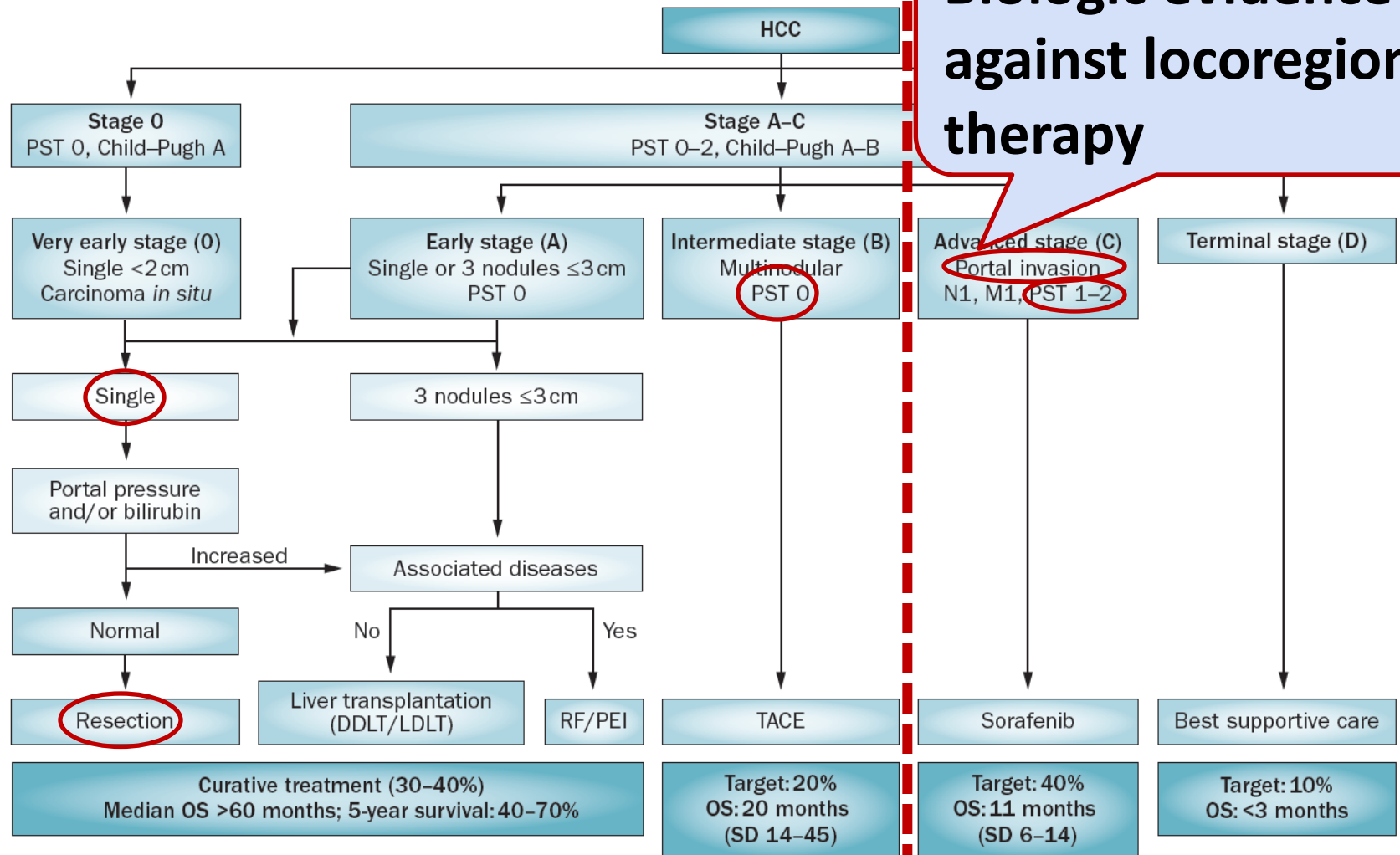
**Distinguished Professor and Chairman,  
NTU Cancer Center, National Taiwan  
University, Taipei, Taiwan.**

# **Controversies on Treatment-guiding HCC Staging Systems**

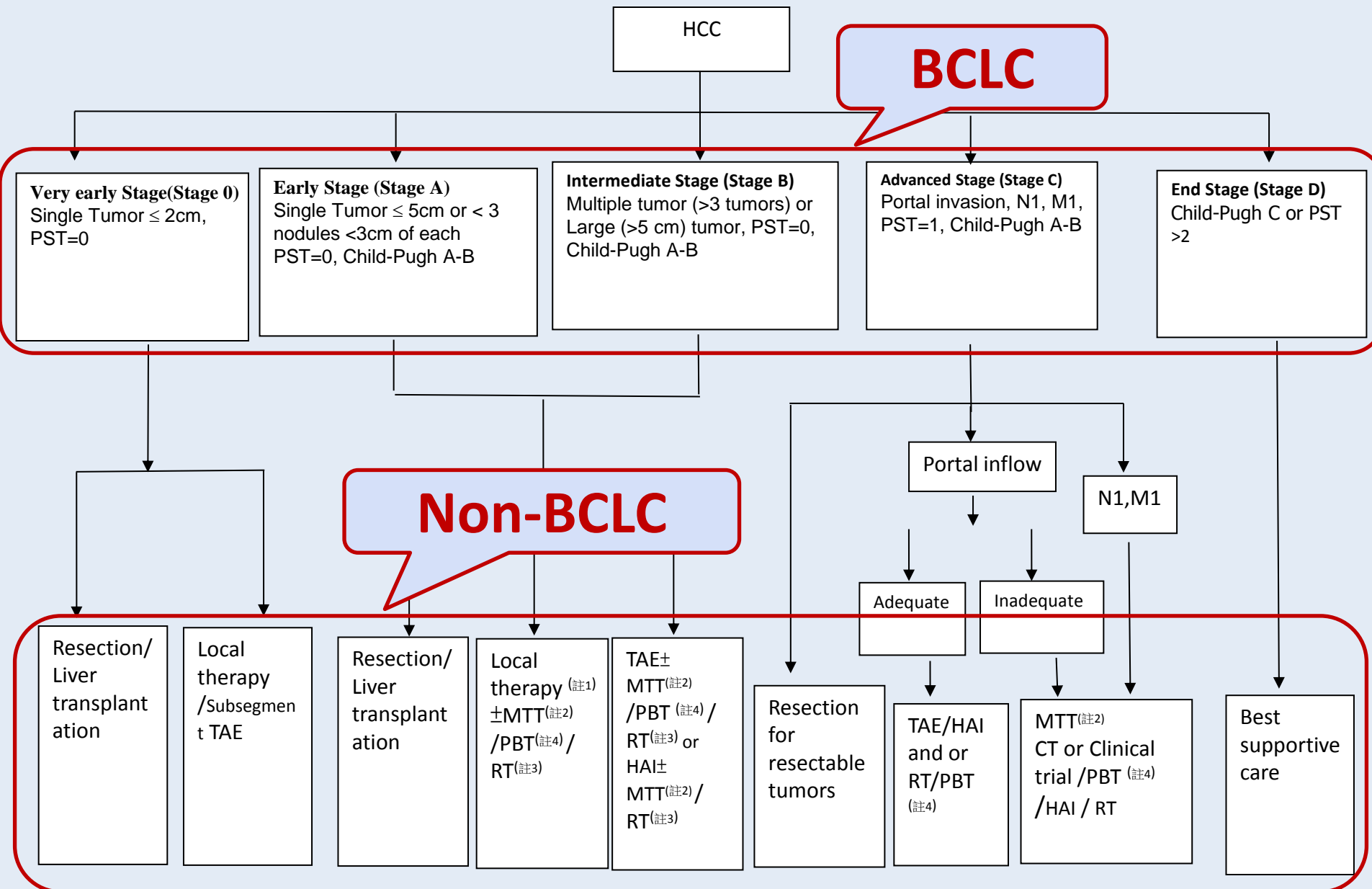
**: Toward global harmonization**

# BCLC Staging System and Therapeutic Strategy According to EASL–EORTC Guidelines

**Biologic evidence  
against locoregional  
therapy**

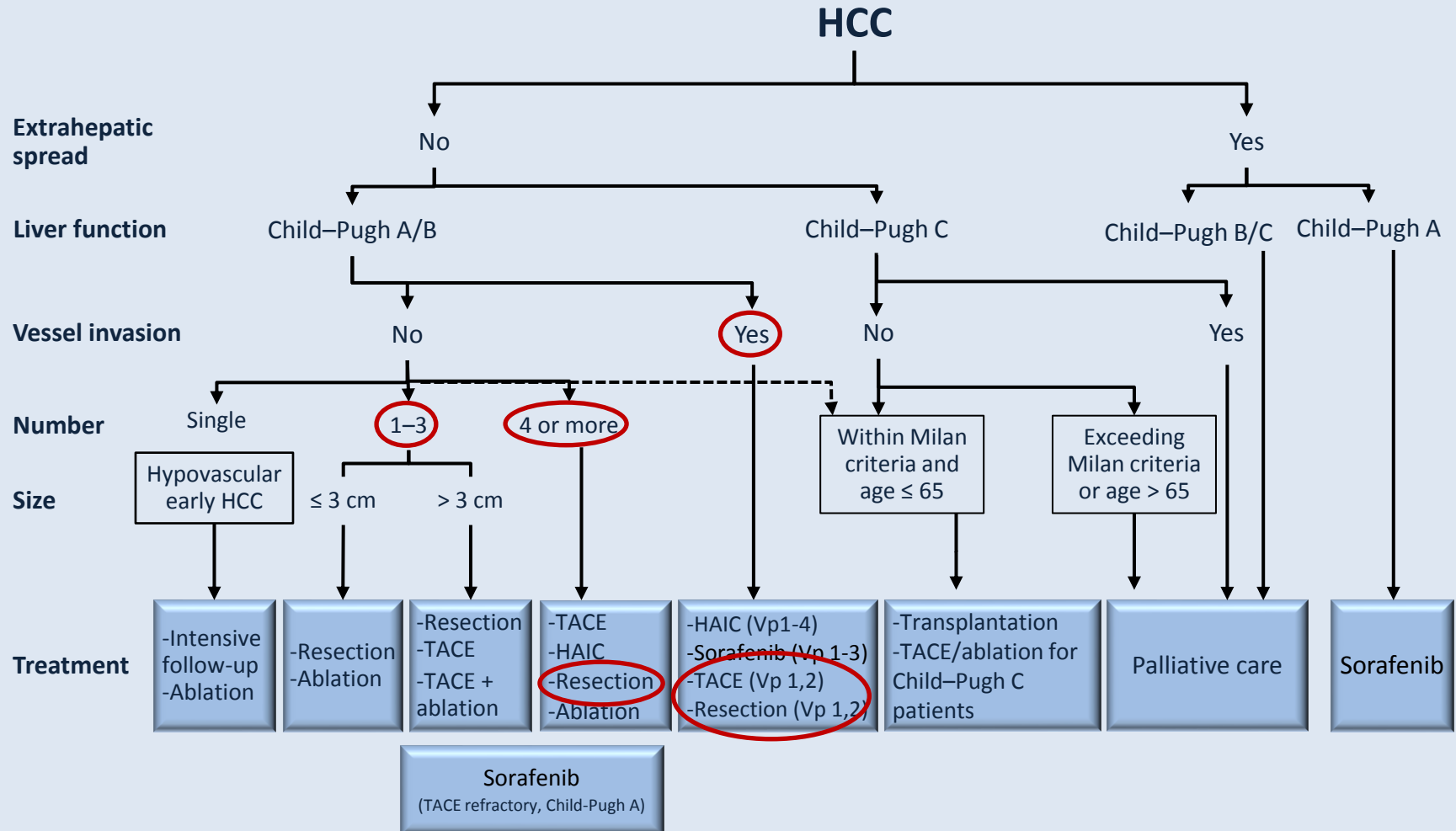


# Treatment Guidelines for HCC in an Asian Medical Center



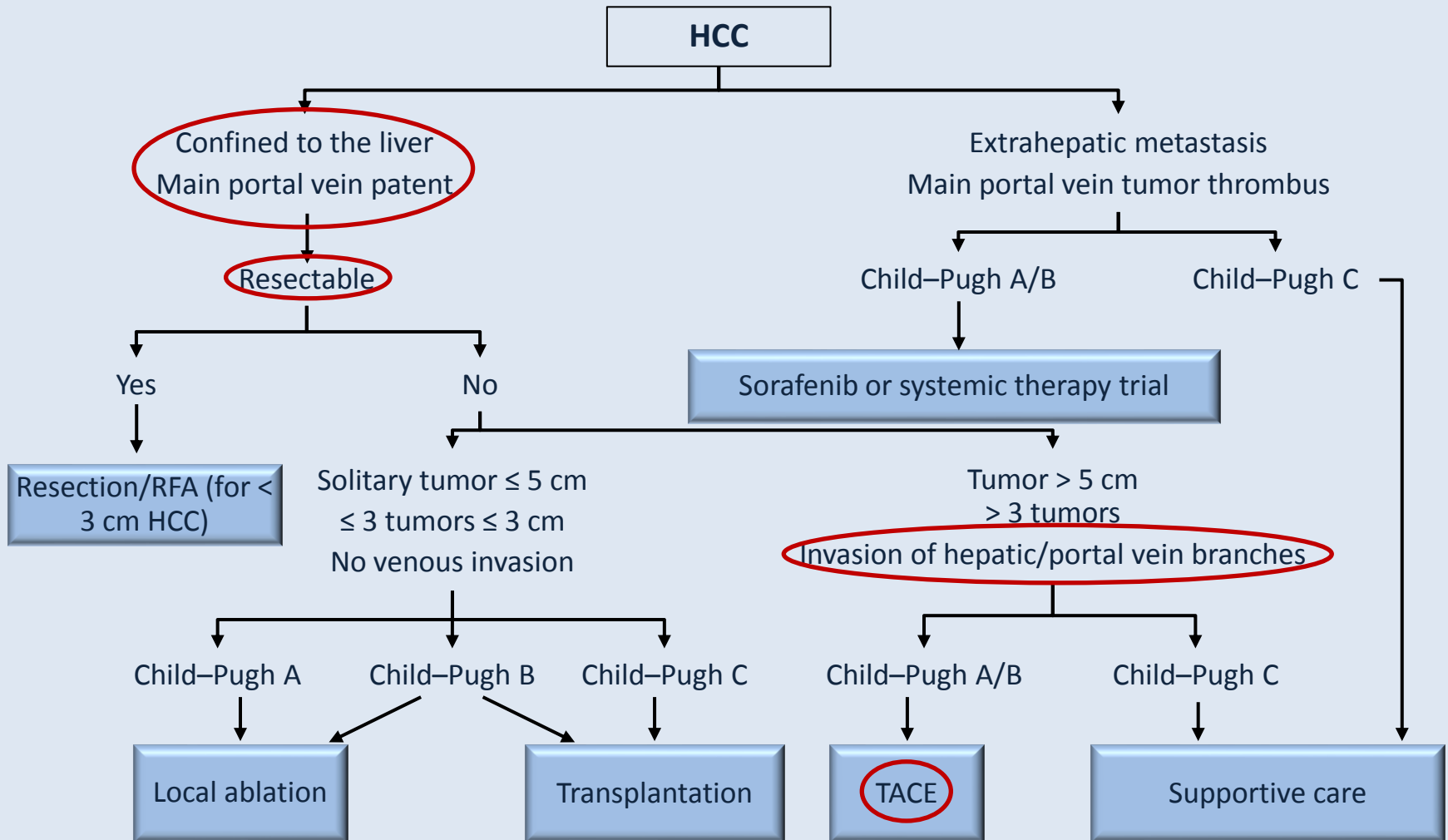


# Japan Society of Hepatology: consensus-based treatment algorithm



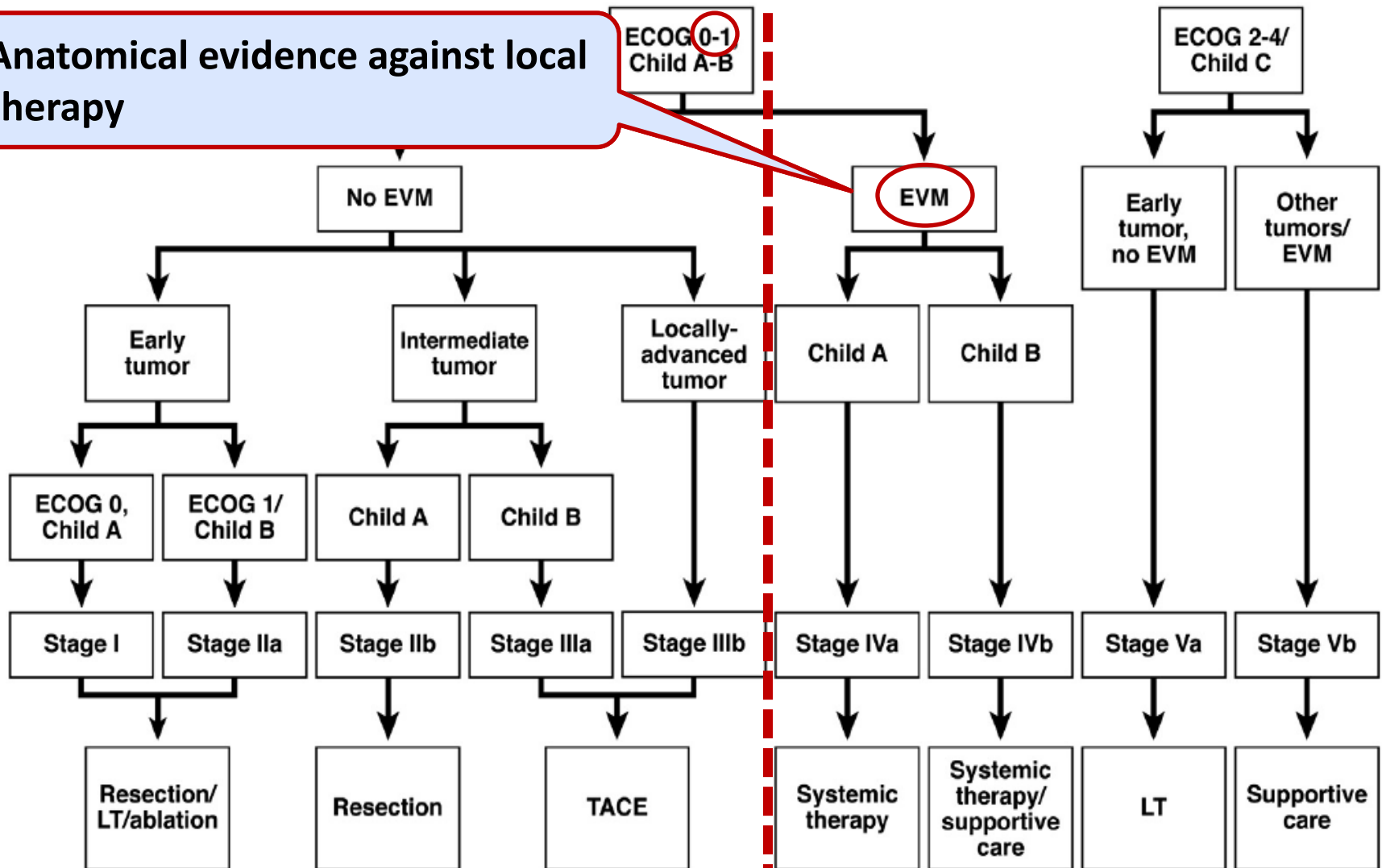
HAIC = hepatic arterial infusion chemotherapy.

# APASL guidelines

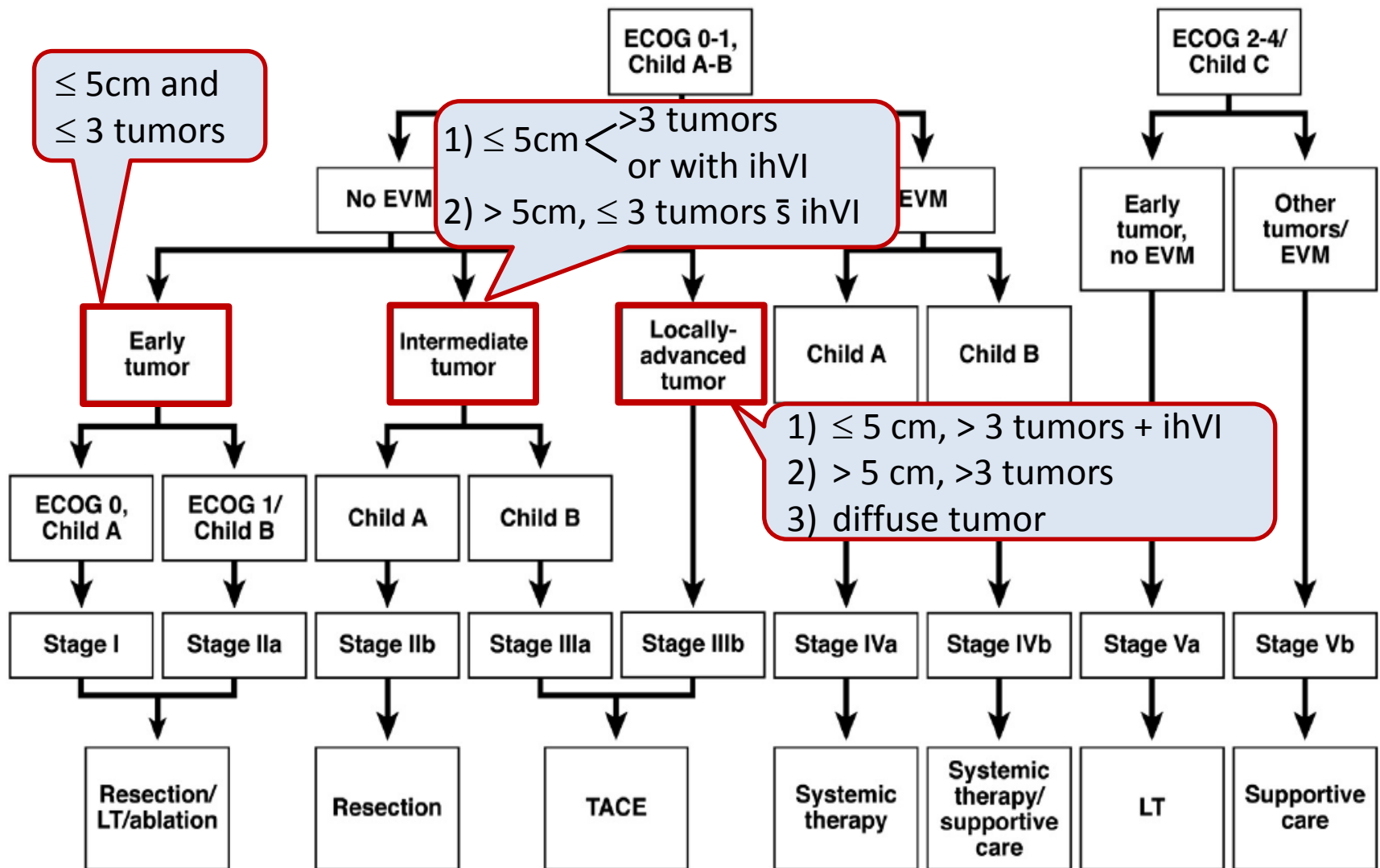


# Hong Kong Liver Cancer Staging System

Anatomical evidence against local therapy



# Hong Kong Liver Cancer Staging System



# Number of Patients Under Three-Way Cross-Classification by BCLC Staging, HKLC Staging, and First Treatment in Test Set

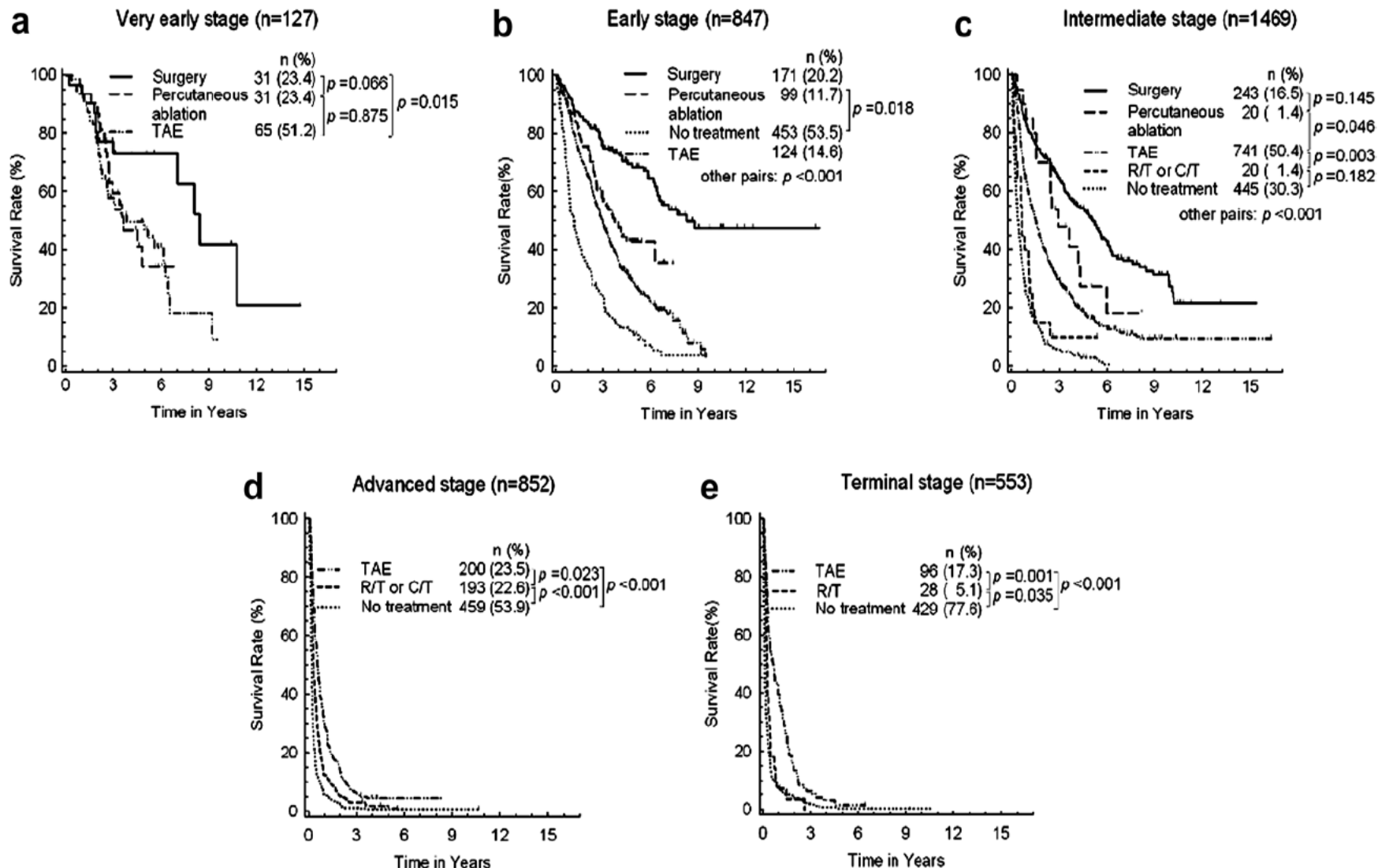
HKLC stage	First treatment					
	Resection	LT	Ablation	TACE	Systemic therapy	Supportive care
BCLC stage A						
I	178	6	103	51	2	9
Ila	3	8	15	16	4	2
BCLC stage B						
I	10	0	5	14	1	1
Ila	2	1	0	0	0	1
Ilb	171	1	6	82	5	17
Illa	4	0	0	14	10	9
IIIb	41	1	0	69	9	11
BCLC stage C						
Ila	16	14	19	26	4	12
Ilb	22	1	4	40	6	12
Illa	3	1	0	5	6	6
IIIb	28	2	1	114	54	50
IVa	12	0	0	21	108	62
IVb	0	0	0	4	37	31
Va	3	1	0	2	1	2
Vb	0	1	0	10	37	54
BCLC stage D						
Va	0	15	2	9	6	18
Vb	2	2	1	8	35	76

# The efficacy of treatment schedules according to BCLC for HCC – Survival analysis of 3892 patients

BCLC Stage Treatment Schedule	Total n (%)	Survival Rate (%)			Median Survival (month)	p value for linear trend
		1 Yr	3 Yr	5Yr		
Very early stage	134 (3.4)	93.3	60.6	47.9	57.7 ± 10.5	0.048
1. Surgery	31 (23.1)	93.5	73.1	73.1	100.8 ± 11.7	
2. Percutaneous ablation	31 (23.1)	93.5	59.5	34.3	43.1 ± 9.0	
3. TAE	65 (48.5)	93.8	57.9	47.0	45.4 ± 14.1	
4. No treatment <sup>a</sup>	7 (5.2)	85.7	38.1	–	27.9 ± 12.7	
Early stage	847 (21.8)	81.2	51.0	35.0	36.9 ± 1.7	<0.001
1. Surgery	171 (20.2)	91.8	75.0	68.6	98.6 ± –	
2. Percutaneous ablation	99 (11.7)	86.9	56.6	42.8	44.0 ± 6.3	
3. TAE	453 (53.5)	83.9	48.2	27.2	35.4 ± 1.9	
4. No treatment	124 (14.6)	52.4	23.4	10.9	13.7 ± 2.0	
Intermediate stage	1469 (37.7)	53.7	27.9	18.2	13.8 ± 0.8	<0.001
1. Surgery	243 (16.5)	81.5	64.4	50.5	60.4 ± 6.1	
2. Percutaneous ablation	20 (1.4)	85.0	47.9	27.4	35.1 ± 8.7	
3. TAE	741 (50.4)	61.9	29.1	16.4	18.2 ± 1.1	
4. RT	20 (1.4)	40.0	10.0	10.0	7.9 ± 1.1	
5. No treatment	445 (30.3)	23.8	5.8	2.9	4.5 ± 0.4	<0.001
Advanced stage	878 (22.6)	13.7	3.3	1.9	2.9 ± 0.2	
1. Surgery <sup>a</sup>	14 (1.6)	57.1	28.6	28.6	13.2 ± 2.2	
2. Percutaneous ablation <sup>a</sup>	12 (1.4)	25.0	16.7	–	3.8 ± 0.5	
3. TAE	200 (22.8)	29.5	6.0	4.4	6.8 ± 0.5	
4. RT	193 (22)	12.4	3.1	0.9	3.8 ± 0.3	<0.001
5. No treatment	459 (52.3)	5.7	1.1	0.7	1.9 ± 0.1	
Terminal stage	564 (14.5)	13.3	2.6	0.7	1.6 ± 0.1	
1. Percutaneous ablation <sup>a</sup>	11 (2)	18.2	9.1	–	3.9 ± 1.3	
2. TAE	96 (17)	39.6	6.3	1.6	7.8 ± 1.8	
3. RT	28 (5)	7.1	–	–	3.0 ± 0.5	<0.001
4. No treatment	429 (76)	7.7	1.8	0.4	1.2 ± 0.1	
Total	3892 (100)	46.2	24.8	16.6	10.0 ± 0.4	

# The efficacy of treatment schedules according to BCLC for HCC

## Survival analysis of 3892 patients



# **Toward Global Harmonization of HCC Staging**

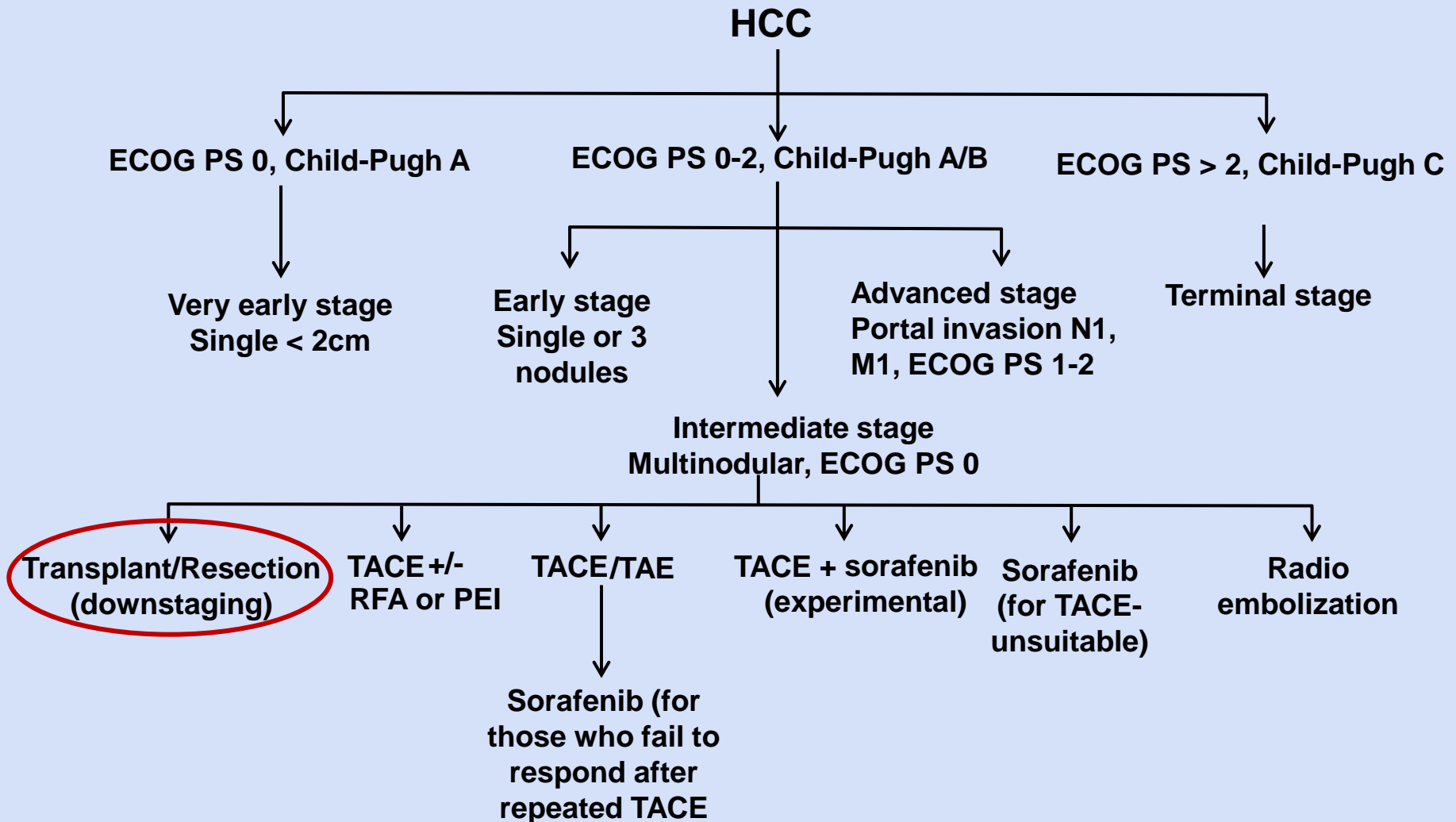
- **Globally accepted treatment-guiding staging systems are needed and should be pursued.**



## Heterogeneity of Intermediate HCC (BCLC Stage B) and the Estimated Probability of Total Tumor Necrosis according to the Most Likely Potential Treatment

	Examples of Patients with Intermediate HCC			
	Patient 1	Patient 2	Patient 3	Patient 4
Bilirubin (mg/dl)	0.9	1.6	2.6	1.9
Albumin (g/dl)	4.8	3.6	3.0	2.7
Ascites	No	Mild	Mild	Refractory
Hepatic encephalopathy	No	No	No	No
Child–Pugh class	A	A	B	B
Number of HCC tumors	2	4	1	4
Diameter of the 2 largest HCC	35–16 mm	60–45 mm	110 mm	19–18 mm
Potential treatment	Surgery versus combined TACE + ablation	TACE	TACE (?)	None
Potential for cure (estimated probability of total tumor necrosis) <sup>†</sup>	65%	20%	<5%	0%

# Treatment Options in Intermediate HCC



# Global Harmonization of HCC Staging

- **The problem of lack of motivation**

More aggressive locoregional therapy is believed to be ethical

Conservative approach is considered evidence-based

- **The problem of validation methodology**

More aggressive therapy demands higher level of technique and experience

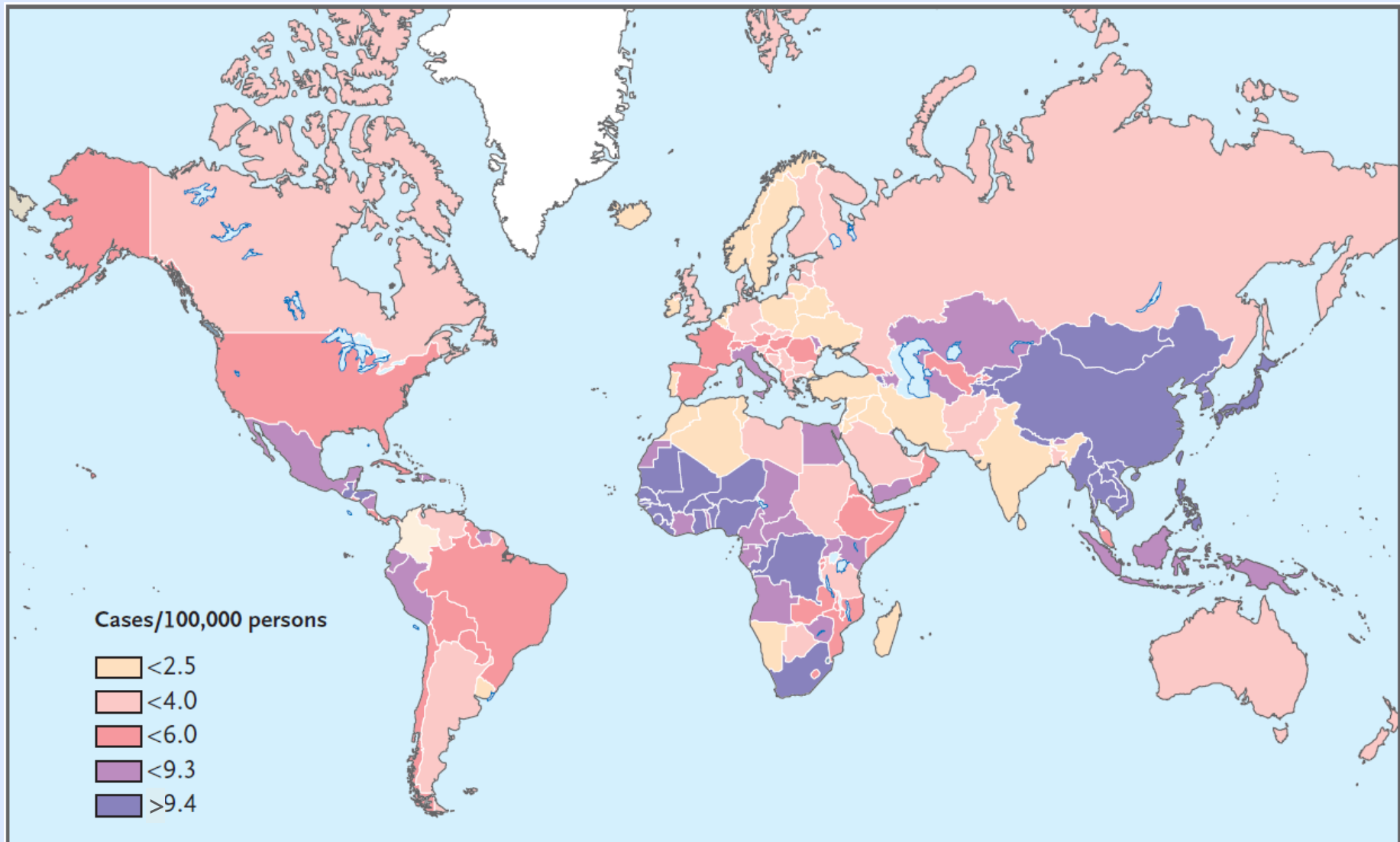
Prospective randomization is very difficult, if not impossible



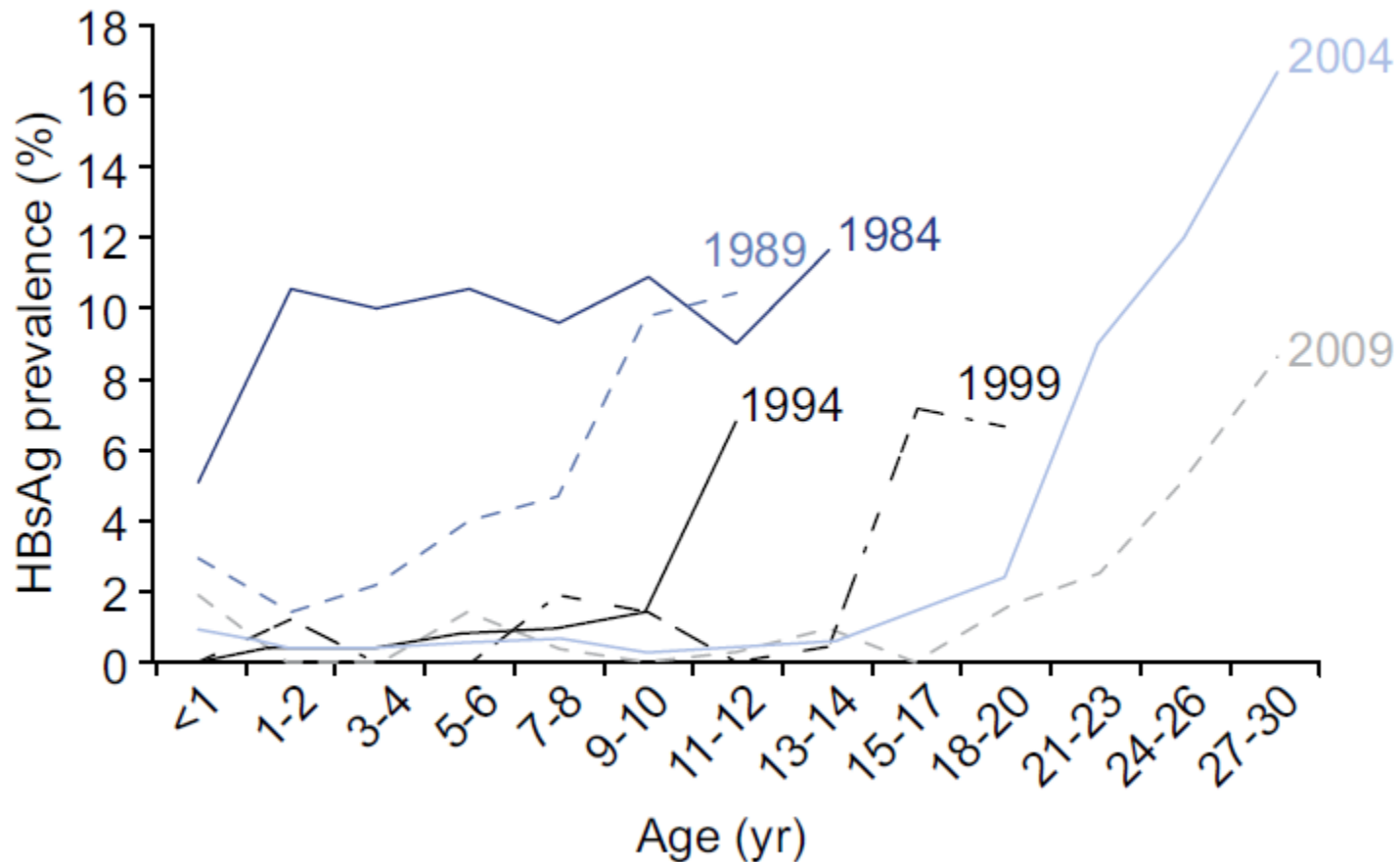
# **Heterogeneity of the Etiology of HCC**

**:Toward Global Harmonization**

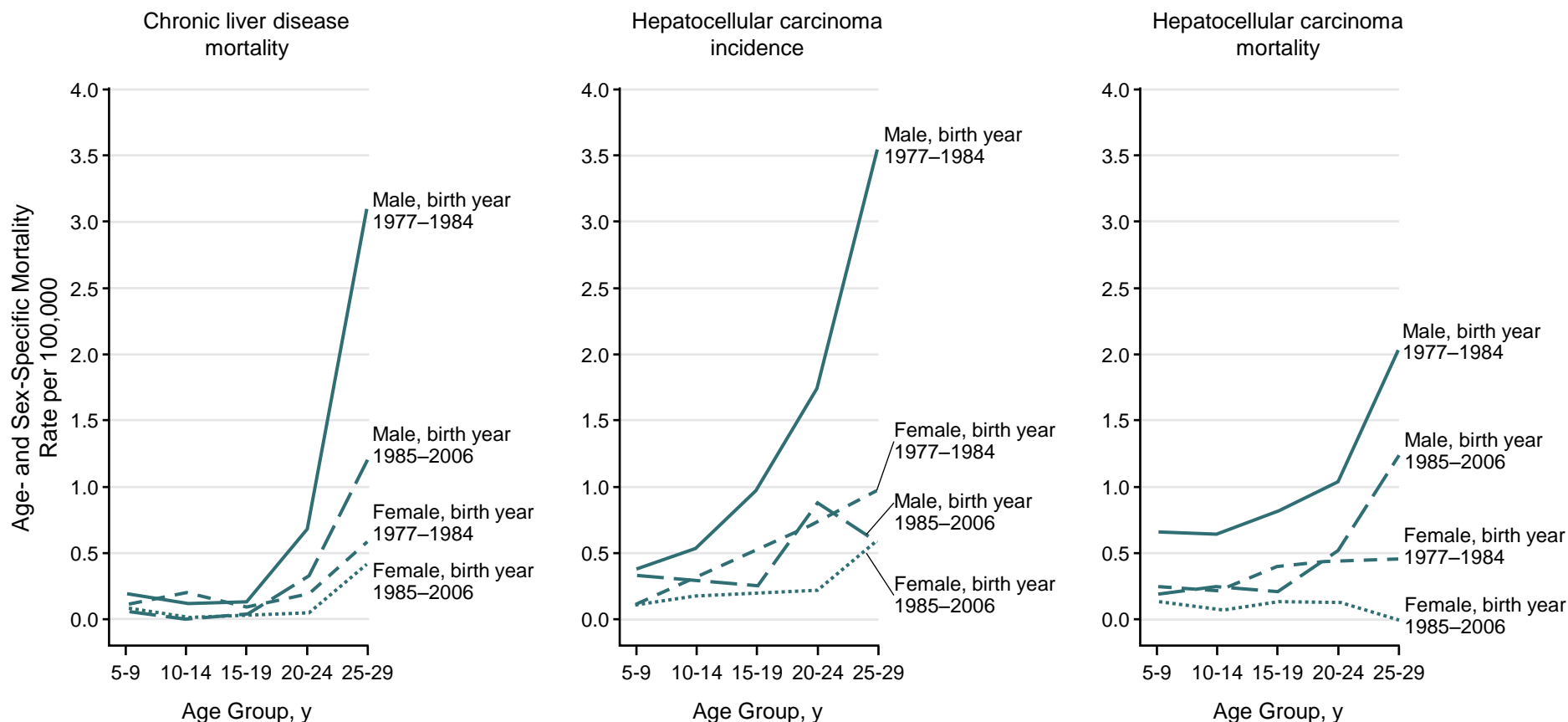
## Regional Variation in the Estimated Age-Standardized Incidence Rates of Liver Cancer.



# Minimization of HBV infection by a 25-Year Universal Vaccination Program in Taiwan



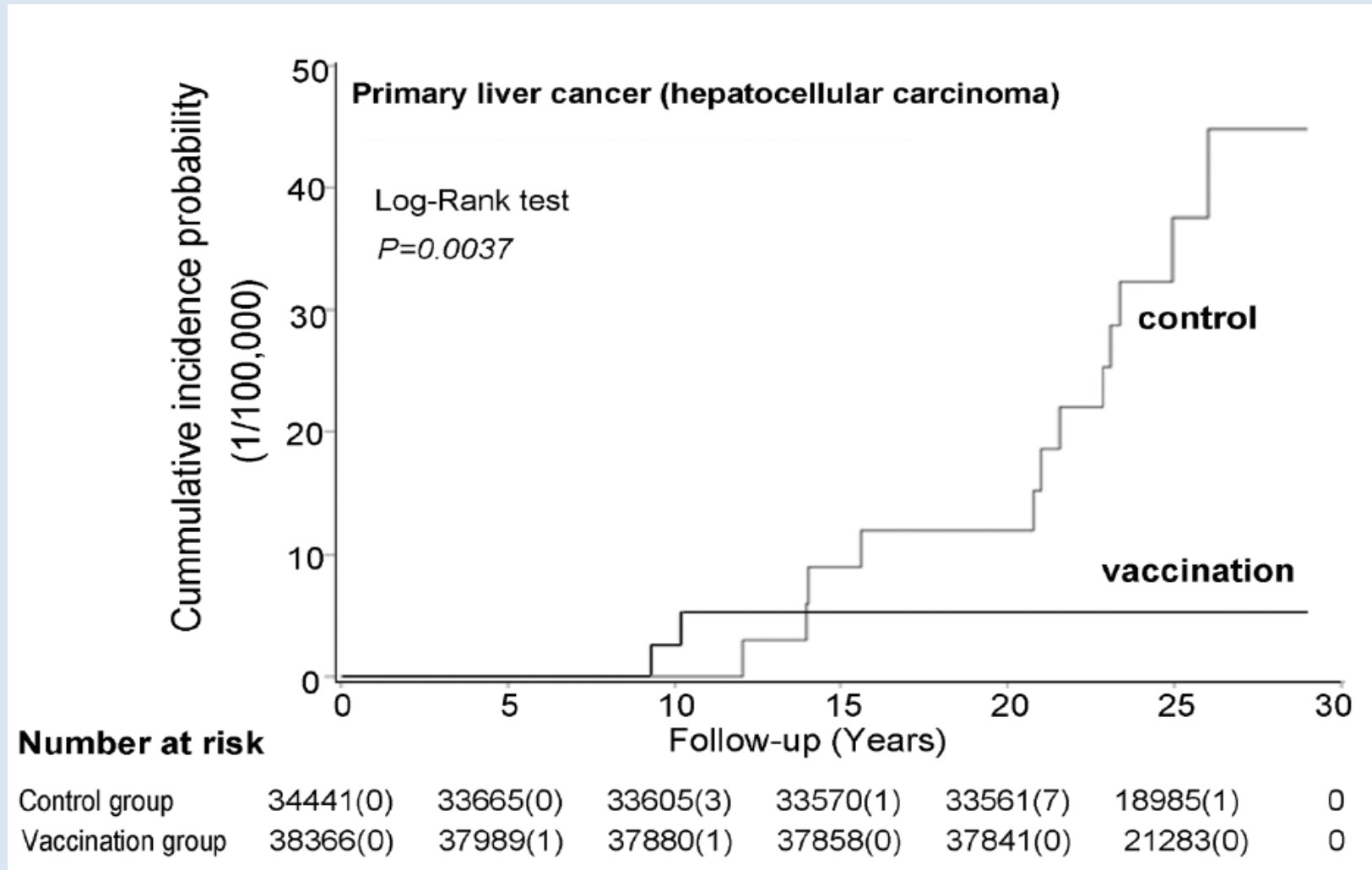
# Thirty-Year Outcomes of the National Hepatitis B Immunization Program in Taiwan



***In Taiwan, incidence and mortality of HCC has decreased from 1977 to 2006 – this may be due in part to hepatitis B immunization efforts***



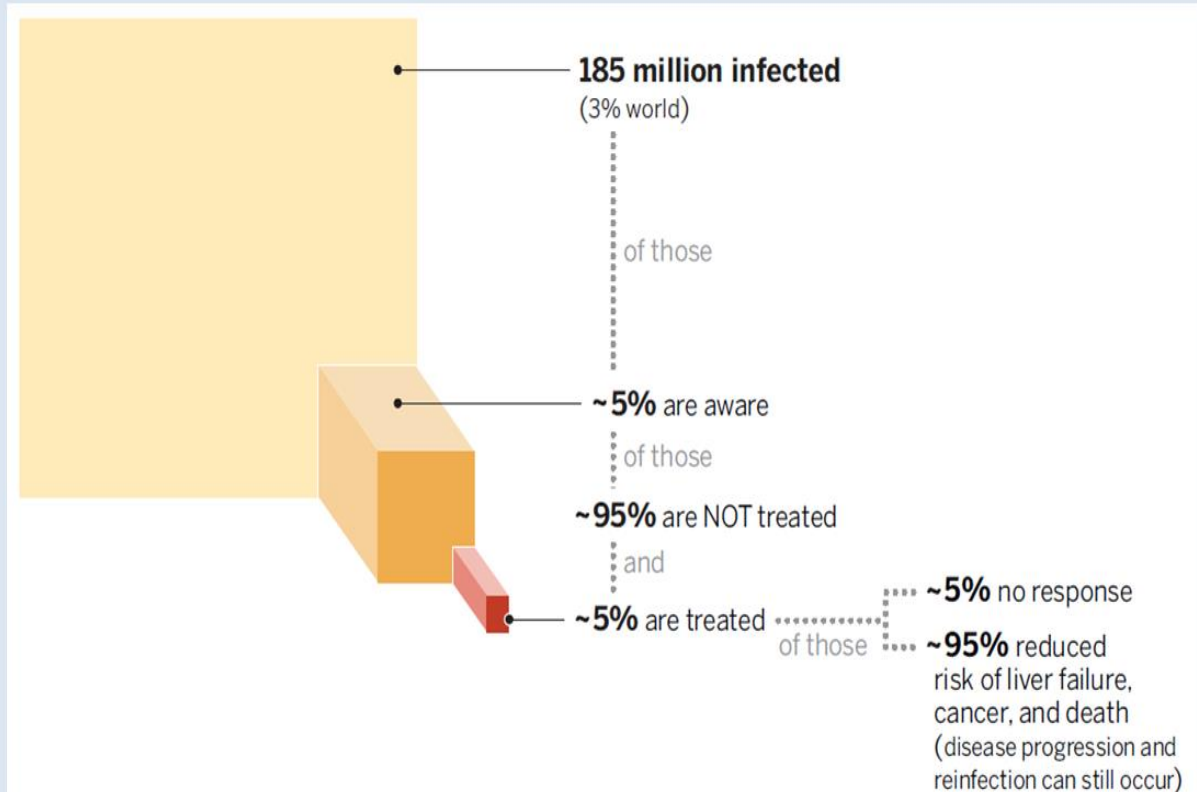
# Efficacy of Neonatal HBV Vaccination on Liver Cancer and Other Liver Diseases over 30-Year Follow-up of the Qidong Hepatitis B Intervention Study: A Cluster Randomized Controlled Trial



# Prevention

- **Primary**
  - Prevent transfusion
  - Prevent drug abusers
- **Secondary**
  - Antiviral
- **Tertiary**
  - Adjuvant

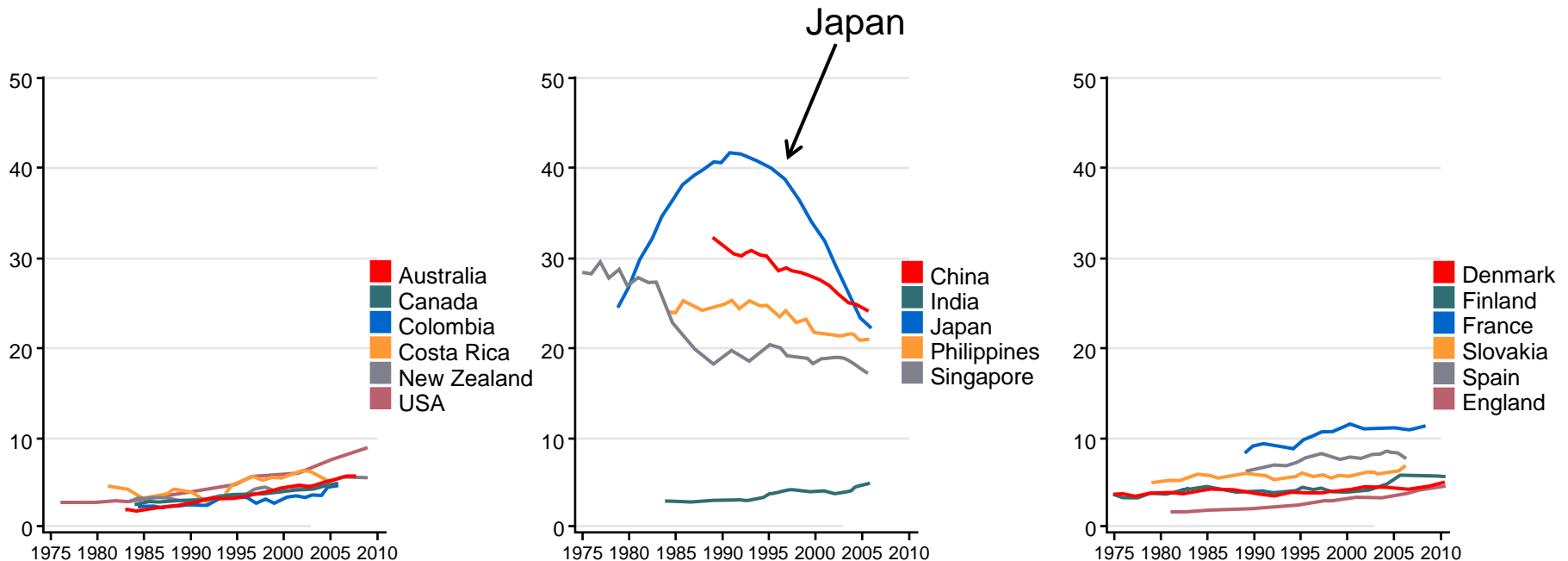
## Global control of hepatitis C virus



**The global reach of HCV infection.** The approximations show that a minority of HCV infected persons are treated. Treatment doesn't prevent reinfection in those at ongoing risk of infection and disease progression continues in a minority of patients treated.

Andrea L. Cox SCIENCE 2015;349:790-791

# Global Incidence of HCC in Men (Per 100,000)



***HCC incidence is decreasing in Japan – this may be due in part to decreases in HCV-related HCC***

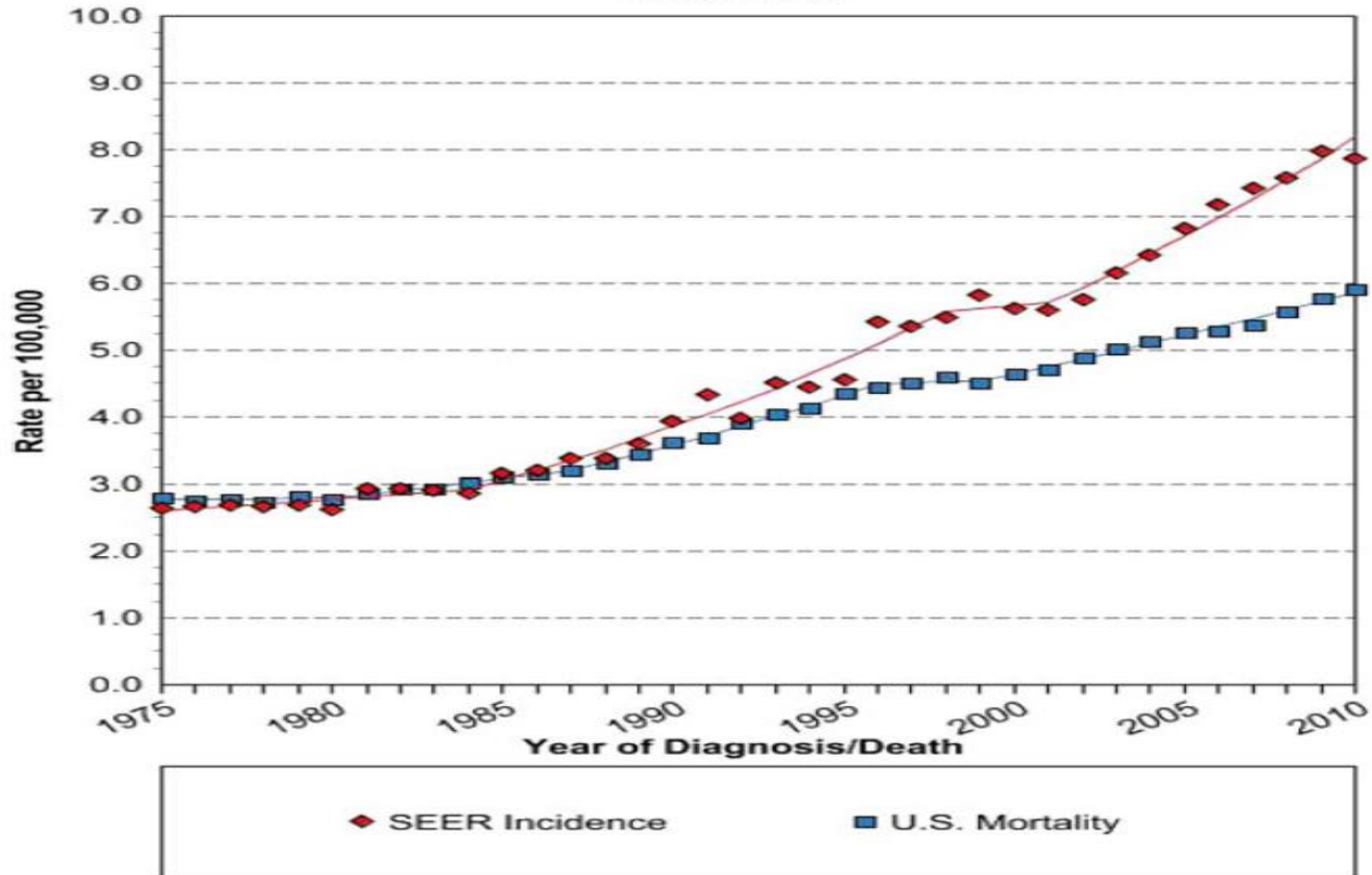
Regional data from: CI5.iarc.fr; Australia: [www.aihw.gov.au](http://www.aihw.gov.au); New Zealand: [www.health.govt.nz](http://www.health.govt.nz); USA: [seer.cancer.gov](http://seer.cancer.gov); NORDCAN: [www.ancr.nu](http://www.ancr.nu); European Cancer Observatory: [eco.iarc.fr](http://eco.iarc.fr); England: [www.ons.gov.uk](http://www.ons.gov.uk).

HCC=hepatocellular carcinoma.

Kudo M. *Liver Cancer*. 2015;4(1):39–50.

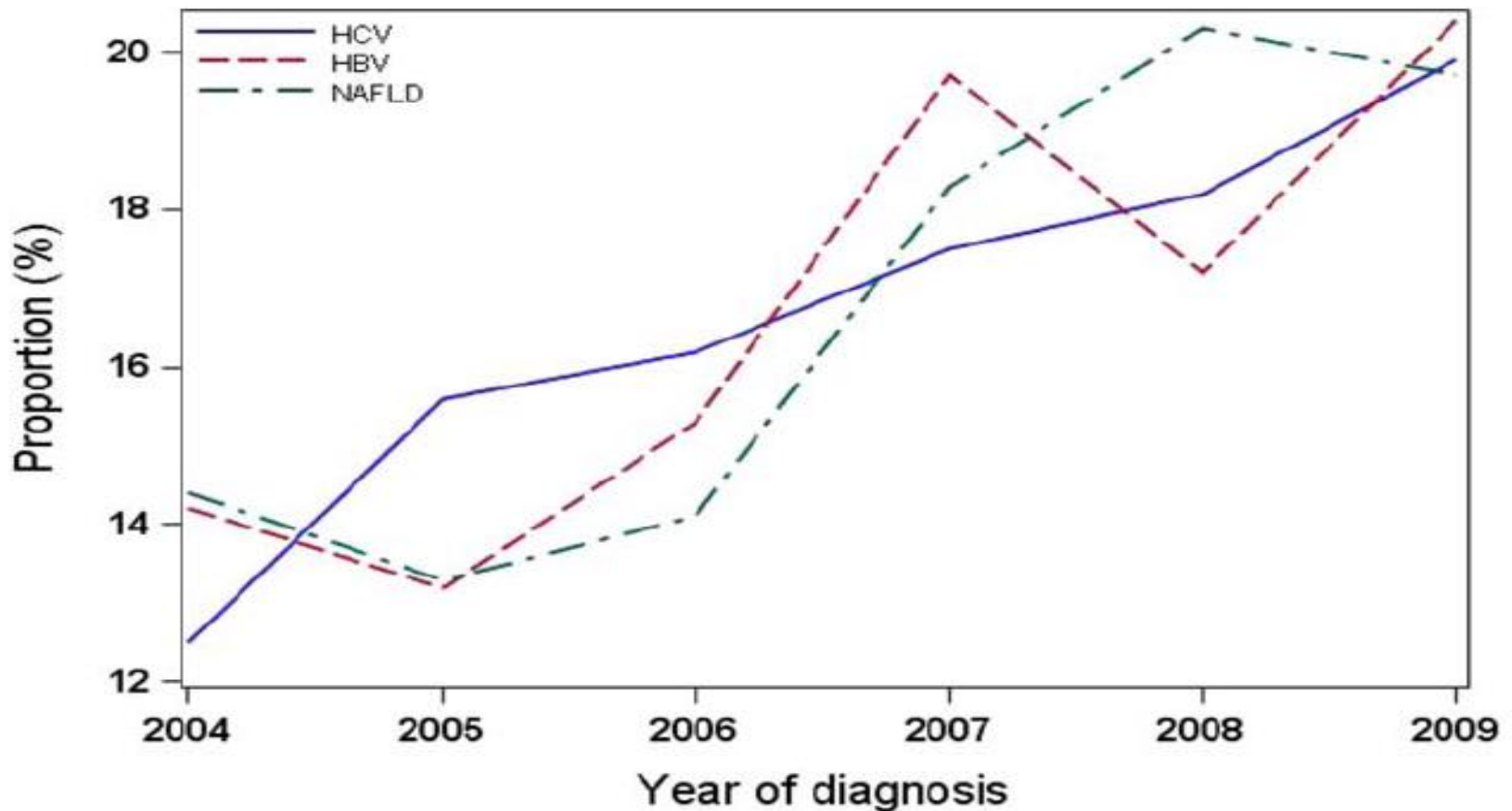
# HCC in USA

**Age-Adjusted Rates  
By Data Type  
Liver and Intrahepatic Bile Duct, All Ages, All Races, Both Sexes  
1975-2010**



El-Serag HB et al, Hepatol, 2014;60(5):1767-1775

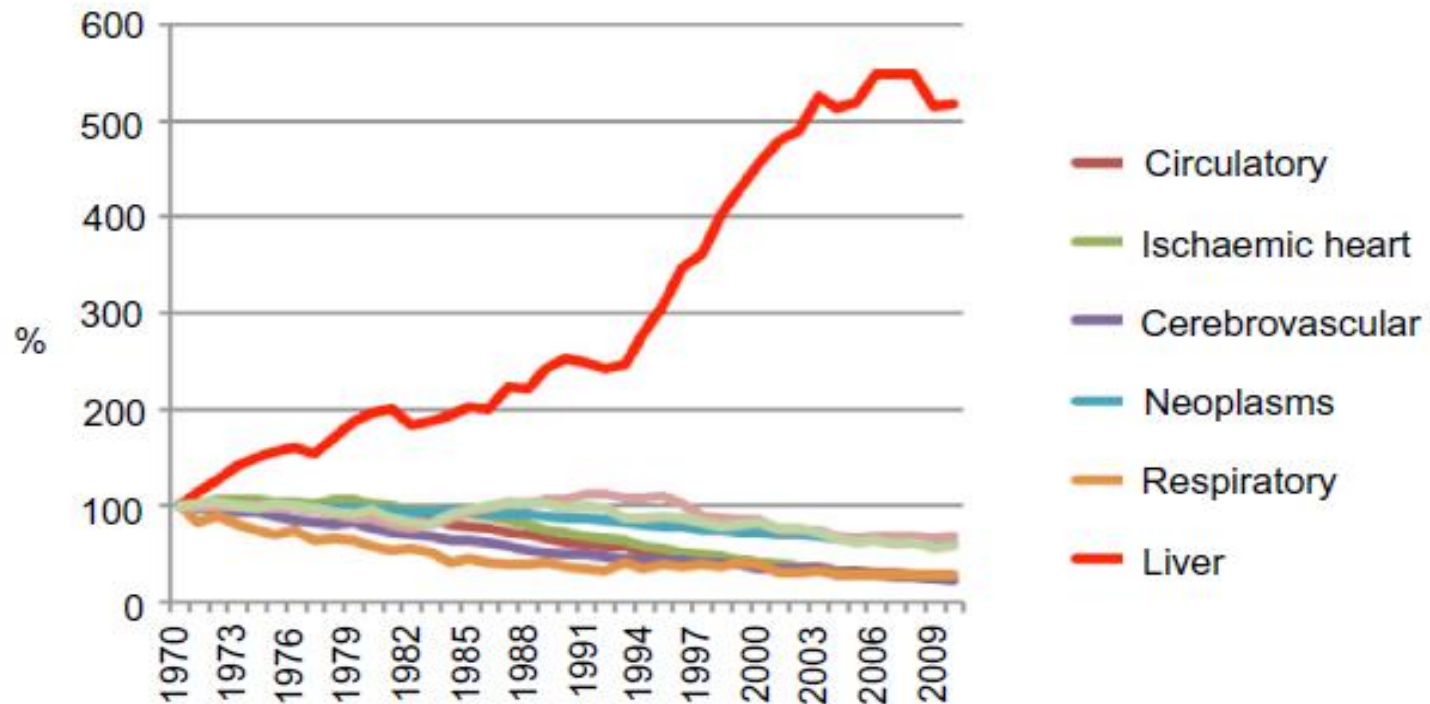
## Association of NAFLD with HCC in the United States From 2004 to 2009



Source: SEER-Medicare, 2004 - 2009

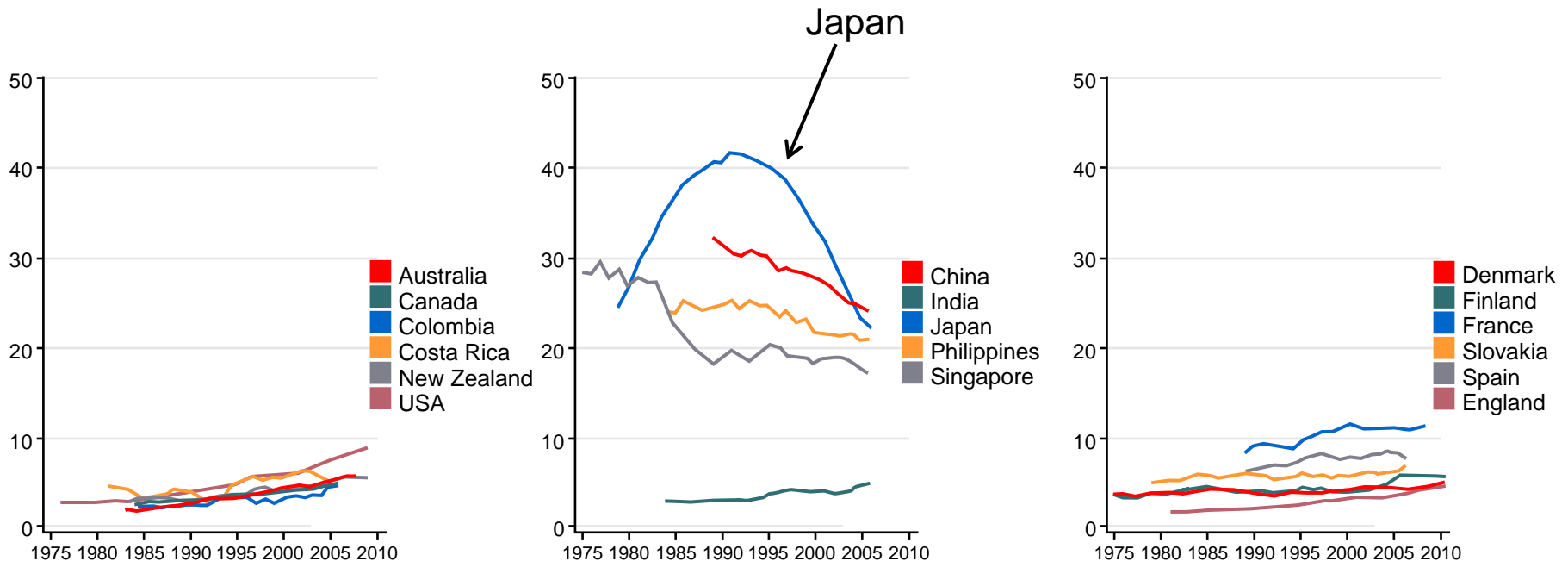
Fig. 2. Trends in proportion of HCC, by liver disease status: 2005-2009, SEER-Medicare.

# Liver Disease in the UK: Startling Findings & Urgent Need for Action



**Fig. 1. Percentage changes in standardised UK mortality rates (age 0–64) normalised to 100% in 1980.** Standardised Mortality Rate data for the UK was downloaded from the World Health Organisation Health for All Database (<http://data.euro.who.int/hfadb/>) and normalised to 100% in 1980. (Nick Sheron, October 2013).

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# Summary

## Toward Global Harmonization of HCC Staging and Etiology

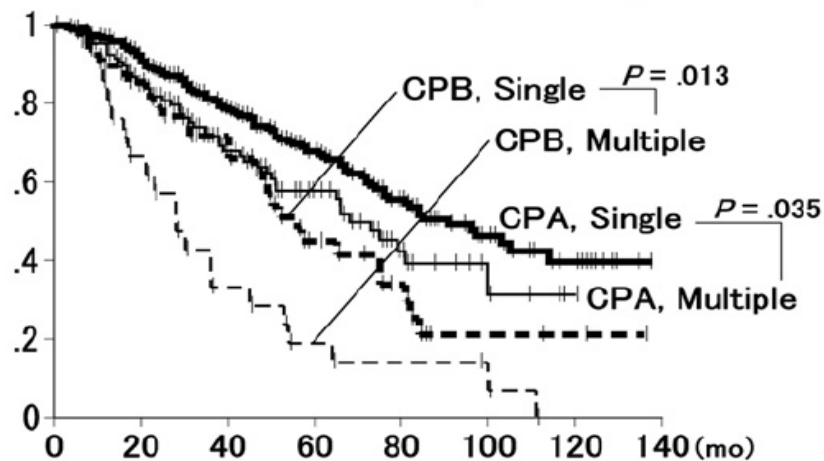
- **Globally accepted treatment-guiding staging systems of HCC should be pursued.**
- **Etiology of HCC will reach global harmonization 3 decades from now. Virus will become minor, while metabolic/alcoholic will become major causes, and the incidence will be 10-20 new cases/100,000 population/year around the world.**



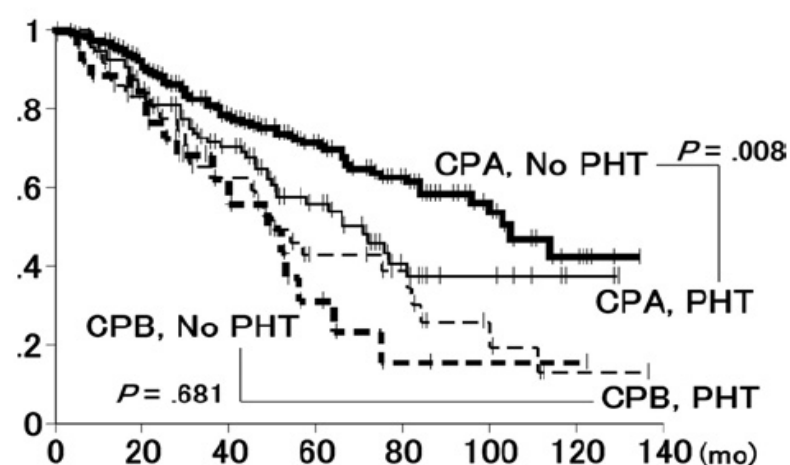


# Neither Multiple Tumors Nor Portal Hypertension Are Surgical Contraindications for HCC

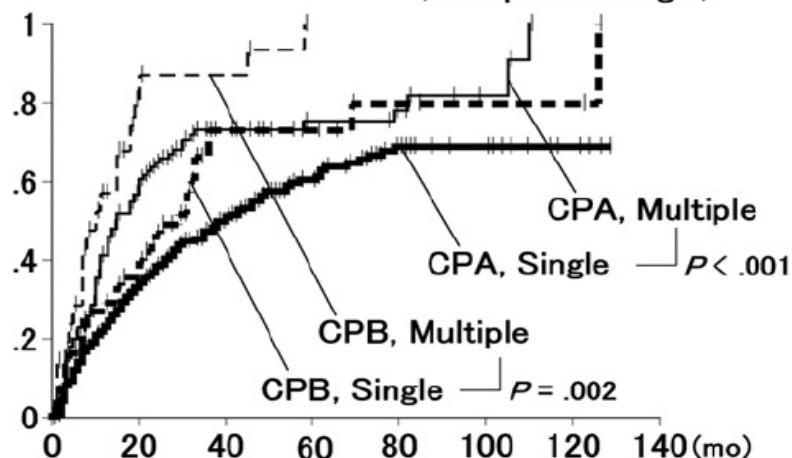
**A** Overall Survival Rate (Multiple vs Single)



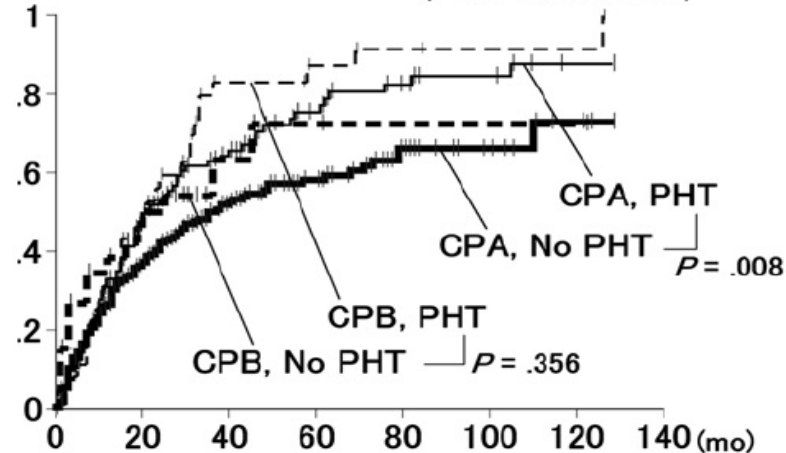
**C** Overall Survival Rate (PHT vs No PHT)



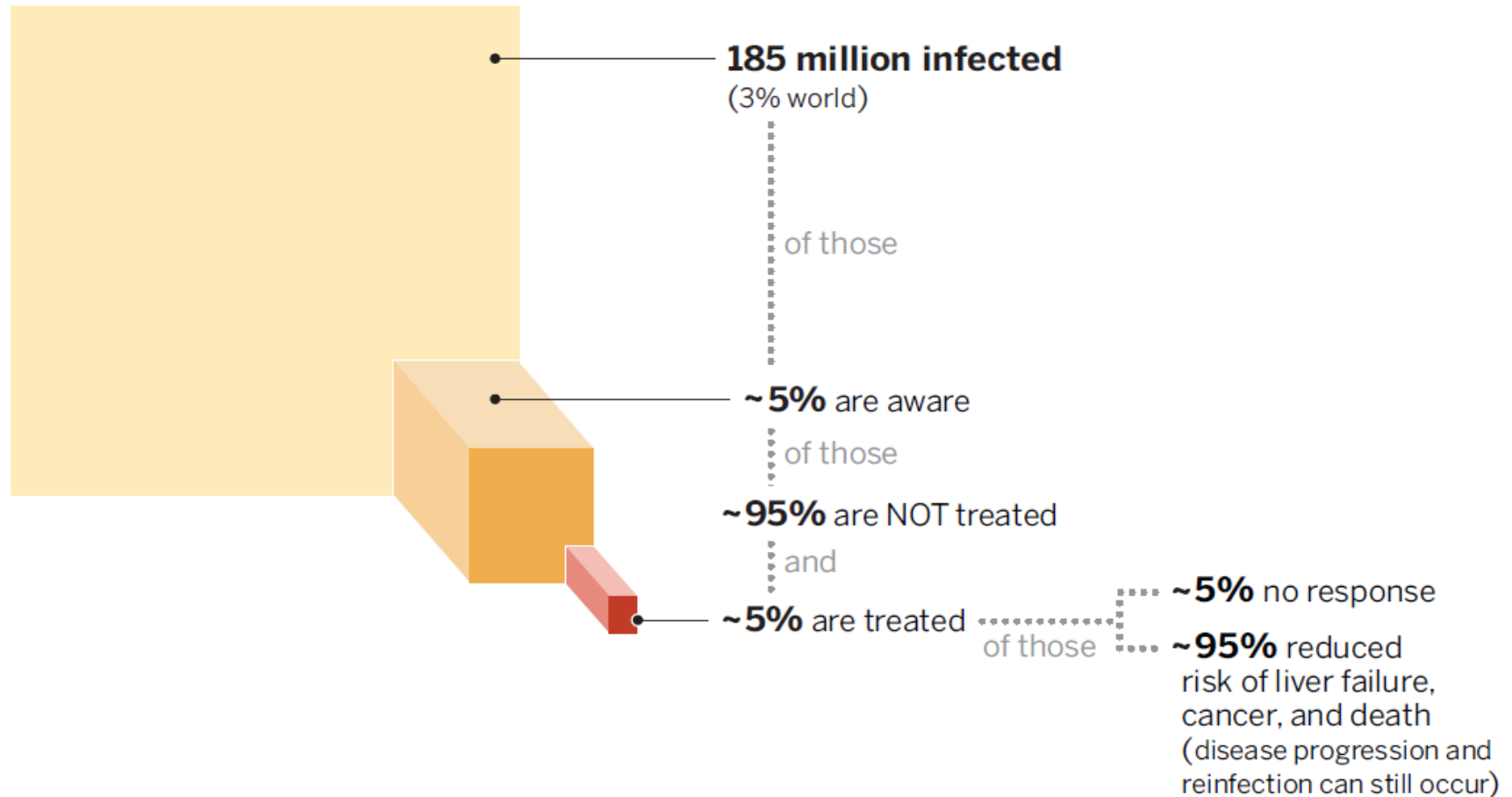
**B** Cumulative Recurrence Rate (Multiple vs Single)



**D** Cumulative Recurrence Rate (PHT vs No PHT)

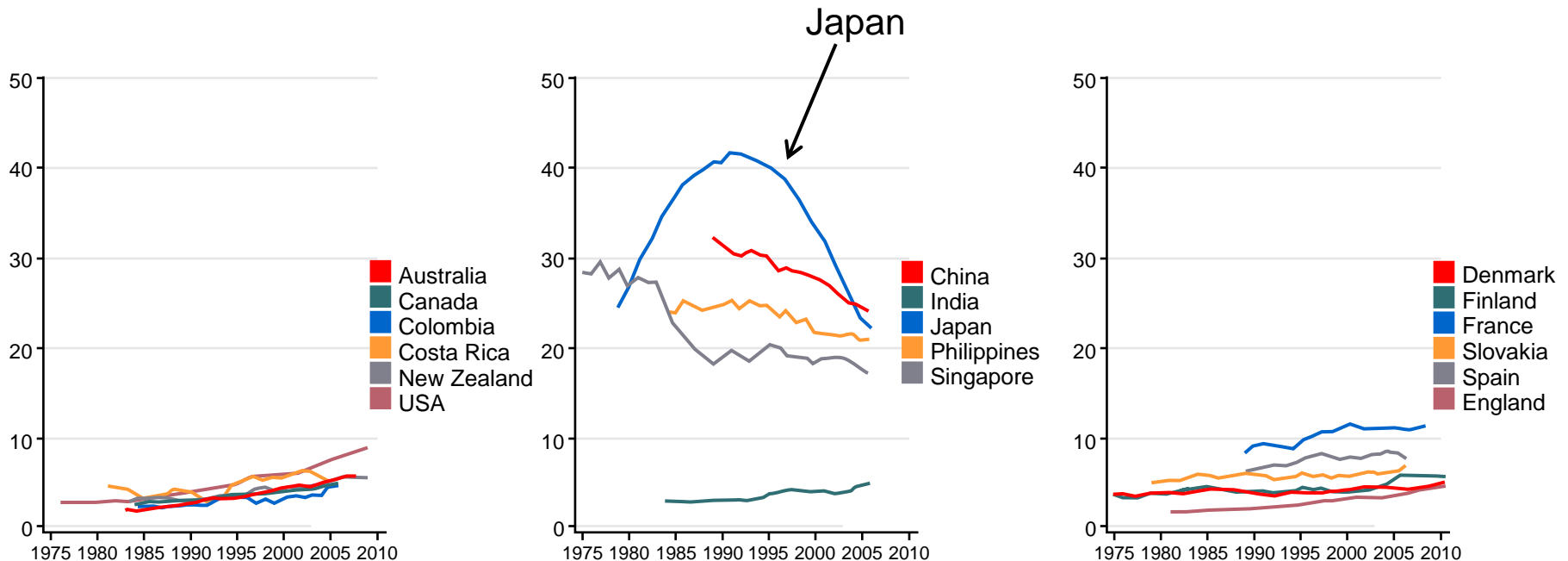


# Global control of hepatitis C virus



**The global reach of HCV infection.** The approximations show that a minority of HCV infected persons are treated. Treatment doesn't prevent reinfection in those at ongoing risk of infection and disease progression continues in a minority of patients treated.

# Global Incidence of HCC in Men (Per 100,000)



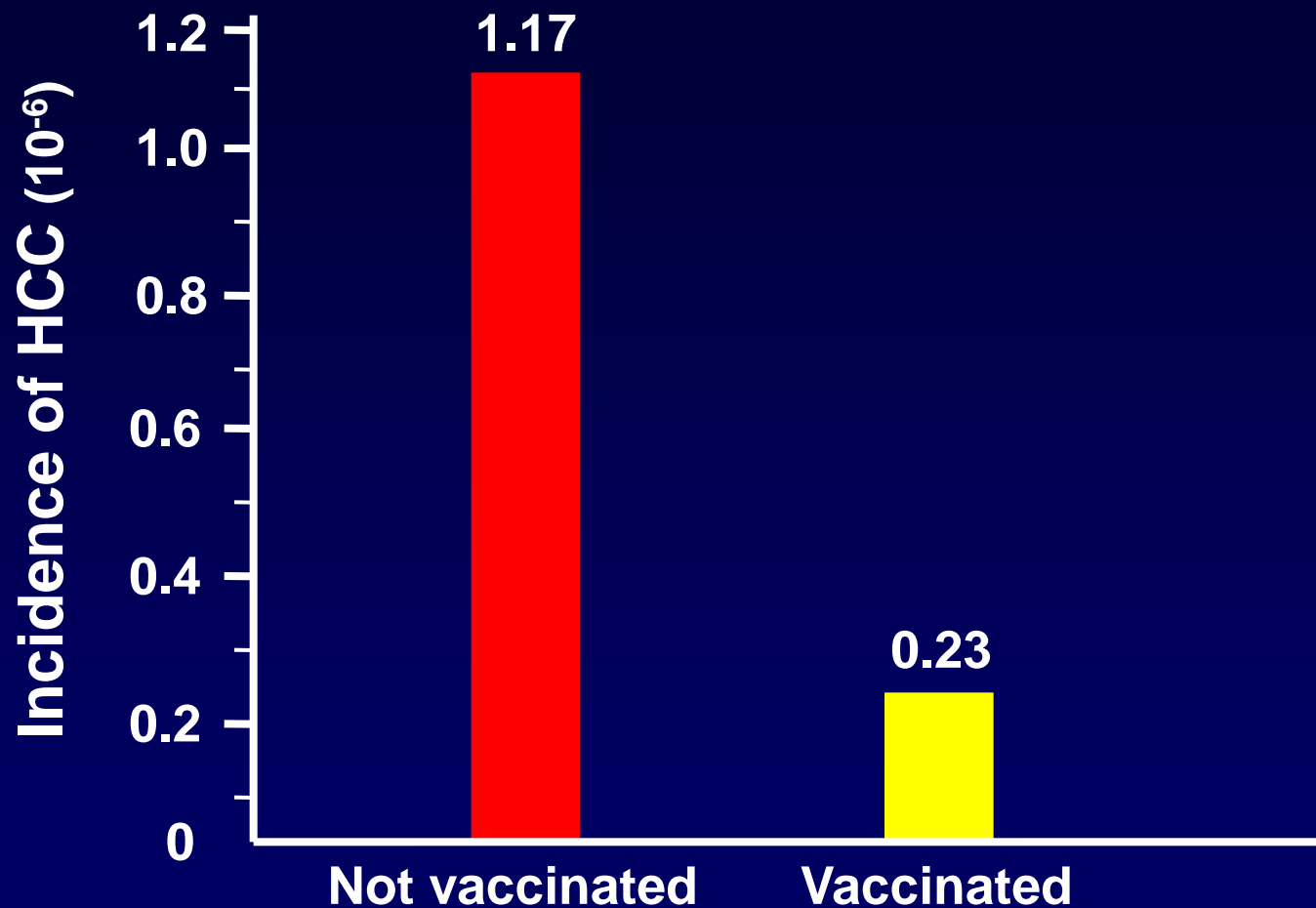
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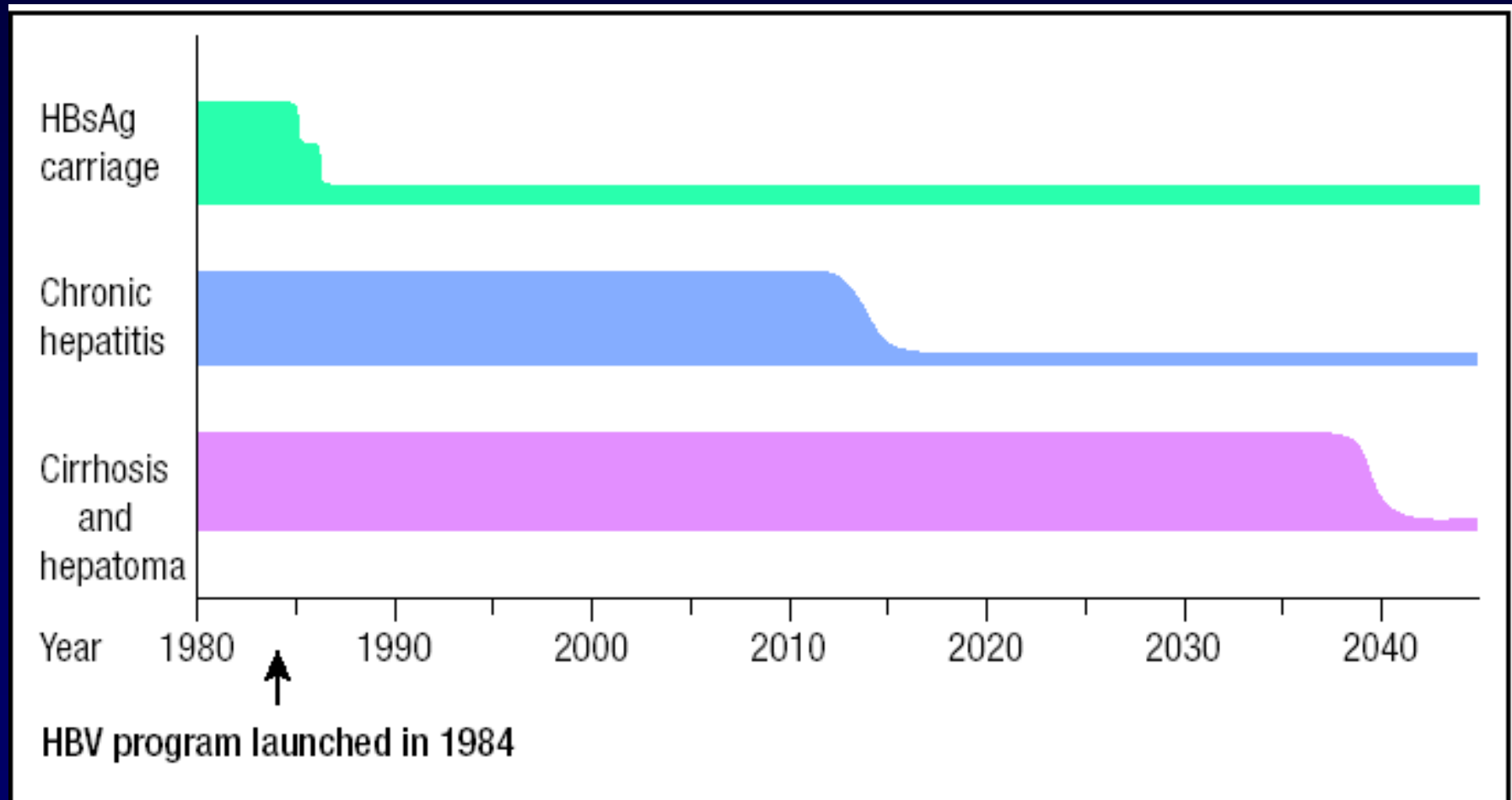
Kudo M. *Liver Cancer*. 2015;4(1):39–50.

# Incidence of HCC in 5~18-yr olds Khon Kaen\*, Thailand, 1985~2007

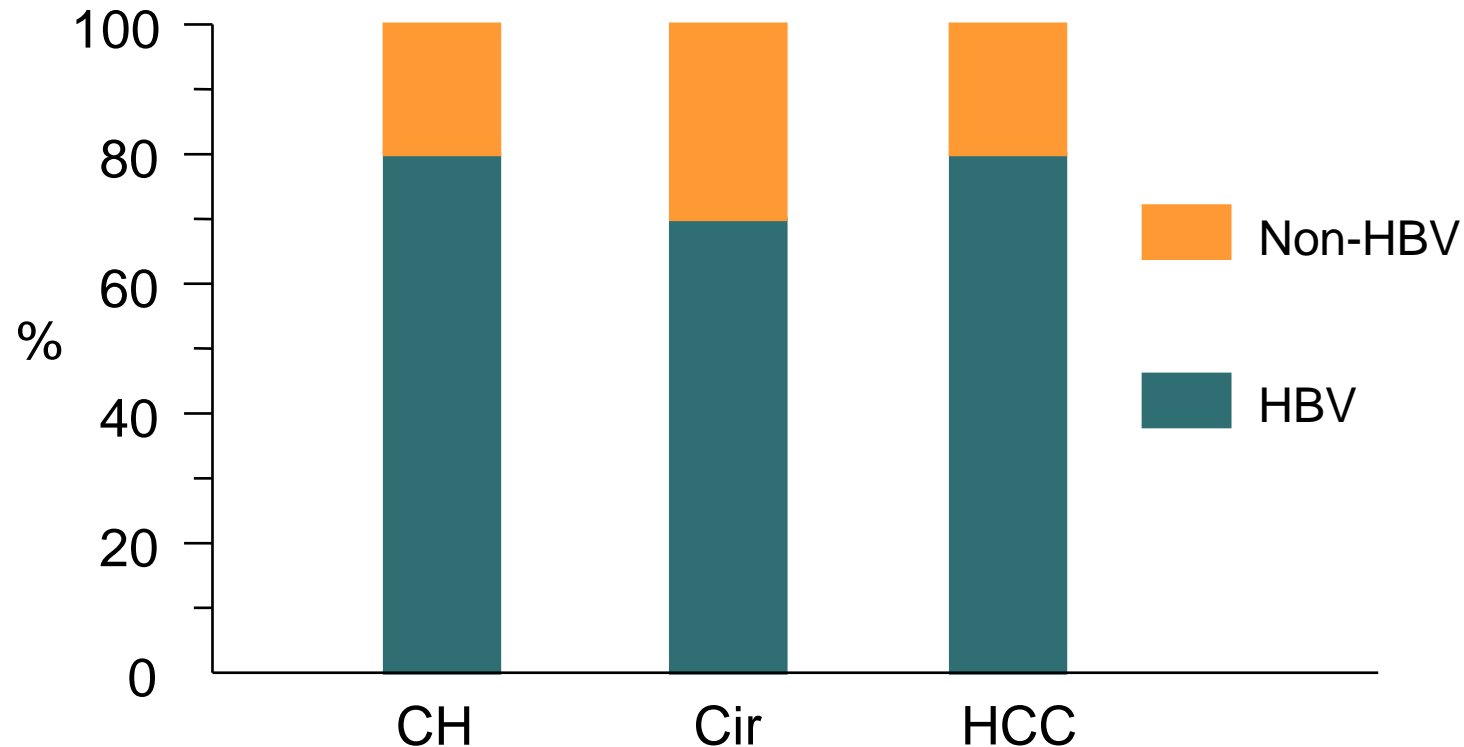


**\*Hepatitis B vaccination since 1990**

# Projection on the Decrease of Chronic Liver Disease and HCC after HB Vaccination in Taiwan



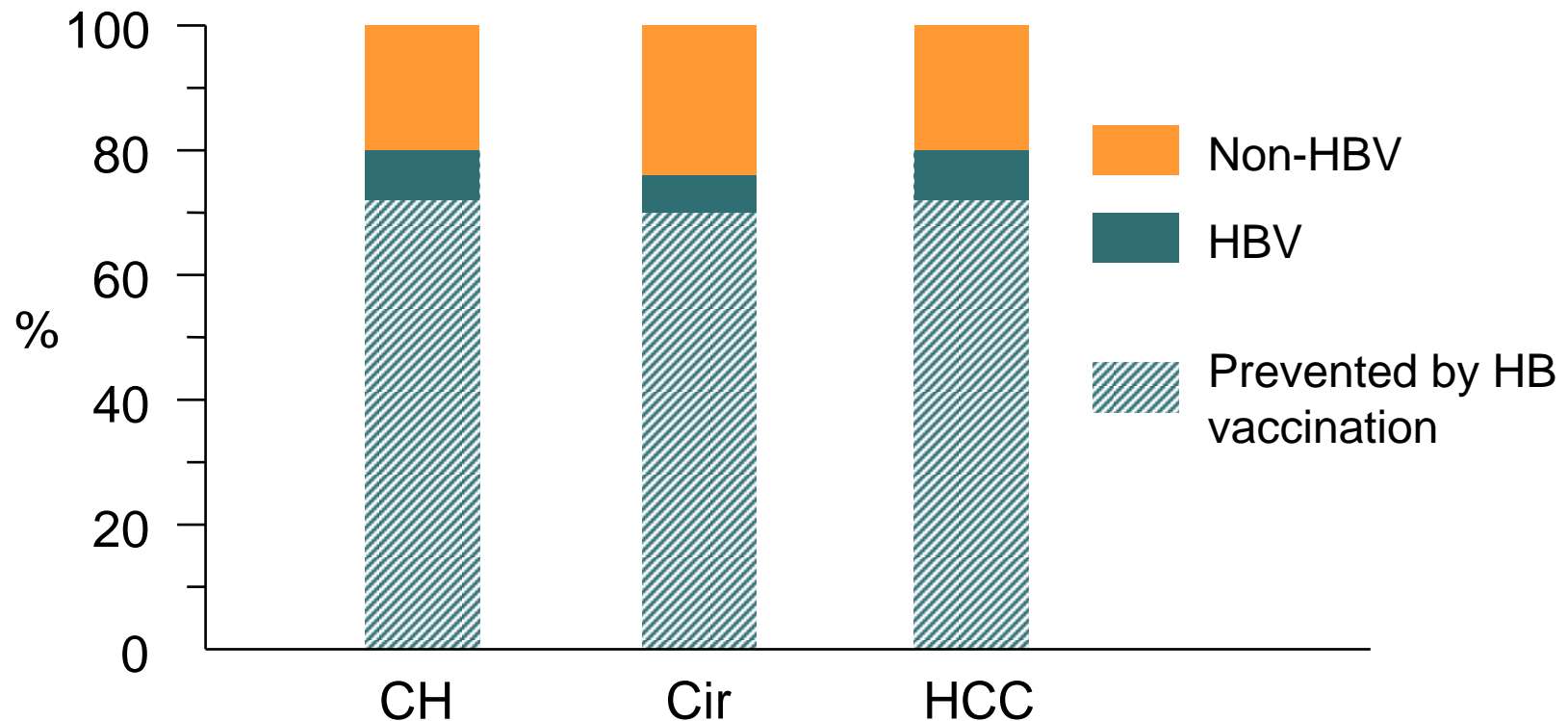
# Etiology of Chronic Hepatitis, Cirrhosis, and HCC in Taiwan Before 1984\*



\*Hepatitis B vaccination was implemented in 1984.

***HBV was a dominant etiological factor for liver disease (including HCC) in Taiwan prior to implementation of HBV vaccination***

# Etiology of Chronic Hepatitis, Cirrhosis, and HCC in Taiwan Around 2040\*

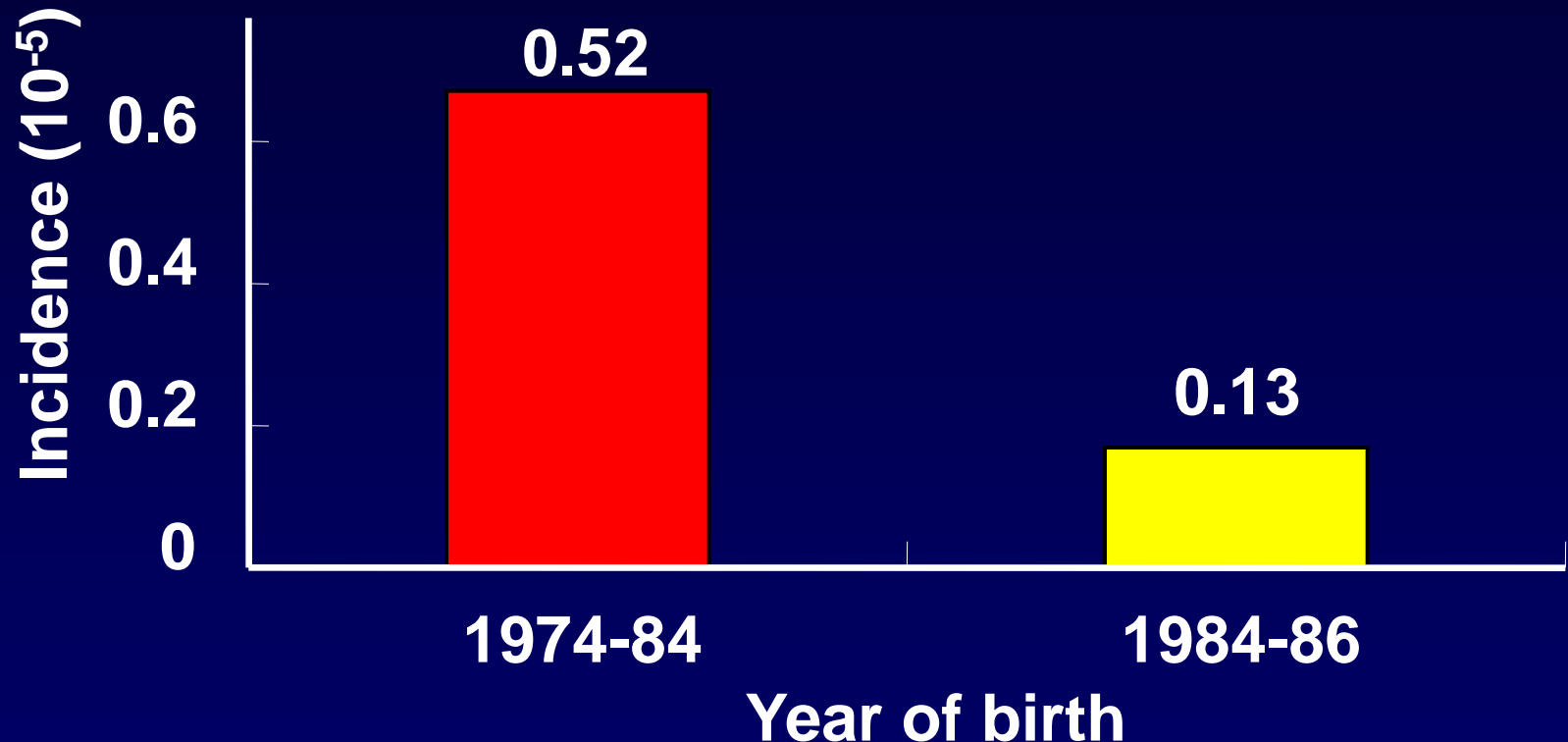


\*40~50 years after hepatitis B vaccination was implemented.

***By 2040, HBV vaccination efforts in Taiwan are expected to greatly reduce HBV-related liver disease (including HCC)***



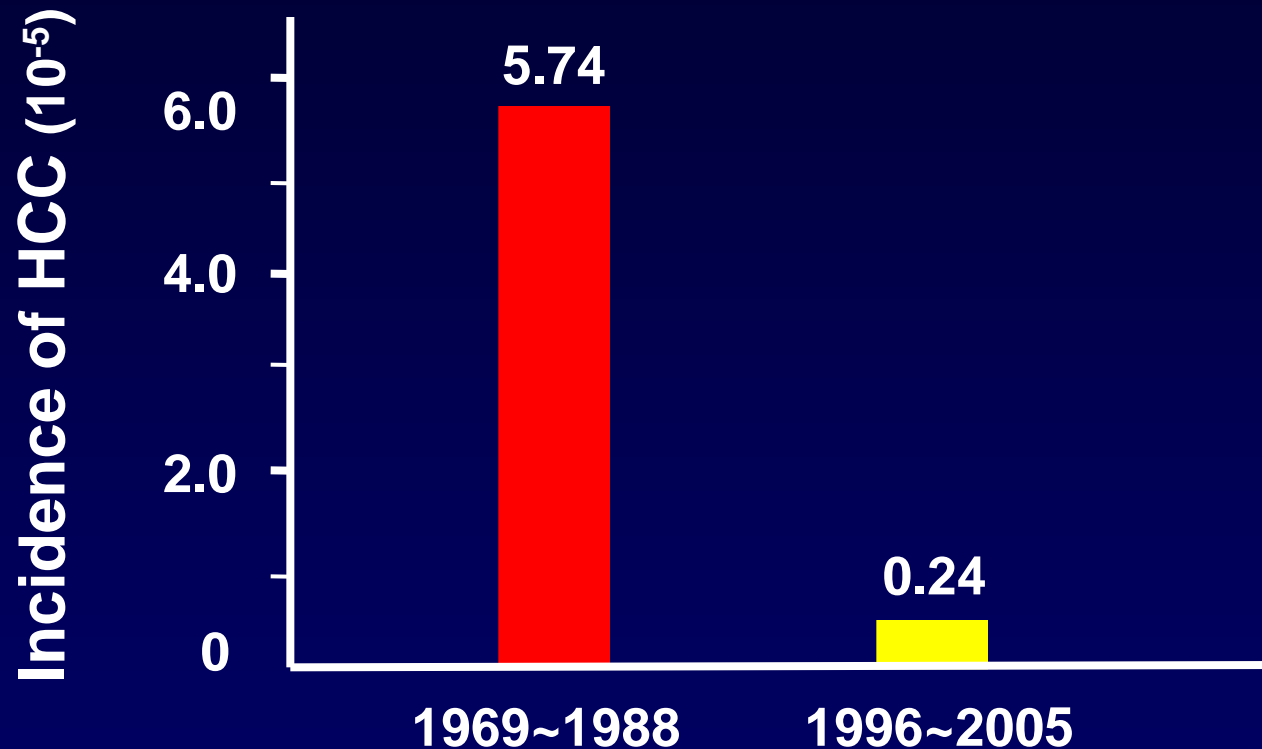
# Incidence of HCC in Children (6-9 yr) in Taiwan Born before and after 1984\*



\* Mass HBV immunization since 1984.

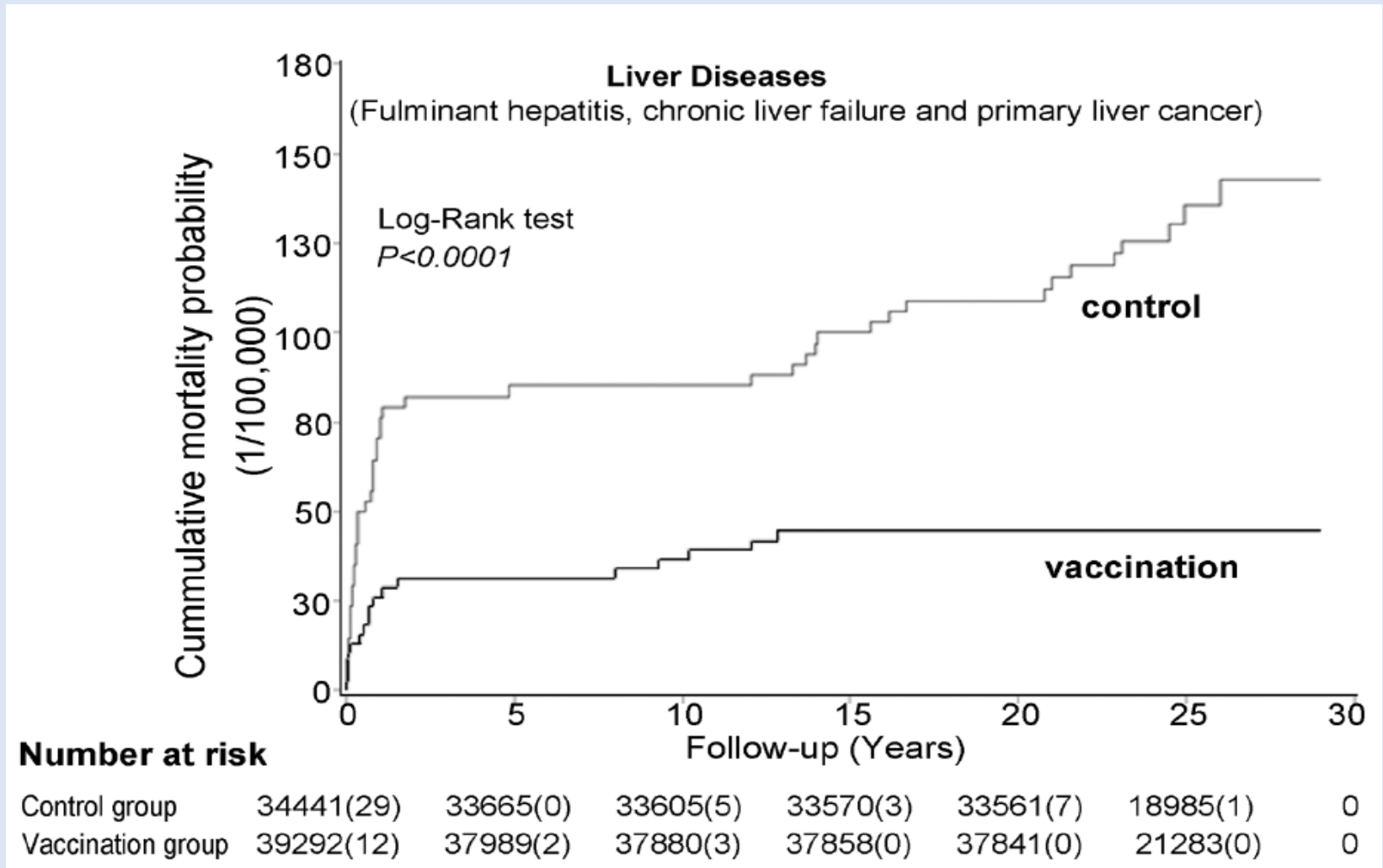
Chang et al, N Engl J Med 1997; 336: 1855-9

# Incidence of HCC in 10~19-yr olds Long-An\*, Guanxi, China

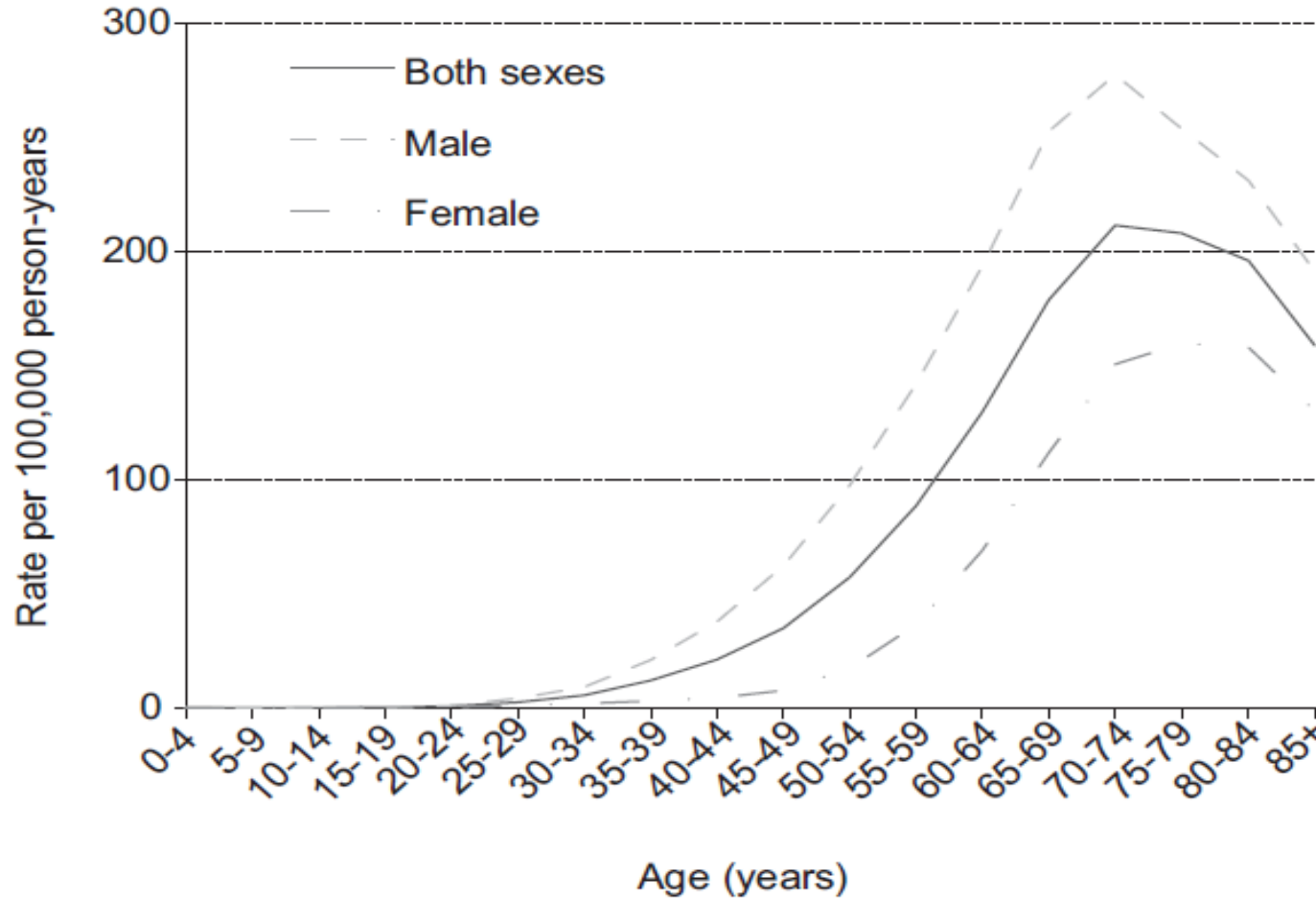


\*Hepatitis B vaccination since 1987

# Efficacy of Neonatal HBV Vaccination on Liver Cancer and Other Liver Diseases over 30-Year Follow-up of the Qidong Hepatitis B Intervention Study: A Cluster Randomized Controlled Trial



# Changing Incidence Patterns of HCC Among Age Groups in Taiwan



**Fig. 1. Age-specific incidence rates of hepatocellular carcinoma by sex in Taiwan (2003–2011).**

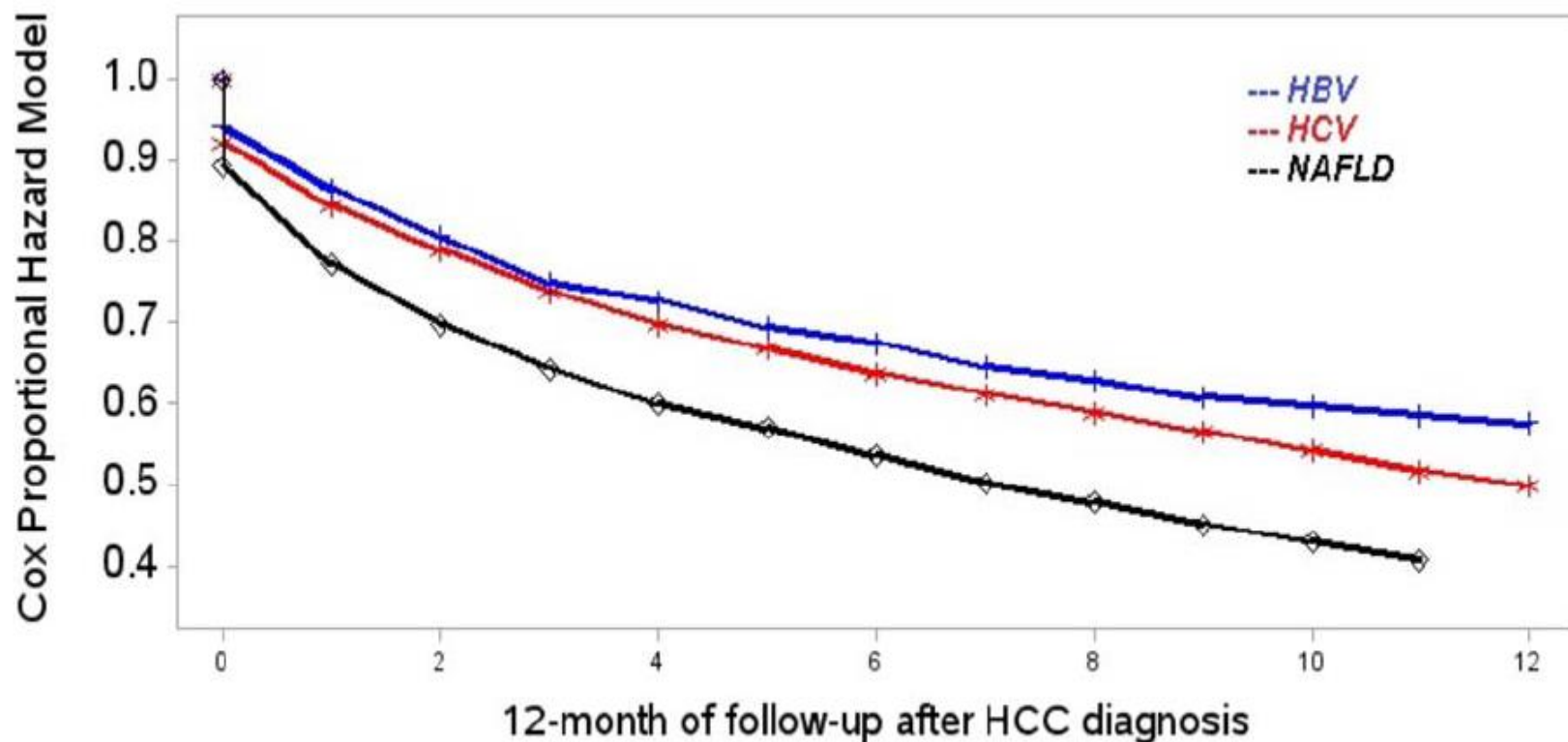
# Age-standardized Incidence Rates (per 100,000 person-years) of Primary Liver Cancer According to Cities and Counties, Taiwan (2003–2011).

City/county (n = 22)	n	(%)	Crude rate	ASR <sup>a</sup>	(95% CI)	SRR <sup>b</sup>	(95% CI)
Northern region							
Taipei city	8766	(9)	37.1	26.5 <sup>-</sup>	(26.0-27.1)	0.66	(0.64-0.67)
New Taipei city	12,562	(12.9)	36.7	34.3 <sup>-</sup>	(33.7-34.9)	0.87	(0.85-0.89)
Hsinchu city	1549	(1.6)	42.9	39.1	(37.1-41.1)	1.01	(0.96-1.07)
Hsinchu county	1428	(1.5)	32.2	26.9 <sup>-</sup>	(25.5-28.3)	0.69	(0.66-0.72)
Keelung city	1776	(1.8)	50.8	40.6	(38.6-42.5)	1.05	(1.00-1.10)
Taoyuan city	5371	(5.5)	30.9	30.1 <sup>-</sup>	(29.3-30.9)	0.77	(0.75-0.79)
Yilan county	2202	(2.3)	53.1	40.3	(38.6-42.0)	1.04	(1.00-1.09)
Miaoli county	2535	(2.6)	50.2	36.7 <sup>-</sup>	(35.2-38.1)	0.95	(0.91-0.99)
Central region							
Taichung city	9512	(9.8)	40.6	38.6	(37.8-39.4)	1.00	(0.98-1.02)
Changhua county	5735	(5.9)	48.5	38.7	(37.7-39.7)	1.00	(0.97-1.03)
Nantou county	2171	(2.2)	45.3	32.9 <sup>-</sup>	(31.5-34.3)	0.85	(0.81-0.88)
Yunlin county	5516	(5.7)	84.3	58.5 <sup>++</sup>	(56.9-60.1)	1.54	(1.49-1.60)
Southern region							
Chiayi city	1839	(1.9)	75.1	61.3 <sup>++</sup>	(58.5-64.2)	1.60	(1.51-1.69)
Chiayi county	3920	(4)	79.1	53.2 <sup>++</sup>	(51.5-54.9)	1.39	(1.34-1.45)
Tainan city	10,582	(10.9)	62.9	49.2 <sup>++</sup>	(48.3-50.2)	1.31	(1.28-1.34)
Kaohsiung city	14,140	(14.6)	56.9	47.1 <sup>++</sup>	(46.4-47.9)	1.26	(1.23-1.28)
Pingtung county	4152	(4.3)	52.0	39.1	(37.9-40.3)	1.01	(0.98-1.04)
Eastern region							
Hualien county	1402	(1.4)	45.3	33.8 <sup>-</sup>	(32.0-35.6)	0.87	(0.83-0.92)
Taitung county	1025	(1.1)	48.5	35.6 <sup>-</sup>	(33.4-37.9)	0.92	(0.87-0.98)
Offshore islands							
Penghu county	664	(0.7)	78.6	54.8 <sup>++</sup>	(50.5-59.1)	1.42	(1.29-1.56)
Kinmen county	242	(0.2)	33	24.5 <sup>-</sup>	(21.4-27.6)	0.63	(0.57-0.70)
Lienchiang county	40	(0.04)	45.5	36.3	(24.9-47.8)	0.94	(0.69-1.28)
Total	97,129	(100)	47	38.6	(38.4-38.9)		

<sup>a</sup>ASRs were age adjusted to the 2000 world standard population. <sup>b</sup>SRR: ASR of the city/county vs. ASR of the rest area of Taiwan. <sup>++</sup>: indicates significantly higher in comparison with the rest area of Taiwan,  $p < 0.01$ . <sup>-(-)</sup>: indicates significantly lower in comparison with the rest area of Taiwan,  $p < 0.01$  ( $p < 0.05$ ).

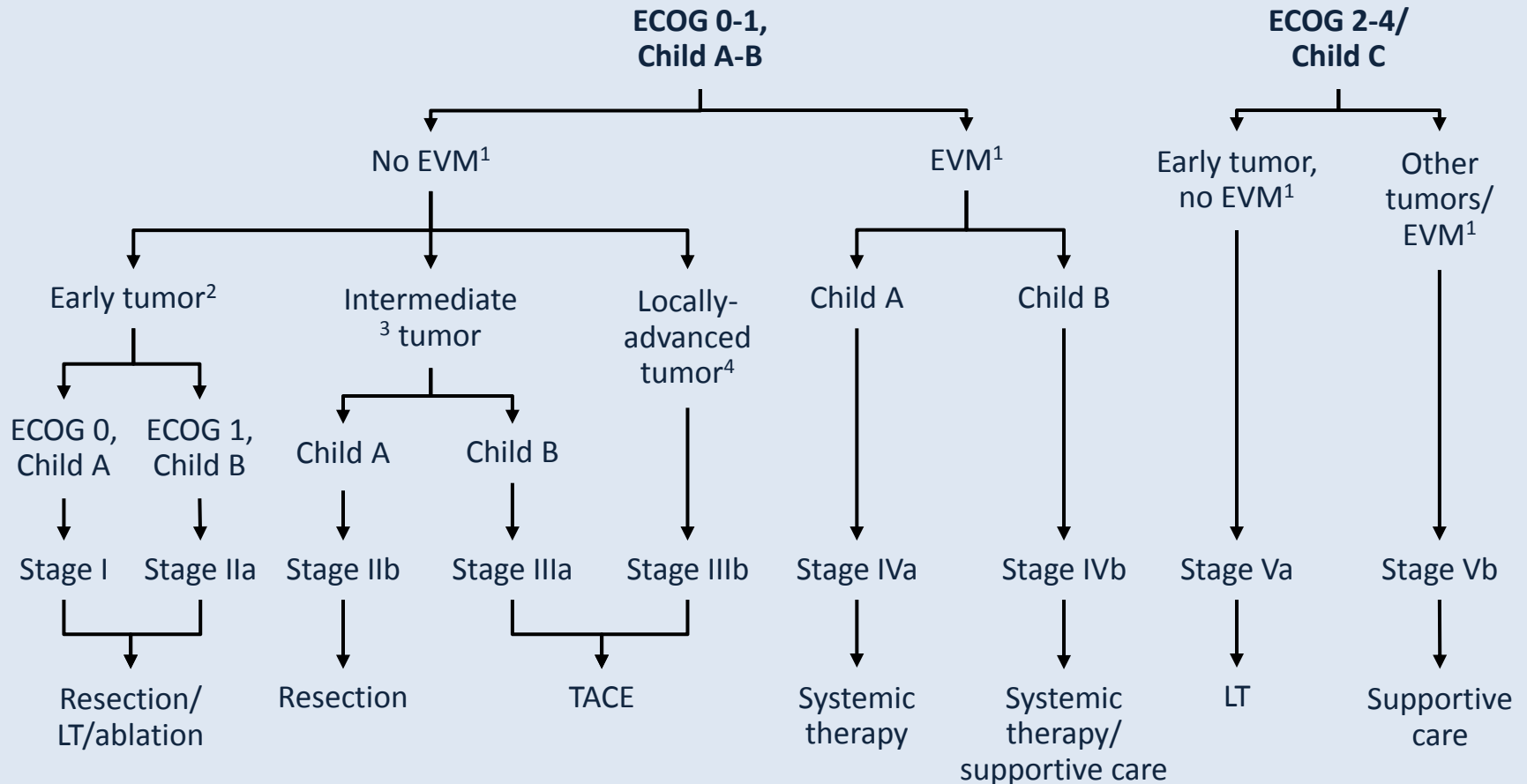
ASR, Age-standardized incidence rate; CI, confidence interval; SRR, standardized rate (ASR) ratio.

# Adjusted Survival Curves by Liver Disease



\* Adjusted for age (years) at HCC diagnosis and tumor stage;  
Source: SEER-Medicare, 2004 - 2009

# Hong Kong Algorithm

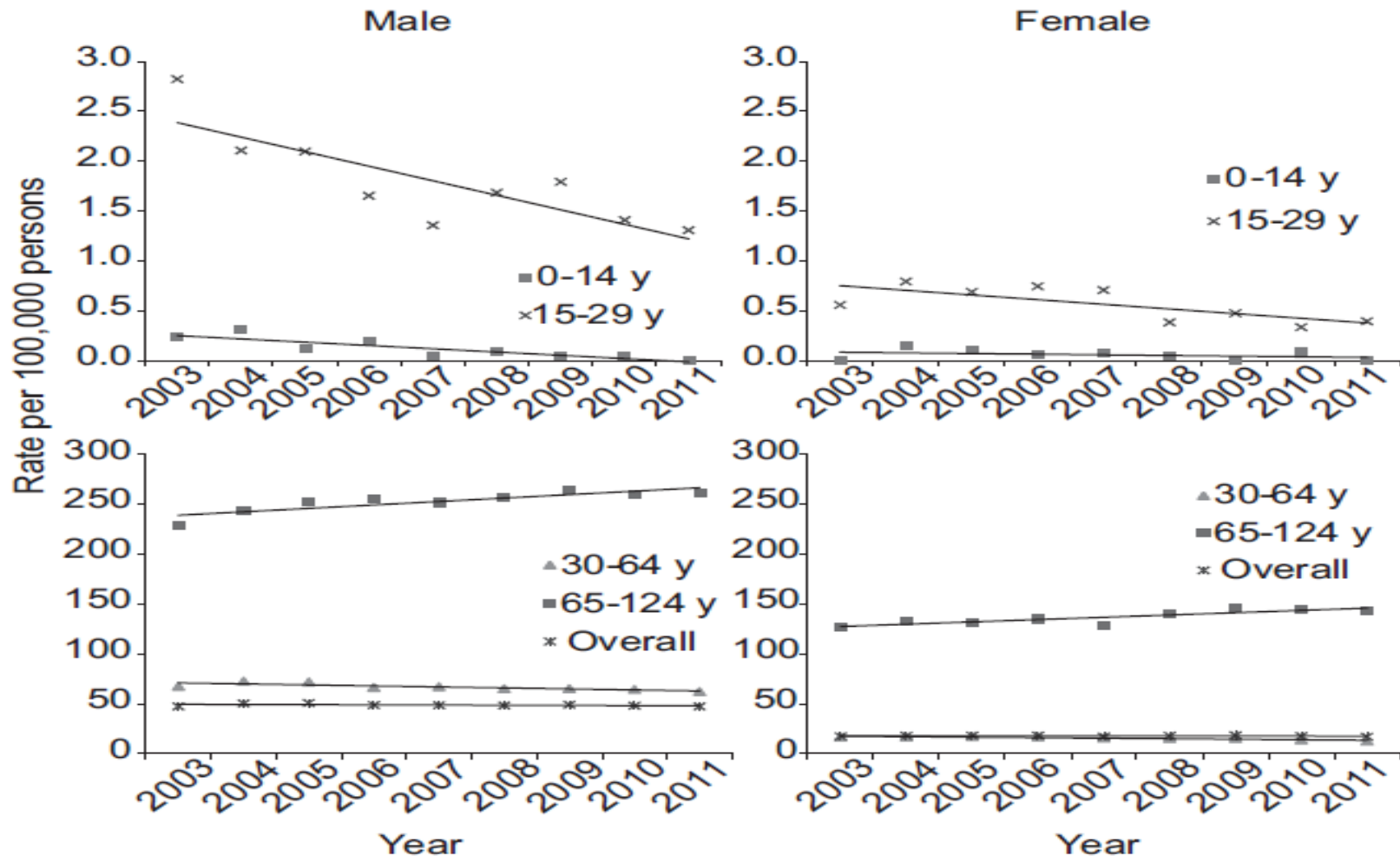


<sup>1</sup>EVM, extrahepatic vascular invasion/metastasis. <sup>2</sup>Early tumor: 5 cm, 3 tumor nodules and no intrahepatic venous invasion;

<sup>3</sup>Intermediate tumor: 1) 5 cm, either >3 tumor nodules or with intrahepatic venous invasion, or 2) >5 cm, 3 tumor nodules and no intrahepatic venous invasion;

<sup>4</sup>Locally-advanced tumor: 1) 5 cm, >3 tumor nodules and with intrahepatic venous invasion, or 2) >5 cm, >3 tumor nodules or/and with intrahepatic venous invasion, or 3) diffuse tumor.

# Changing Incidence Patterns of HCC Age Groups in Taiwan



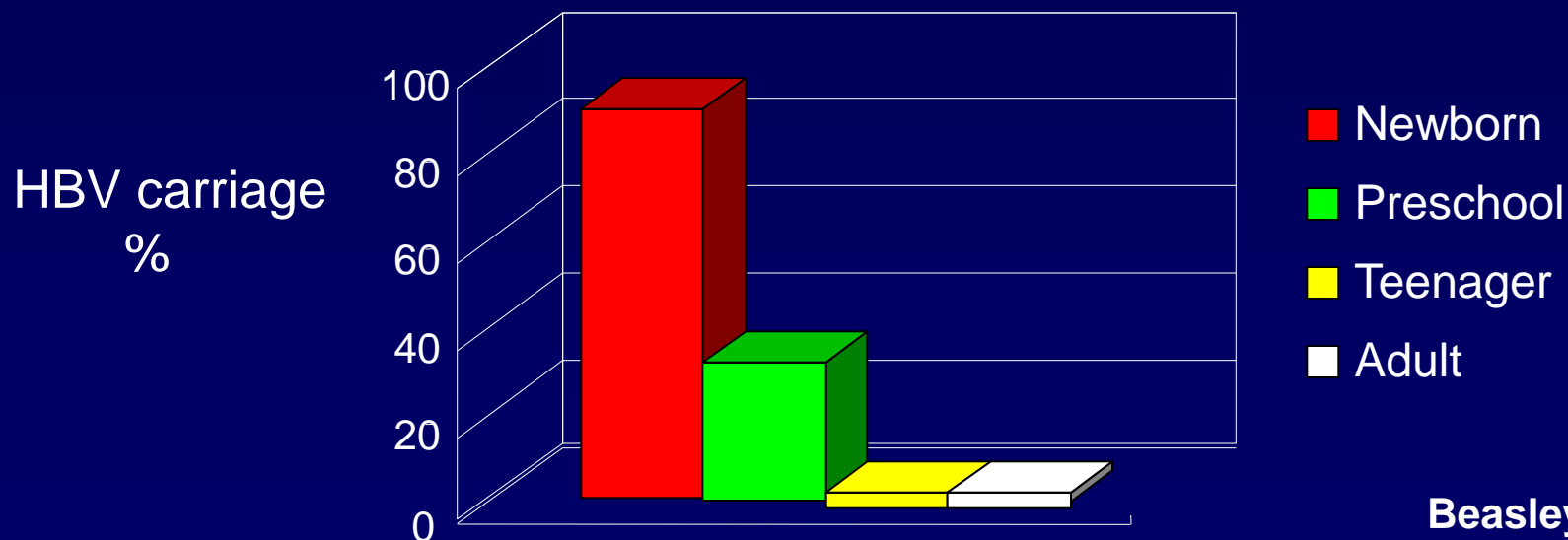
**Fig. 2. Temporal trends in the incidence rates of hepatocellular carcinoma by sex and age group in Taiwan (2003–2011).**



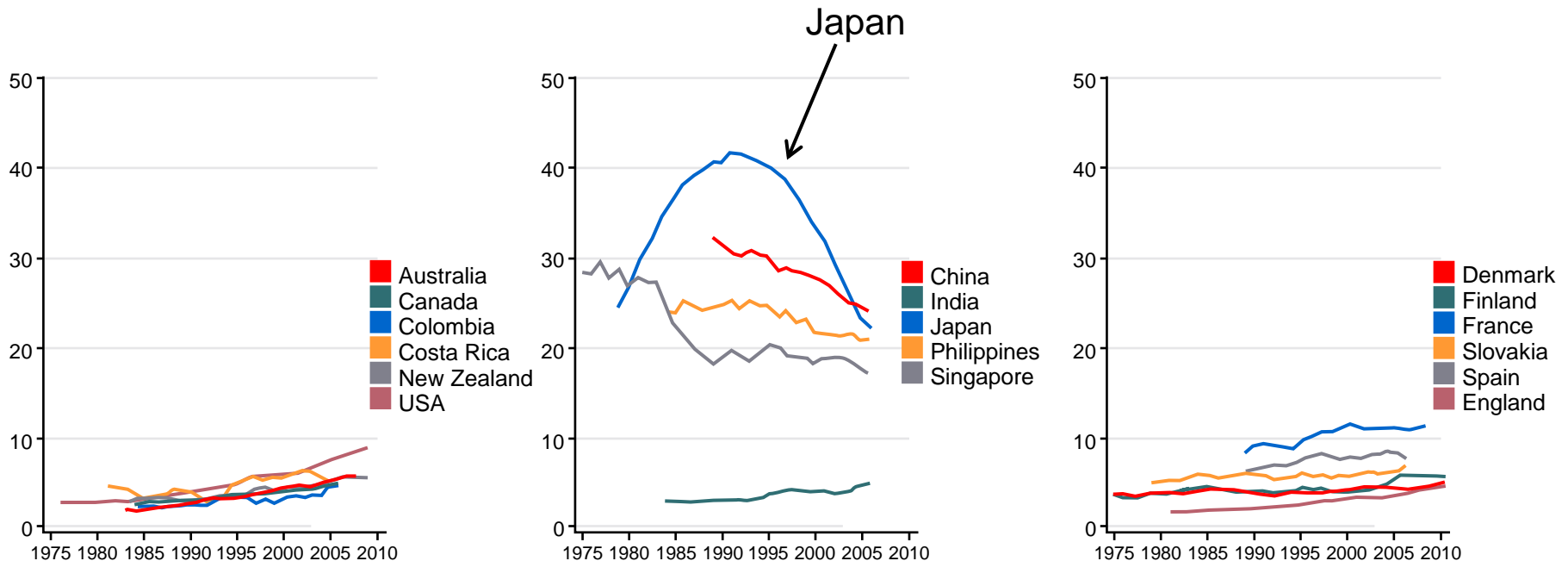
# Chronicity and age at infection

Chronicity is related with age when HBV infection occurs.

<u>Age at infection</u>	<u>Chronicity</u>	<u>Symptomatic</u>
● Perinatal infection:	90%	rare
● Infection at preschool age:	25%	~10%
● Infection at adulthood:	< 3%	~30%



# Global Incidence of HCC in Men (Per 100,000)



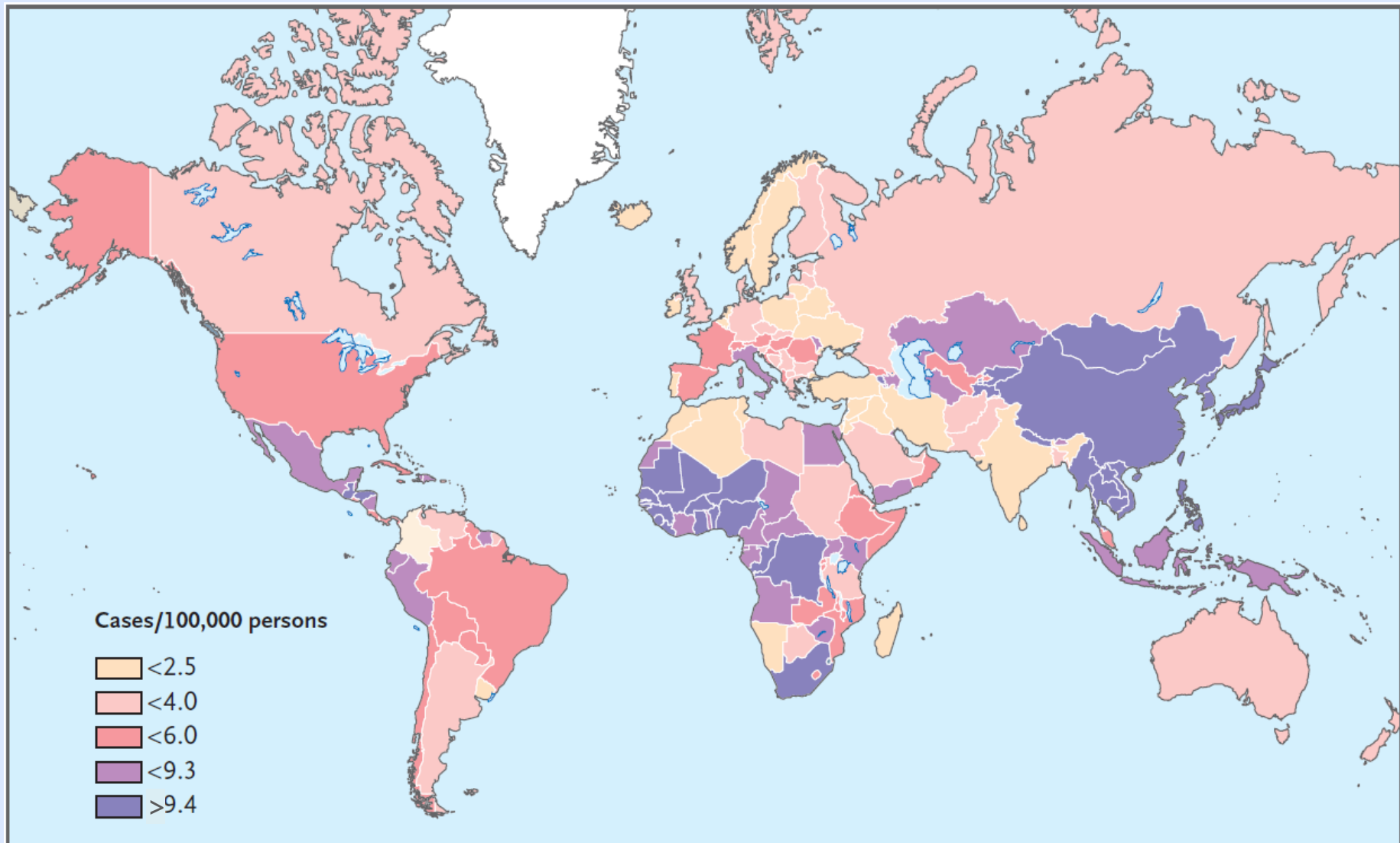
***HCC incidence is decreasing in Japan – this may be due in part to decreases in HCV-related HCC***

Regional data from: CI5.iarc.fr; Australia: [www.aihw.gov.au](http://www.aihw.gov.au); New Zealand: [www.health.govt.nz](http://www.health.govt.nz); USA: [seer.cancer.gov](http://seer.cancer.gov); NORDCAN: [www.ancr.nu](http://www.ancr.nu); European Cancer Observatory: [eco.iarc.fr](http://eco.iarc.fr); England: [www.ons.gov.uk](http://www.ons.gov.uk).

HCC=hepatocellular carcinoma.

Kudo M. *Liver Cancer*. 2015;4(1):39–50.

## Regional Variation in the Estimated Age-Standardized Incidence Rates of Liver Cancer.



# Future Perspective of Targeted Therapy for HCC

## At the Crossroad

KASL, Nov. 26, 2015, Seoul

*Ann-Lii Cheng M.D., Ph.D.*

Distinguished Professor, Director, NTU Cancer Center,  
National Taiwan University, Taipei, Taiwan.

## 8 Years On - - -

- Results of SHARP was presented in June 2007. Sorafenib was approved by FDA (Dec. 2007) and EMEA (Oct. 2007).
- Up to **80** other compounds have been tested in more than **190** trials. None has succeeded.

# Drug Development

Multi-targeted  
sorafenib, sunitinib  
linifanib

## Linifanib Versus Sorafenib in Patients With Advanced HCC: Results of a Randomized Phase III Trial

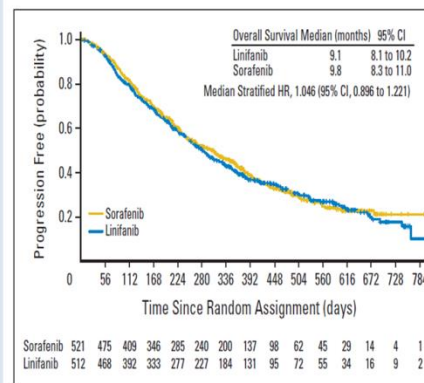


Fig 2. Kaplan-Meier analysis of overall survival with a cutoff point at the 667th patient death. HR, hazard ratio.

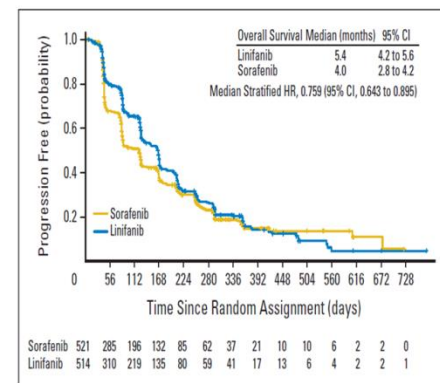


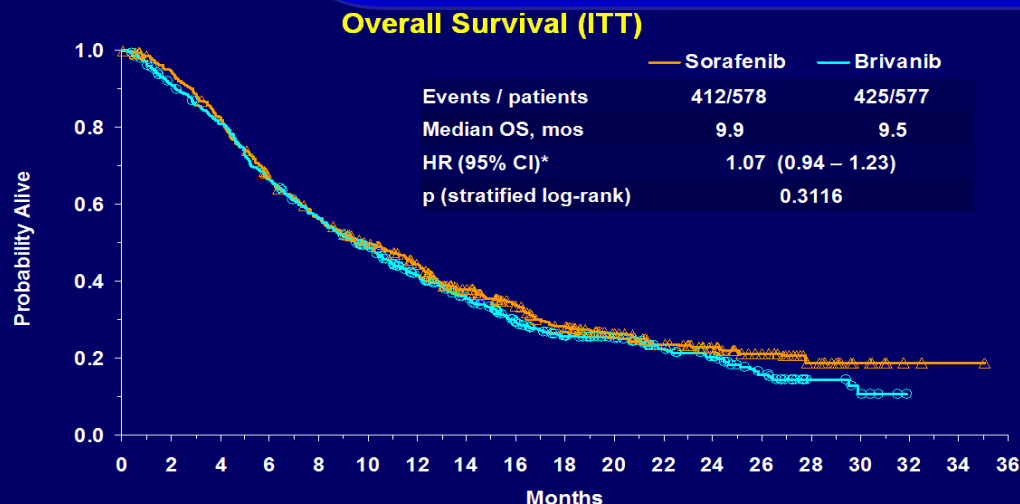
Fig 4. Kaplan-Meier analysis of time to progression. HR, hazard ratio.

Cainap C, et al. J Clin Oncol 2015; 33:172-179

Phase III, R  
S

Phase III,

	Brivanib (n = 205)
Median OS	9.4 months
Median TTP*	4.2 months
DCR*	71.2%
ORR*	11.5%
CI, confidence interval; HR, hazard ratio; *Modified RECIST for HCC; ‡Cochran-Mantel-Haenszel	



\*HR (95% CI) for per-protocol population (575 patients in each arm) was 1.06 (0.93-1.22)

BMS Highly Confidential - Not for Distribution

Johnson P et al. J Clin Oncol. 2013 Oct 1;31(28):3517-24

% CI: 7.4–9.2)

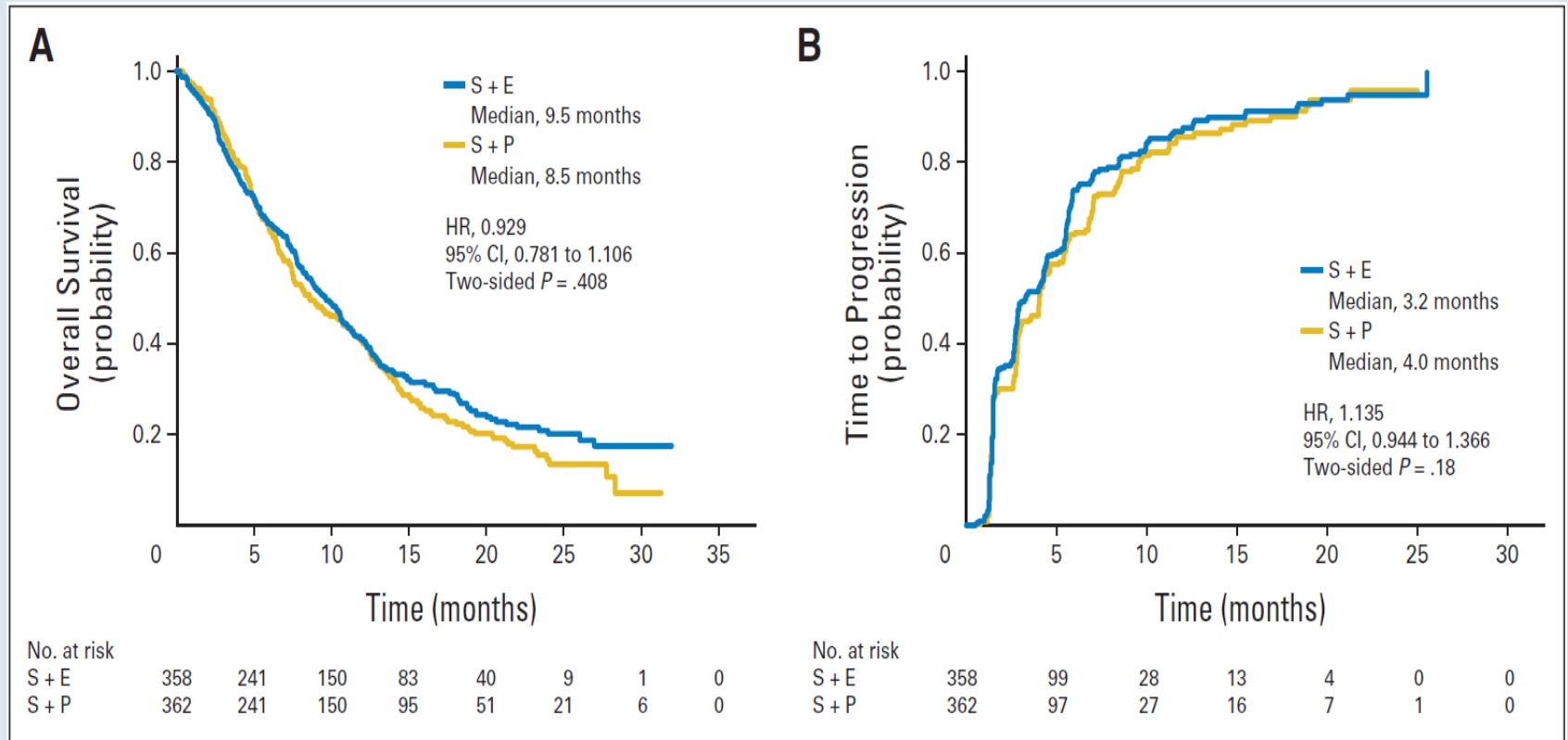
% CI: 8.9–11.4)

.50)

al; HR: hazard ratio

ASCO 2011, #4000

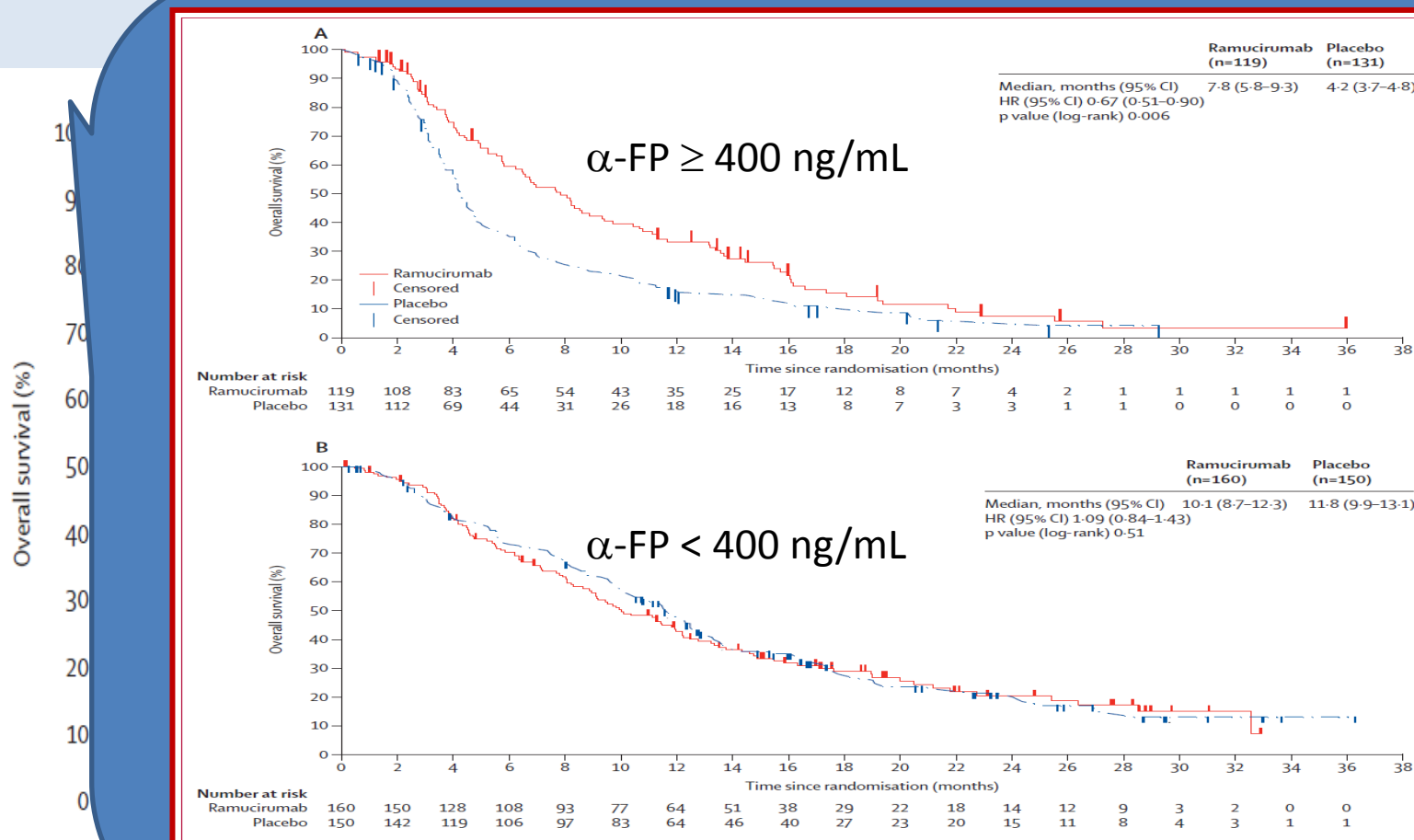
# SEARCH: A Phase III, Randomized, Double-Blind, Placebo-Controlled Trial of Sorafenib Plus Erlotinib in Patients With Advanced HCC



Zhu A X. et al J Clin Oncol 2015;33:559-566

# Ramucirumab Versus Placebo as Second-line Treatment in

A



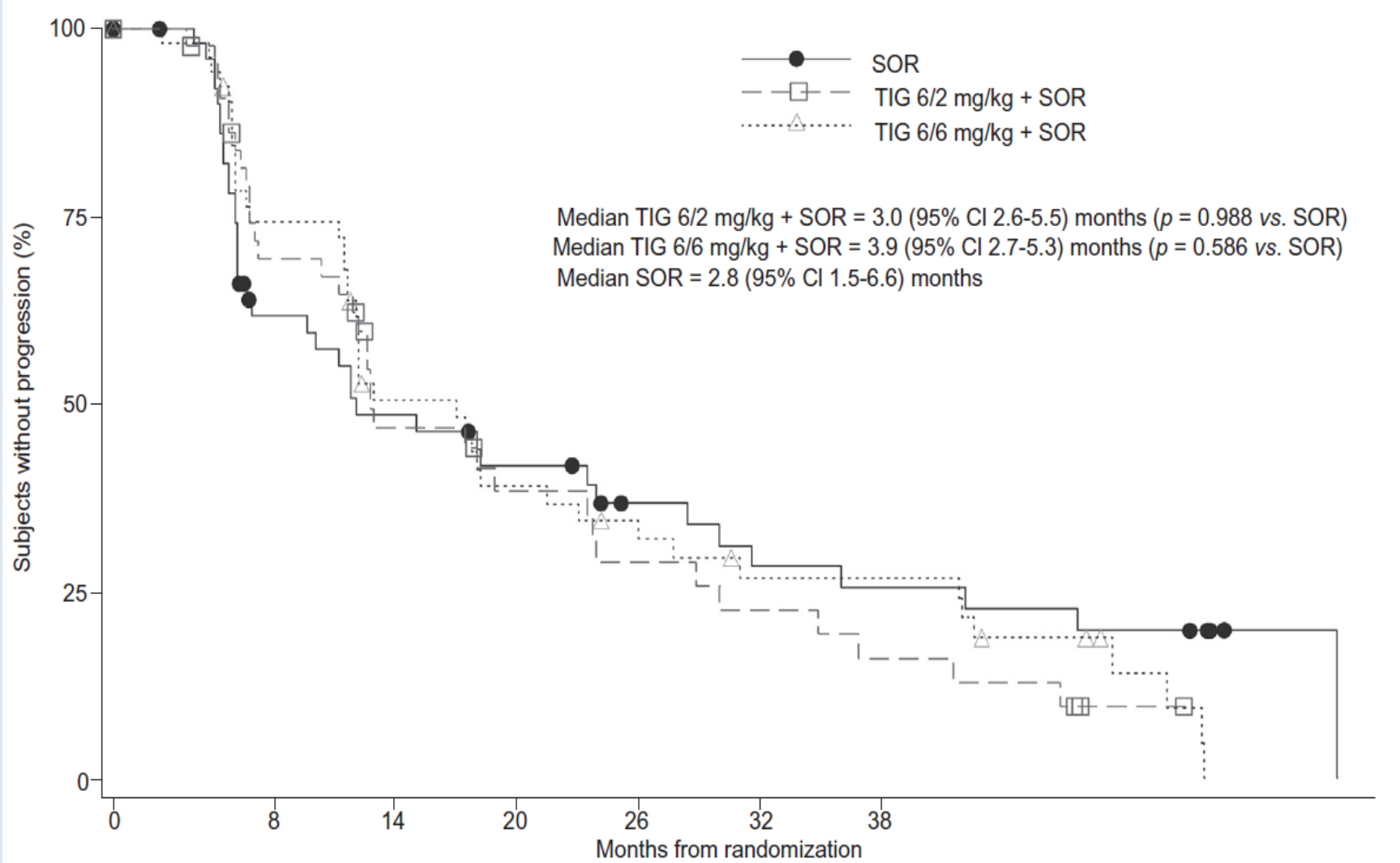
**Figure 4:** Kaplan-Meier plot of overall survival in patients with baseline  $\alpha$ -fetoprotein concentration of 400 ng/mL or greater (A) and in patients with baseline  $\alpha$ -fetoprotein less than 400 ng/mL (B)  
 HR=hazard ratio.

Number at risk

Ramucirumab	283	268	255	241	229	217	205	193	181	169	157	145	133	121	109	97	85	73	61	49
Placebo	282	255	189	151	129	110	83	63	54	35	30	23	18	12	9	4	3	1	1	0



# Safety and Efficacy of **Tigatuzumab Plus Sorafenib** as First-line Therapy in Subjects With Advanced HCC: A phase 2 randomized study



## Two Groups of Front-runners

**EVOLVE-1 ( Phase III, Placebo-controlled, 2<sup>nd</sup>-line ) failed to meet its primary end point (OS)**

### **3. mTOR inhibitors**

# Drug Development for HCC (2010-2015)

FGFR inhibitors

anti-angiogenic TKI

sorafenib, sunitinib, vandetanib,  
linifanib, brivanib, nintedanib, dovitinib,  
orantinib, lenvatinib, axitinib,  
anaplastic sarcoma

Phase II  
TAC

Novel categories

mTORi, m  
PI3K/Akt  
inhibitors  
anti-PD1  
A3 adenc

Randomized

A) RECIST

Estimated probability

Did not meet primary end  
point in the randomized phase  
II study, 1<sup>st</sup> line vs sorafenib

Cheng AL et al, ASCO-GI 2015

Number at risk  
Nintedanib  
Sorafenib

-40  
Patient 1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 29 30 31

Bruix J et al. Eur J Cancer 49 (2013) 3412–3419

Tell C et al ESMO/ESMO 2015

# Drug Development (2010-2015)

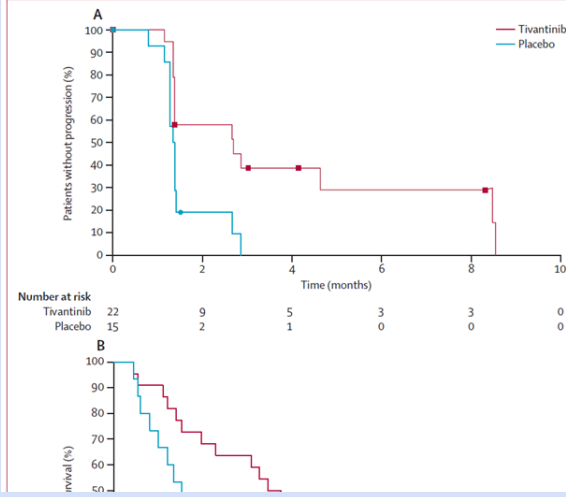
Multi-targeted and

sorafenib, sunitinib, linifanib, brivanib, vandetanib, orantinib, lenvatinib, apatinib, for

Tivantinib

Primary

Met-high subgroup



who failed one study (population)

Events  
46  
30  
p = 0.04

Phase III, 2<sup>nd</sup>-line,

C-MET inhibitors

- $\leq 1$  systemic Tx (51% sorafenib)
- Cabozantinib 100 mg/day x12 wks, lead-in.
- 2/36 PR (RR=5%, DCR=68%) at lead-in.
- One more PR after randomization.

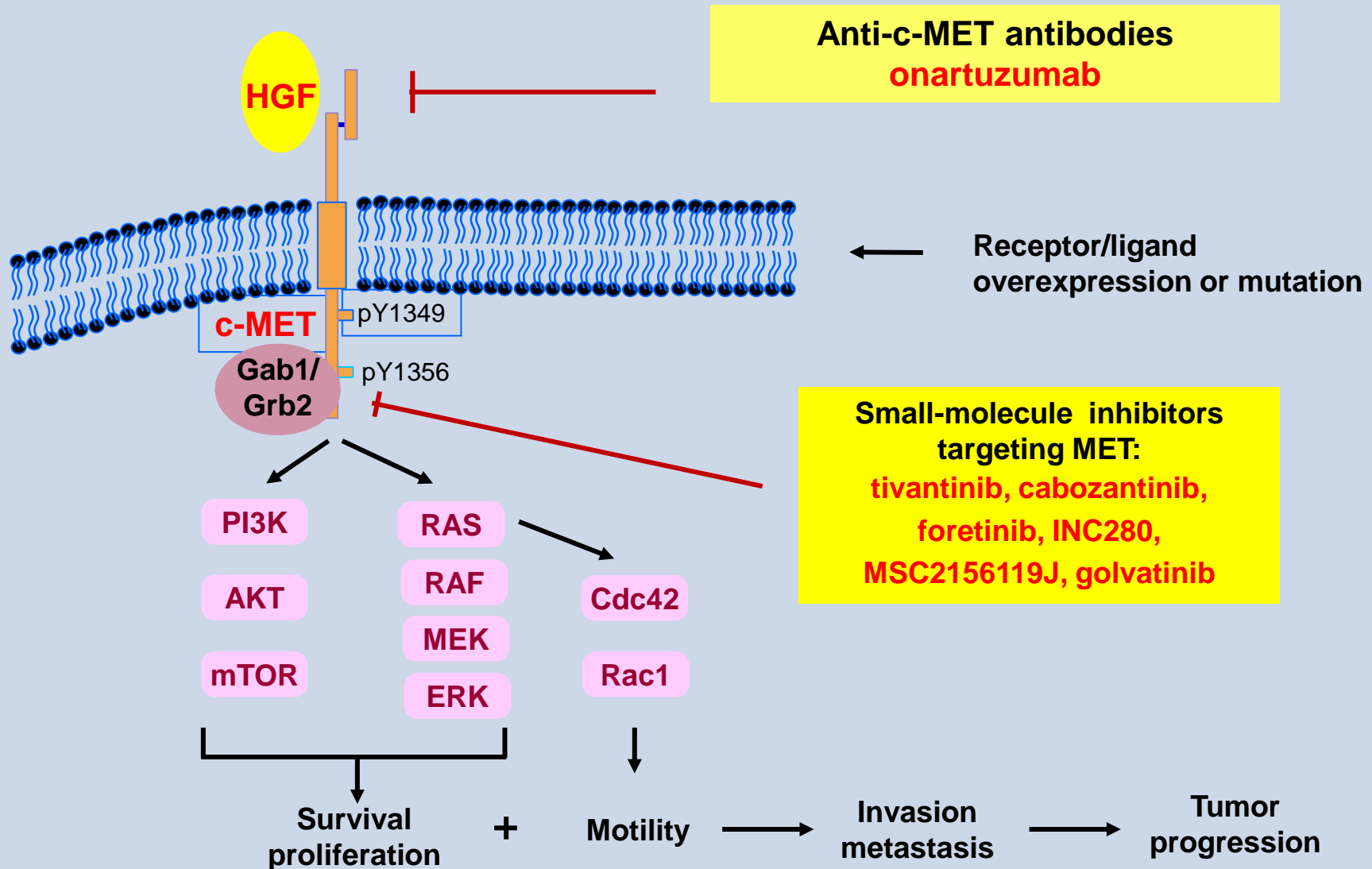
# Molecular Alterations Of **HGF/MET** In Human HCC

MET alteration	Findings	[Ref.]
Amplification	1/20 cases; 3.8 fold amplification	Takeo <i>et al.</i> , 2001 [39]
Amplification	1/59 cases; 22/59 chromosome 7 aneuploidy	Kondo <i>et al.</i> , 2013 [40]
Amplification	4-5% in 286 patients	<i>et al.</i> , 2013 [41]
Point mutations	0/24 patients	<i>et al.</i> , 2012 [42]
Overexpression	Northern blot analysis with the surrounding tissue	<i>et al.</i> , 1994 [45].
Overexpression	Northern blot analysis of HCC differentiation	<i>et al.</i> , 1994 [46]
Overexpression	Competitive RT-PCR	<i>et al.</i> , 1996 [47]
Overexpression	Northern blot analysis. Met overexpression in some cases and underexpression in others. HGF downregulation	Seiden <i>et al.</i> , [89]
Overexpression	Western blot analysis. 52% of 62 patients with Met overexpression, correlating with increased incidence of intrahepatic metastases and shorter 5-yr OS	Ueki <i>et al.</i> , 1997 [44]
Overexpression	IHC in 86 patients. MET overexpression in 20% and downregulation in 32%. HGF overexpression in 33% and downregulation in 20%	Kiss <i>et al.</i> , 1997 [48]
Overexpression	IHC and qRT-PCR in 24 HCC. MET overexpression in most of the cases. Underexpression of HGF	Tavian <i>et al.</i> , 2000 [49]
Overexpression	qRT-PCR in 15 patients. Overexpression of MET in poorly differentiated tumors	Daveau <i>et al.</i> , 2003 [50]

**Amplification: 2-5%**  
**Point mutation: 0%**  
**Over-expression: 30-40% (Northern)**  
**40-50% (Western)**  
**20-30% (IHC)**

IHC, immunohistochemistry; OS, overall survival; qRT PCR, quantitative Reverse Transcription Polymerase Chain Reaction.

# c-MET Targeted Agents in HCC



# Ongoing Trials of c-MET Inhibitors for HCC

Drug/ (Identifier)	Design	Patients	Primary outcome	Site	Start date/ Status
Tivantinib (NCT01755767)	III, randomized Placebo- controlled	Failed systemic therapy, c-MET high	OS	International	2012-Dec Recruiting
Tivantinib (NCT02029157)	III, randomized Placebo- controlled	Failed systemic therapy, c-MET high	PFS	Japan	2014-Jan Recruiting
INC280 (NCT01737827)	II, single arm	1 <sup>st</sup> line, c-MET dysregulation	TTP	China, Hong-Kong, Singapore, Thailand	2013-Mar Recruiting
INC280 (NCT01964235)	II, randomized Placebo- controlled	Failed sorafenib, c-MET dysregulation	TTP	Europe, USA, Hong- Kong, Australia	2014-Mar Suspended
Cabozantinib (NCT01908426)	III, randomized Placebo- controlled	Failed sorafenib	OS	International	2013-Aug Recruiting
MSC2156119J (NCT02115373)	I/II, single arm	Failed sorafenib, Phase II: c-MET+	No. of DLT in cycle 1	International	2014-May Recruiting
MSC2156119J (NCT01988493)	I/II, randomized Sorafenib- controlled	First-line c-MET+ Asian	No. of DLT in cycle 1; TTP	Germany	2014-Jan Recruiting

# New Targets for HCC

- Wnt/ $\beta$ -Catenin
- JAK/STAT
- FGF19/FGFR4
- VEGF-A
- c-MET
- TSC-2



# FGF19-FGFR4 Signaling Is Important in HCC Carcinogenesis and Survival

- FGF19-FGFR4 drives hepatocarcinogenesis in preclinical studies.
- FGF19-FGFR4 cross-talks with Wnt/ $\beta$ -catenin pathway.
- Inhibition of FGF19-FGFR4 signaling has shown *in vitro* and *in vivo* anti-cancer activities against HCC.

Ho HK, et al: J Hepatol 2009;50:118-27

Sawey ET et al: Cancer Cell 2011;19:347-358

French DM, et al: PLoS One 2012;7:e36713

Miura S, et al: BMC Cancer 2012;12:56

Pai R, et al: Cancer Res 68:5086-95

# FGFR4 inhibitors for HCC

- **FGFR4 specific inhibitors**

- Blue print (phase I)

- Novartis (phase I)

- Sanofi

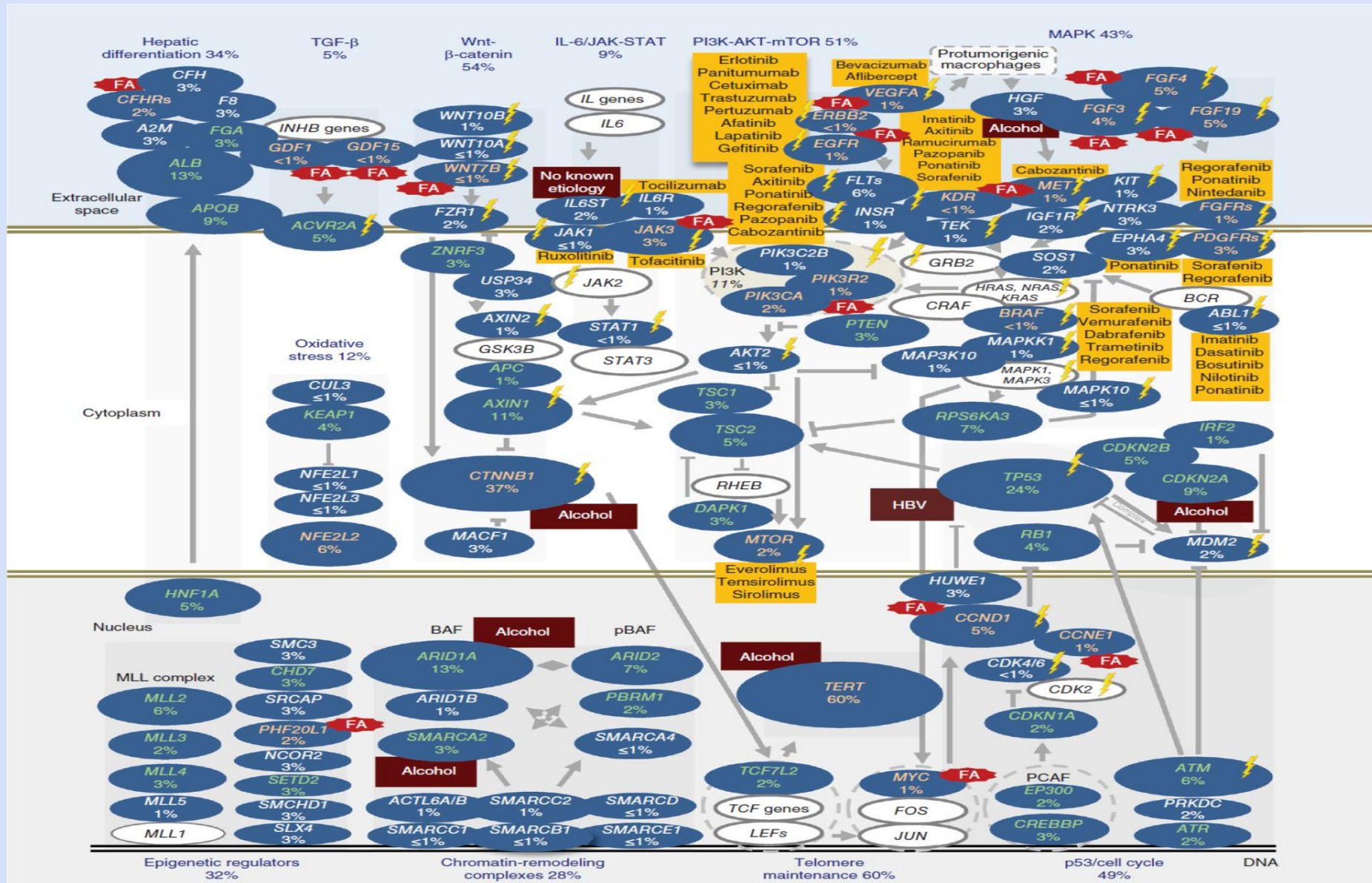
- **Pan-FGFR inhibitors**

- Eisai (lenvatinib, phase III)

- Astellas (phase I)

- Johnson and Johnson (phase I)

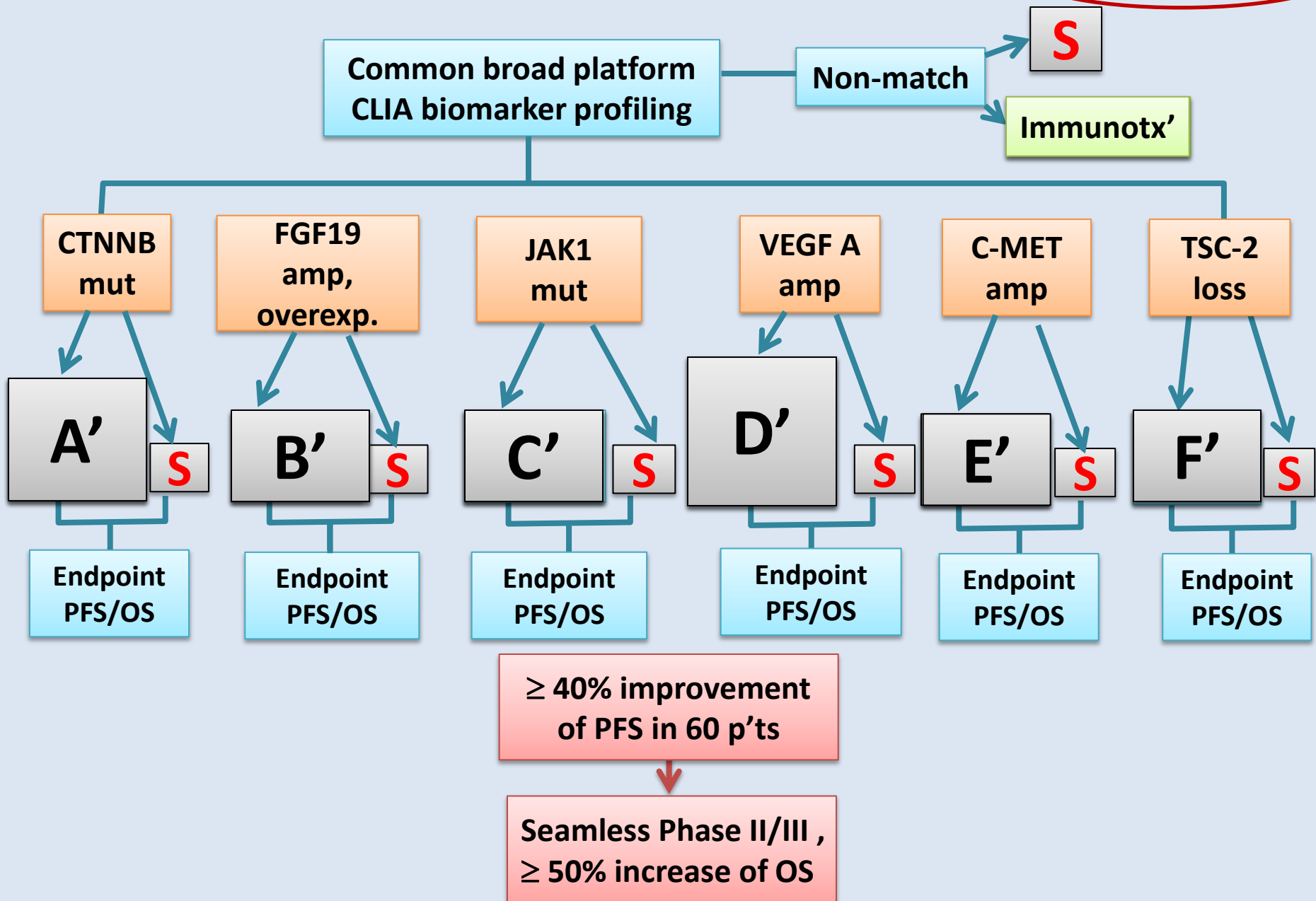
# The landscape of altered genes and pathways in HCC



# New Targets for HCC

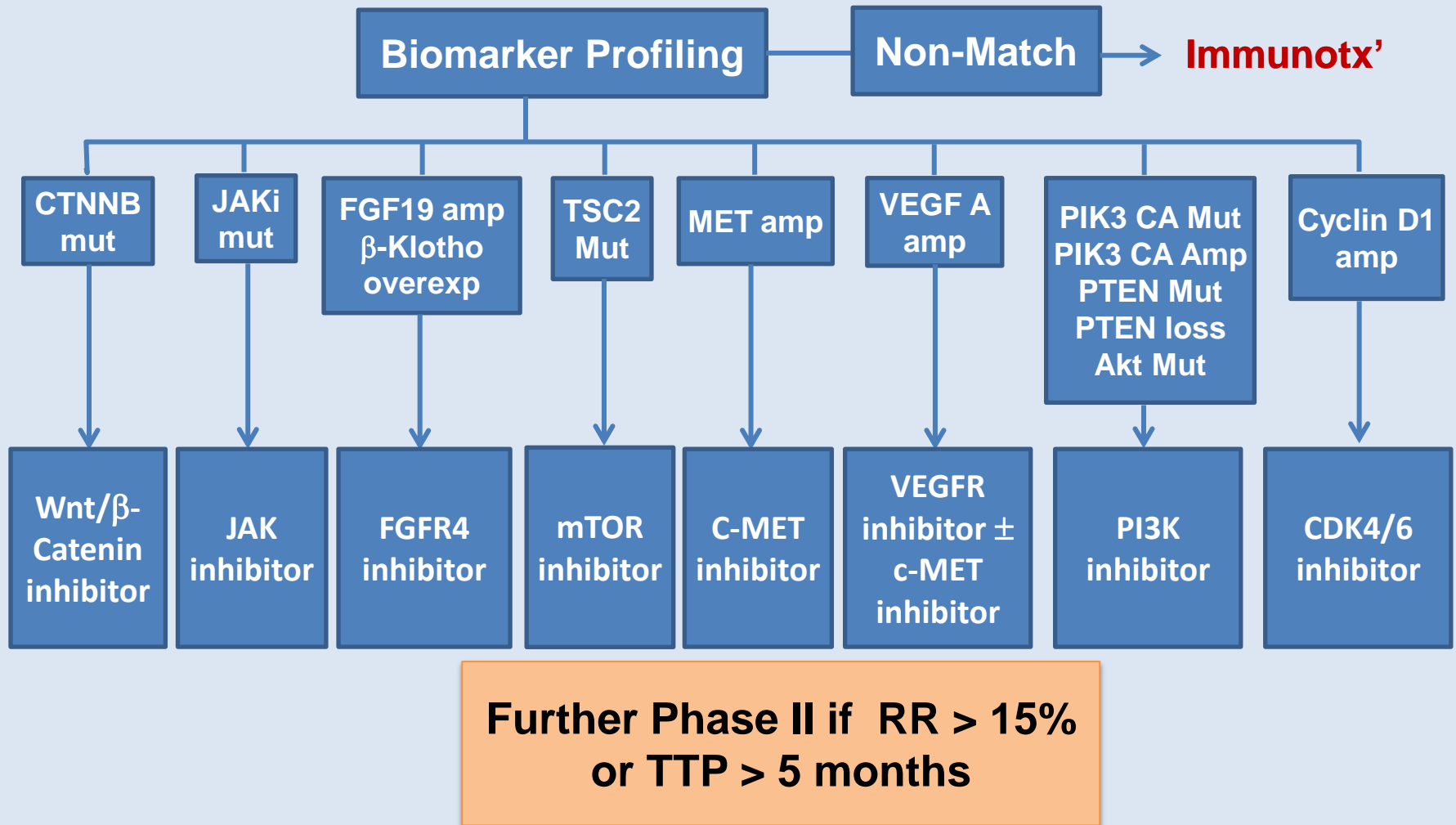
- **Wnt/ $\beta$ -Catenin**
- **JAK/STAT**
- **FGF19/FGFR4**
- **VEGF-A**
- **c-MET**
- **TSC-2**

# Master Protocol for 1<sup>st</sup>-line HCC – Adaptive, confirmatory



# Master Protocol for 2<sup>nd</sup>-line HCC

- Exploratory, single-arm



# **At the Crossroad**

## **Molecular Targeted Therapy at the Era of Immunotherapy**

- **While we are about to cash our long years of hard work on MTTs, the promise of immunotherapy has rapidly emerged ---**
- **How can we make the most out of the two modalities of treatment ?**

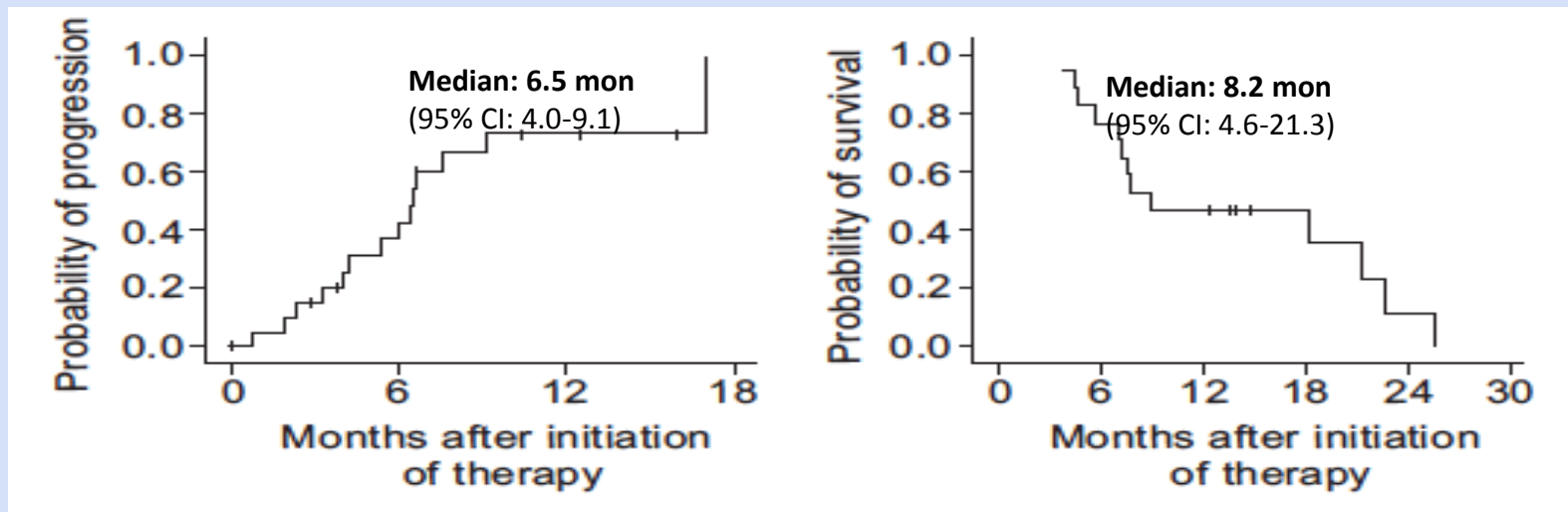
# Rationale of Using Immune Checkpoint Blockade (anti-CTLA-4, anti-PD1) in HCC

- **Increased CTLA-4(+) or PD-1(+) T cells in patients with chronic viral hepatitis and HCC**
  - Hsu PN et al. *Liver Int* 2010; 30: 1379-86
  - Shi F, et al. *Int J Cancer* 2011; 128: 887-96
  - Kalathil S et al. *Cancer Res* 2013; 73: 2435-44
- **Anti-CTLA-4 or anti-PD-1 may restore T cell function and have anti-viral effects**
  - Nakamoto N et al. *PLoS Pathog* 2009; 5: e1000313
  - Tzeng HT et al. *PLoS One* 2012; 7: e39179
  - Fuller MJ, et al. *Proc Natl Acad Sci USA* 2013; 110: 15001-6
- **Preliminary anti-tumor effects in HCC patients**
  - Sangro B et al. *J Hepatol* 2013; 59: 81-8
  - El-Khoueiri A et al. *ASCO* 2015



# Tremelimumab (Anti-CTLA-4) for HCV-HCC

- 21 pts with HCV-HCC which was not amenable to locoregional therapy (\* 40% were BCLC stage C; 57.5% were untreated)
- Tremelimumab 15 m/kg iv every 90 days for up to 4 cycles
- ORR: 17.6% (3 PRs, lasting for 3.6, 9.2 and 15.8 months)
- DCR: 76.4% (half of them lasting >6 months)



# Phase I/II Study of Nivolumab for Advanced Hepatocellular Carcinoma

## Investigator-Assessed Best Overall Response

	Uninfected (n=21)	HCV (n=11)	HBV (n=10)	Total Evaluable* (n=42)
Objective response, n (%)	3 (14)	4 (36)	1 (10)	8 (19)
Complete response	2 (10)	0	0	2 (5)
Partial response	1 (5)	4 (36)	1 (10)	6 (14)
Stable disease	10 (48)	5 (45) <sup>†</sup>	5 (50)	20 (48)
Progressive disease	8 (38)	2 (18)	4 (40)	14 (33)
Ongoing response, n (%)	3/3 (100)	3/4 (75)	0	6/8 (75)

Responses assessed by RECIST 1.1

\*5 patients not evaluable: first disease assessment not yet performed in 4 patients, 1 patient died from clinical progression before disease assessment

<sup>†</sup>Patient with resolved HCV infection



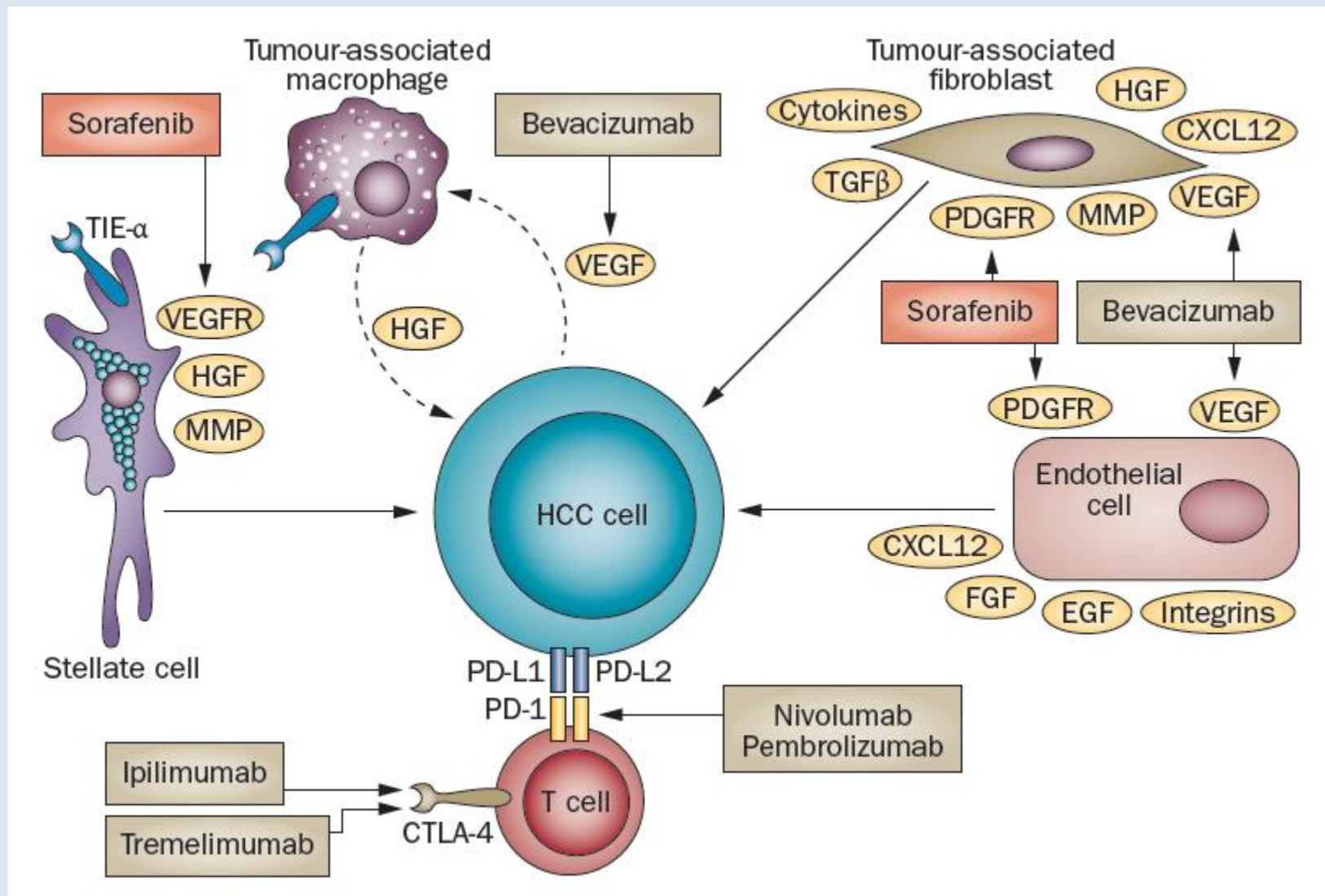
Baseline  
(31 July 2015)



After 2 doses of nivolumab  
(31 August 2015)

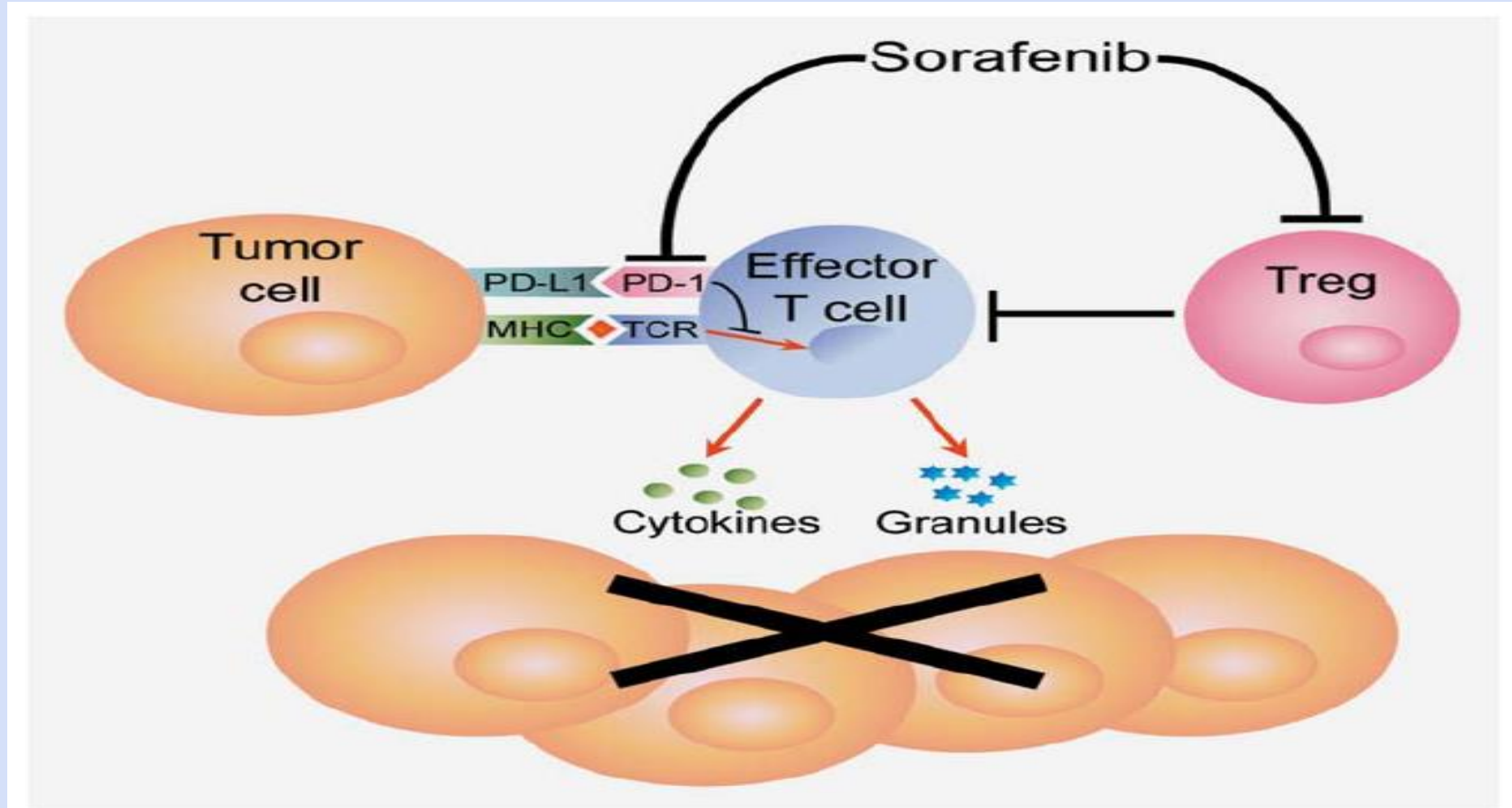
# **Combination of Immune Checkpoint Inhibitors and Targeted Therapy**

# Molecular Therapies Acting on Immune Checkpoints and The Microenvironment



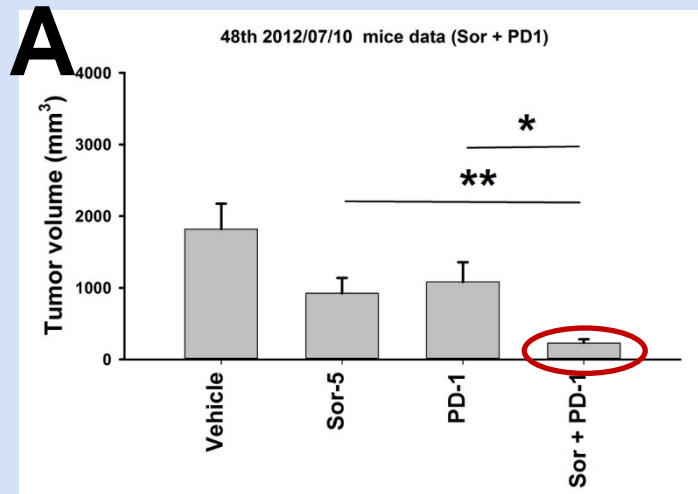


## Sorafenib Relieves Cell-intrinsic and Cell-extrinsic Inhibitions of Effector T Cells in Tumor Microenvironment to Augment Antitumor Immunity

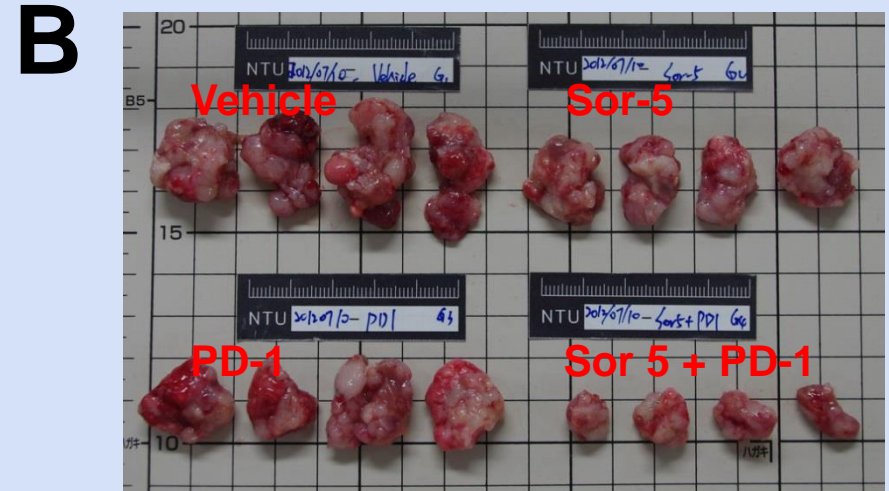
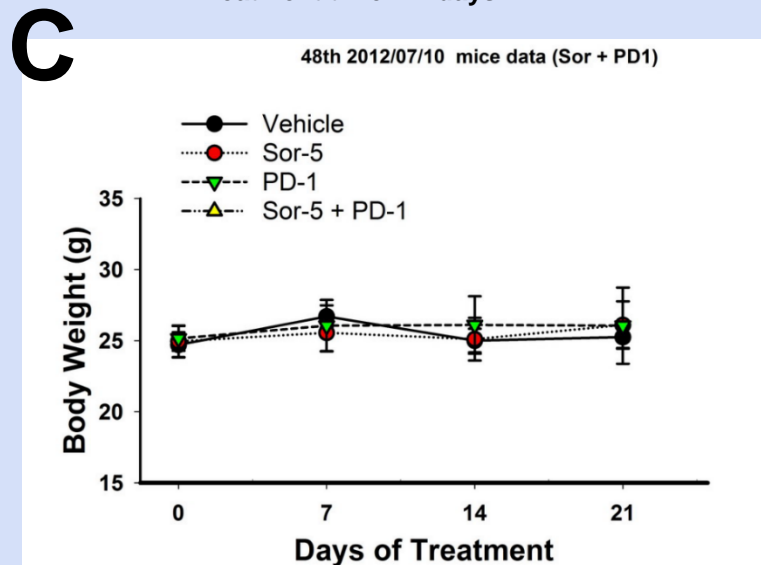


**Figure 6.** A model illustrating the mechanisms by which sorafenib augments antitumor immunity through relieving PD-1- and Treg-mediated inhibitions in tumor microenvironment.

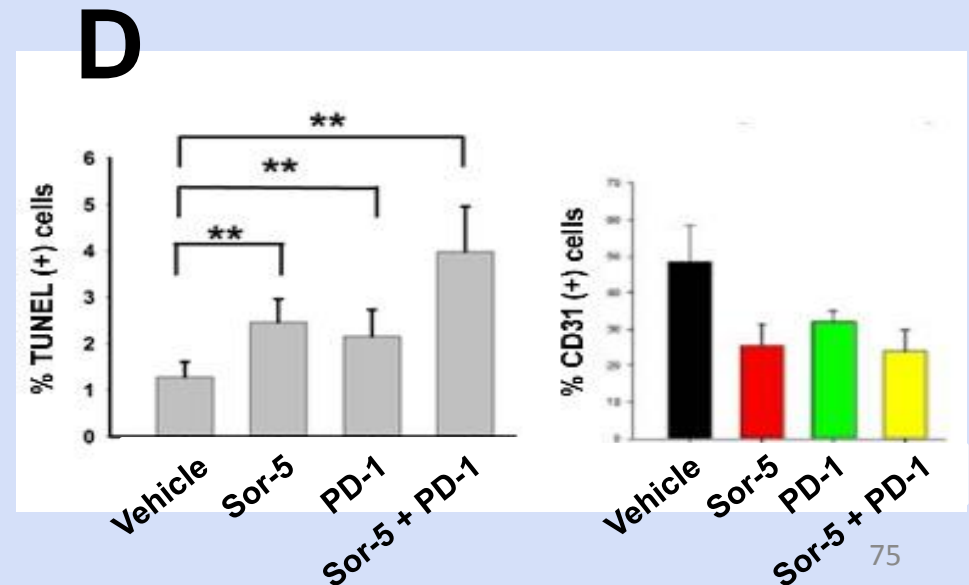
# Potential Anti-tumor Synergy Between Sorafenib and Anti-PD-1 (Hsu C et al, 2015)



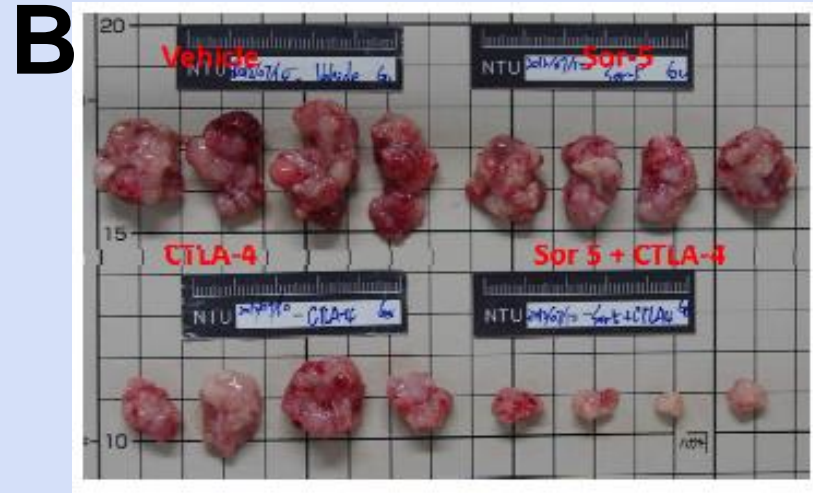
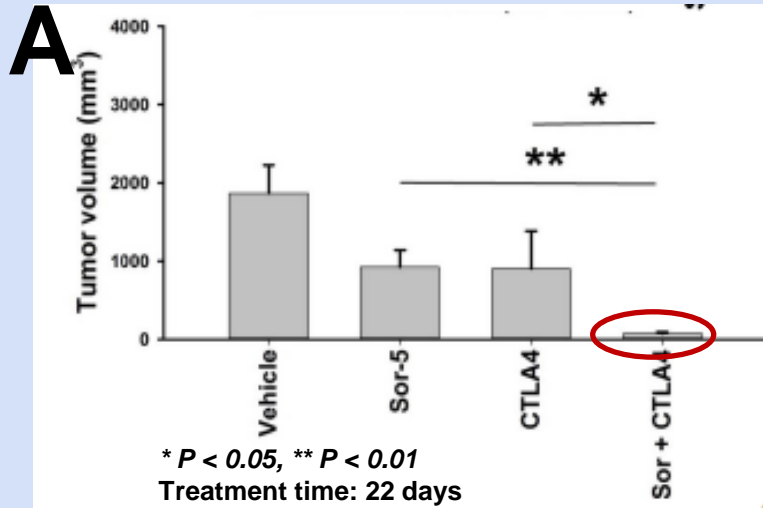
Treatment time: 22 days



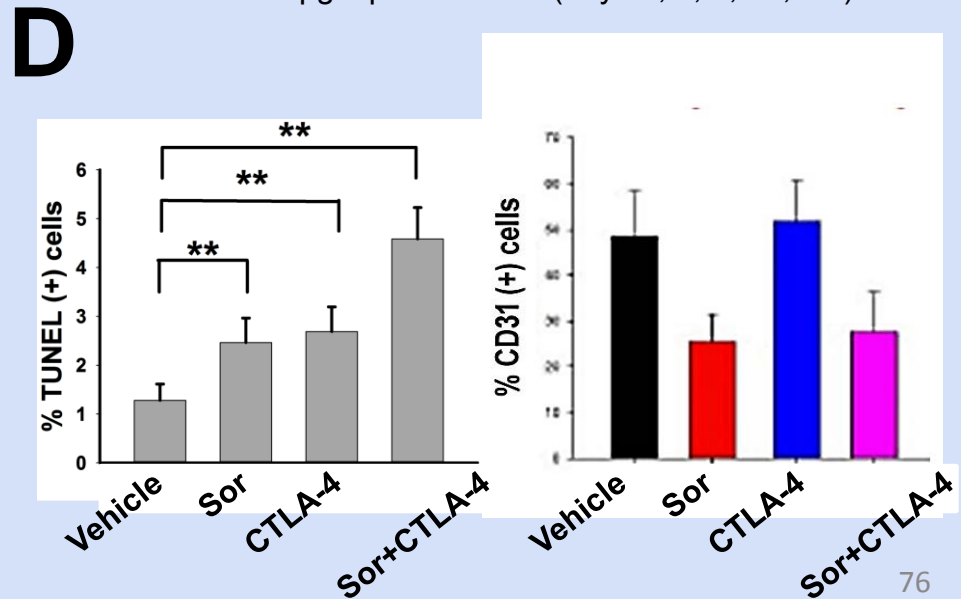
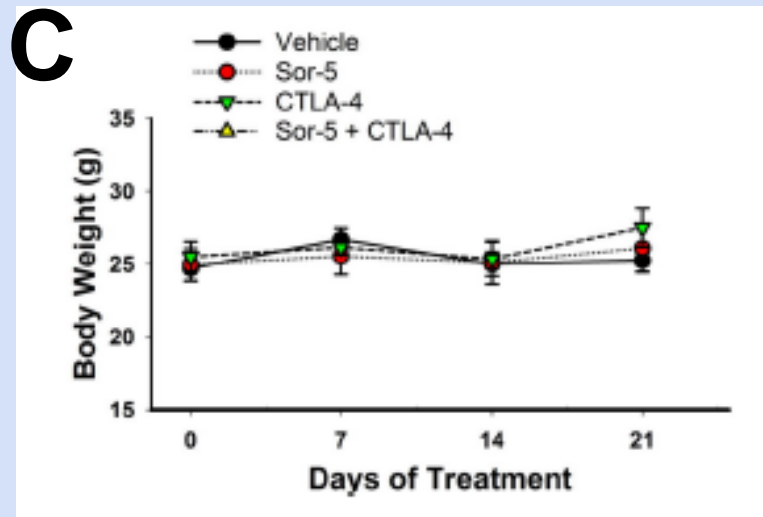
➤ anti-PD1: 200 µg/ i.p. × 5 doses (days 5, 7, 9, 14, 21 )



# Potential Anti-tumor Synergy Between Sorafenib and Anti-CTLA4



➤ anti-CTLA4: 100 µg/ i.p. × 5 doses (days 5, 7, 9, 14, 21)

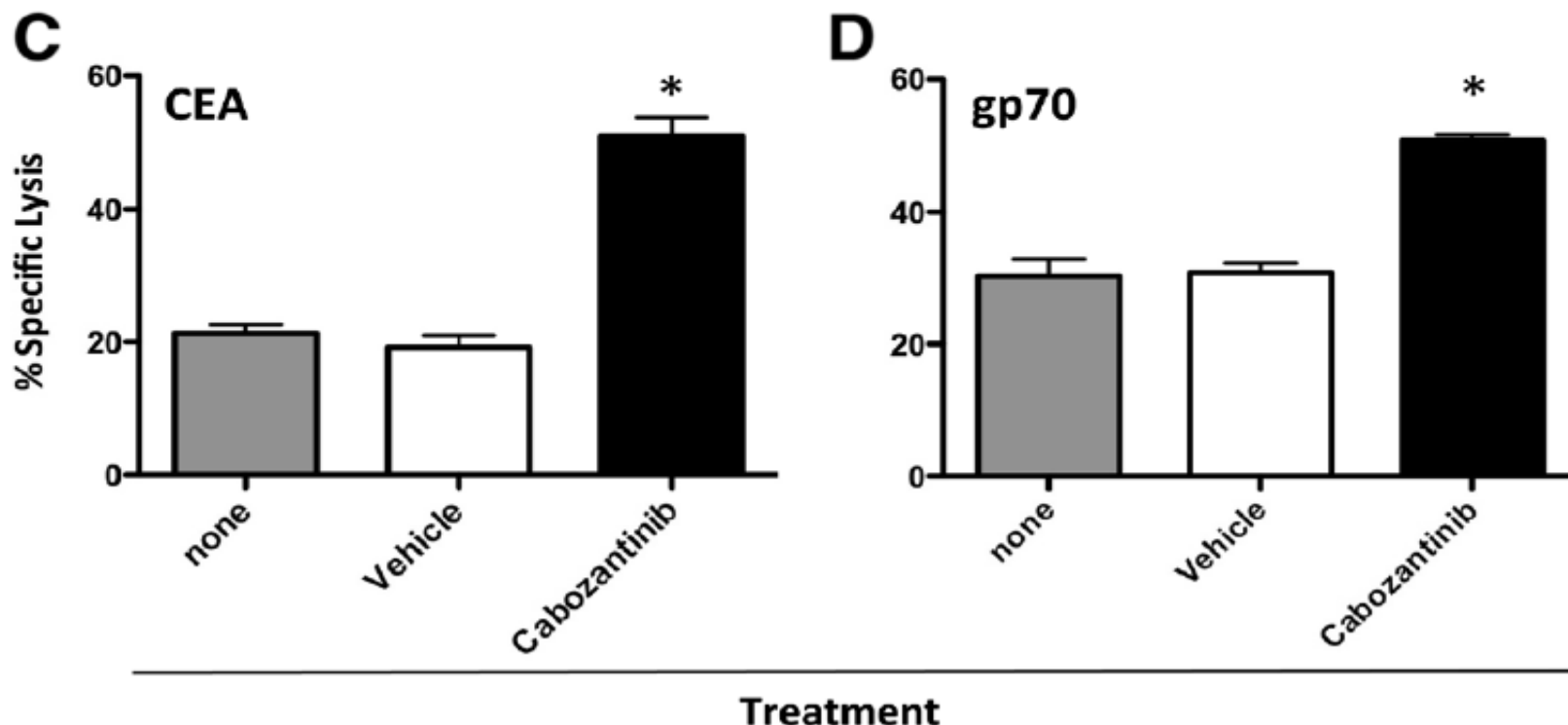




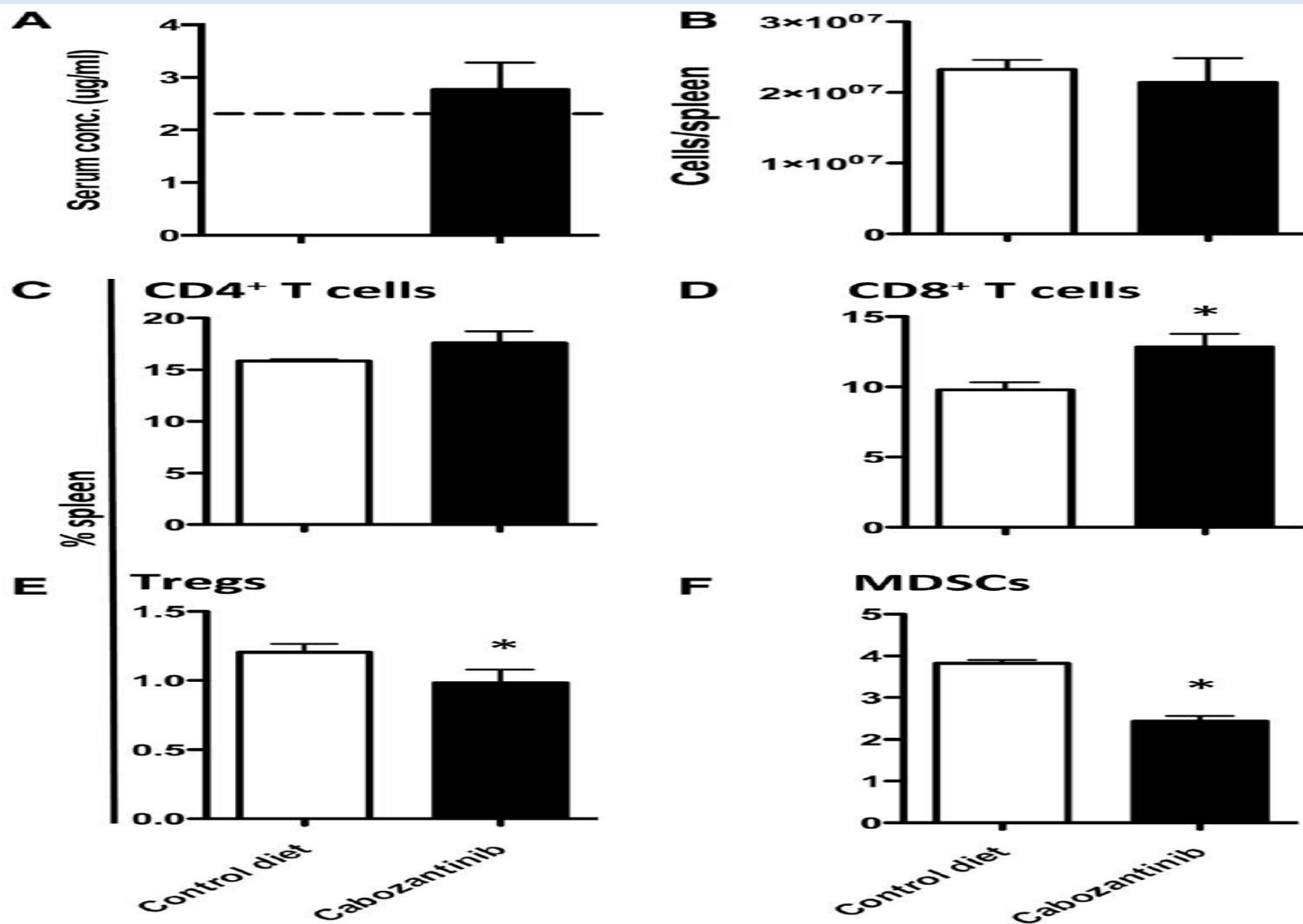
# Cabozantinib Alters The Phenotype And Increases The Sensitivity of MC38-CEA Cells to T cell-mediated killing

Phenotypic changes in MC38-CEA cells after cabozantinib treatment

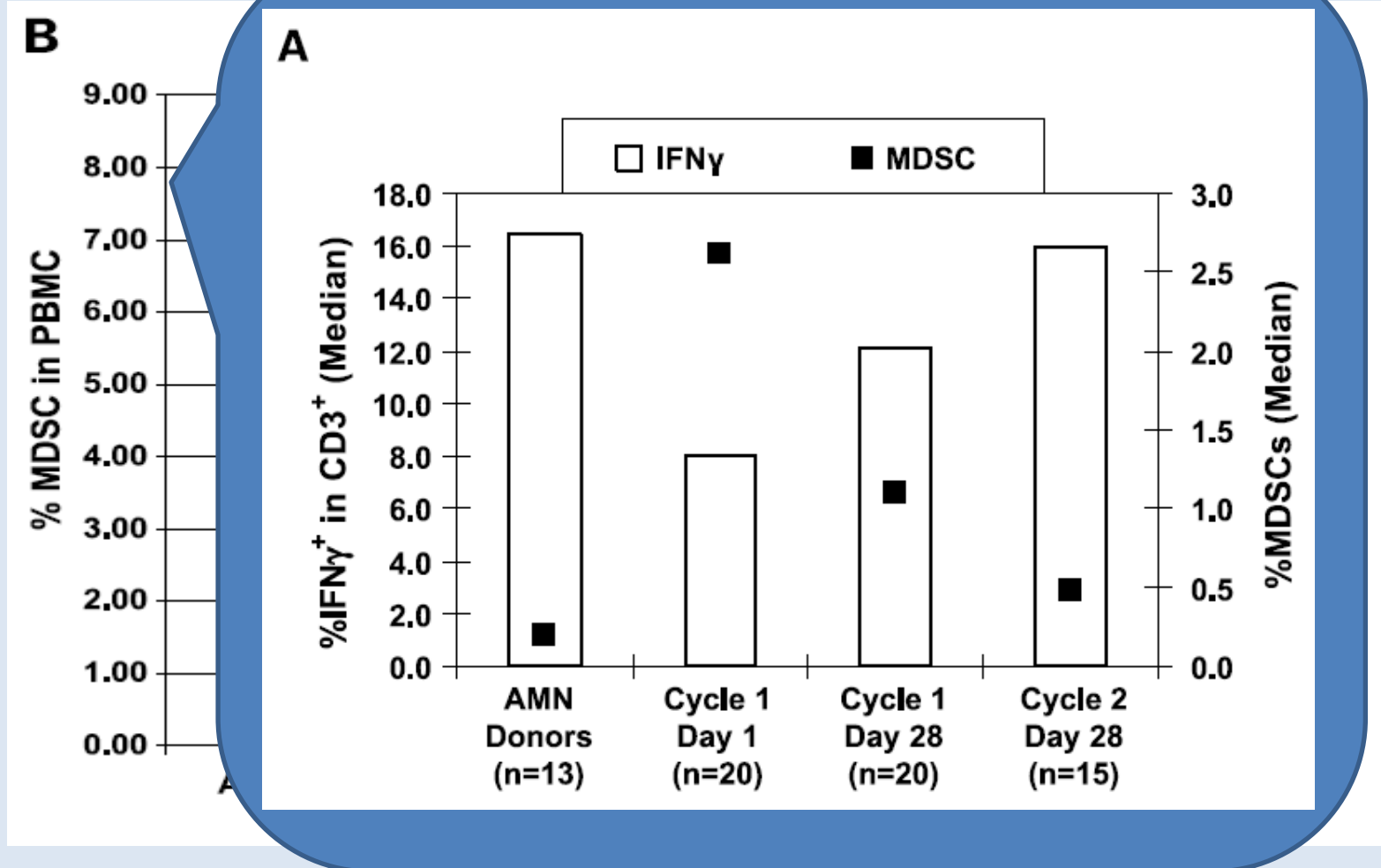
	CEA	H-2Kb	H-2Db	ICAM-1	Fas	Calreticulin
<b>Vehicle</b>	68.1 (6665)	91.7 (4458)	19.2 (254)	0.7 (301)	6.1 (578)	4.2 (141)
<b>Cabozantinib</b>	69.1 (7070)	95.0 (8139)	<b>40.5 (302)</b>	<b>14.6 (239)</b>	<b>36.0 (565)</b>	<b>20.3 (168)</b>



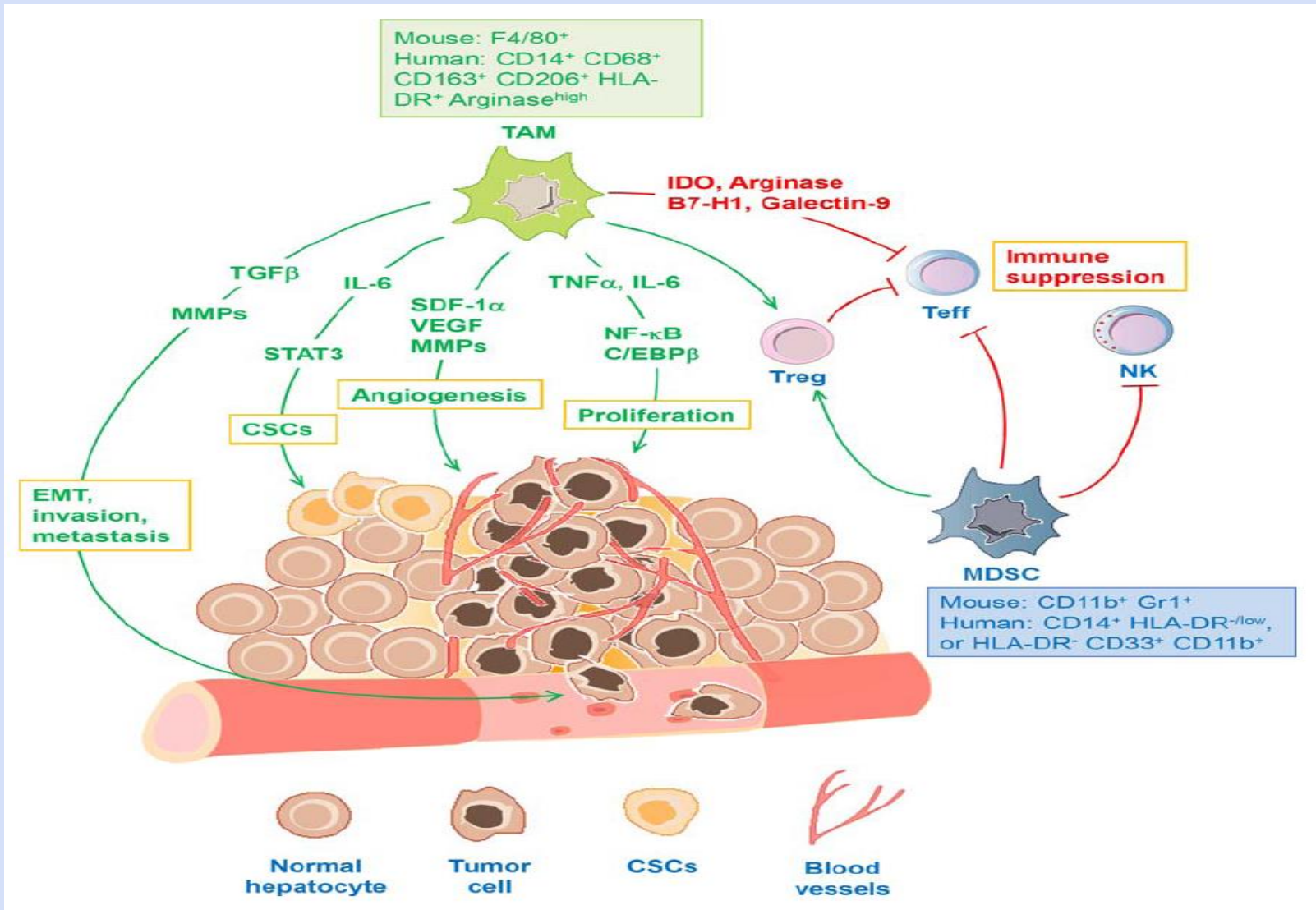
## Cabozantinib Alters The Immune-cell Repertoire of C57BL/6 Mice



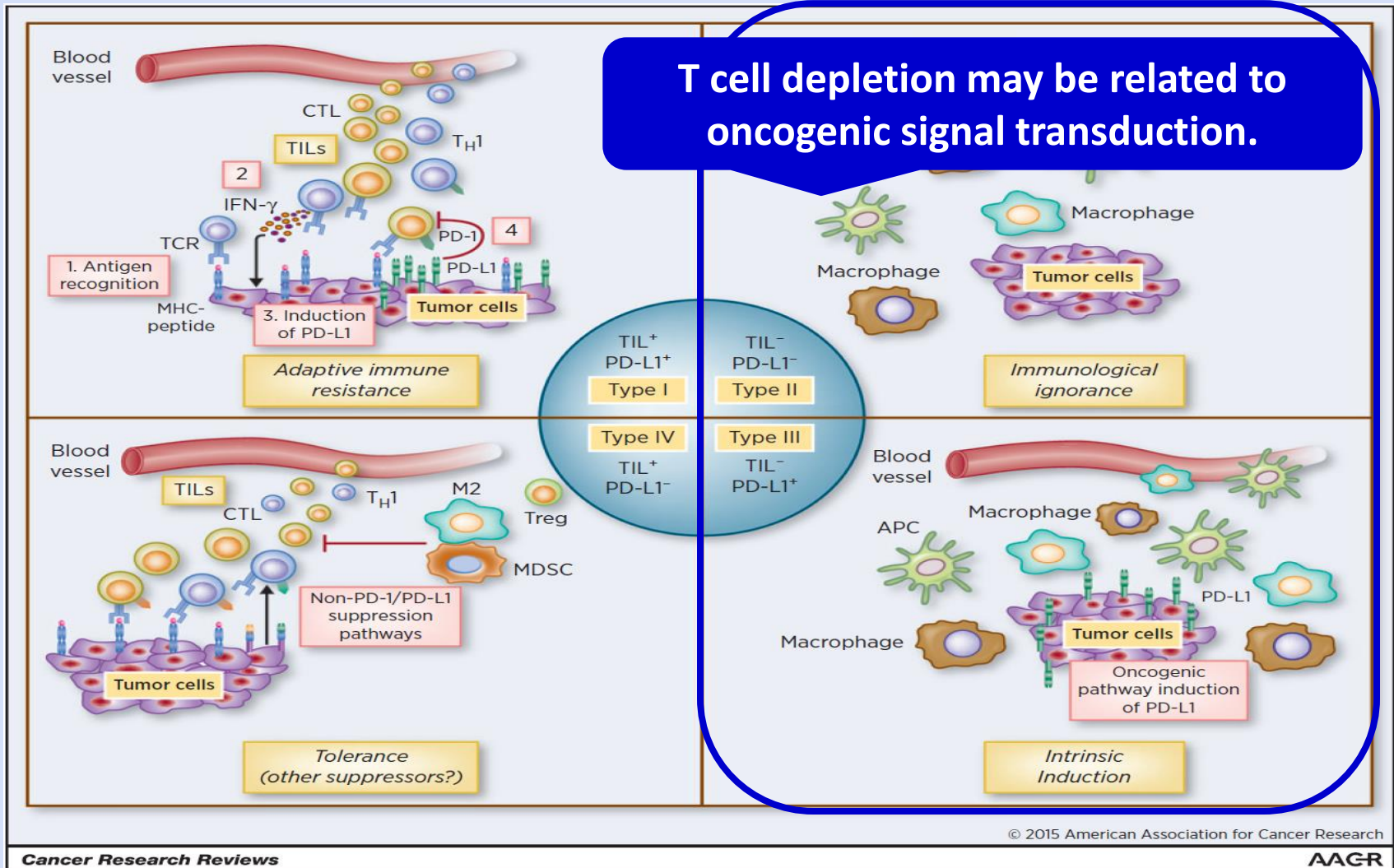
# Sunitinib Mediates Reversal of MDSC Accumulation in Renal Cell Carcinoma



# Immunosuppressive and Tumor-Promoting Functions of TAMs and MDSCs in HCC



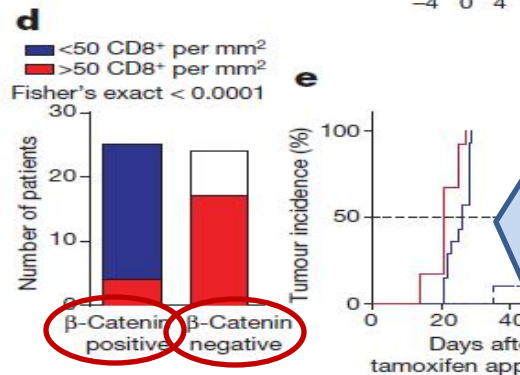
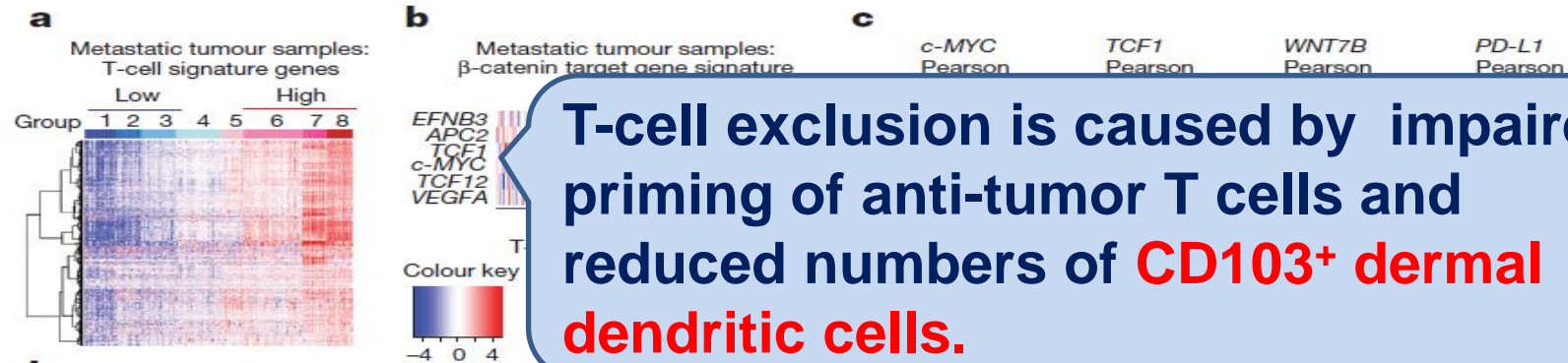
# Classifying Cancers Based on T-cell Infiltration and PD-L1



Teng MW, et al. Cancer Res. 2015;75(11):2139-2145.



# Melanoma-intrinsic $\beta$ -catenin Pathway Activation Correlates with T-cell Exclusion



Wnt/ $\beta$ -catenin signalling induces expression of **ATF3**, which suppresses **CCL4** and thereby interferes recruitment and activation of  **$CD103^+$**  dendritic cells.

# Small-molecules Targeting Wnt Signaling

## IWP (Inhibitors of Wnt production)

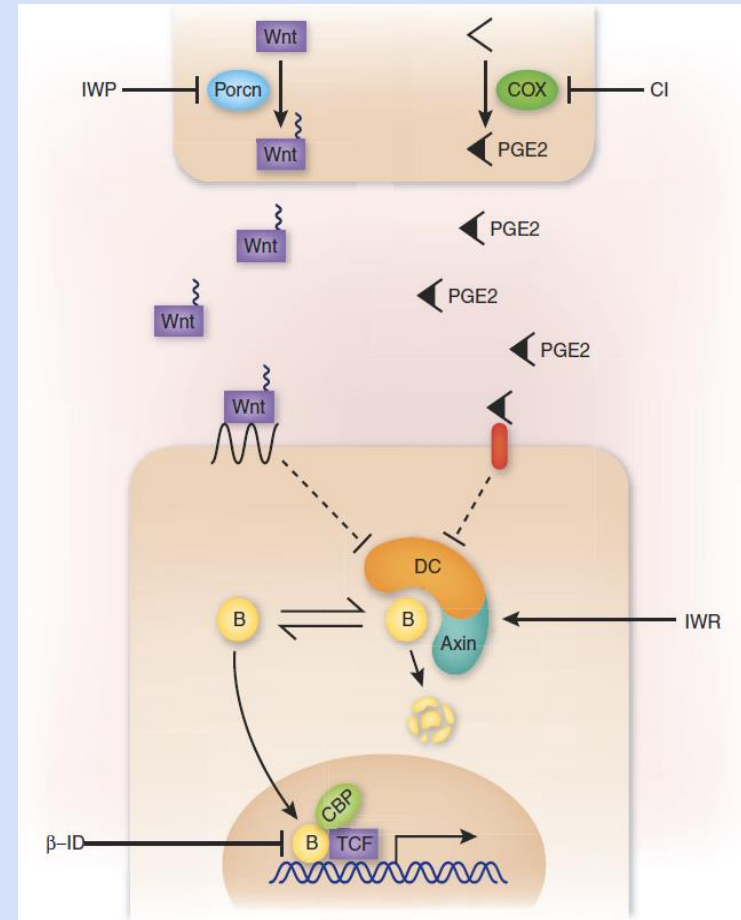
- LGK974

## IWR (Inhibitors of Wnt response)

- XAV939
- Other Tankyrase inhibitors

## $\beta$ -ID ( $\beta$ -catenin interaction disruptors)

- ICG-001
- PRI-724



~ Yeh JY & Peterson RT: *Nature Chem Biol* 2009;5:74 (News) &  
~ Chen B et al: *Nature Chem Biol* 2009;5:100-.

# Phase I/II Study of Nivolumab for Advanced Hepatocellular Carcinoma

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Responses assessed by RECIST 1.1

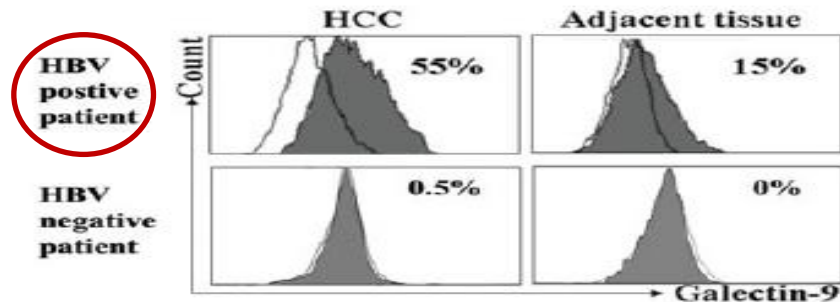
\*5 patients not evaluable: first disease assessment not yet performed in 4 patients, 1 patient died from clinical progression before disease assessment

<sup>†</sup>Patient with resolved HCV infection

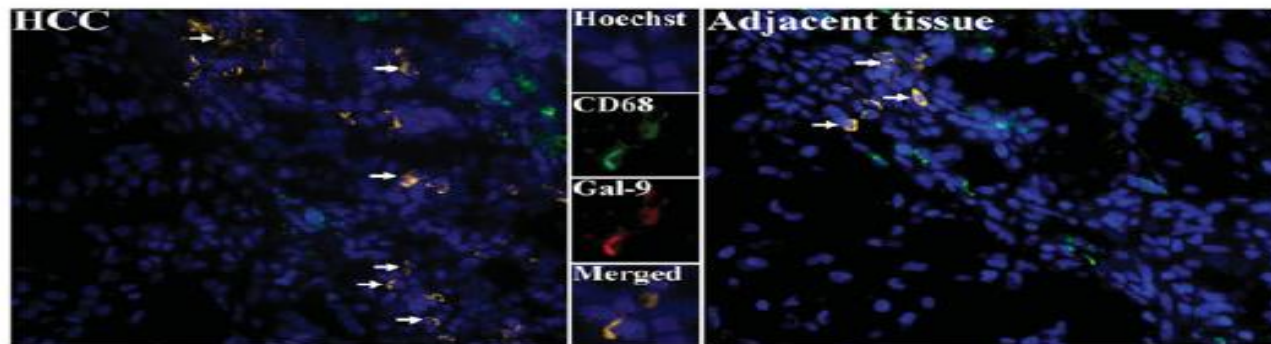


# Kupffer Cells of **HBV-HCC** Express High Level of **Galectin-9**

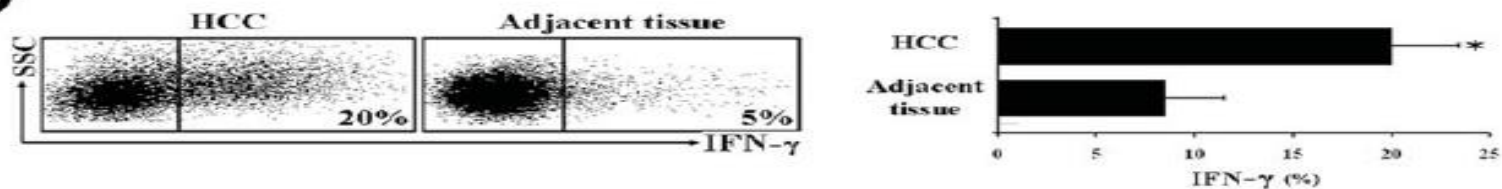
**B**



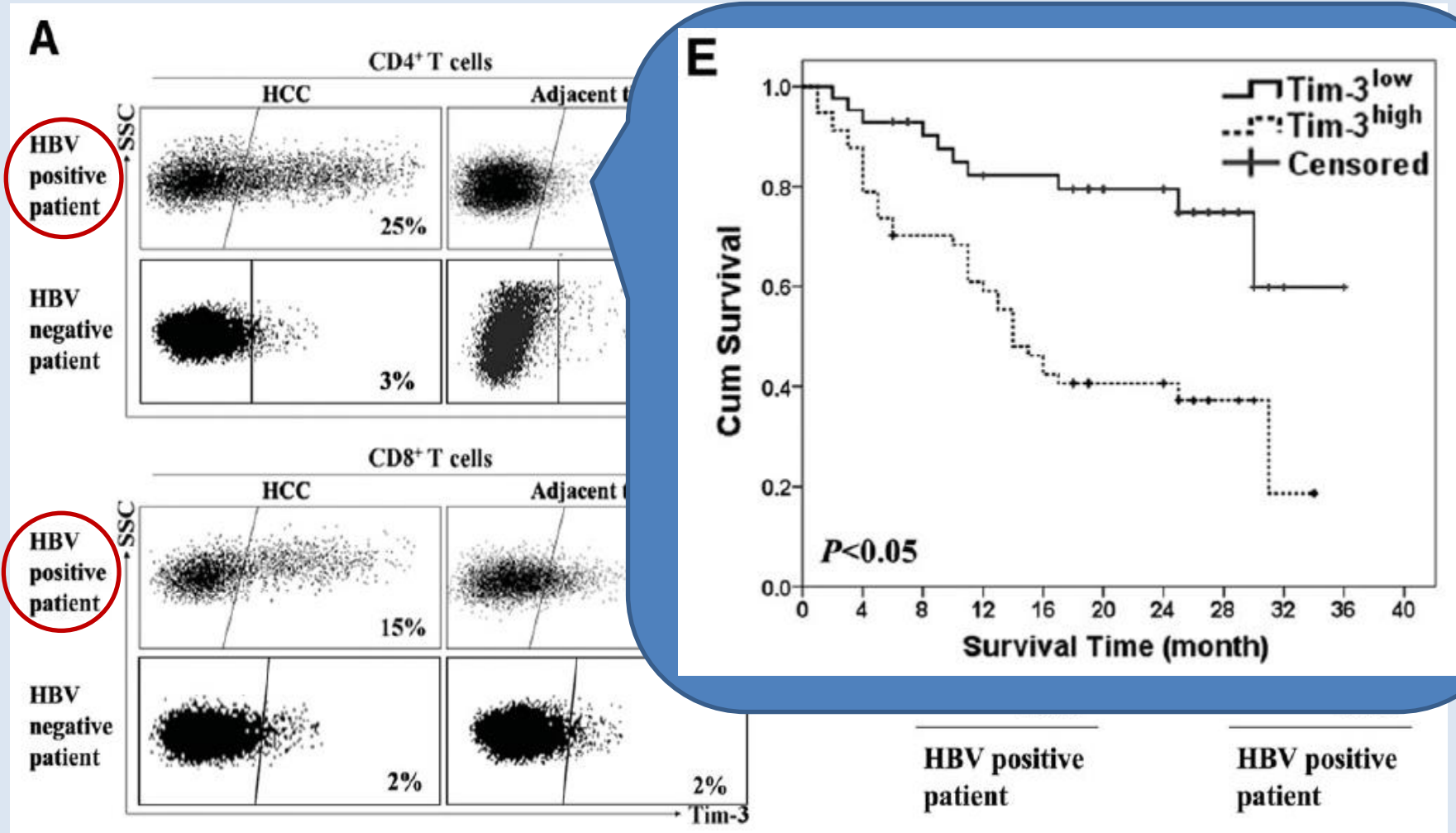
**C**



**D**



# HBV-HCC is Associated with Higher Expression of Tim-3 of Infiltrating T cells

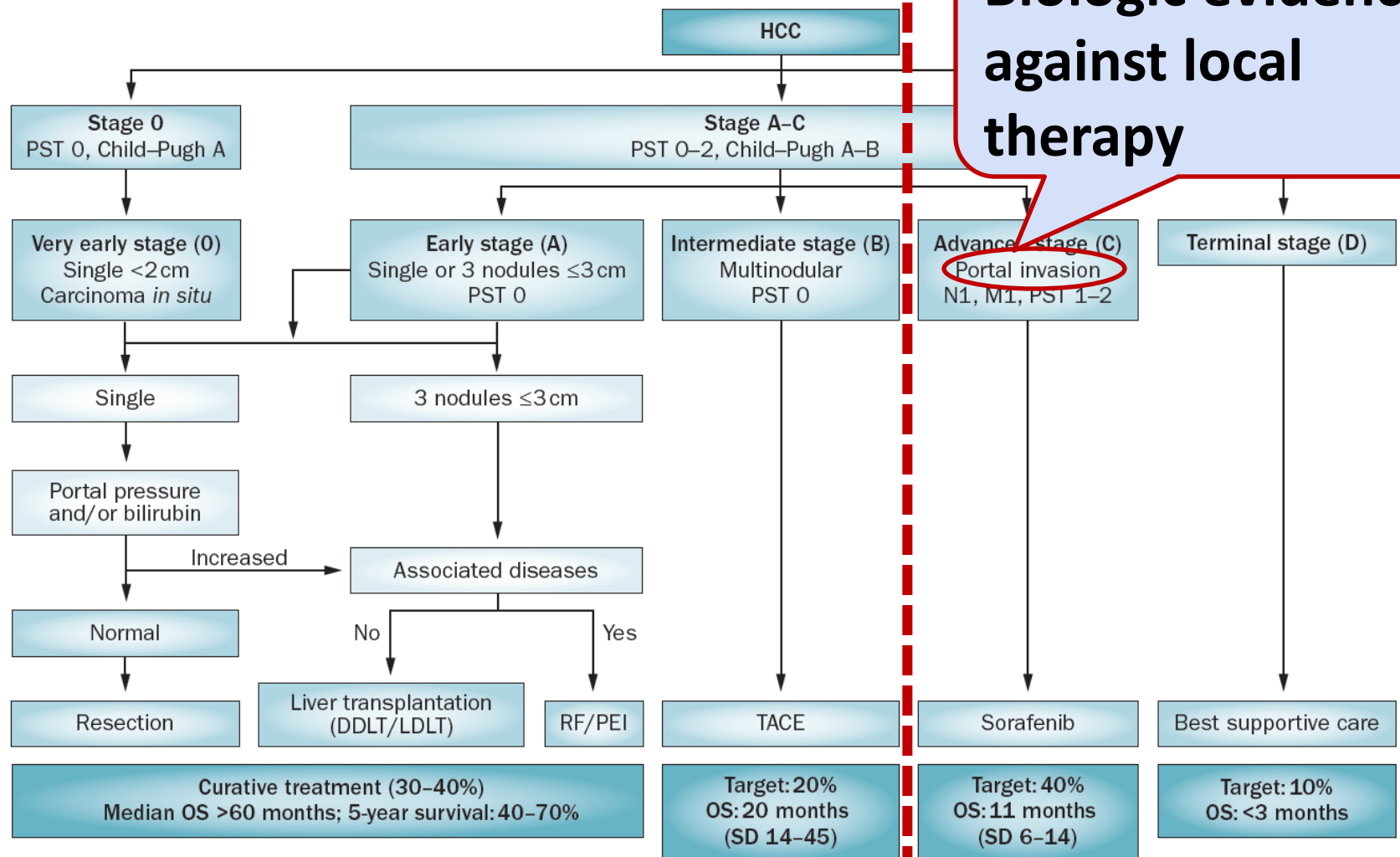


# Conclusions

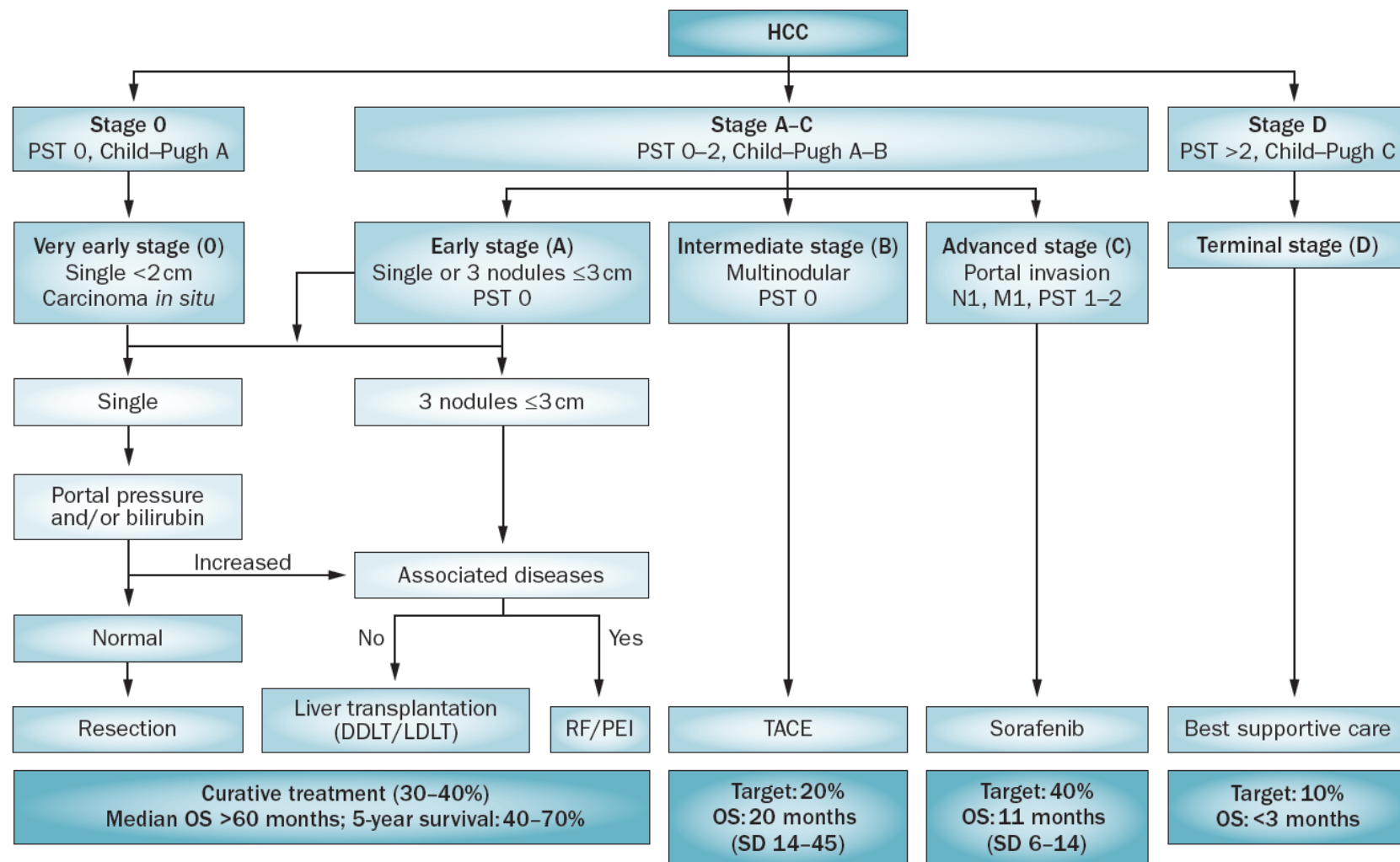
- Novel molecular target therapy beyond sorafenib , such as lenvatinib, regorafenib, cabozantinib, c-MET inhibitors, and FGFR4 inhibitors are being investigated.
- Immunotherapy holds promise, but needs to be refined by better patient enrichment.
- Combination of MTT and immunotx. is an important direction for research.
- Exploration of unique immunobiologic features of HCC is mandatory for personalized targeted and/or immunotherapy.

# BCLC Staging System and Therapeutic Strategy According to EASL–EORTC Guidelines

**Biologic evidence  
against local  
therapy**

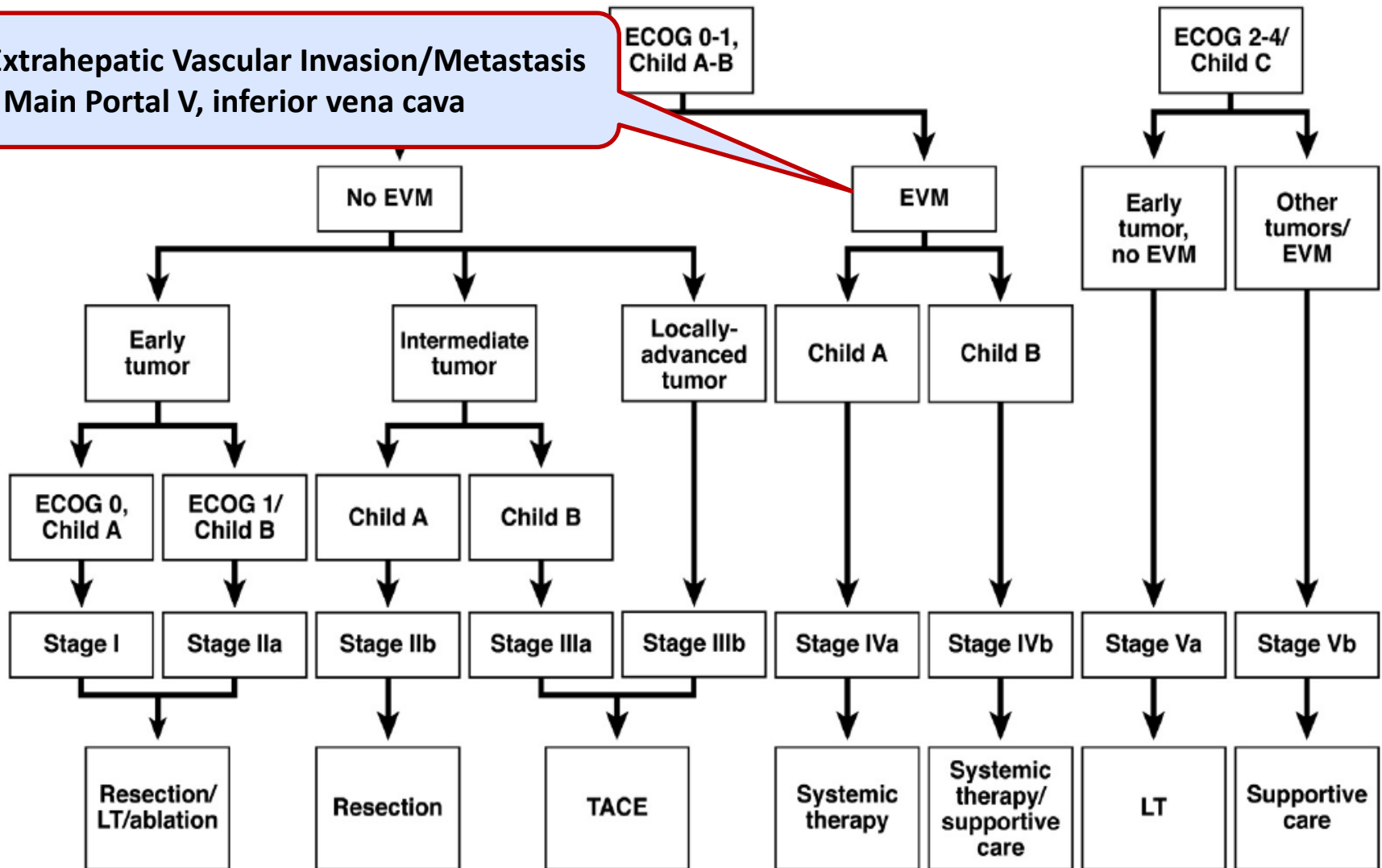


# BCLC Staging System and Therapeutic Strategy According to EASL–EORTC Guidelines



# Hong Kong Liver Cancer Staging System

**Extrahepatic Vascular Invasion/Metastasis  
: Main Portal V, inferior vena cava**



## **Notable ongoing:**

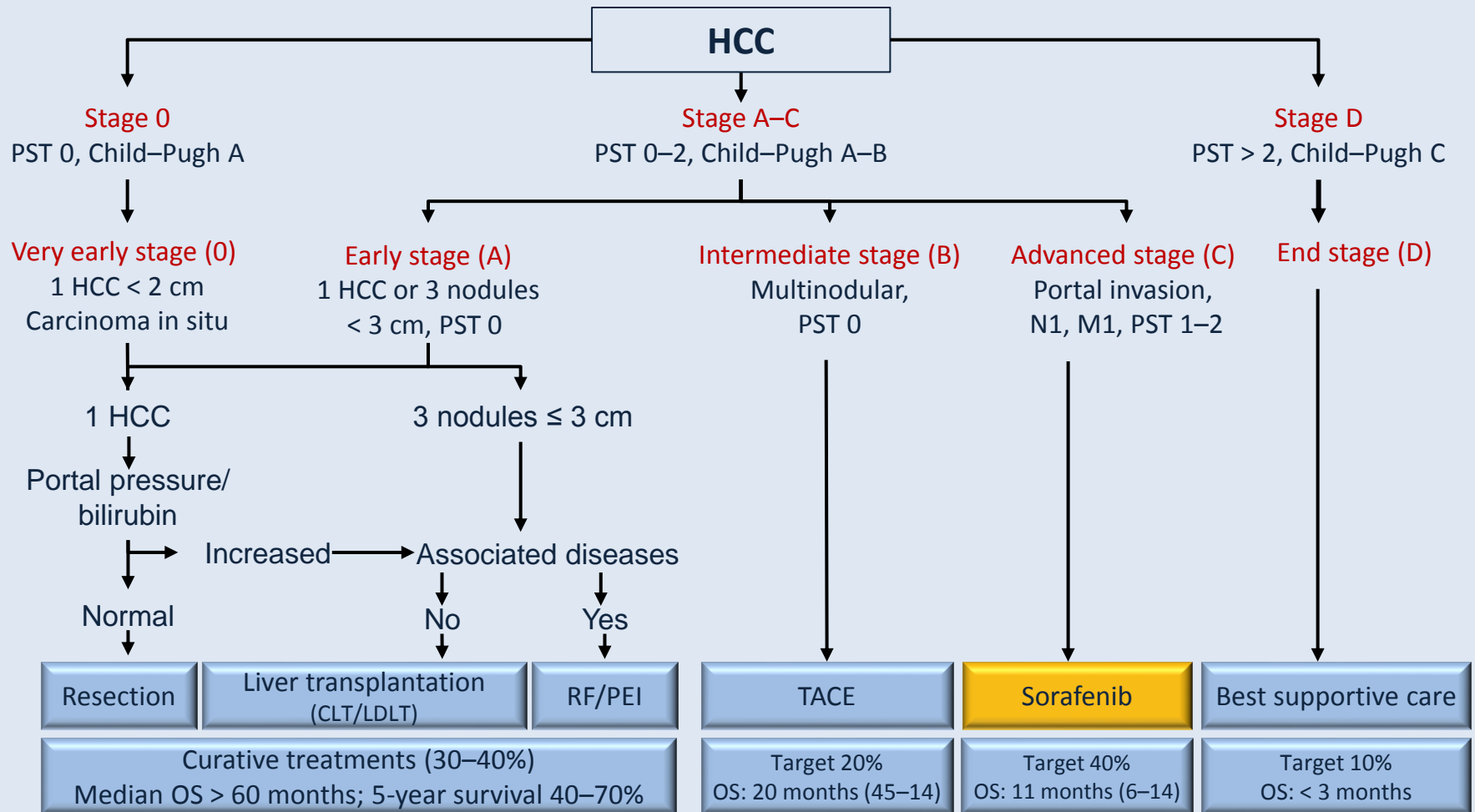
**Lenvatinib – phase III, 1<sup>st</sup>-line (vs sorafenib)**

**Tivantinib – phase III, 2<sup>nd</sup>-line (c-met enriched)**

**Cabozantinib – phase III, 2<sup>nd</sup>-line**

**Regorafenib – phase III, 2<sup>nd</sup>-line**

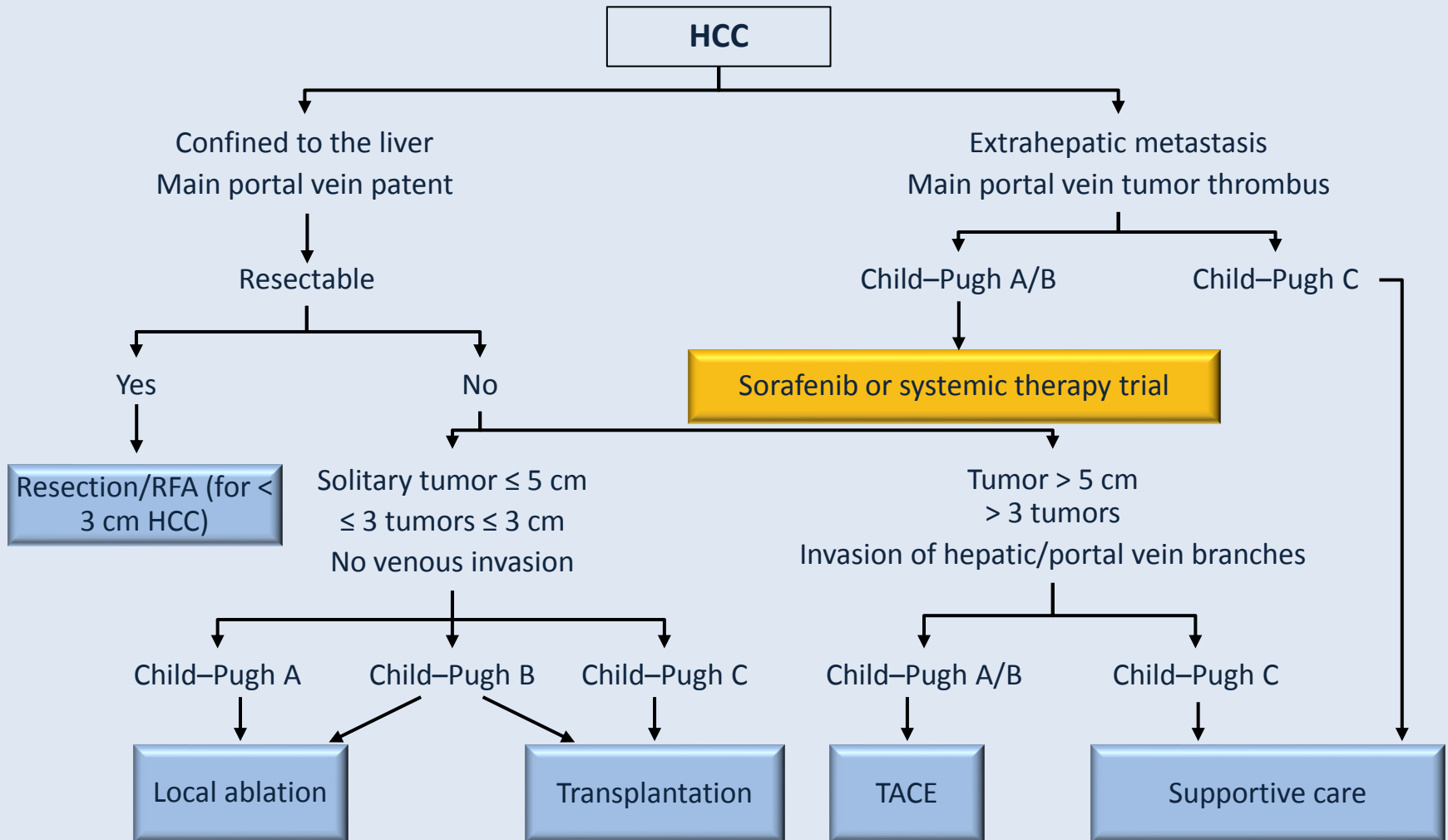
# EASL-EORTC guidelines (updated 2012)



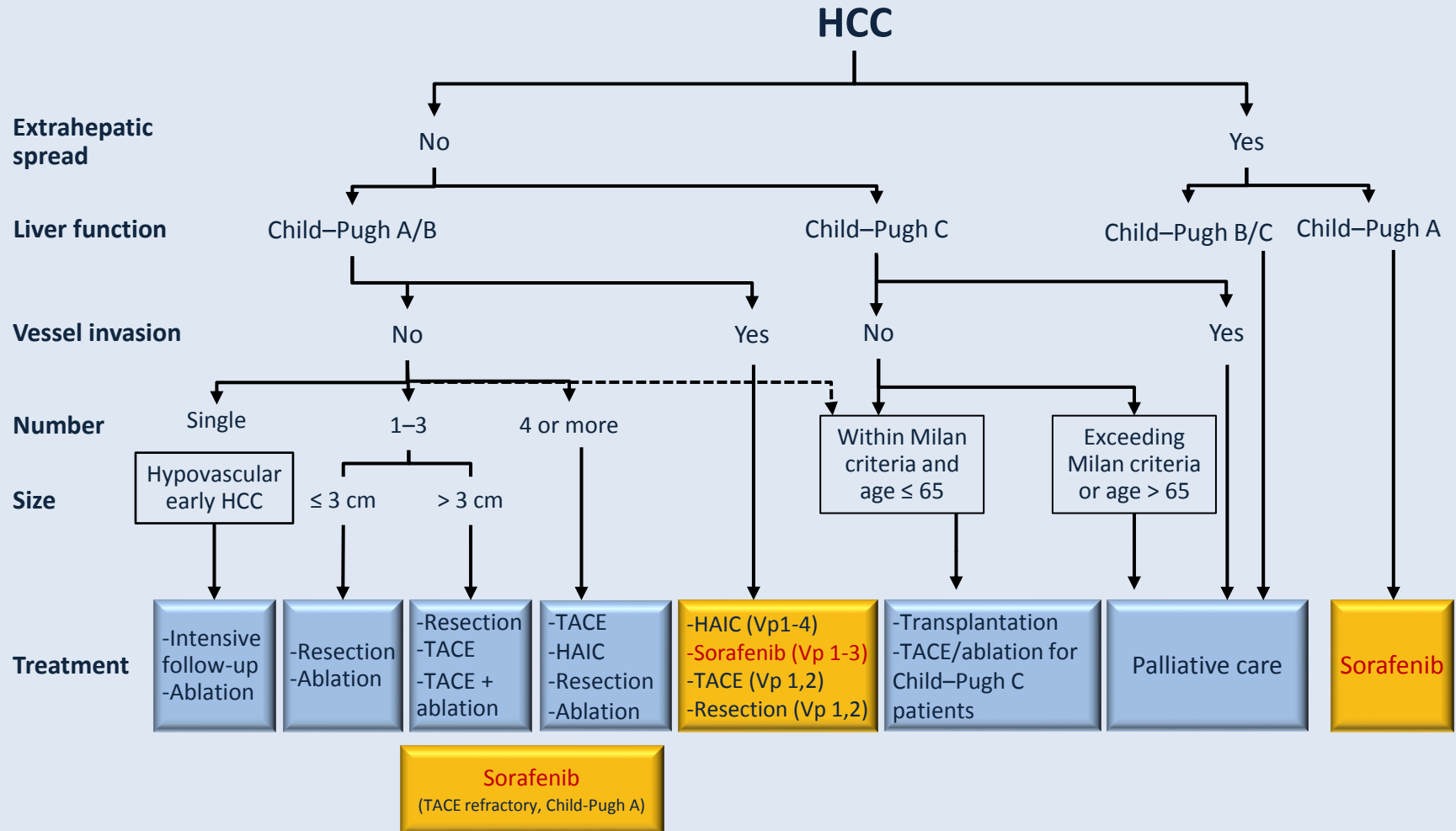
CLT, cadaveric liver transplantation; EASL, European Association for the Study of the Liver;  
EORTC, European Organisation for Research and Treatment of Cancer;  
LDLT, living donor liver transplantation



# APASL guidelines



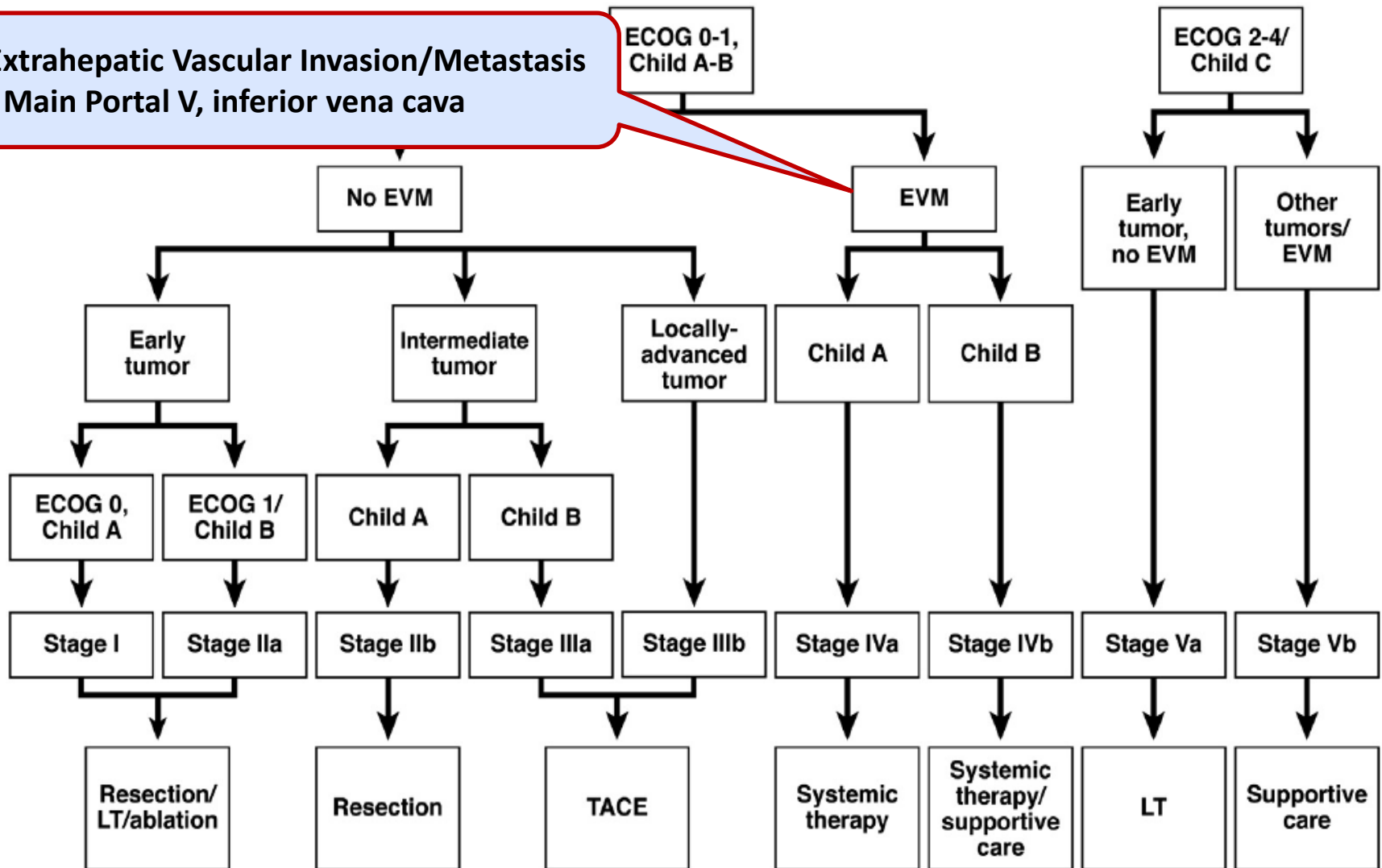
# Japan Society of Hepatology: consensus-based treatment algorithm



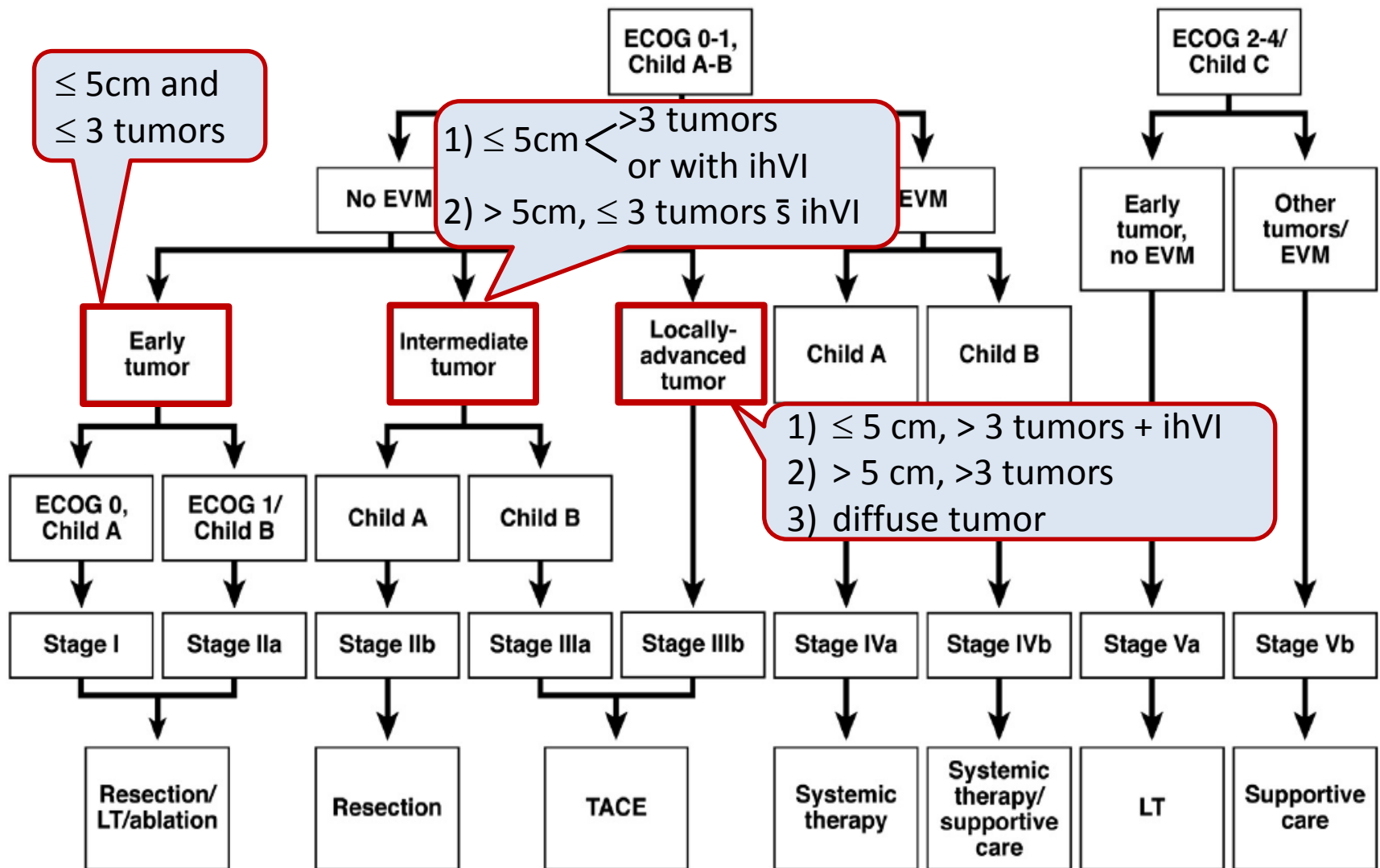
HAIC = hepatic arterial infusion chemotherapy.

# Hong Kong Liver Cancer Staging System

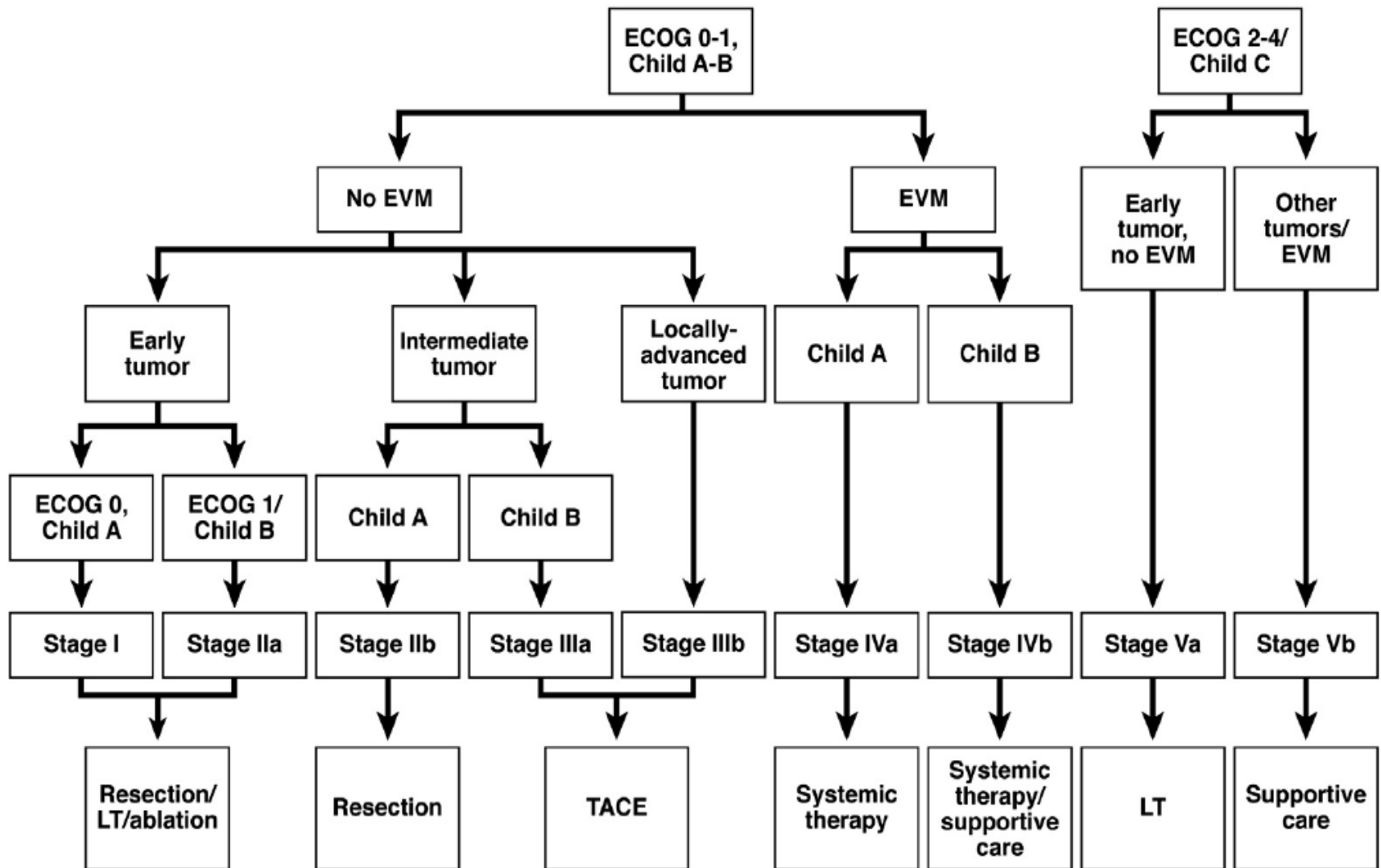
**Extrahepatic Vascular Invasion/Metastasis  
: Main Portal V, inferior vena cava**



# Hong Kong Liver Cancer Staging System

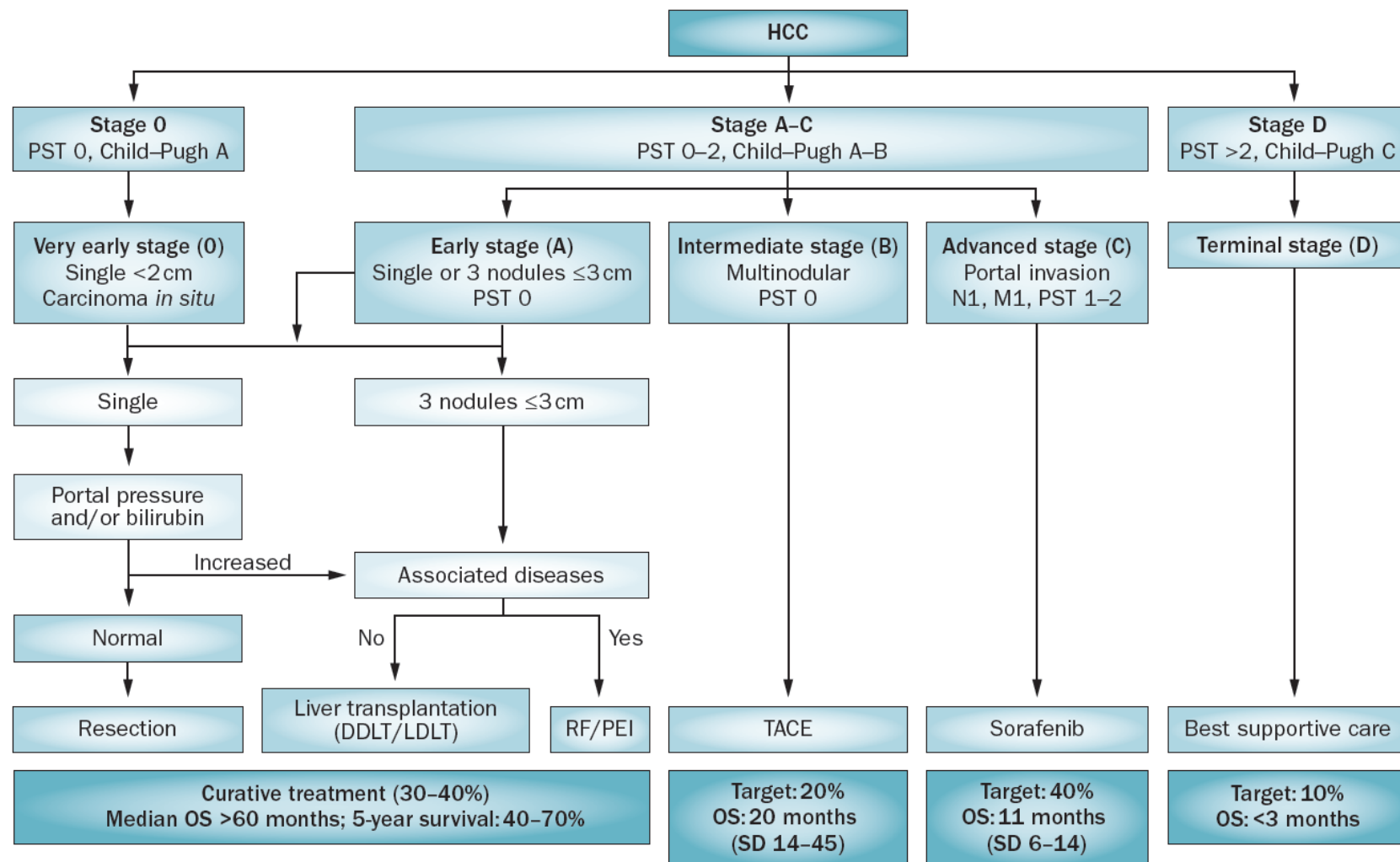


# Hong Kong Liver Cancer Staging System





# BCLC Staging System and Therapeutic Strategy According to EASL–EORTC Guidelines



# TACE Outcomes

## Tumor remission

- WHO, RECIST, EASL, m-RECIST
- “Lipiodol retention”

## Tumor progression

- TACE failure
- TACE refractory
- TACE unsuitable
- TACE unrepeatable
- TACE contraindicated
- Untreatable progression
- .....



## TACE Failure/Ref

**Evaluated by Size? Lipiodol ? or Enhancement/necrosis?**

### 1. TACE ineffective in controlling TACEed tumors

- $\geq 2$  , 3 in 6 months , or 4 in 6 months → **TACE Refractory**

### 2. Hepatic recurrence after effective TACE

- Further TACE not possible (e.g. sustained as invasion, deteriorated liver function, bleeding problems such as AP/AV shunt, small arteries, poor tumor stain) → **Non-TACEable hepatic recurrence**
- Further TACE possible (progression of TACEed tumors or emergence of new tumors)

### 3. TACE not recommended due to systemic condition

- Distant metastases – significant vs
  - Deteriorated performance status
  - ( $\alpha$ -FP)
- TACE not recommended**

# TTUP

Time to untreatable progression

**Minor branch invasion is not considered contraindication**

**No maximum number, Asian Drs tend to do more**

Failure to achieve objective response after at least two TACE sessions

- Appearance of contraindications
  - Vascular invasion
  - Extrahepatic spread
  - Sustained ascites
  - Sustained Child-Pugh B
  - Clinical progression to ECOG PS ≥ 2
  - Platelet count < 60,000/ $\mu$ L

**Insignificant mets is not considered contraindication.**

**Subjective, may overlap with post-TACE syndrome**

**Can be managed by transfusion**

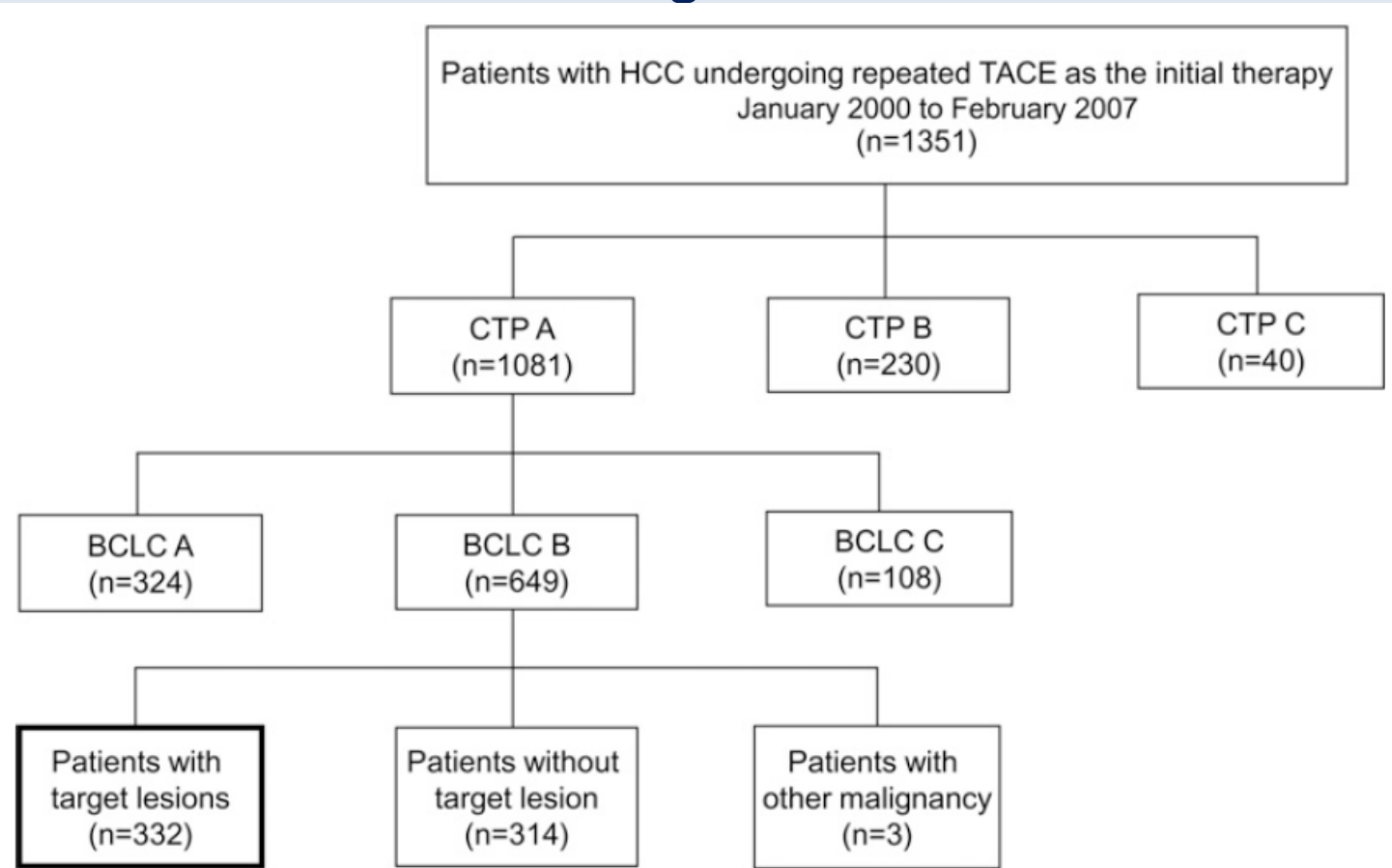
# mRECIST for HCC

A **target lesion** should meet all the following criteria:

- The lesion can be classified as a RECIST measurable lesion (i.e., the lesion can be accurately measured in at least one dimension as 1 cm or more).
- The lesion is suitable for repeat measurement.
- The lesion shows intratumoral arterial enhancement on contrast-enhanced CT or MRI.

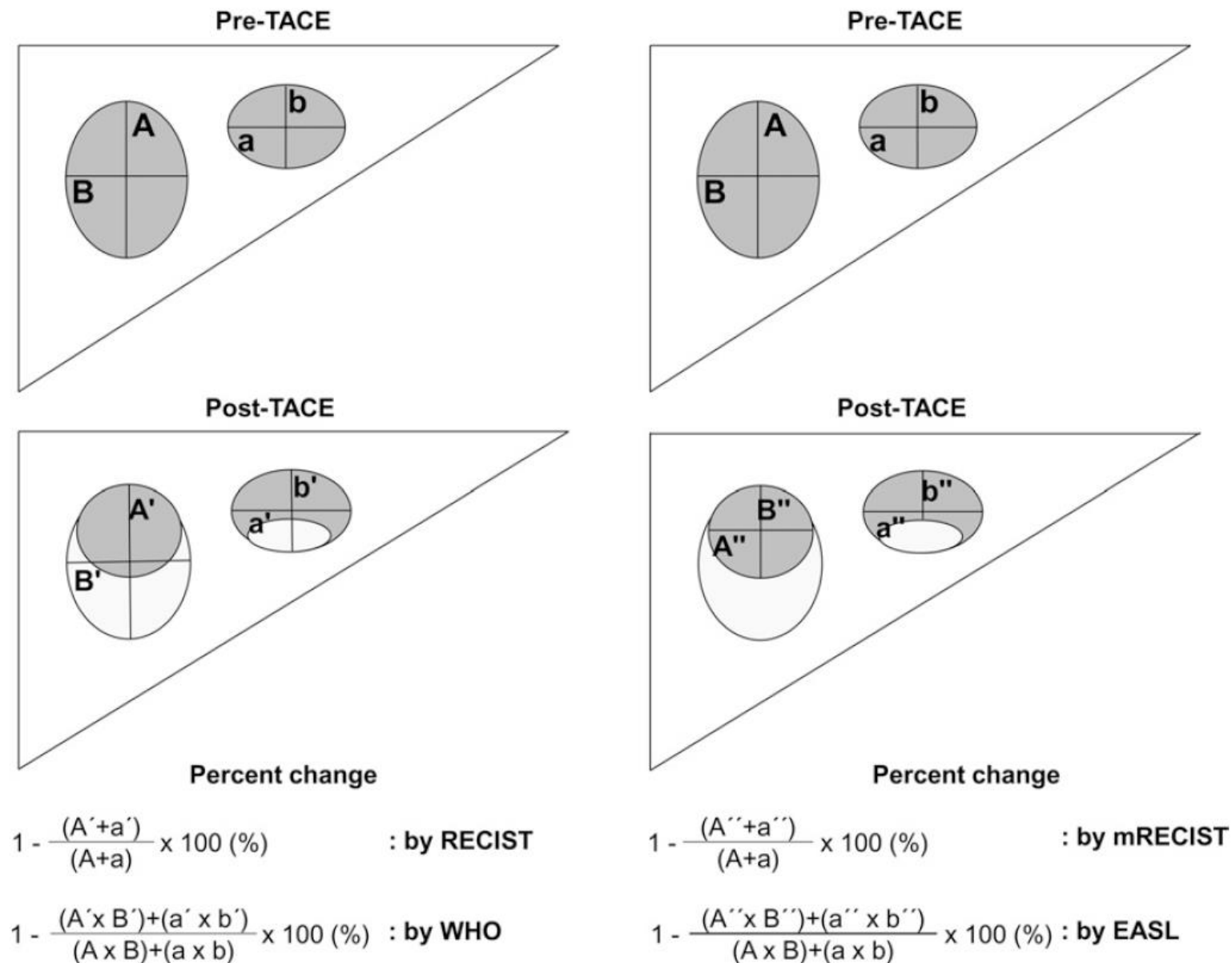
It is important to point out that only well-delineated, arterially enhancing lesions can be selected as target lesions for mRECIST.

# Which Response Criteria Best Help Predict Survival of Patients with HCC Following Chemoembolization?



**Figure 1:** Flowchart of the patient selection process. *CTP A* = Child-Turcotte-Pugh class A, *CTP B* = Child-Turcotte-Pugh class B, *CTP C* = Child-Turcotte-Pugh class C, TACE = transarterial chemoembolization.

**Figure 3**



# Definition of TACE Failure/Refractoriness (LCSGJ)

---

## (1) Intrahepatic lesion

- i. Two or more consecutive ineffective responses seen within the treated tumors (viable lesion >50%), even after changing the chemotherapeutic agents and/or reanalysis of feeding artery, on response evaluation CT/MRI after 1–3 months following adequately performed selective TACE
  - ii. Two or more consecutive progressions in the liver (including an increase in the number of tumors compared to that before the previous TACE procedure), even after changing the chemotherapeutic agents and/or reanalysis of feeding artery, on response evaluation CT/MRI after 1–3 months following adequately performed selective TACE
- 

## (2) Tumor marker

**Continuous elevation of tumor markers** right after TACE even though transient minor reduction is observed.

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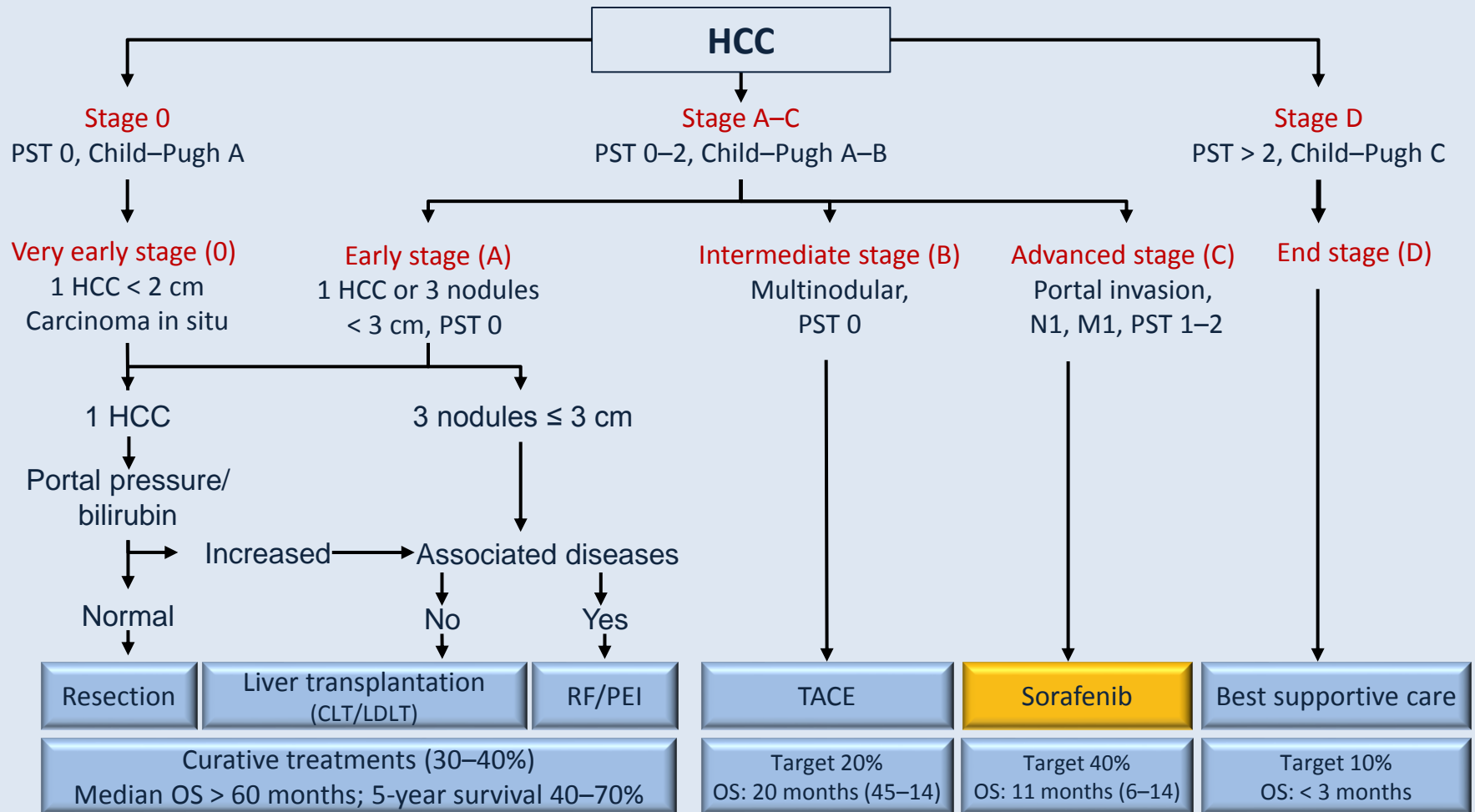
## (3) Appearance of vascular invasion

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## (4) Appearance of extrahepatic spread

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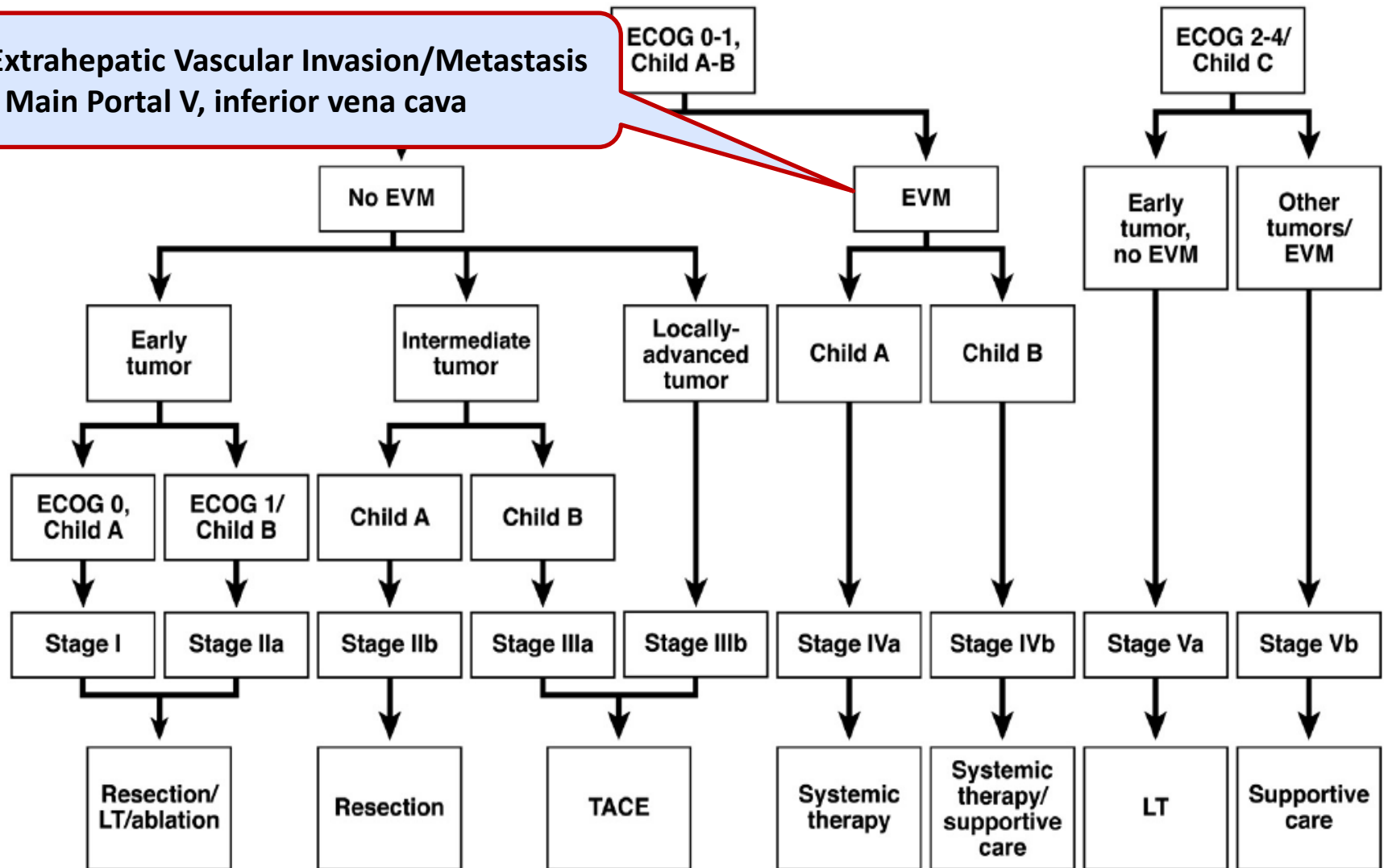
# EASL-EORTC guidelines (updated 2012)



CLT, cadaveric liver transplantation; EASL, European Association for the Study of the Liver;  
EORTC, European Organisation for Research and Treatment of Cancer;  
LDLT, living donor liver transplantation

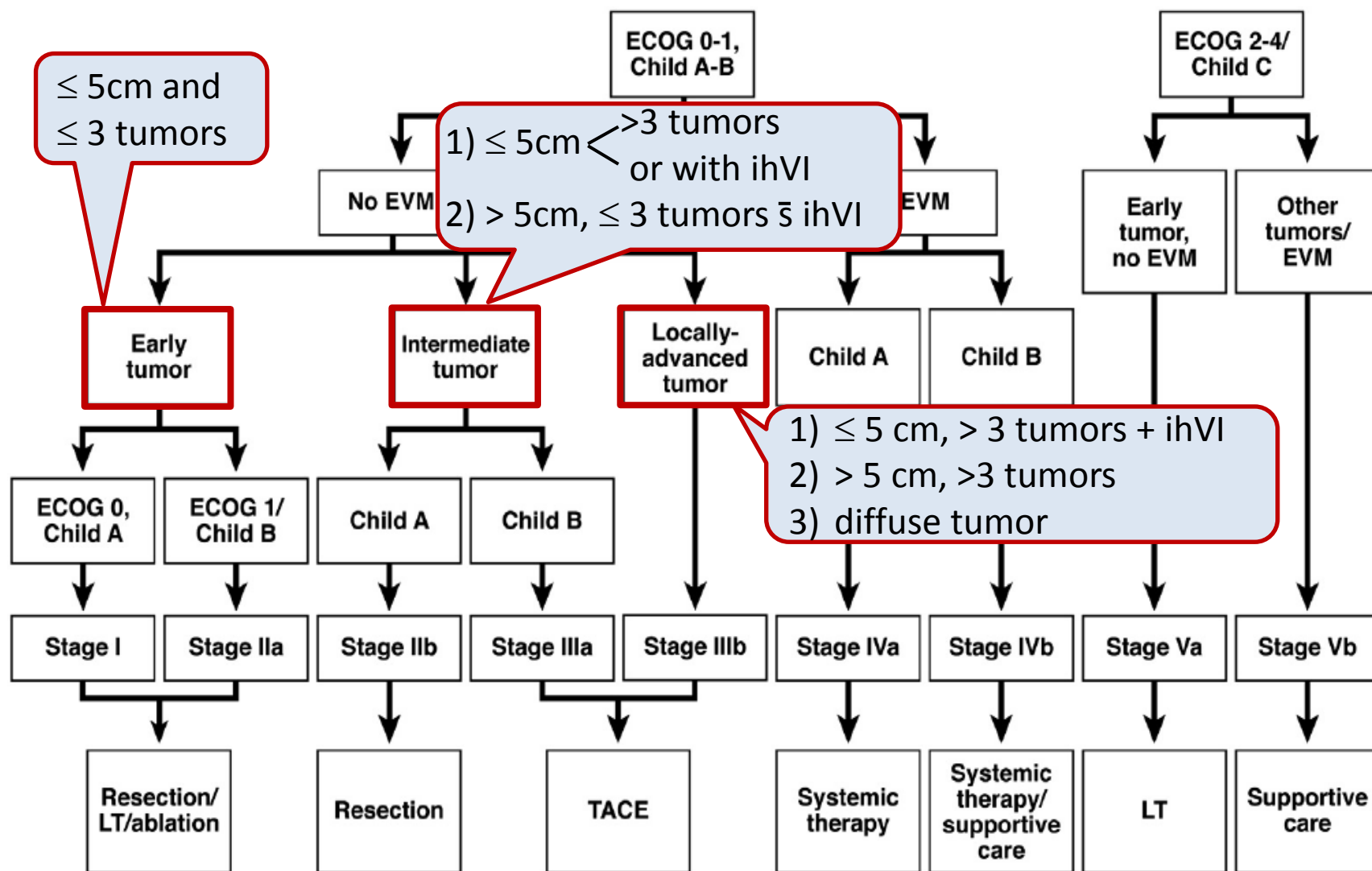
# Hong Kong Liver Cancer Staging System

**Extrahepatic Vascular Invasion/Metastasis**  
: Main Portal V, inferior vena cava

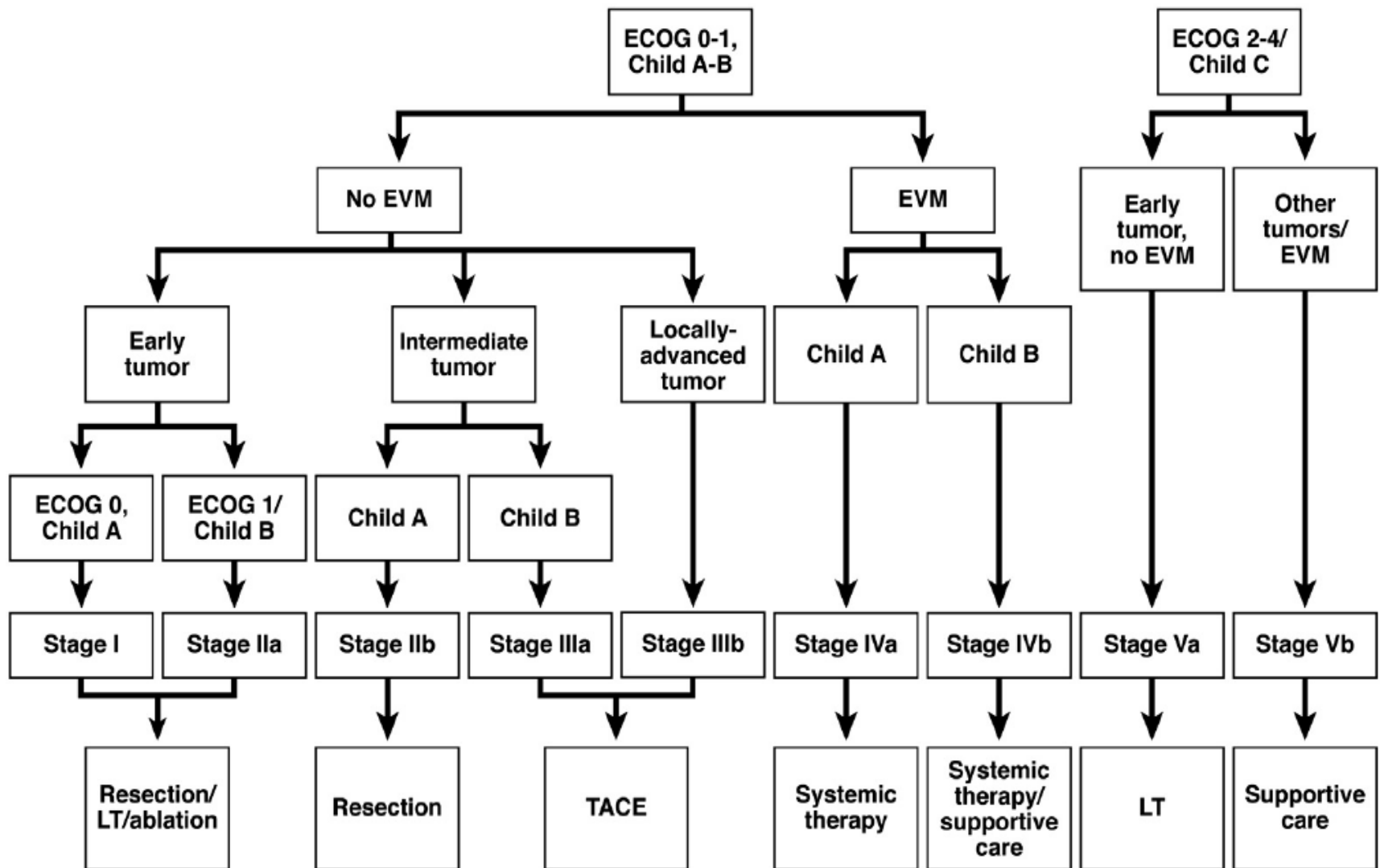




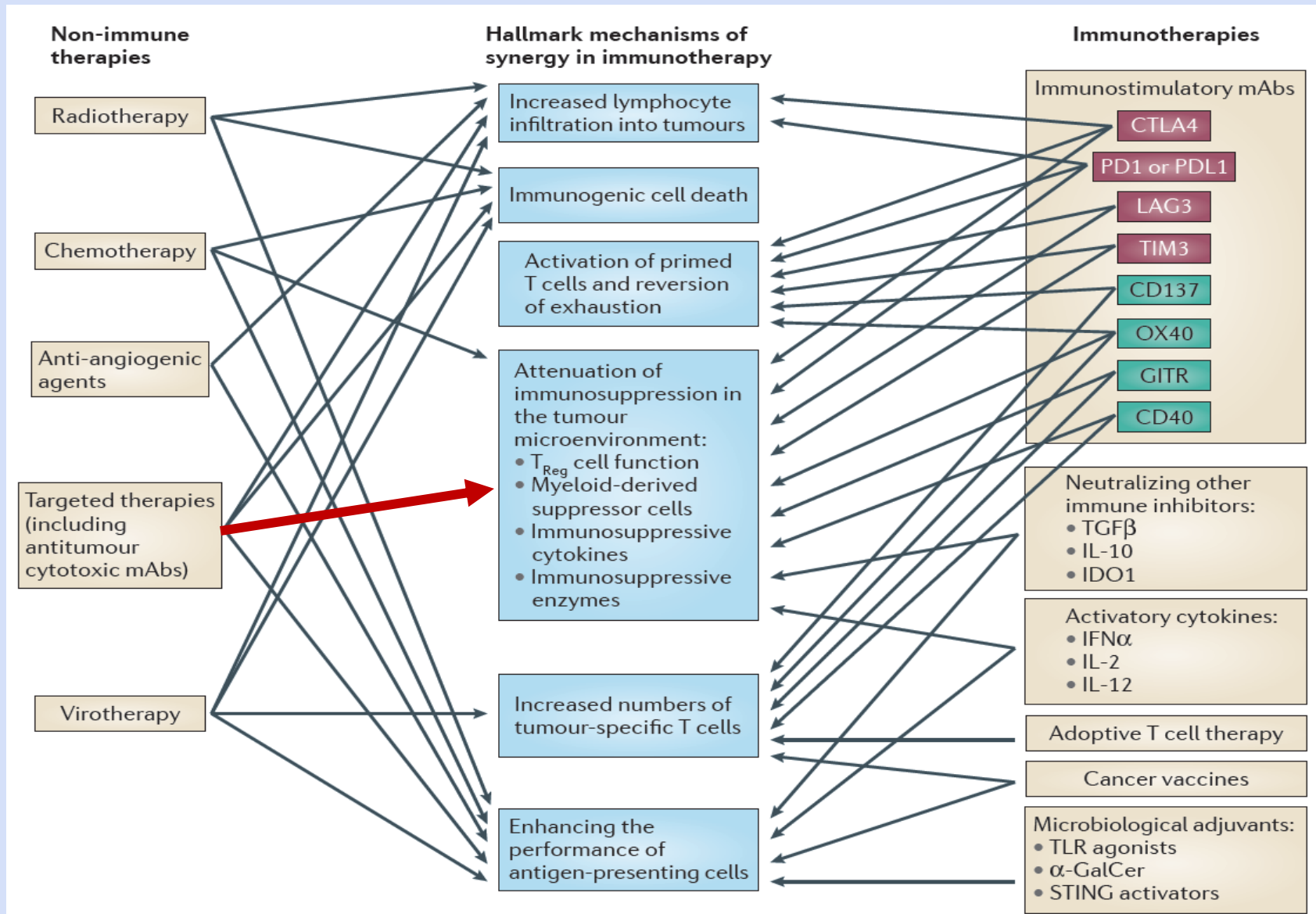
# Hong Kong Liver Cancer Staging System



# Hong Kong Liver Cancer Staging System

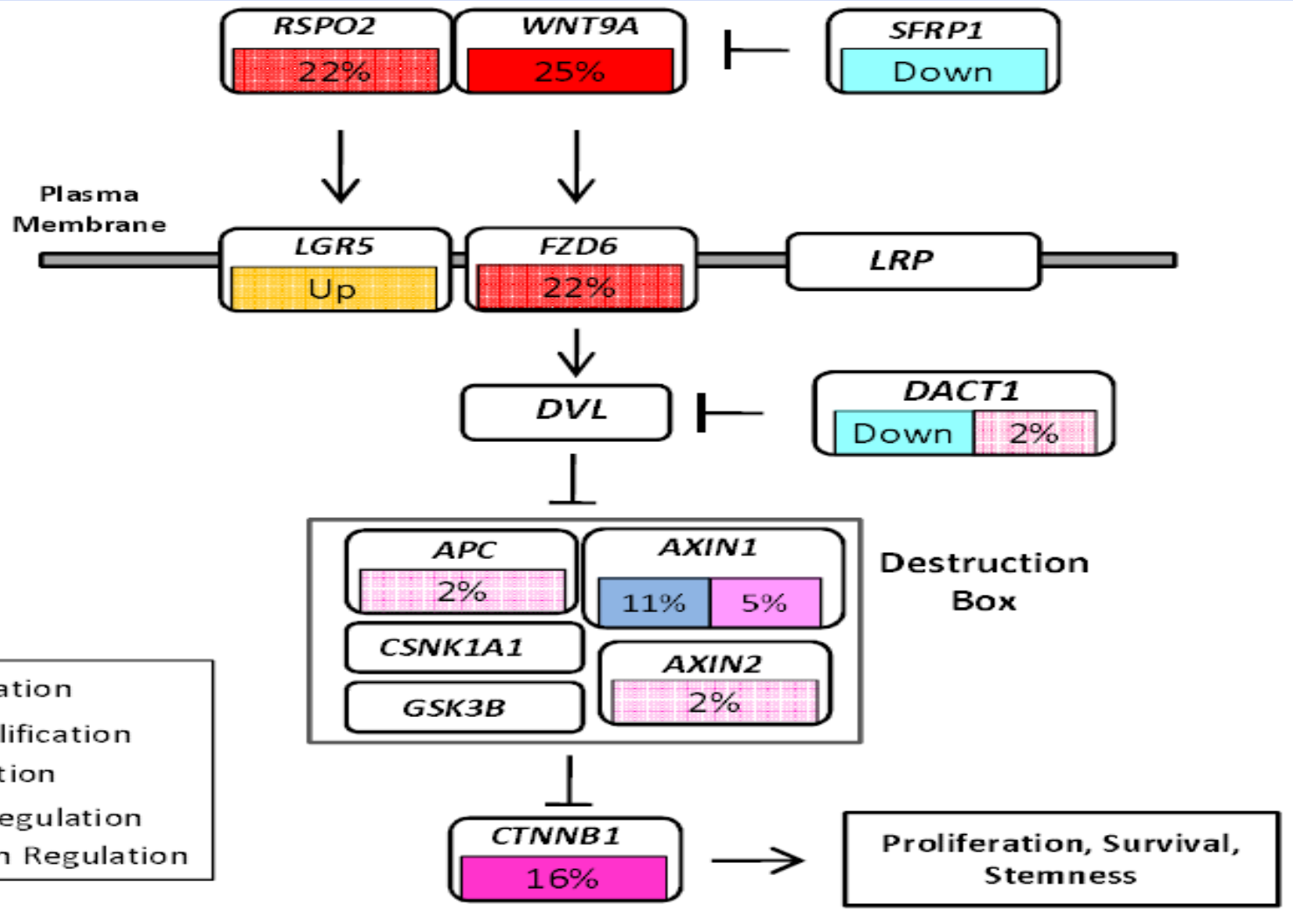


# Multi-modality Combined Immunotherapy

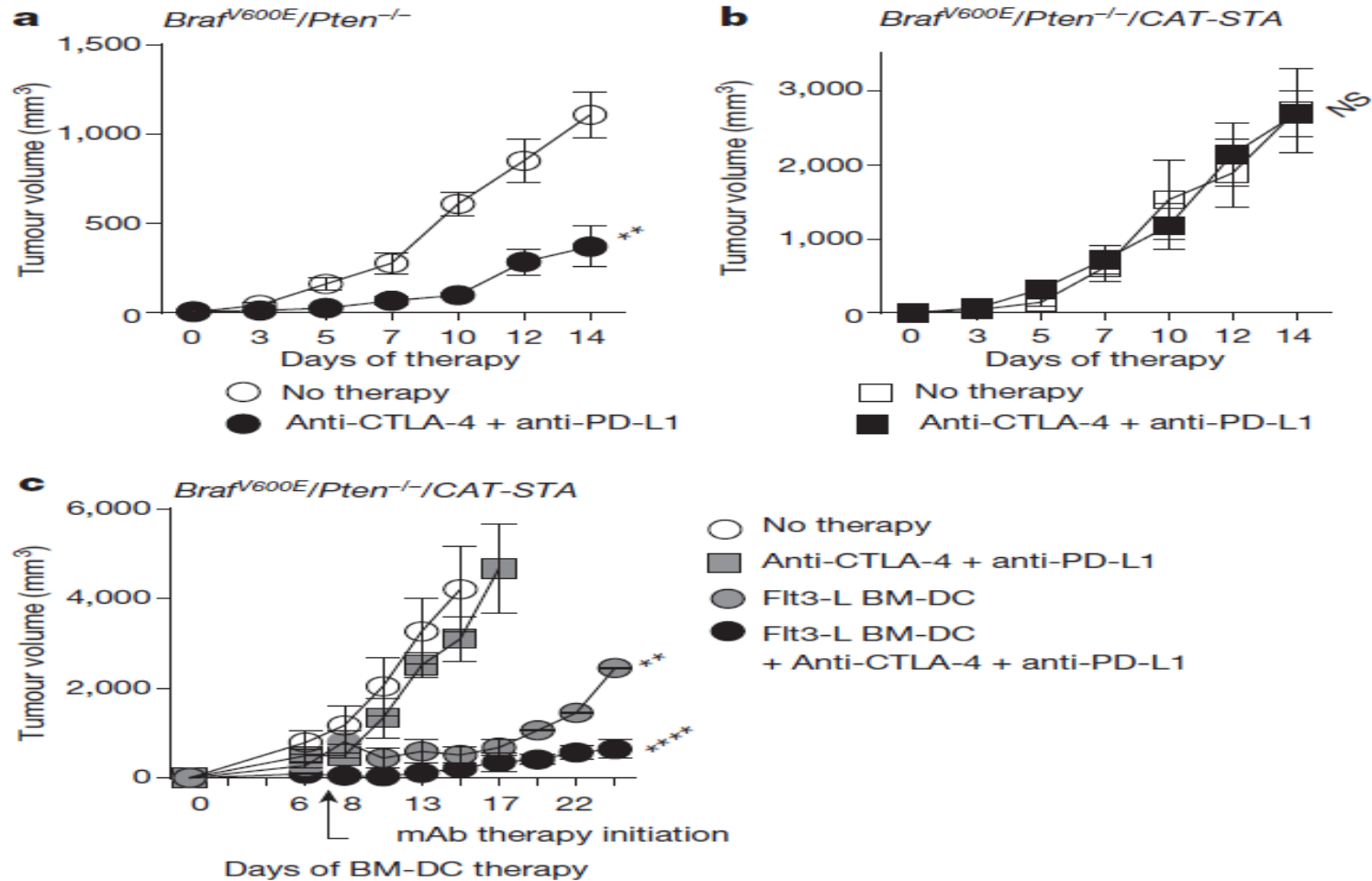


# Whole Genome Sequencing Identifies Recurrent Mutations in HCC

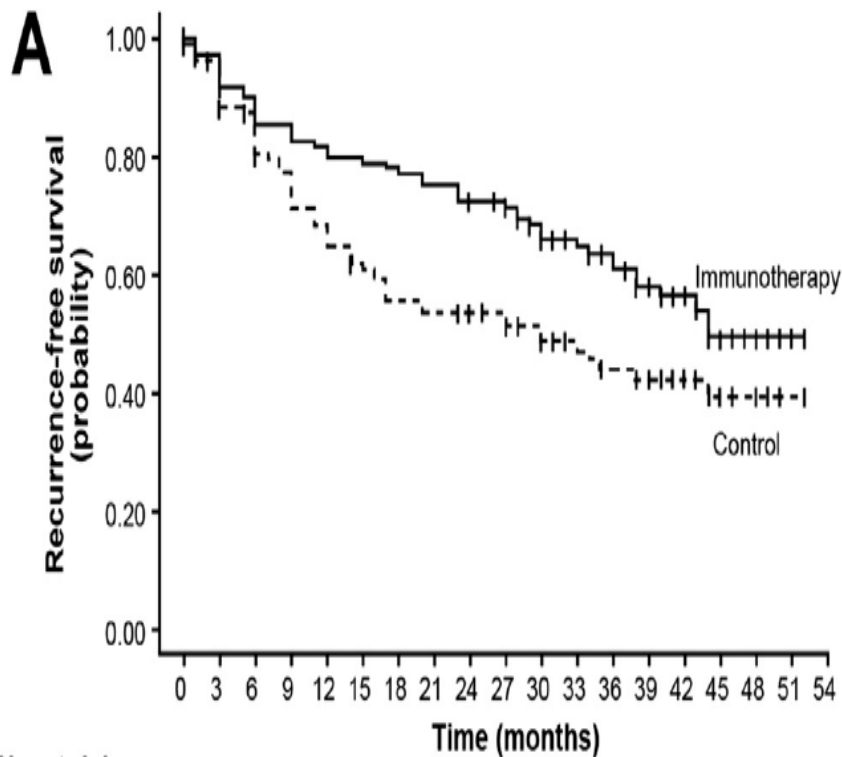
Wnt Pathway Genomic Alterations in 62.5% of HCC



# Reconstitution with Flt3 Ligand Dendritic Cells Reverses Resistance to Immunotherapy

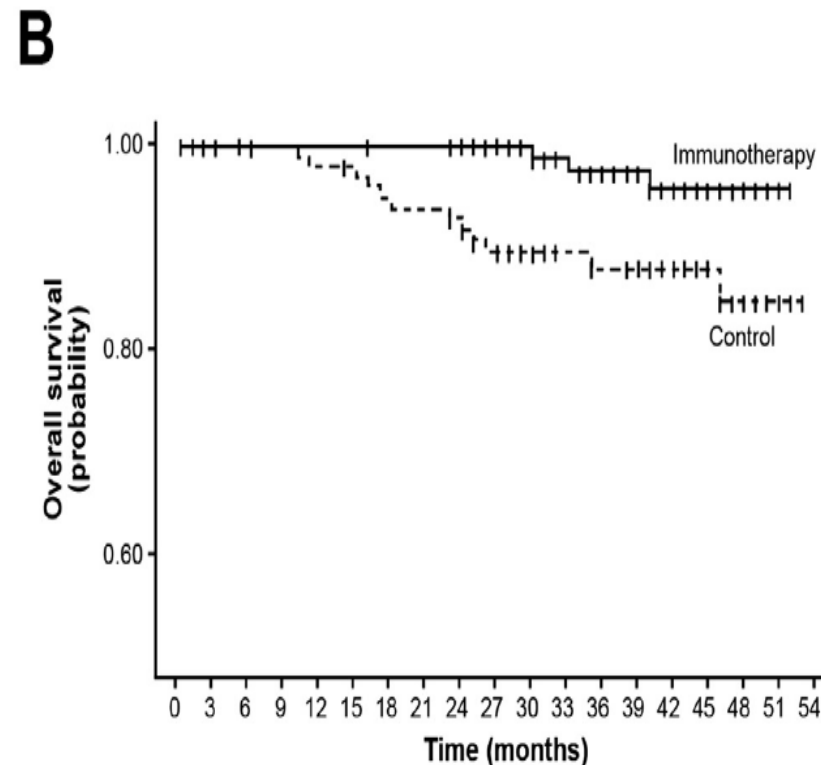


# Adjuvant Immunotherapy With Autologous Cytokine-Induced Killer Cells for HCC



No. at risk

Immunotherapy	114	106	98	93	89	87	85	82	79	76	59	52	47	40	29	18	8	2
Control	112	98	87	76	67	60	54	52	51	46	40	32	27	23	18	12	10	1



No. at risk

Immunotherapy	114	109	109	109	109	109	108	108	107	100	84	74	70	64	47	35	21	6
Control	112	102	100	99	97	96	93	92	90	80	70	59	56	53	42	30	21	4

Lee JH et al. *Gastroenterol* 2015;148:1383–1391

# Preliminary Results From a Phase I/II Study of Epacadostat (incb024360), an **IDO inhibitor**, in Combination With **Pembrolizumab** in Patients With Selected Advanced Cancers

**Table 1**

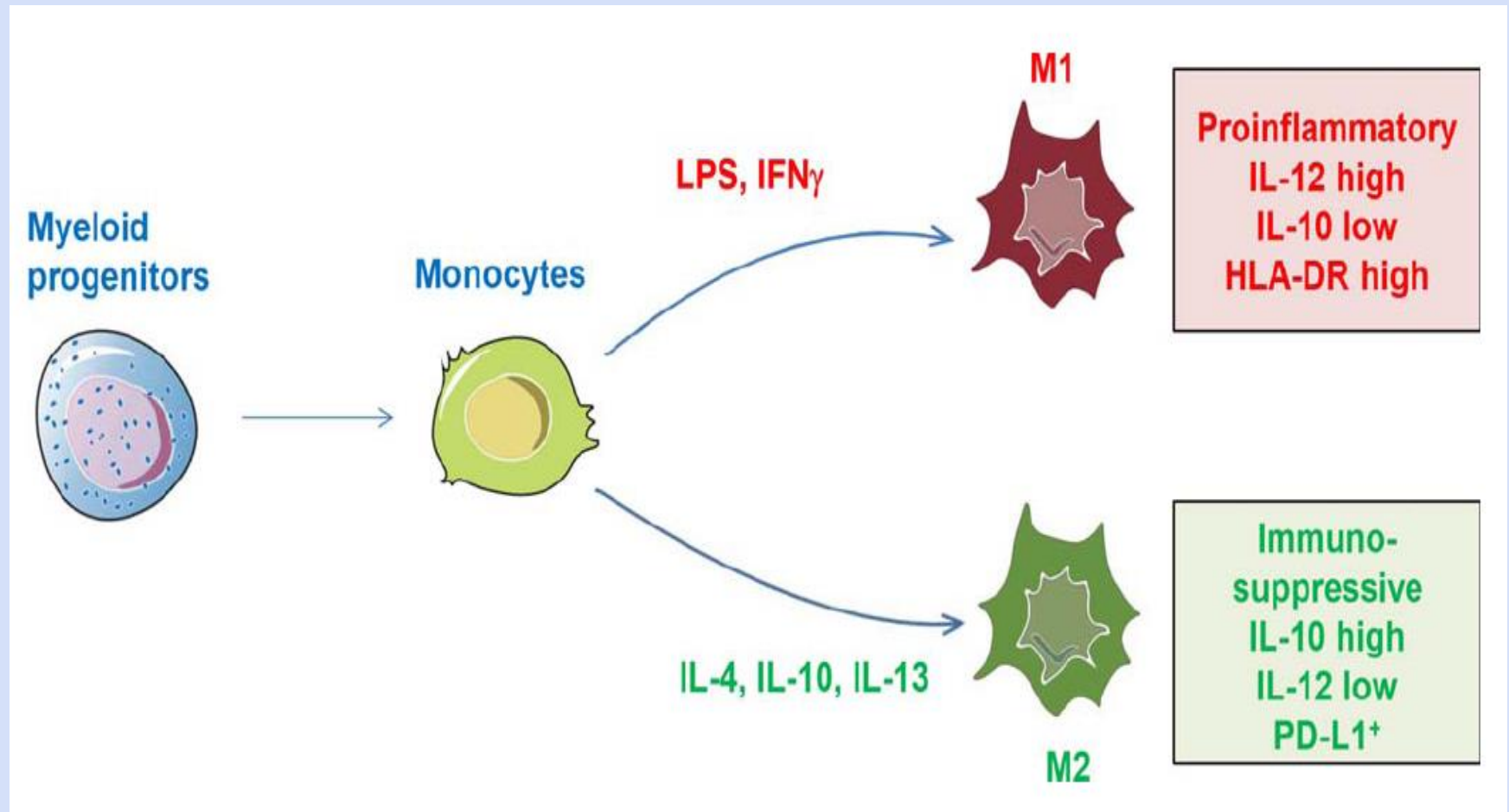
Evaluable patients* n(%)	Melanoma (n=7)	RCC (n=5)	TCC (n=2)	NSCLC (n=2)	EA (n=2)	SCCHN (n=1)
<b>ORR (CR+PR)</b>	<b>4 (57)</b>	<b>2 (40)</b>	<b>1 (50)</b>	<b>1 (50)</b>	<b>1 (50)</b>	<b>1 (100)</b>
CR	2 (29)	0	0	0	0	0
PR	2 (29)	2 (40)	1 (50)	1 (50)	1 (50)	1 (100)
SD	2 (29)	2 (40)	0	1 (50)	0	0
<b>DCR (CR+PR+SD)</b>	<b>6 (86)</b>	<b>4 (80)</b>	<b>1 (50)</b>	<b>2 (100)</b>	<b>1 (50)</b>	<b>1 (100)</b>
PD	1 (14)	0	1 (50)	0	0	0
Not assessable	0	1 (20)	0	0	1 (50)	0

\*Patients with ≥ 1 post-baseline response assessment or discontinued from study or died before response could be assessed.



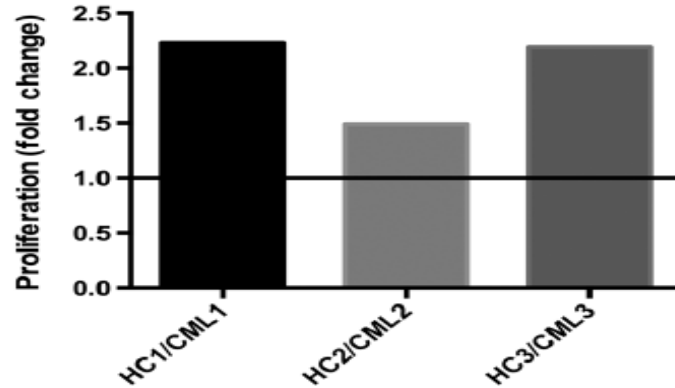


# Classically Activated M1 and Alternatively Activated M2 Macrophages

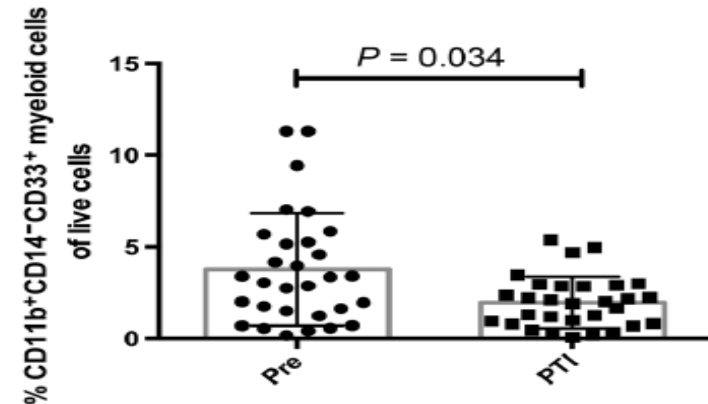


# Imatinib and Dasatinib Reduce MDSC and Release Effector Lymphocyte Responses

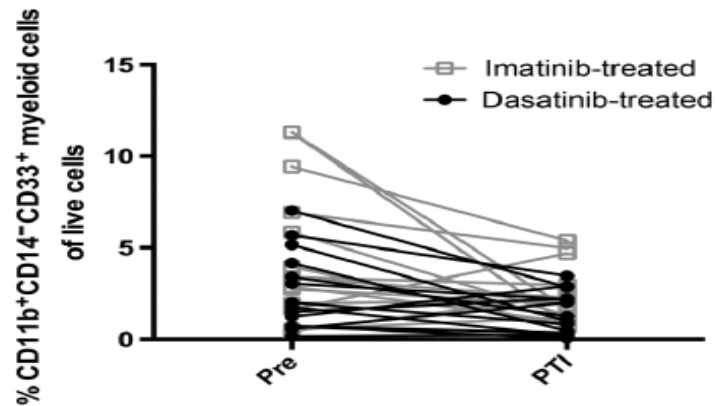
**A**



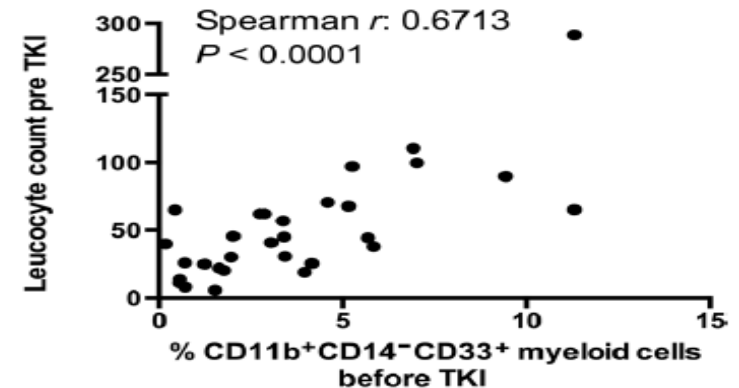
**B**



**C**



**D**



# **Emerging Biomarkers for Cancer Immunotherapy**

- **Mutation burden, neo-antigen burden, mismatch-repair deficiency**
- **Tumor infiltrating lymphocyte(TIL), Immunoscore**
- **PDL1 expression**
- **Tumor associated macrophage (TAM), myeloid-derived suppressor cell (MDSC)**

# Immunotherapy Biomarkers in the Tumor Microenvironment

Immunohistochemistry	Flow cytometry and functional assay	RNA and DNA
<i>Baseline</i>		
<ul style="list-style-type: none"> <li>• Ipilimumab: higher levels of IDO1 and FOXP3 at baseline were associated with clinical activity<sup>156</sup>.</li> <li>• Pembrolizumab: density of CD8<sup>+</sup> T cells at the invasive margin was associated with response. Other potential predictors include PD1<sup>+</sup> T cells in proximity to PDL1<sup>+</sup> cells, and clonal T cell repertoire observed in responders<sup>138</sup>.</li> </ul>	<p>NA</p>	<ul style="list-style-type: none"> <li>• Ipilimumab: high expression of immune-related genes (including IDO1) was associated with clinical activity<sup>157</sup>.</li> <li>• Atezolizumab: expression of CTLA4 and fractalkine was associated with response and progression, respectively. Expression of IFN<math>\gamma</math>-inducible genes in melanoma was associated with response<sup>45</sup>.</li> </ul>
<i>Post-treatment</i>		
<ul style="list-style-type: none"> <li>• Tremelimumab: increased infiltration of CD8<sup>+</sup> T cells; increased numbers of HLA-DR<sup>+</sup> and CD45RO<sup>+</sup> memory T cells. No change in FOXP3<sup>+</sup> T cells or IDO1-expressing cells post-treatment versus pretreatment<sup>158</sup>.</li> <li>• Ipilimumab: possible association between clinical activity and an increase in total TILs by week 4 relative to baseline; CD8<sup>+</sup> T cell/FOXP3<sup>+</sup> T cell ratio post-treatment is associated with the degree of necrosis<sup>156,160</sup>.</li> <li>• Pembrolizumab: a significantly higher level of expression of the IFN<math>\gamma</math>-inducible protein STAT1 was seen in responders at baseline and after PD1 inhibition<sup>138</sup>.</li> <li>• Atezolizumab: a decrease in tumour size seems to be associated with an increase in PDL1 expression on tumour and immune cells. Progressing lesions seem to have no intratumoural inflammation and no PDL1 expression<sup>45</sup>.</li> </ul>	<ul style="list-style-type: none"> <li>• Tremelimumab: one patient had &gt;40% CD3<sup>+</sup>; TILs were CD4<sup>-</sup> with HLA-DR<sup>+</sup>, CD45RO<sup>+</sup>, CD272<sup>+</sup> and CCR7<sup>-</sup> (memory phenotype)<sup>158</sup>.</li> <li>• Ipilimumab: one patient with a regressing lesion had a higher number of a subset of NK cells (CD56<sup>+</sup>CD16<sup>-</sup>) than of lymphocytes<sup>159</sup>. One patient showed an autologous T cell (TIL) response to neoantigens that increased after treatment<sup>144</sup>.</li> <li>• An expansion of the CD8<sup>+</sup> T cell population specific for shared tumour antigens in peripheral blood was found after treatment<sup>107</sup>.</li> <li>• Pembrolizumab: in blood from a patient with NSCLC, a neoantigen-specific T cell response was observed that increased during tumour regression<sup>145</sup>.</li> </ul>	<ul style="list-style-type: none"> <li>• Ipilimumab: general increase in the expression of immune-related genes associated with clinical activity; general increase in the expression of IFN<math>\gamma</math> and T<sub>H</sub>1 cell-associated markers<sup>157</sup>.</li> <li>• Nivolumab: there was an increase in the expression of MIG and IP10 (REF. 161).</li> <li>• Atezolizumab: the RNA expression pattern from regressing lesions was consistent with CD8<sup>+</sup> T cell and T<sub>H</sub>1 cell phenotypes, but there was no change in FOXP3 expression<sup>45</sup>.</li> </ul>

# MPDL3280A (anti-PD-L1) Treatment Leads to Clinical Activity in Metastatic Bladder Cancer



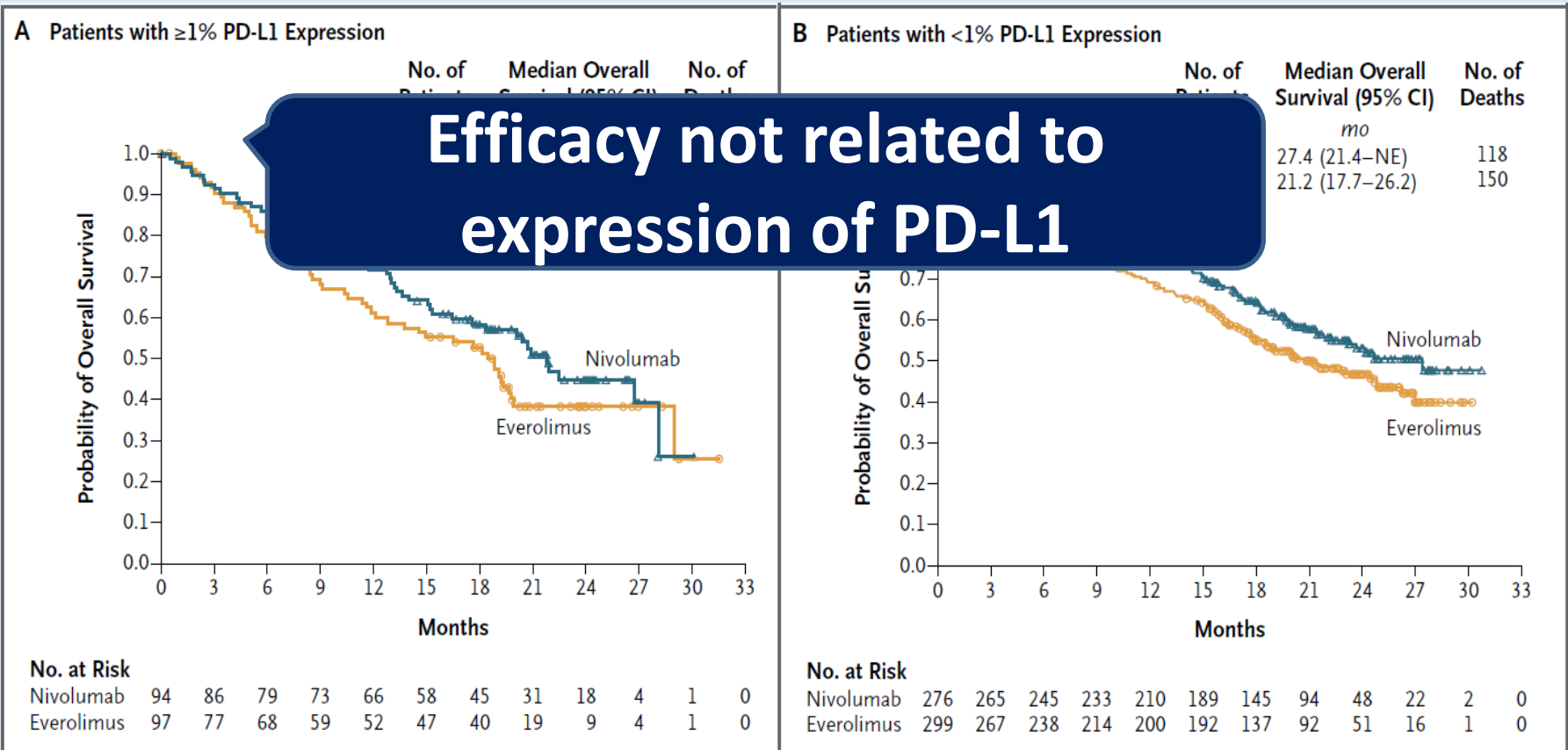
Tumor response correlates with PD-L1 expression on **infiltrating immune cells** but not tumor cells.

**c**

Tumour-Infiltrating immune cells and objective response rates

	Objective response rate <i>n</i> (%)	Stable disease <i>n</i> (%)	Progressive disease <i>n</i> (%)
IHC 2/3 ( <i>n</i> = 30)	13 (43.3) (95% CI: 25.5–62.6)	8 (26.7)	8 (26.7)
IHC 3 ( <i>n</i> = 10)	5 (50.0) (95% CI: 22.2–77.8)	2 (20.0)	3 (30.0)
IHC 2 ( <i>n</i> = 20)	8 (40.0) (95% CI: 20.9–63.9)	6 (30.0)	5 (25.0)
IHC 0/1 ( <i>n</i> = 35)	4 (11.4) (95% CI: 4.0–26.3)	13 (37.1)	13 (37.1)
IHC 1 ( <i>n</i> = 23)	3 (13.0) (95% CI: 3.7–31.7)	8 (34.8)	8 (34.8)
IHC 0 ( <i>n</i> = 12)	1 (8.3) (95% CI: 0.4–34.9)	5 (41.7)	5 (41.7)

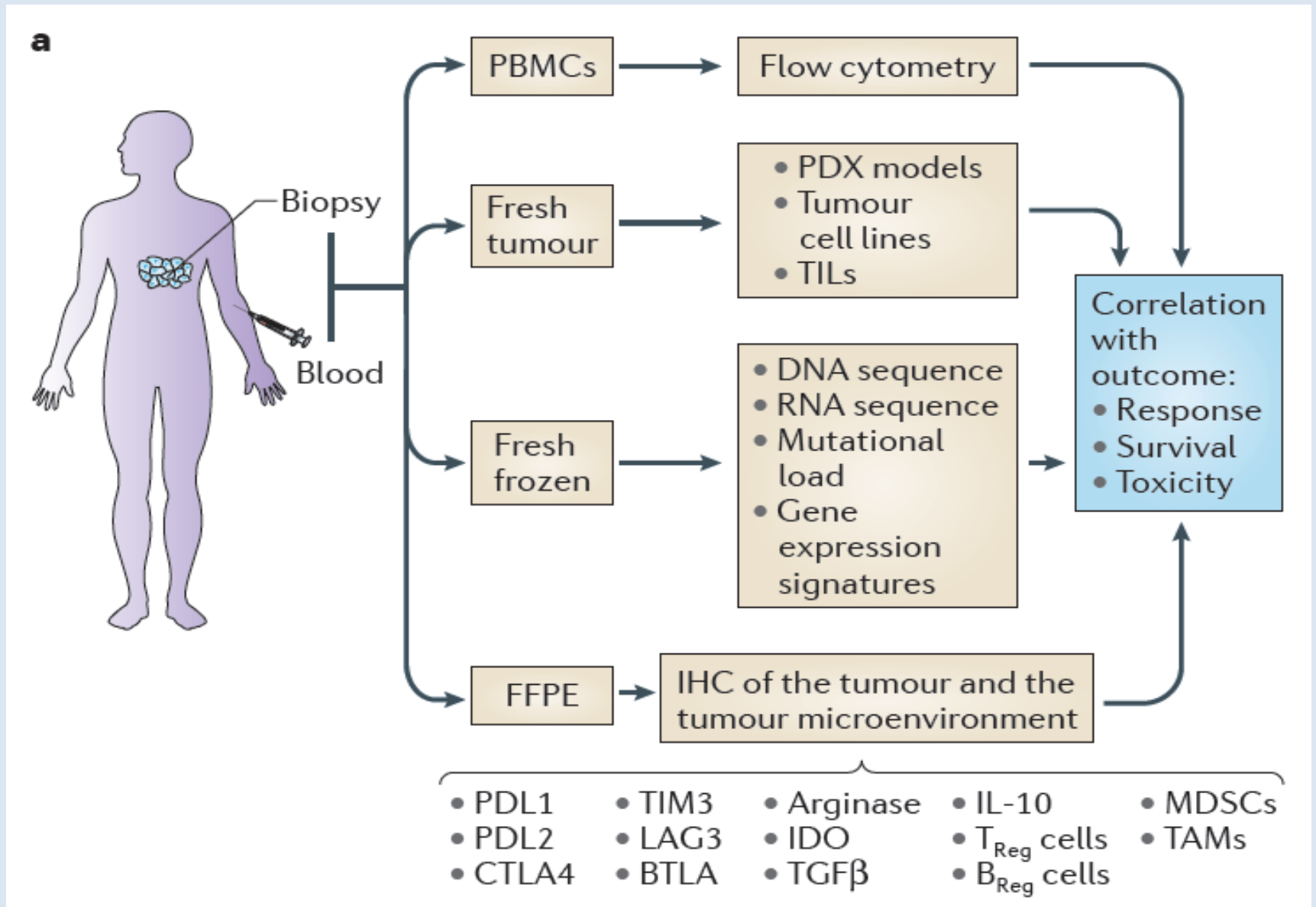
# Nivolumab versus Everolimus in Advanced Renal-Cell Carcinoma



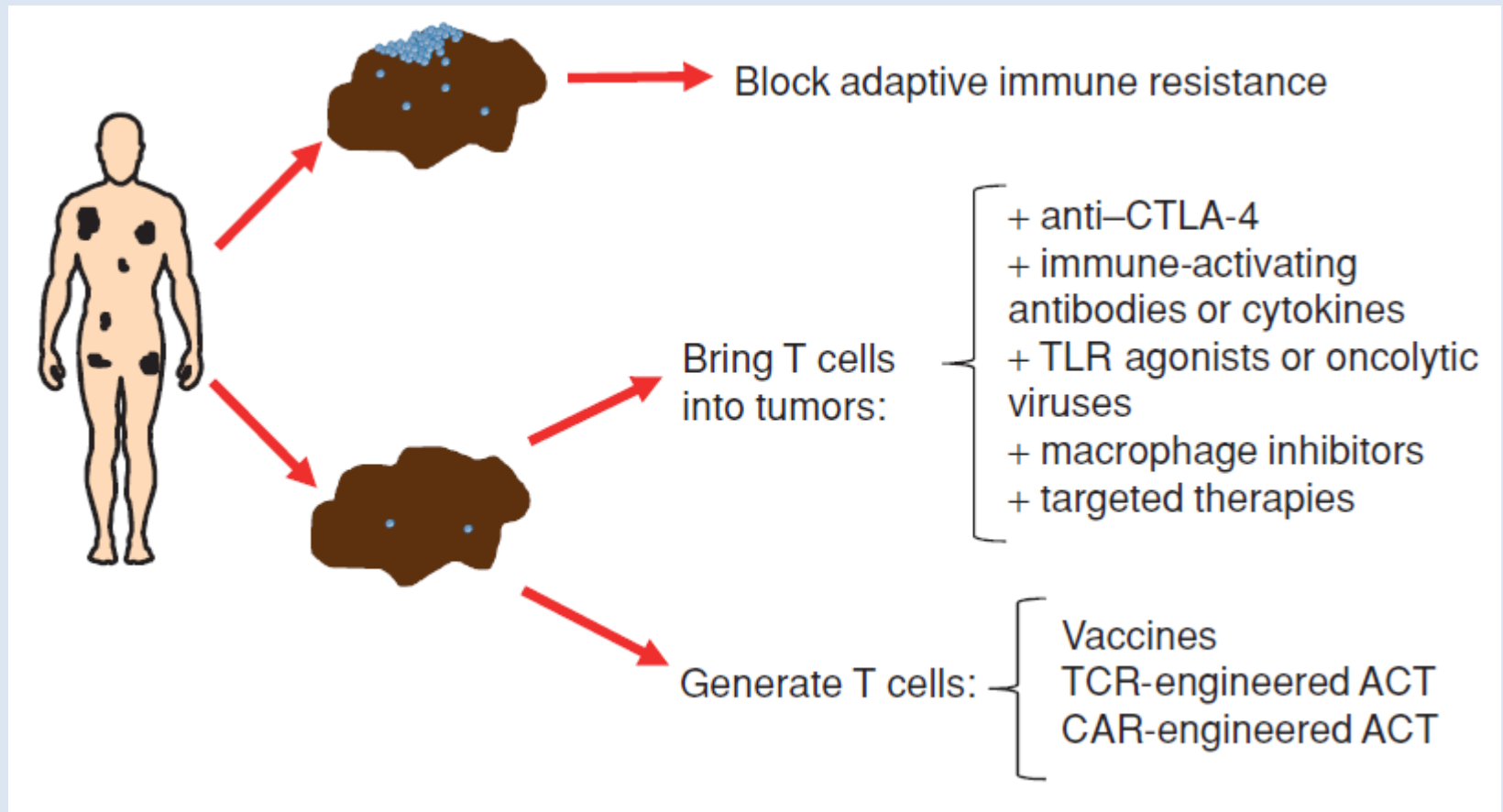
**Figure 3.** Kaplan–Meier Curve for Overall Survival, According to Programmed Death 1 Ligand (PD-L1) Expression Level.



# Biomarker Discovery for Combination Immunotherapy

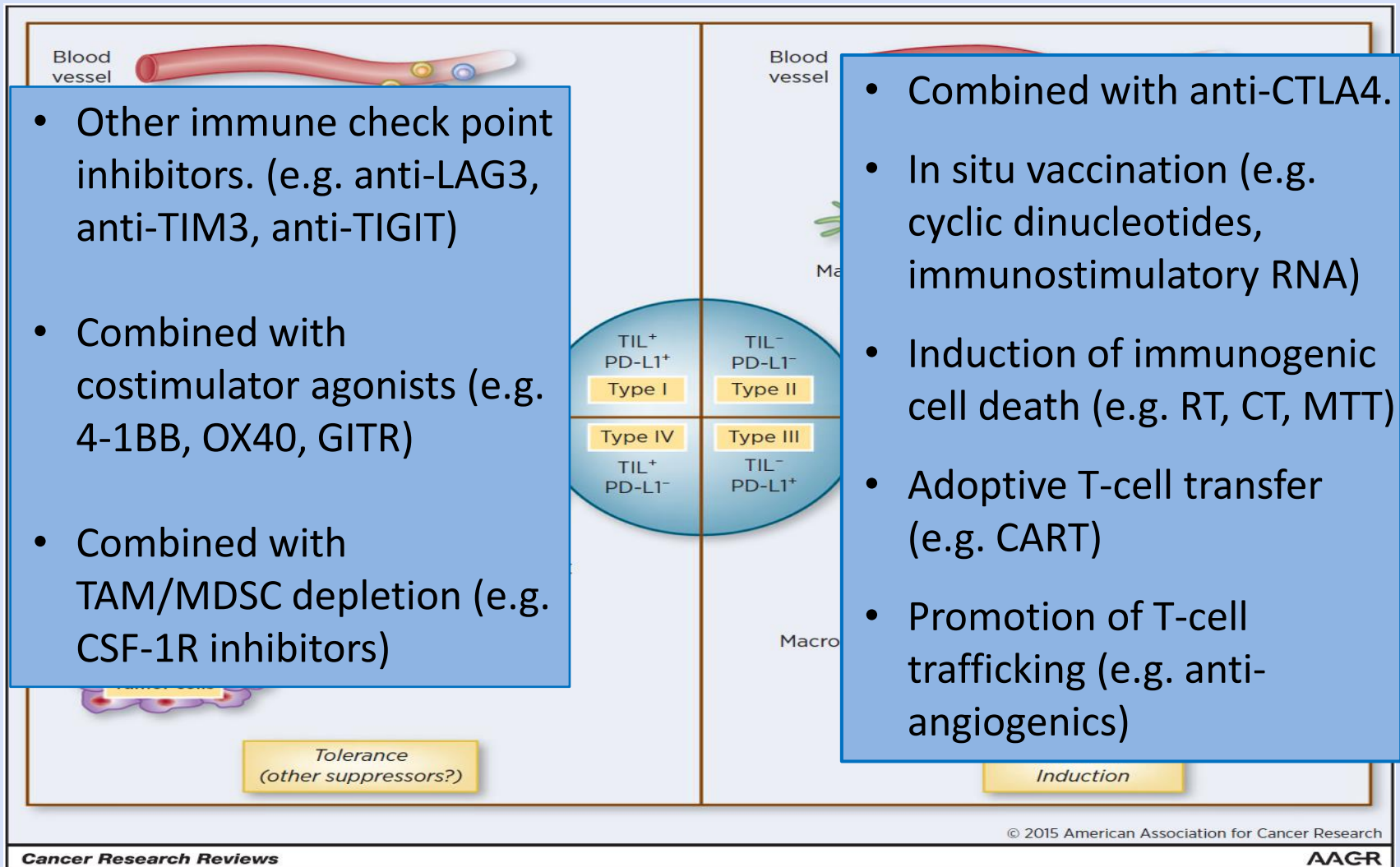


# Treatment Selection Based on Detecting Adaptive Immune Resistance





# Classifying Cancers Based on T-cell Infiltration and PD-L1



Teng MW, et al. Cancer Res. 2015;75(11):2139-2145.

# Combination of Immune Checkpoint Inhibitors

- PDR001 ( $\alpha$ PD-1) + LAG525 ( $\alpha$ LAG-3)
  - \* This concept is also under investigation by BMS
  - \* LAG525: effects on Treg?
- PDR001 ( $\alpha$ PD-1) + MBG453 ( $\alpha$ TIM-3)
  - \* MBG453: first-in-class? Effects on TAM, Treg?

# Innate Immunity Modulator w/wo Immune Checkpoint Inhibitor

- BLZ945 (selective CSF-1R RTKi)  $\pm$  PDR001

\*BLZ945: first-in-class? The other competitors are  $\alpha$ CSF1R

- MCS110 ( $\alpha$ CSF-1)  $\pm$  PDR001

\*MCS110: first-in-class

- CDNs (STING agonist)  $\pm$  PDR001

\*CDNs: first-in-class; usually combined with vaccines as adjuvant and administered via intra-tumor injection in preclinical studies

# Immune Checkpoint Inhibitor Plus Costimulatory Receptor Activator

- PDR001 ( $\alpha$ PD-1) + LKZ145 (agonistic  $\alpha$ GITR )
  - \* Similar concept is currently under investigation by BMS:  
 $\alpha$ PD-1 +  $\alpha$ 4-1BB
  - \* LKZ145: not first-in-class

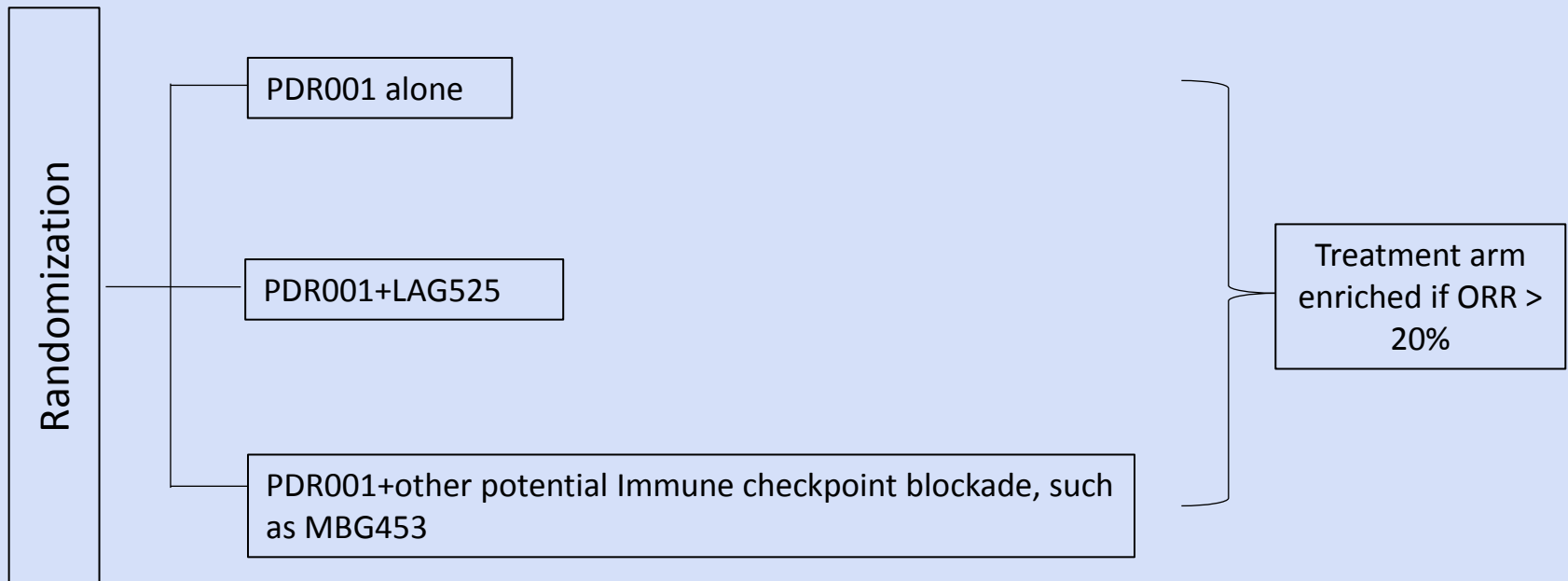
# Potential partners (drug x) of PDR001

Drug/ MoA	Pre-clinical synergy with anti-PD1	HCC pre-clinical studies	Human studies	Human HCC studies
LAG525/ anti-LAG3	Yes <sup>1</sup>	Yes <sup>2</sup>	Ongoing	No
MCS110/ anti-CSF-1	No	Yes <sup>3,4</sup>	Ongoing	No
BLZ945/ CSF-1Ri	No	Yes <sup>3,4</sup>	Ongoing	No
MBG453/ anti-TIM3	Yes <sup>5</sup>	Yes <sup>6*</sup>	Planned	No
LKZ145/ GITR agonist	Yes <sup>7</sup>	No	No	No
STING/ in situ vaccine	No	No	Planned	No

1. Woo SR, Turnis ME, Goldberg MV, et al. Cancer Res 2012; 72: 917-27
2. Pedroza-Gonzalez A, Zhou G, Vargas-Mendez E, et al. Oncoimmunology 2015; 4(6):e1008355.
3. Sprinzl MF, Puschnik A, Schlitter AM, et al. J Hepatol 2015; 62: 863-70.
4. Schaer DA, Hirschhorn-Cymerman D, Wolchok JD. *J Immunother Cancer* 2014, 2:7
5. Sakuishi K, Apetoh L, Sullivan JM, et al. J Exp Med 2010; 207: 2187-94.
6. Yan W, Liu X, Ma H, et al. Gut 2015 (E pub)
7. Lu L, Xu X, Zhang B, et al. J Transl Med 2014 Feb 7;12:36

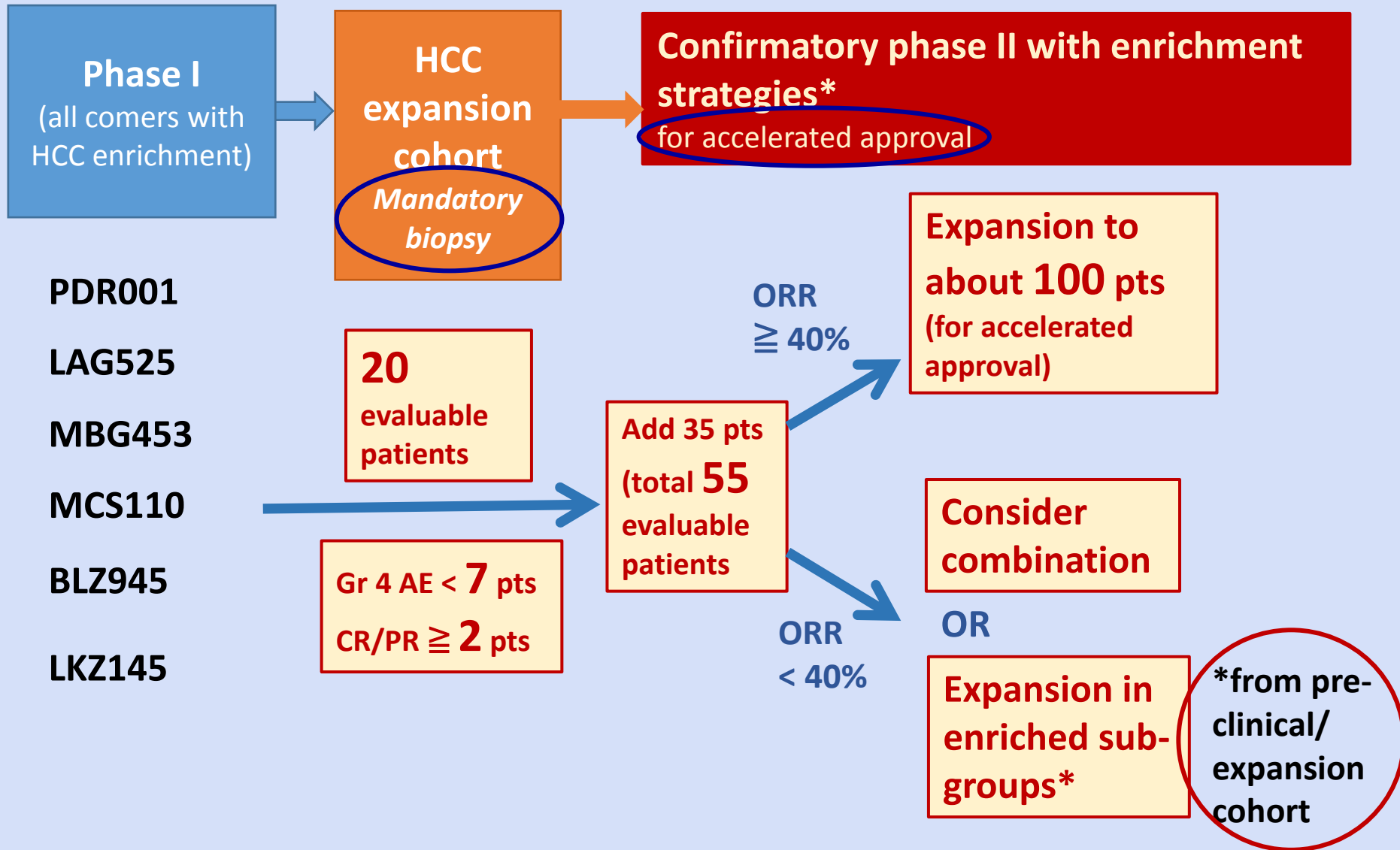
# Cluster protocol of immunotherapy for advanced HCC

Open-label, randomized phase II study

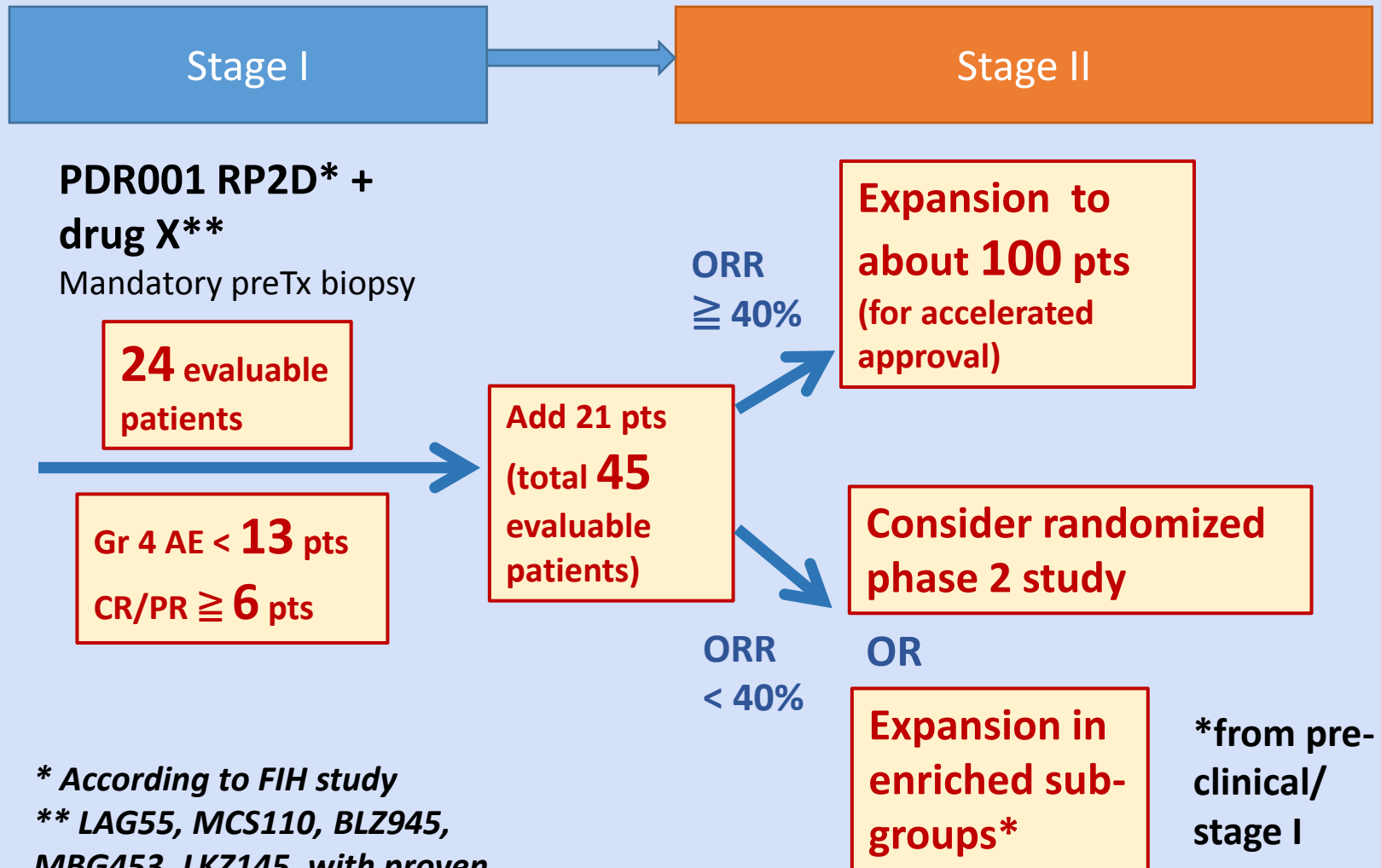


- RP2D: depends on phase I results of individual compound studies (LAG525X2101, MBG453X2101)
- If phase II is in the enriched patient group based on 1) immune score and 2) mutation load, and with ORR >40% and/or OS >15mths in extended cohorts (10-20 patients on the same dose), it is possible to explore fast track approval in Asian countries, such as Taiwan

# Immunotherapy for advanced HCC (1): single agent



# Immunotherapy for advanced HCC (2): PDR001 plus drug X combinations



\* According to FIH study

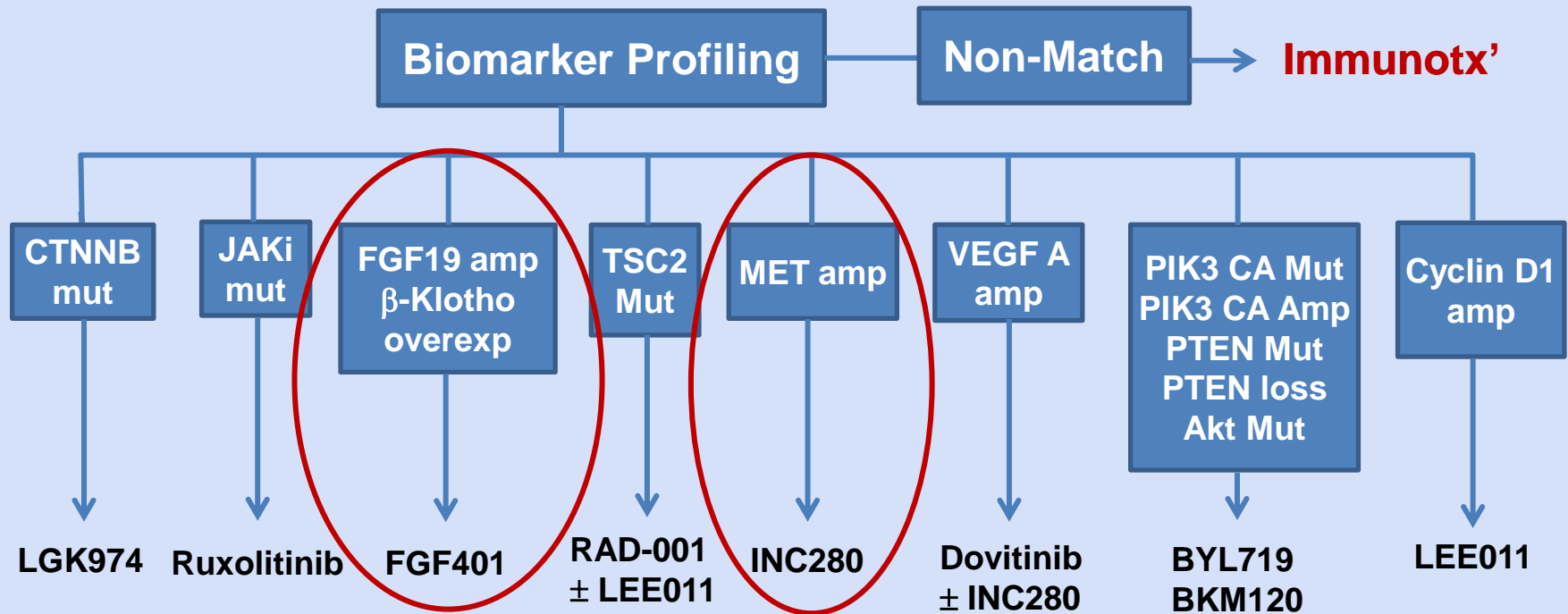
\*\* LAG55, MCS110, BLZ945,  
MBG453, LKZ145, with proven  
single-agent safety

\*from pre-clinical/  
stage I



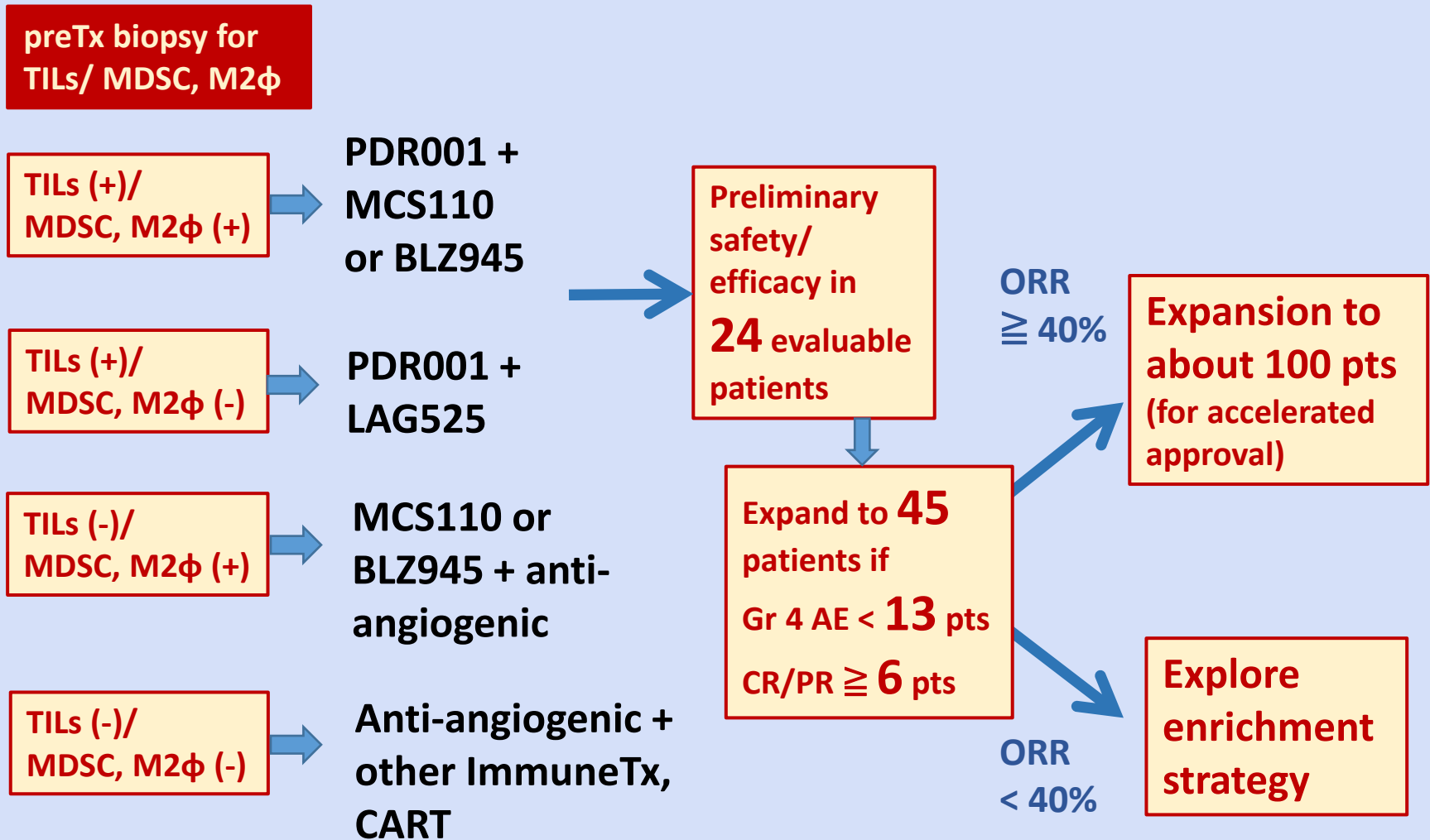
# Master Protocol for Novartis 2<sup>nd</sup>-line HCC

- Exploratory, single-arm

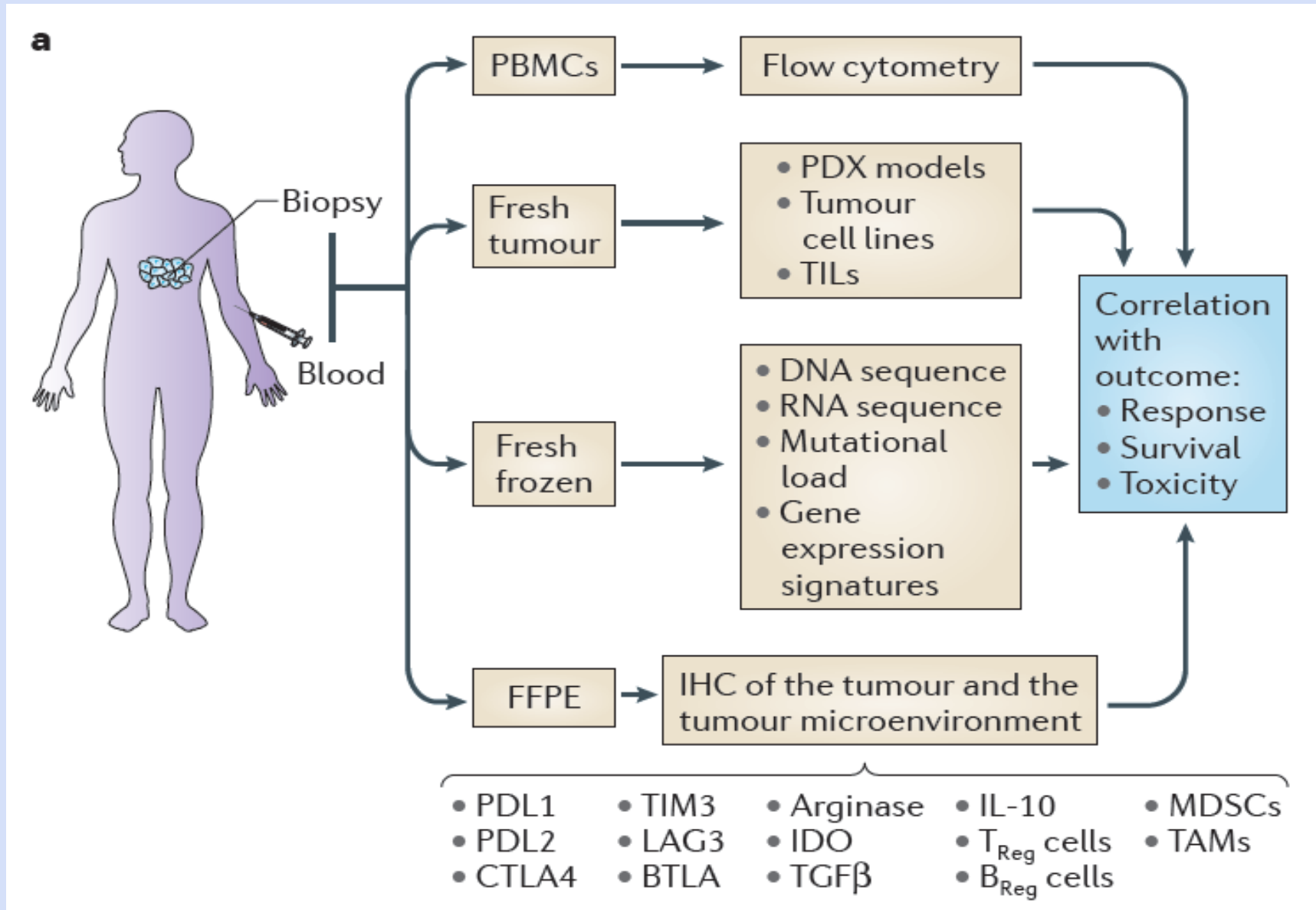


**Further Phase II if RR > 15%  
or PFS > 5 months**

# A 'cluster' protocol of immunotherapy for HCC



# Biomarker Discovery for Combination Immunotherapy

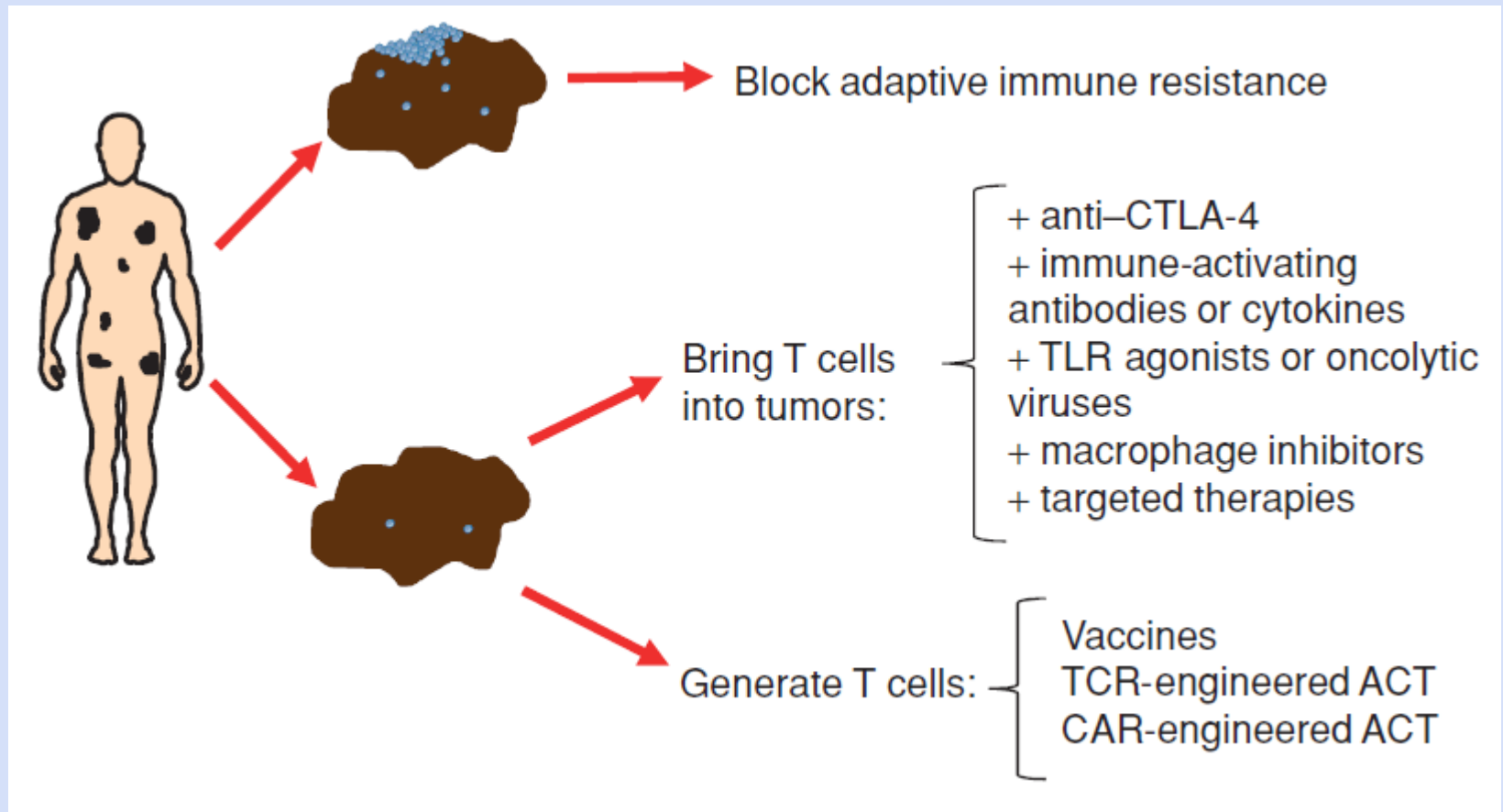


# Whole Genome Sequencing Identifies Recurrent Mutations in HCC – 88 cases from HBV-endemic area

**Table 1 Significantly mutated genes in primary HCC**

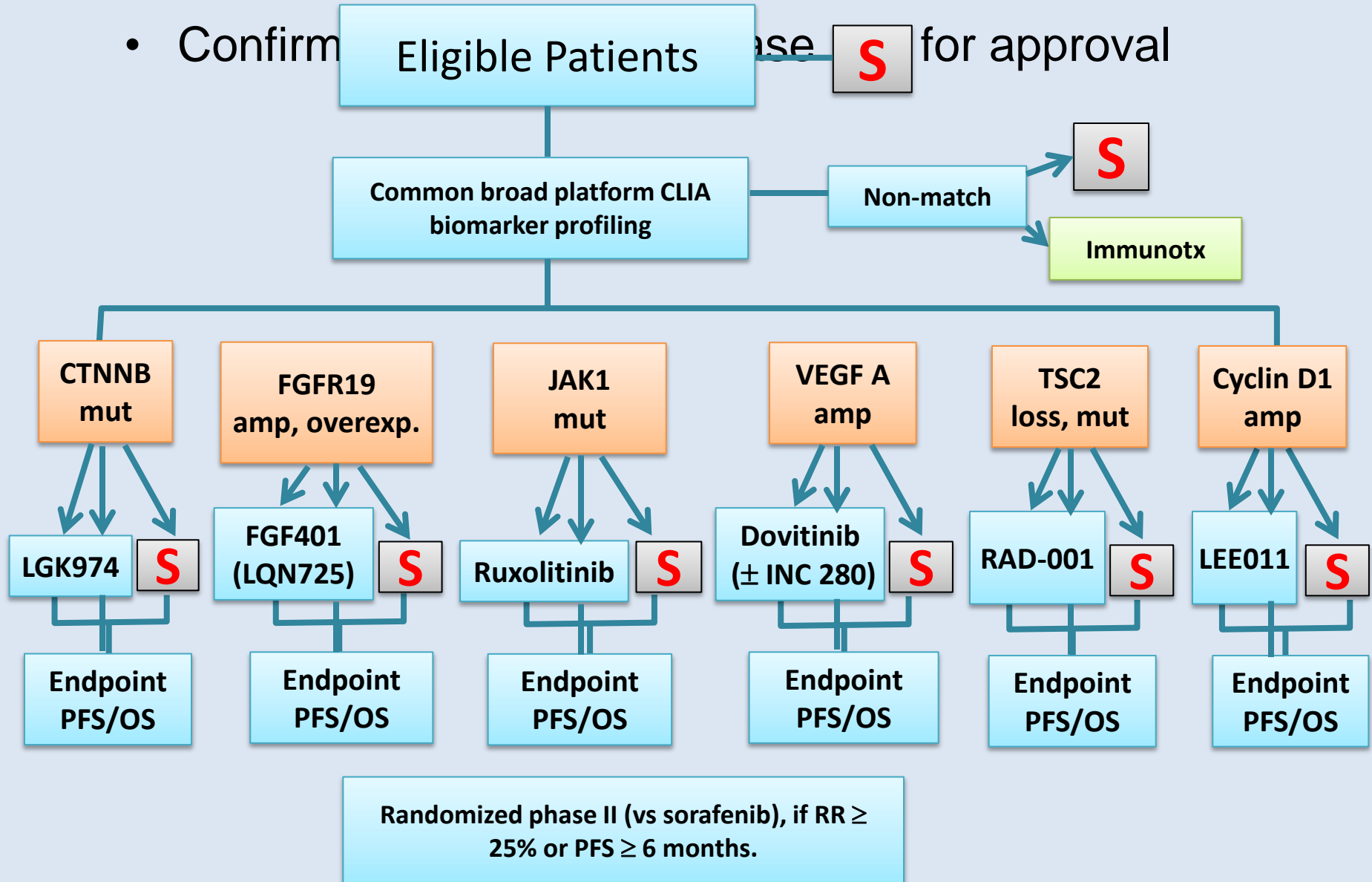
Gene	Description	Mutation Frequency	Confidence Interval (95%)	# COSMIC Matched	# Recurrence	FDR
<i>TP53</i>	tumor protein p53	35.2% (31)	±10.0%	29	1	0
<i>CTNNB1</i>	catenin (cadherin-associated protein), beta 1, 88kDa	15.9% (14)	±7.6%	12	1	0
<i>JAK1</i>	Janus kinase 1	9.1% (8)	±6.0%	2	2	0.001
<i>AXIN1</i>	axin 1	4.5% (4)	±4.4%	0	0	0.043
<i>EPS15</i>	epidermal growth factor receptor pathway substrate 15	4.5% (4)	±4.4%	0	0	0.043
<i>SLC10A1</i>	solute carrier family 10 (sodium/bile acid cotransporter family), member 1	3.4% (3)	±3.6%	0	0	0.047
<i>CACNA2D4</i>	calcium channel, voltage-dependent, alpha 2/delta subunit 4	5.7% (5)	±4.8%	0	0	0.066
<i>ADCY2</i>	adenylate cyclase 2 (brain)	5.7% (5)	±4.8%	0	0	0.067
<i>LRP1B</i>	low density lipoprotein receptor-related protein 1B	11.4% (10)	±6.6%	0	0	0.073
<i>FAM5C</i>	family with sequence similarity 5, member C	5.7% (5)	±4.8%	0	0	0.077
<i>COL11A1</i>	collagen, type XI, alpha 1	6.8% (6)	±5.3%	0	0	0.093

# Treatment Selection Based on Detecting Adaptive Immune Resistance

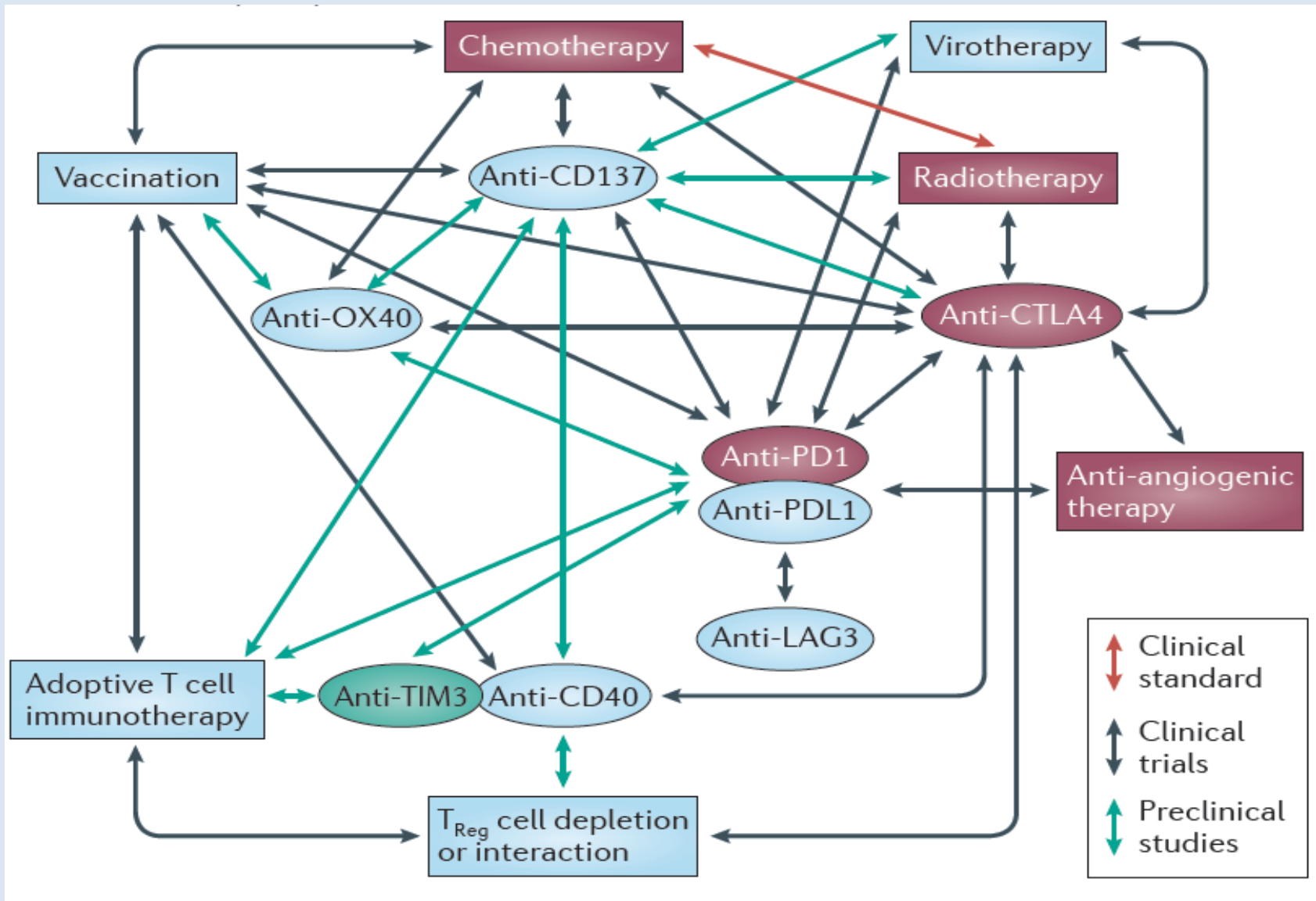


# Master Protocol for **Novartis** 1<sup>st</sup>-line HCC

- Confirm Eligible Patients case **S** for approval



# Combined Immunotherapy (2015)



# Single agent drug X

## Go vs. no-go in stage I

**Safety** P: subjects without Gr 4 AE  
P1: 0.8, P0: 0.6  
 $\alpha = 0.05, \beta = 0.1$  (optimal)

n1	r1	Probability of No-Go at P0
19	12	0.69

**Efficacy** P: subjects with CR/PR  
P1: 0.25, P0: 0.1  
 $\alpha = 0.05, \beta = 0.1$  optimal minimax

n1	r1	n	r	Probability of No-Go at P0
21	2	66	10	0.65
31	3	55	9	0.62



# PDR001 plus drug X

## Go vs. no-go in stage I

**Safety**    P: subjects without Gr 4 AE  
P1: 0.7, P0: 0.5  
 $\alpha = 0.05, \beta = 0.1$                       (optimal)

n1	r1	Probability of No-Go at P0
24	13	0.73

**Efficacy**    P: subjects with CR/PR  
P1: 0.4, P0: 0.2  
 $\alpha = 0.05, \beta = 0.1$                       (minimax)

n1	r1	n	r	Probability of No-Go at P0
24	5	45	13	0.66

# Prognostic Immune Markers in HCC

	Good prognosis	Poor prognosis
Intratumoral	CCL2	B7H3
	CCL22	CD3 <sup>+</sup> CD56 <sup>+</sup> (↓)
	CD3 <sup>+</sup>	IDO (↓)
	CD4 <sup>+</sup>	iNKT
	IL-6	NKG2D (↓)
	LTA	PDL1
	NCR3	Tim3 <sup>+</sup>
	TNF- $\alpha$	IFN- $\gamma$
	TLR3	CD15 <sup>+</sup>
	TLR4	Tc17
Peripheral blood		PD-L1 <sup>+</sup> CD68 <sup>+</sup>
		CD14 <sup>+</sup> HLA-DR <sup>lo</sup> (↑) IL-10 (↑)

NOTE: These markers have been shown to correlate with better or poor prognosis in patients with hepatocellular carcinoma.

<sup>a</sup>Prognostic markers, which are increased (↑) or decreased (↓), are marked accordingly.

Greten TF et al, Clin Cancer Res 2013; 19(24); 6678–85.

# Immune Markers Changed in HCC

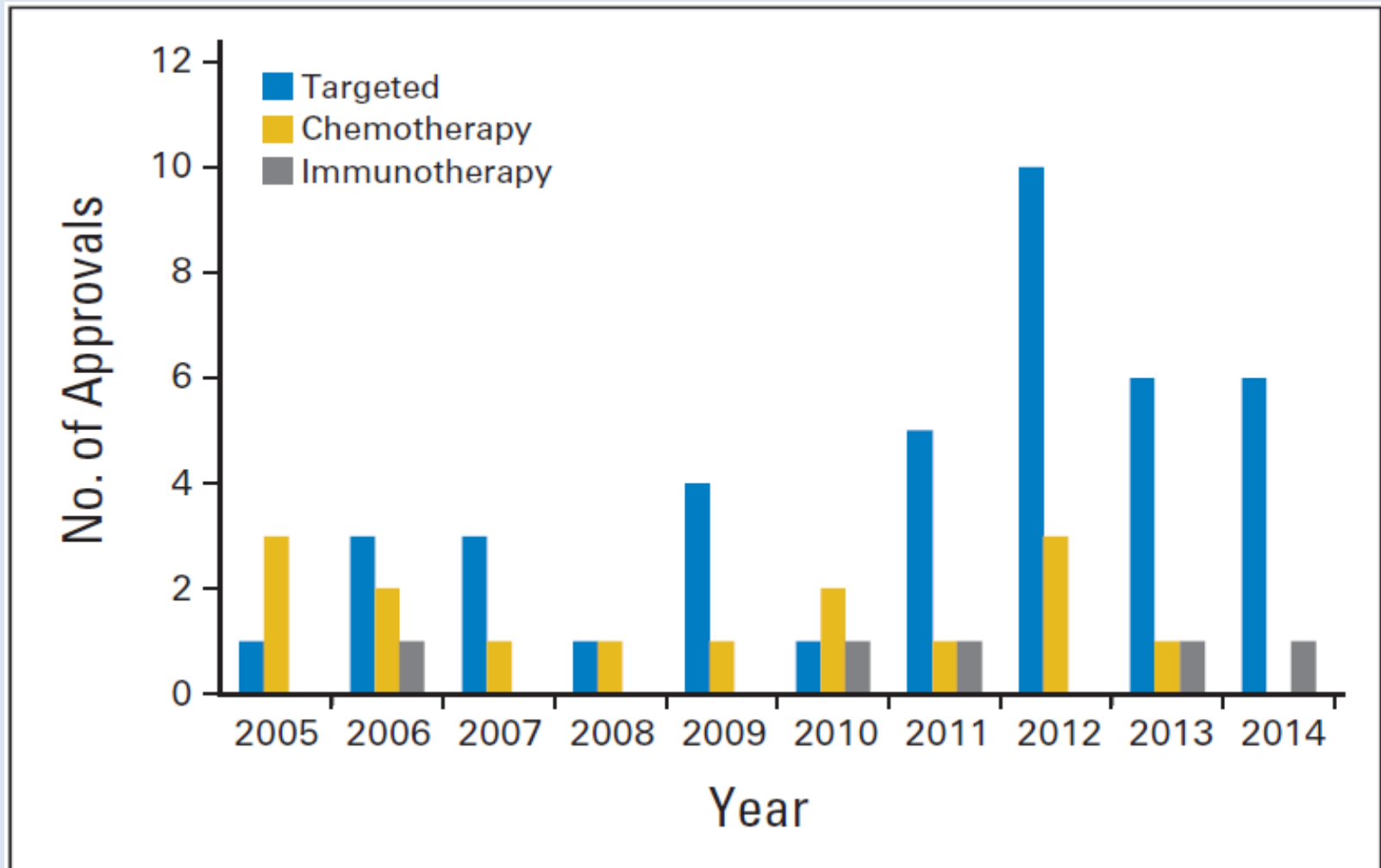
	Elevated	Reduced
Intratumoral	Treg Th17 PD1 <sup>+</sup> CD8 <sup>+</sup>	CD8 <sup>+</sup> CD8 <sup>+</sup> FoxP3 <sup>+</sup> $\gamma\delta$ T cell CD56 <sup>dim</sup> CD16 <sup>+</sup> NK MHC I CD80/CD86 CD1c <sup>+</sup> Lin <sup>-</sup>
Peripheral blood	IL-1 $\alpha$ , IL-3, IL-6 IL-8, IL-12p40 CCL27, CXCL1, CXCL10 CXCL12, IFN- $\alpha$ 2, M-CSF GM-CSF, CXCL9, $\beta$ -NGF SCF, SCGF- $\beta$ , TNF- $\beta$ sCD25, TGF- $\beta$ CD11b <sup>+</sup> CD14 <sup>-</sup> CD33 <sup>+</sup> Treg, Th17	

NOTE: These markers have been shown to be elevated or reduced in patients with hepatocellular carcinoma in comparison with healthy controls, without known correlation to outcome.

Greten TF et al, Clin Cancer Res 2013; 19(24); 6678–85.

# FDA approvals by cancer drug class and year

(2014 is through October)



Masters GA. et al . J Clin Oncol 2015,33:786-809.

# Phase 1/2 Safety and Antitumor Activity of Nivolumab in Patients With Advanced Hepatocellular Carcinoma (HCC): CA209-040

Anthony B. El-Khoueiry,<sup>1</sup> Ignacio Melero,<sup>2</sup> Todd S. Crocenzi,<sup>3</sup>  
Theodore H. Welling III,<sup>4</sup> Thomas Yau,<sup>5</sup> Winnie Yeo,<sup>5</sup> Akhil Chopra,<sup>6</sup>  
Joseph F. Grosso,<sup>7</sup> Lixin Lang,<sup>7</sup> Jeffrey Anderson,<sup>7</sup> Christine dela Cruz,<sup>7</sup> Bruno Sangro<sup>2</sup>

<sup>1</sup>University of Southern California Norris Comprehensive Cancer Center, Los Angeles, CA, USA; <sup>2</sup>Clinica Universidad de Navarra and CIBERehd, Pamplona, Spain; <sup>3</sup>Providence Cancer Center, Portland, OR, USA; <sup>4</sup>University of Michigan, Ann Arbor, MI, USA; <sup>5</sup>University of Hong Kong, China; <sup>6</sup>Johns Hopkins Singapore International Medical Centre, Singapore; <sup>7</sup>Bristol-Myers Squibb, Princeton, NJ, USA

# Treatment-Related Adverse Events

	Total (N=47)		
	Any Grade	Grade 3	Grade 4
<b>Patients with any treatment-related adverse event, n (%)</b>	<b>32 (68)</b>	<b>8 (17)</b>	<b>1 (2)</b>
<b>Treatment-related adverse events reported in ≥5% of patients</b>			
AST increased	9 (19)	5 (11)	0
Lipase increased	8 (17)	3 (6)	1 (2)
Rash	8 (17)	0	0
ALT increased	7 (15)	4 (9)	0
Amylase increased	7 (15)	0	0
Pruritus	6 (13)	0	0
Hypoalbuminemia	4 (9)	0	0
Anemia	3 (6)	1 (2)	0
Fatigue	3 (6)	1 (2)	0
Asthenia	3 (6)	0	0
Diarrhea	3 (6)	0	0
Hyponatremia	3 (6)	0	0

- There were no grade 5 treatment-related AEs



# Investigator-Assessed Best Overall Response

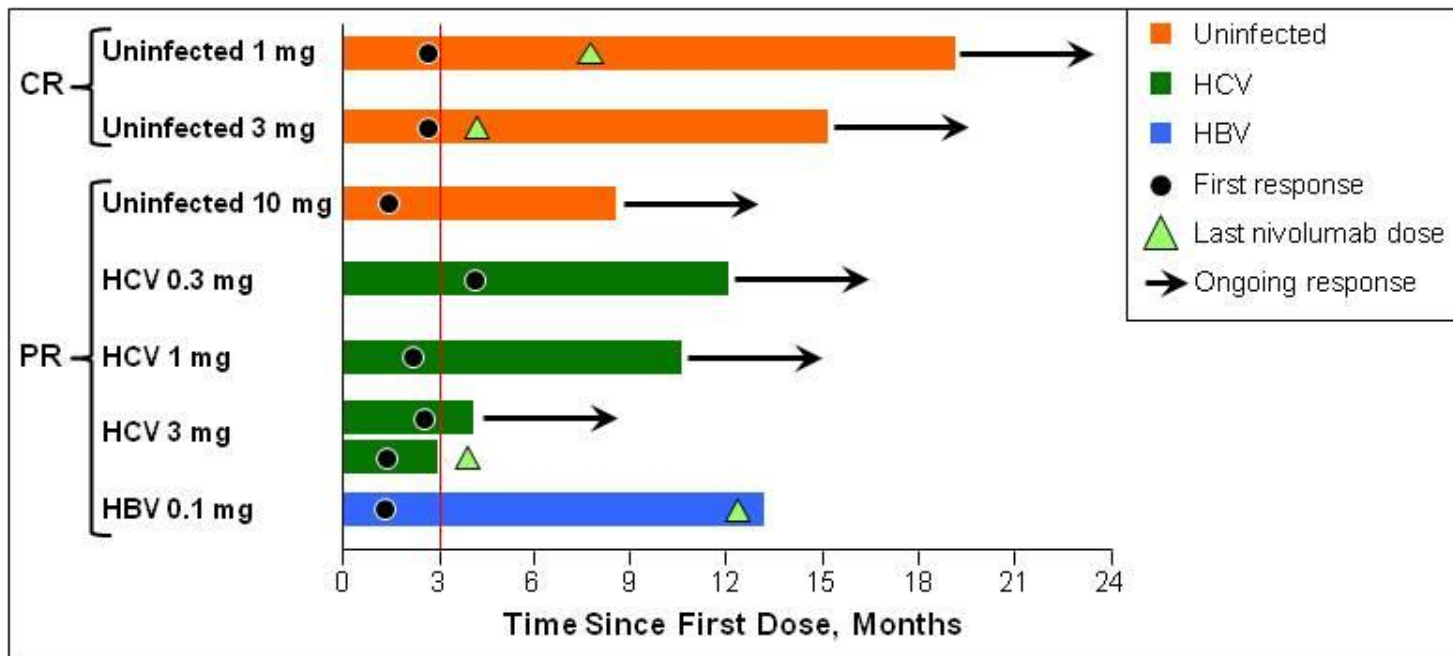
	Uninfected (n=21)	HCV (n=11)	HBV (n=10)	Total Evaluable* (n=42)
Objective response, n (%)	3 (14)	4 (36)	1 (10)	8 (19)
Complete response	2 (10)	0	0	2 (5)
Partial response	1 (5)	4 (36)	1 (10)	6 (14)
Stable disease	10 (48)	5 (45) <sup>†</sup>	5 (50)	20 (48)
Progressive disease	8 (38)	2 (18)	4 (40)	14 (33)
Ongoing response, n (%)	3/3 (100)	3/4 (75)	0	6/8 (75)

Responses assessed by RECIST 1.1

\*5 patients not evaluable: first disease assessment not yet performed in 4 patients, 1 patient died from clinical progression before disease assessment

<sup>†</sup>Patient with resolved HCV infection

# Time to and Durability of Response



- 7/8 patients responded within 3 months of beginning treatment
- Responses ongoing in 6/8 patients, including 2 patients who discontinued treatment due to CR



# Immunotherapy for hepato-biliary cancers

Anti-PD1 therapy and beyond

# Anti-PD1 for advanced hepatocellular carcinoma (HCC)

- Nivolumab 0.1-10 mg/kg every 2 weeks
- Progression/intolerance/refusal to sorafenib
  - 3 cohorts: un-infected, HBV (+), HCV (+)
- 2 CR (5%), 6 PR (15%) in 39 evaluated patients
  - un-infected (21) 2 CR/ 1 PR
  - HBV (+) (10) 1 PR
  - HCV (+) (11) 4 PR
- 12-month overall survival: 62%.
  - El-Khoueiry AB, et al. J Clin Oncol 33, 2015 (suppl; abstr LBA101)

# Anti-PD1 for advanced biliary tract carcinoma (BTC)

- Pembrolizumab 10 mg/kg every 2 weeks
  - Progression/intolerance to standard systemic therapy
  - PD-L1 (+)
    - $\geq 1\%$  of cells in tumor and stroma
    - QualTek IHC assay, 22C3 antibody clone (Merck)
  - 37 of 89 (42%) patients screened were PD-L1-(+); 24 (65%) enrolled.
  - ORR (confirmed and unconfirmed): 17% (95%CI, 5%-39%)
    - 0 CR, 4 PR, 4 SD
- Bang YJ, et al. ESMO 2015, P259

# Future trials of anti-PD1/anti-PD-L1 therapy for HCC

- **Advanced stage disease**
  - First-line:
    - Head-to-head comparison of anti-PD1/anti-PD-L1 with sorafenib
  - Second-line:
    - Head-to-head comparison of anti-PD1/anti-PD-L1 with placebo
    - Exploration of anti-PD1/ anti-PD-L1 based combination
      - NCT02519348 (MEDI4736 + tremelimumab)
- **Early/ Intermediate stage disease**
  - Combination with ablation therapy/TACE

# Future trials of immunotherapy for BTC

- **Recurrent/metastatic disease**

- First-line:
  - Combination of anti-PD1/anti-PD-L1 with chemotherapy
    - NCT02268825 (pembrolizumab + FOLFOX)
- Second-line:
  - Head-to-head comparison of anti-PD1/anti-PD-L1 with placebo
  - Exploration of anti-PD1/ anti-PD-L1 based combination
    - NCT01938612 (MEDI4736 +/- tremelimumab)

- **Post surgical therapy**

- Combination with adjuvant chemoradiation (for margin (+) or LN (+) diseases)

# Potential predictive biomarkers for anti-PD-1/ anti-PD-L1 therapy

- PD-L1 expression in tumor/immune cells

**Adaptive immune  
resistance**

PD-L1 (+) TIL (+)

PD-L1 (-) TIL (-)

PD-L1 (-) TIL (+)

PD-L1 (+) TIL (-)

**Immunological  
ignorance**

**Tolerance**

**Intrinsic induction  
(of PD-L1)**

- Teng MWL et al. *Cancer Res* 2015; 75: 1-7

- The potential roles of PD-L2?
  - Yearley J et al. ESMO 2015 (
- Other immune cells in the micro-environment
  - TAM, MDSC
- Mutational loads
  - Melanoma, lung cancers, MMR deficient cancers
- Host immune response
  - Cytokines, No./function of T cell subpopulations
- Incorporation of genomic and immune profiling studies

# Combination with other immune modulatory agents

- **For TILs (+) tumors**

- Combined with other checkpoint inhibitors (anti-LAG3, anti-TIM3, etc.)
- Combined with co-stimulator agonists
- Combined with inhibitors of TAM/MDSC (e.g., CSF-1Ri)

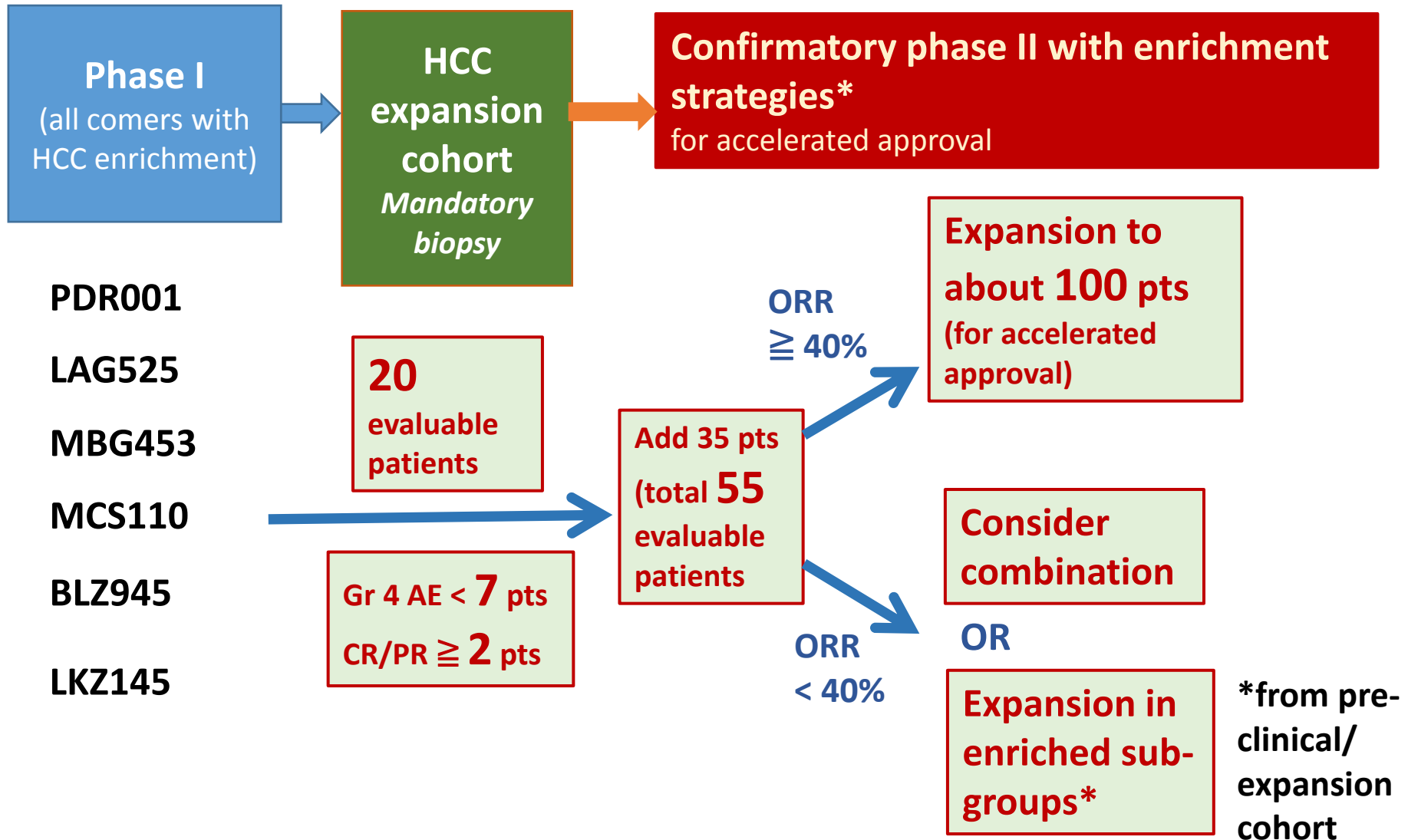
- **For TILs (-) tumors**

- Combined with anti-CTLA4
- Induction of immunogenic cell death (RT, chemo, MTT, etc.)
- Improve T cell trafficking (e.g., anti-angiogenic)
- CART
- In situ vaccination

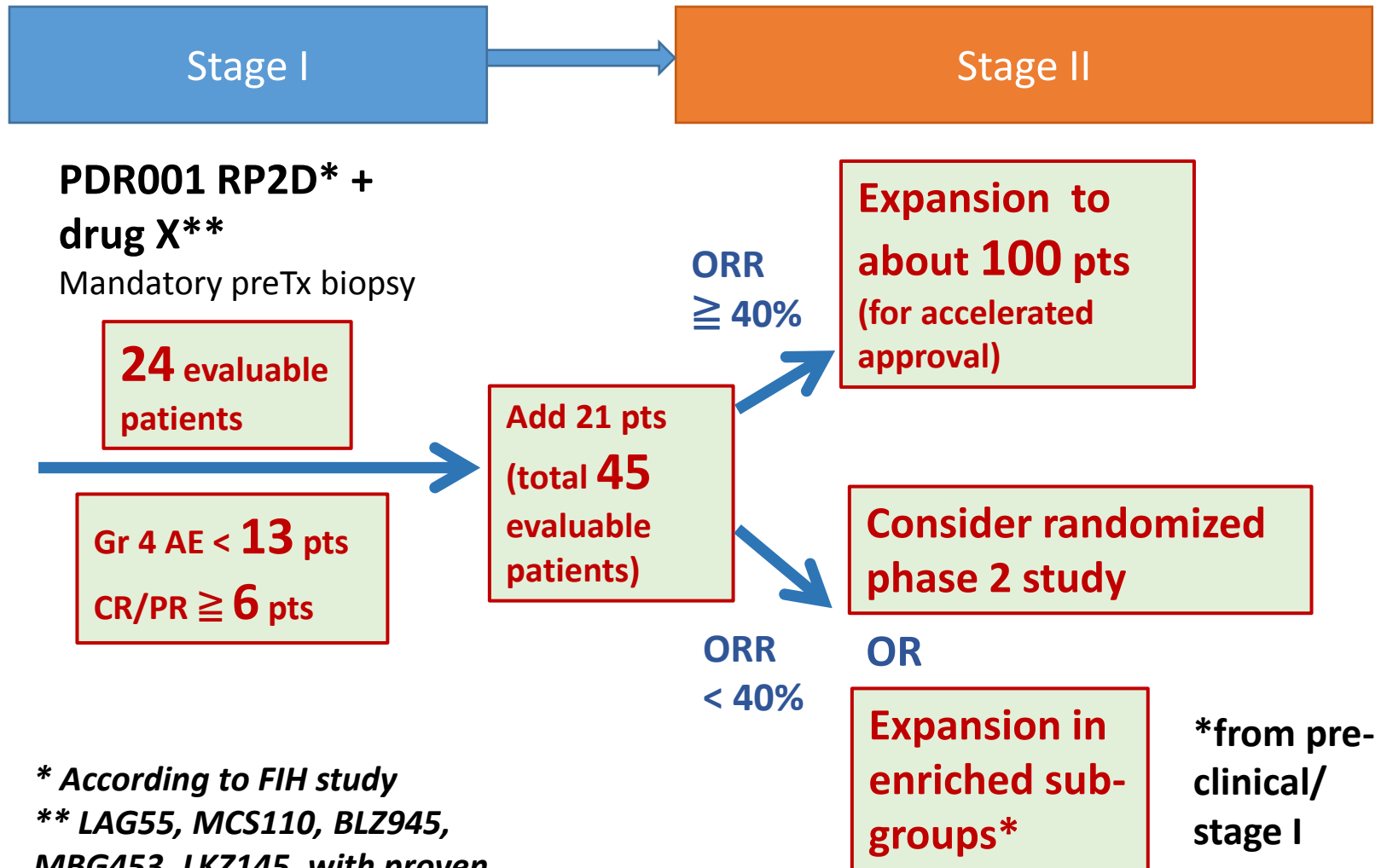
Back-up



# Immunotherapy for advanced HCC (1): single agent



# Immunotherapy for advanced HCC (2): PDR001 plus drug X combinations

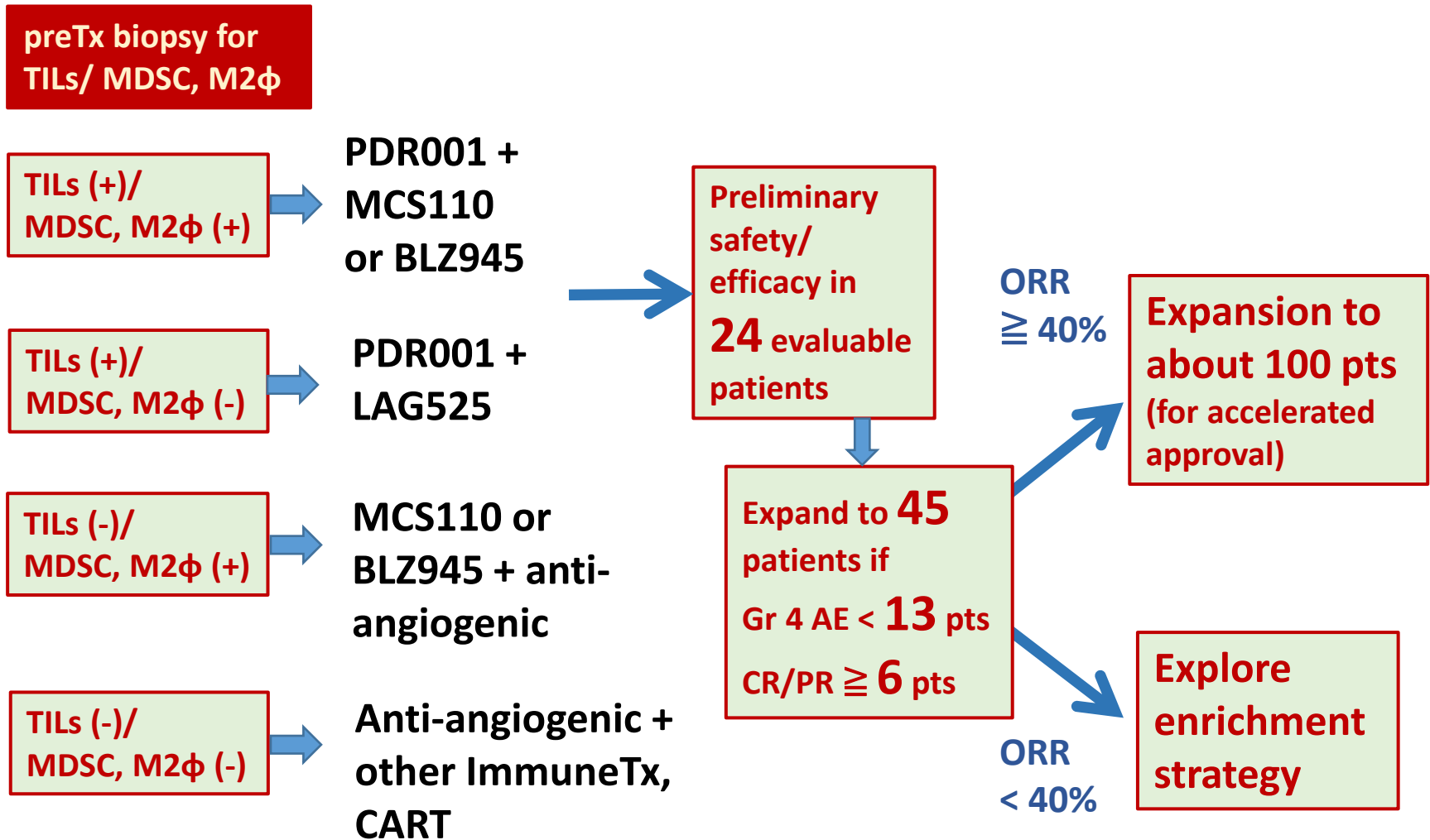


\* According to FIH study

\*\* LAG55, MCS110, BLZ945, MBG453, LKZ145, with proven single-agent safety

\*from pre-clinical/  
stage I

# A 'cluster' protocol of immunotherapy for HCC



# Potential partners of PDR001 (anti-PD1)

Drug/ MoA	Pre-clinical synergy with anti-PD1	HCC pre-clinical studies	Human studies	Human HCC studies
Tremelimumab/ anti-CTLA4	Yes	Yes	Yes	Yes
LAG525/ anti-LAG3	Yes <sup>1</sup>	Yes <sup>2</sup>	Ongoing	No
MBG453/ anti-TIM3	Yes <sup>3</sup>	Yes <sup>4*</sup>	Planned	No
LKZ145/ GITR agonist	Yes <sup>5</sup>	No	No	No
MCS110/ anti-CSF-1	No	Yes <sup>6</sup>	Ongoing	No
STING/ in situ vaccine	No	No	Planned	No
Istradefylline/ A2aR antagonist	Yes <sup>7,8</sup>	No	On market (Japan)	No

# Strategies of Immunotherapy for Solid Tumors

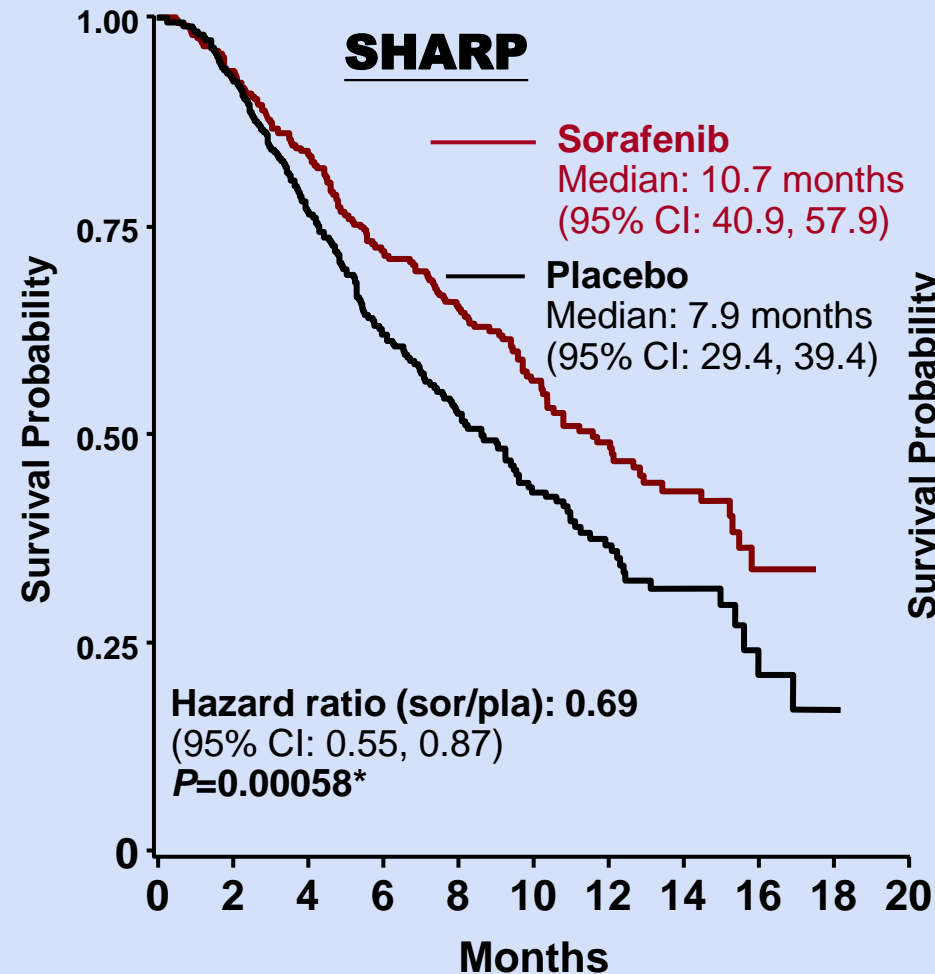
## ■ TIL abundance (+)

1. Single immune checkpoint inhibitor
2. Combination of immune checkpoint inhibitors
3. Immune checkpoint inhibitor + costimulatory receptor activator
4. Immune checkpoint inhibitor + innate immunity modulator

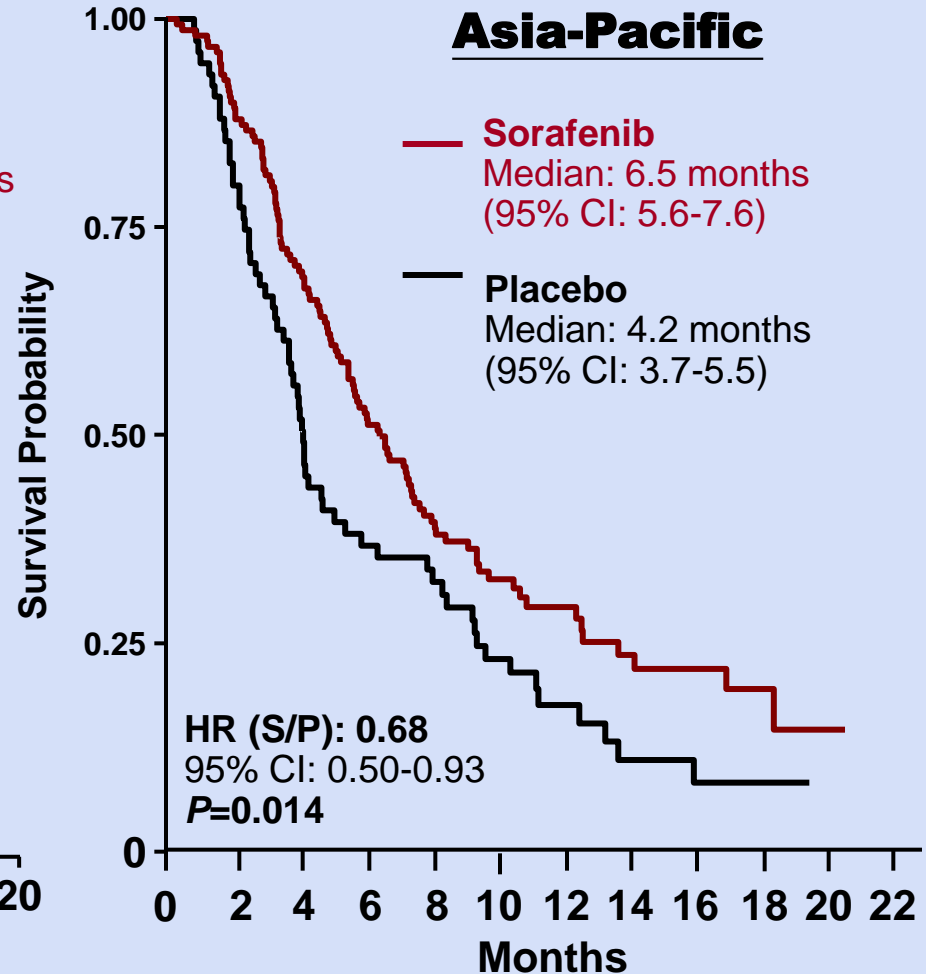
## ■ TIL abundance (-)

1. CAR T cells
2. Vaccine
3. Oncolytic virus
4. Immune checkpoint inhibitor + VEGF/VEGFR inhibitor
5. Innate immunity modulator  $\pm$  immune checkpoint inhibitor

# Phase III SHARP and Asia-Pacific Overall Survival



Llovet JM, et al. *N Engl J Med* 2008;359:378-90



Cheng AL, et al. *Lancet Oncol* 2009;10:25-34.

# ASCO 2011

## Phase 3 Trial of Sunitinib vs. Sorafenib in Advanced Hepatocellular Carcinoma

A-L Cheng,<sup>1</sup> Y-K Kang,<sup>2</sup> S-Q Jin,<sup>3</sup> S-Q Jin,<sup>4</sup> S Qin,<sup>6</sup>  
M Omata,<sup>7</sup> S Pitman Lowndes,<sup>8</sup> MJ Lechuga,<sup>9</sup>  
E Raymond<sup>10</sup> for the SHARP Investigators

<sup>1</sup>National Taiwan University Hospital, Taipei, Taiwan; <sup>2</sup>Chang Gung  
Memorial Hospital, Chang Gung University, Taiwan; <sup>3</sup>Chang Gung  
Republic of Korea; <sup>4</sup>Kinki University Hospital, Osaka, Japan; <sup>5</sup>Chang Gung  
<sup>7</sup>Yamanashi Prefecture Central Hospital, Kofu, Yamanashi, Japan; <sup>8</sup>Chang Gung  
<sup>9</sup>Pfizer Italia Srl, Milan, Italy; <sup>10</sup>Service Inter Hospital, France

Sunitinib  
Brivanib  
Vandetanib  
Nintedanib  
Dovitinib  
Axitinib  
Orantinib  
Anti-Glypican 3  
Tigatuzumab  
Lenvatinib  
Regorafenib

.....



## 8 Years On - - -

- Results of SHARP was presented in June 2007. Sorafenib was approved by FDA (Dec. 2007) and EMEA (Oct. 2007).
- Up to **80** other compounds have been tested in more than **190** trials. None has succeeded.



# Drug Dev

Multi-targeted  
sorafenib, su  
linifanib

## Linifanib Versus Sorafenib in Patients With Advanced HCC: Results of a Randomized Phase III Trial

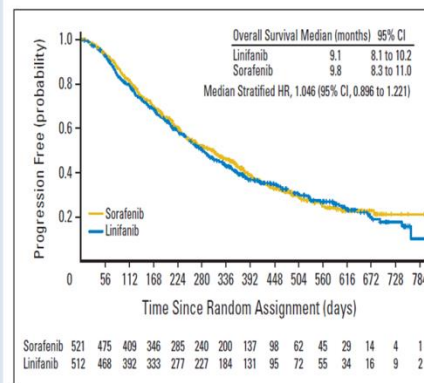


Fig 2. Kaplan-Meier analysis of overall survival with a cutoff point at the 667th patient death. HR, hazard ratio.

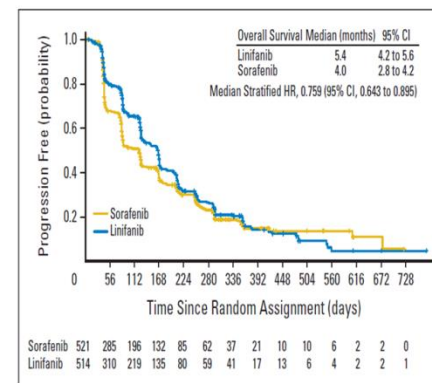


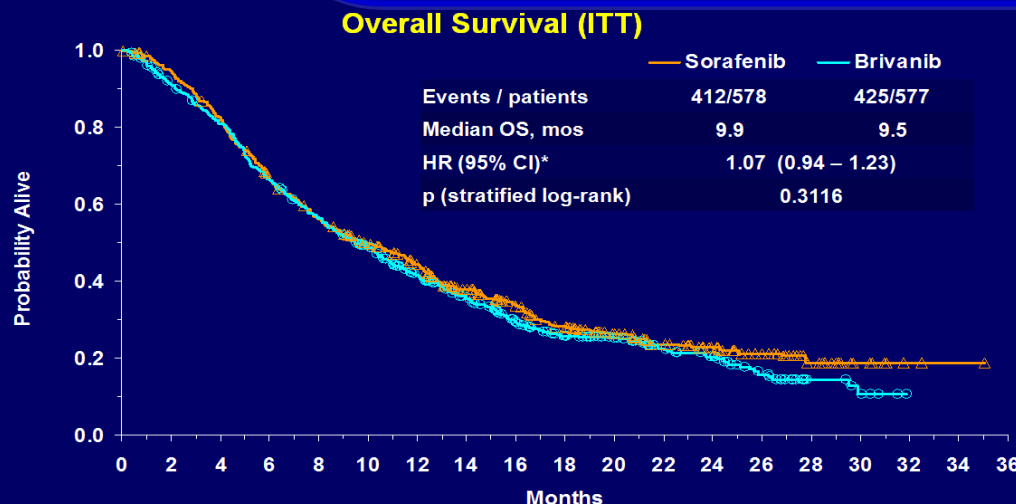
Fig 4. Kaplan-Meier analysis of time to progression. HR, hazard ratio.

Cainap C, et al. J Clin Oncol 2015; 33:172-179

Phase III, R  
S

Phase III,

	Briva (n = 2)
Median OS	9.4 n
Median TTP*	4.2 n
DCR*	71.2
ORR*	11.5
CI, confidence interval; HR *Modified RECIST for HCC ‡Cochran-Mantel-Haenszel	



\*HR (95% CI) for per-protocol population (575 patients in each arm) was 1.06 (0.93-1.22)

BMS Highly Confidential - Not for Distribution

Johnson P et al. J Clin Oncol. 2013 Oct 1;31(28):3517-24

% CI: 7.4–9.2)

% CI: 8.9–11.4)

.50)

al; HR: hazard ratio

ASCO 2011, #4000

## Two Groups of Front-runners

**EOLVE-1 ( Phase III, Placebo-controlled, 2<sup>nd</sup>-line ) failed to meet its primary end point (OS)**

### **3. mTOR inhibitors**

# Drug Development for HCC (2010-2015)

FGFR inhibitors

anti-angiogenic TKI

sorafenib, sunitinib, vandetanib,  
linifanib, brivanib, nintedanib, dovitinib,  
orantinib, lenvatinib, axitinib,  
anaplastic sarcoma

Phase II  
TAC

Novel categories

mTORi, mTORi,  
PI3K/Akt  
inhibitors  
anti-PD1  
A3 adenc

Randomized

A) RECIST

Estimated probability

Did not meet primary end  
point in the randomized phase  
II study, 1<sup>st</sup> line vs sorafenib

Cheng AL et al, ASCO-GI 2015

Number at risk  
Nintedanib  
Sorafenib

-40  
Patient 1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 29 30 31

Bruix J et al. Eur J Cancer 49 (2013) 3412–3419

Tell C et al ESMO/ESMO 2015

# Drug Development (2010-2015)

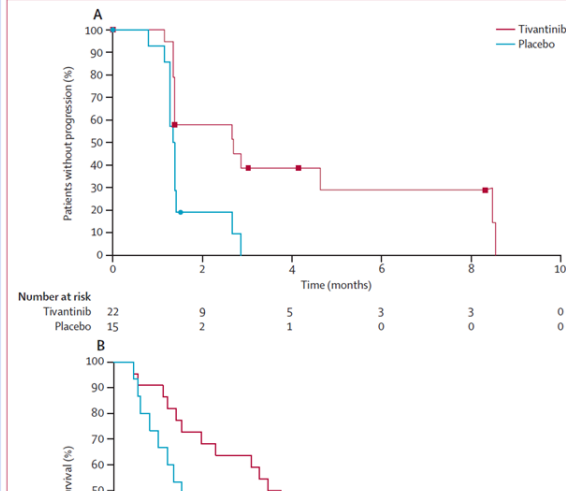
Multi-targeted and

sorafenib, sunitinib, linifanib, brivanib, vandetanib, orantinib, lenvatinib, apatinib, for

Tivantinib

Primary

Met-high subgroup



who failed one study (population)

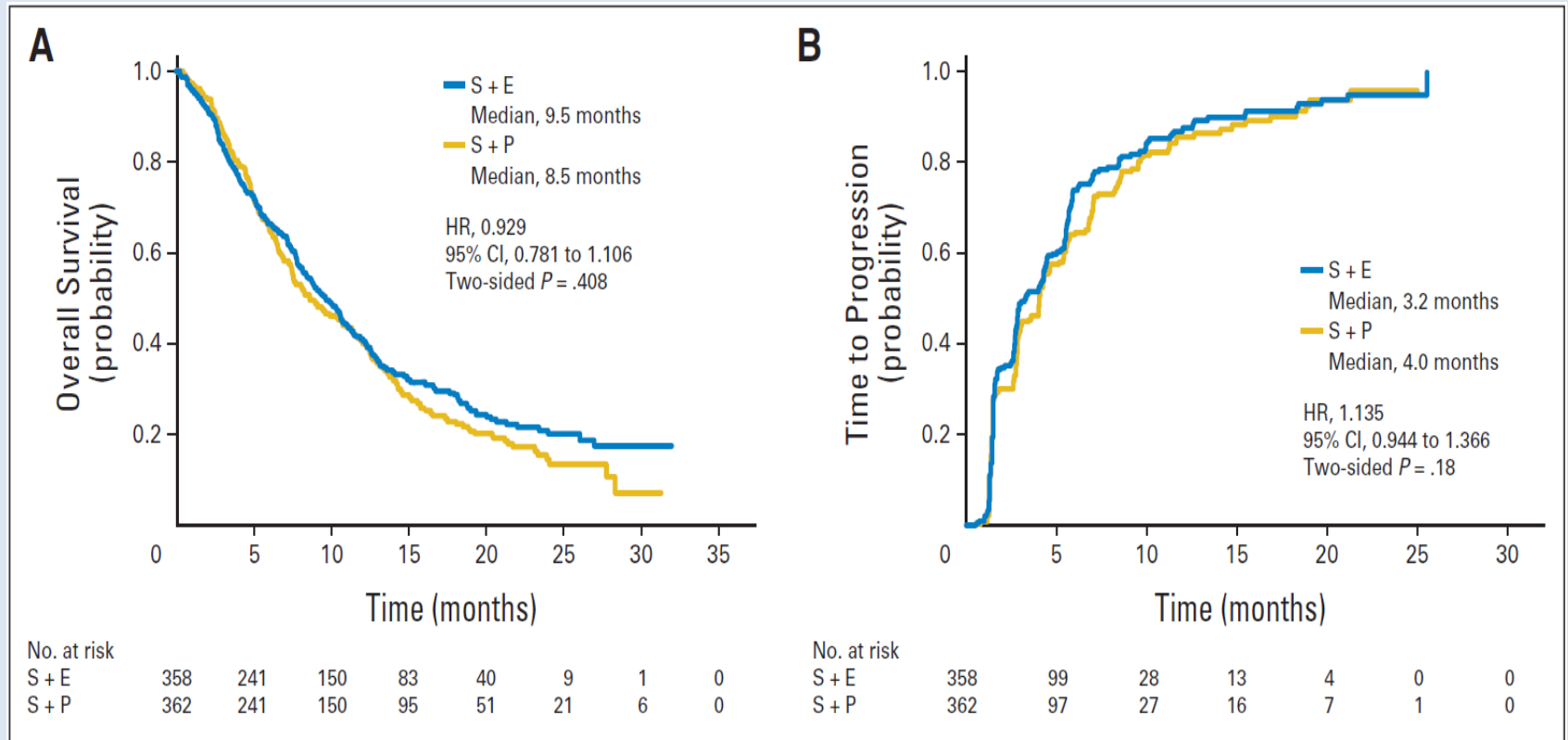
Events  
46  
30  
p = 0.04

Phase III, 2<sup>nd</sup>-line,

C-MET inhibitors

- $\leq 1$  systemic Tx (51% sorafenib)
- Cabozantinib 100 mg/day x12 wks, lead-in.
- 2/36 PR (RR=5%, DCR=68%) at lead-in.
- One more PR after randomization.

# SEARCH: A Phase III, Randomized, Double-Blind, Placebo-Controlled Trial of Sorafenib Plus Erlotinib in Patients With Advanced HCC



Zhu A X. et al J Clin Oncol 2015;33:559-566

## Why MTT fails in HCC ?

- New drugs for HCC have not shown significant activity. Phase II results were not solid enough to support Phase III trials.
- Failed to enrich biomarker subgroups for drug testing.

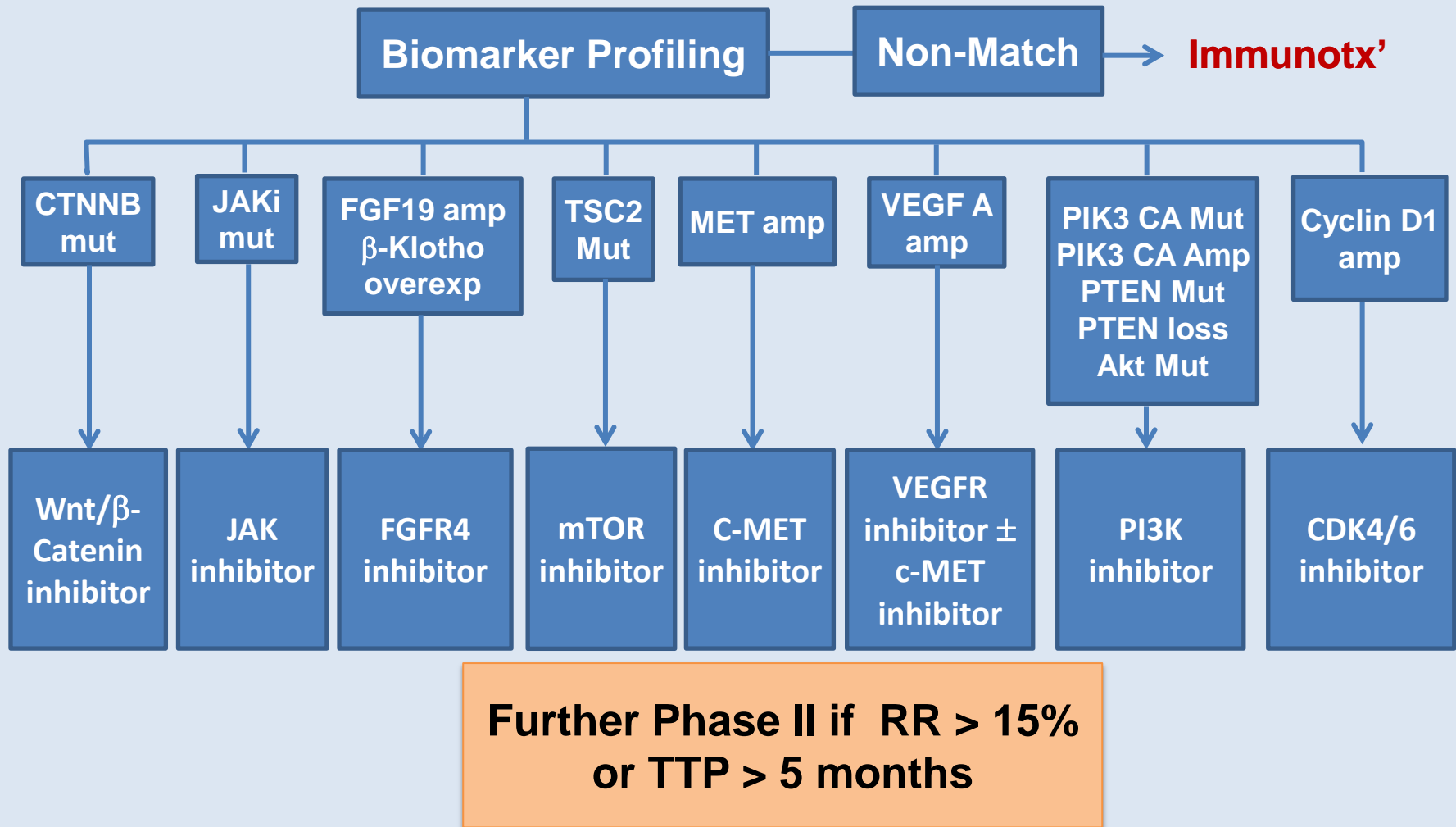
## **Basic Problems of Biomarker-Driven Clinical Trials in HCC**

: Diagnosis of HCC does not routinely require histopathology. Biopsy is not without any risk.

Testing one drug for one biomarker at one time is painstaking, costly, and frustrating to patients and investigators.

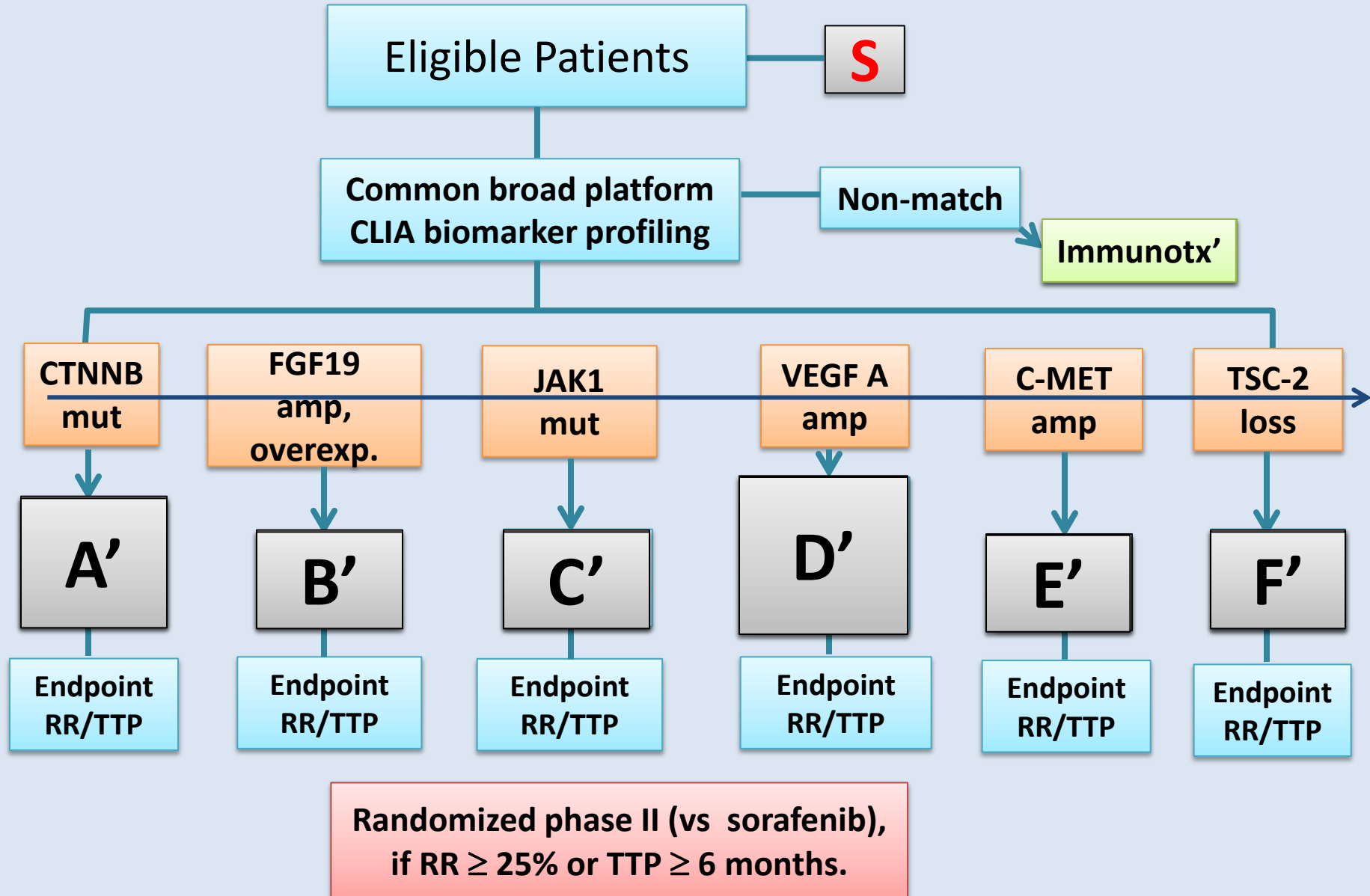
# Master Protocol for 2<sup>nd</sup>-line HCC

- Exploratory, single-arm

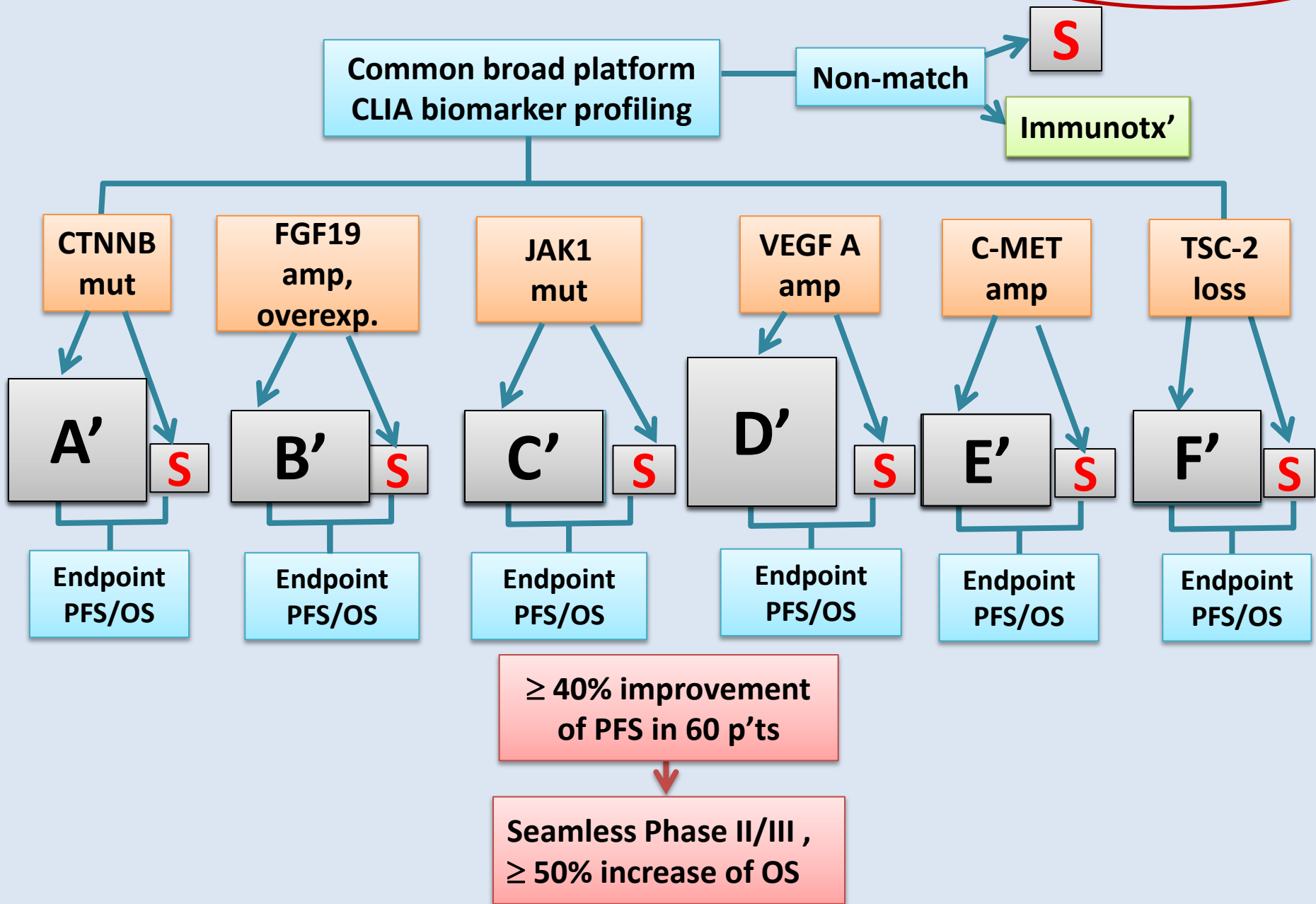




# Master Protocol for 1<sup>st</sup>-line HCC – Adaptive, Exploratory



# Master Protocol for 1<sup>st</sup>-line HCC – Adaptive, confirmatory

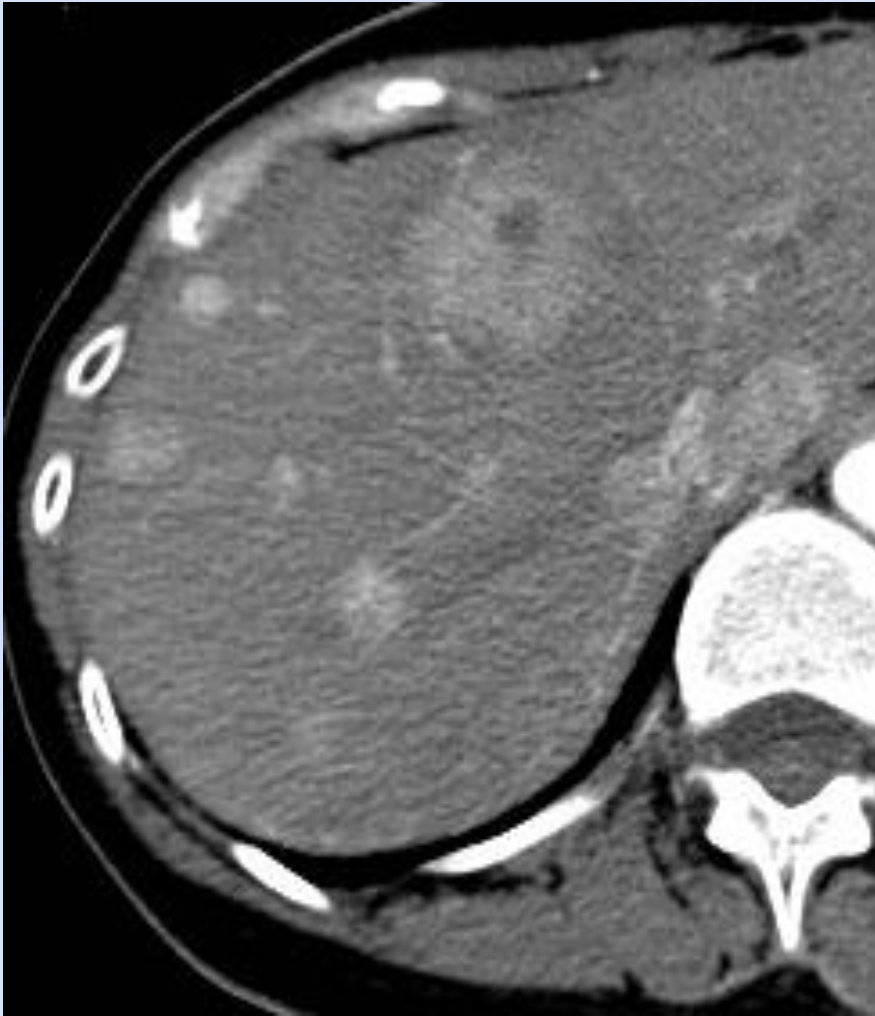


Determine Molecular Subtypes for  
HCC – a daunting task

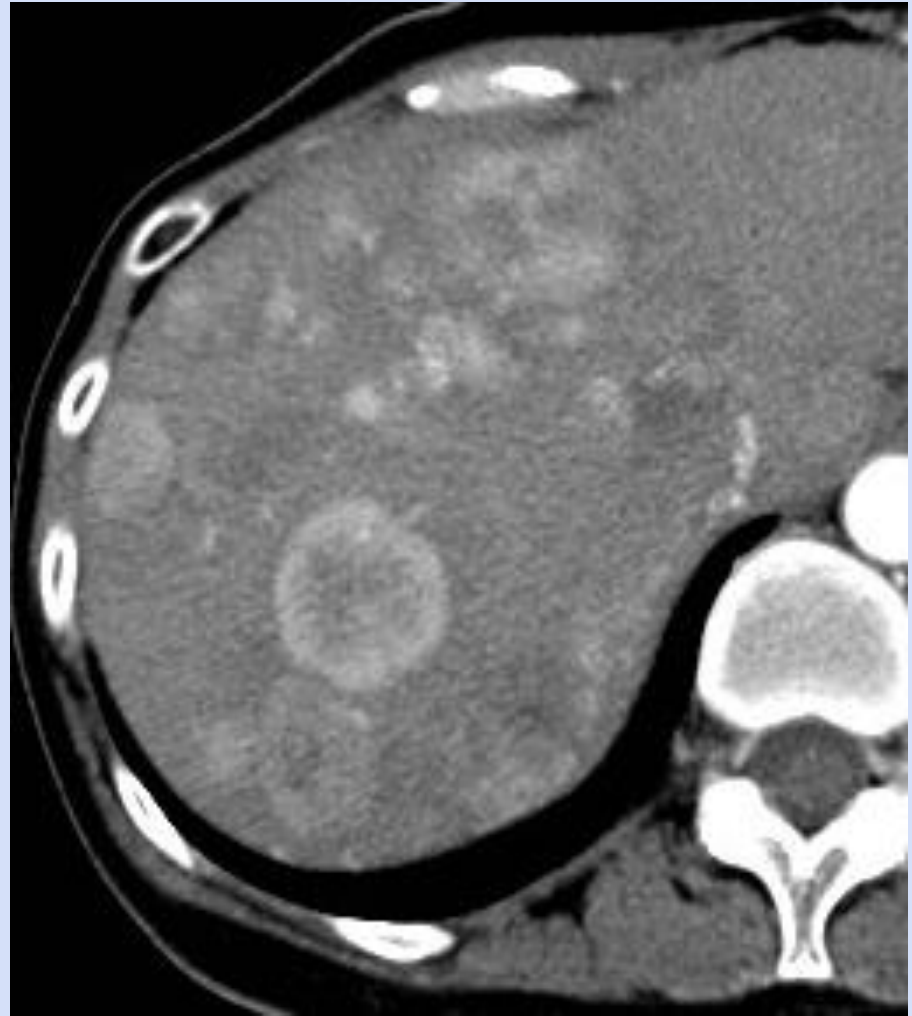
# Clonality of Primary and Recurrent HCC

Year/Country/Journal	Methods	Different Clonality	Etiology
1989 / Taiwan/ Gastroenterology <sup>2</sup>	Integrated HBV DNA (Southern blot analysis)	3/5 (60%)	HBV
1992 / Japan/ Clin Exp Metastasis <sup>10</sup>	DNA ploidy (microspectrophotometry)	11/25 (44%); Lung mets	unknown
2000/ Taiwan/ Gastroenterology <sup>11</sup>	CGH + Integrated HBV DNA	13/31 (42%)	HBV in 19
2003/ Japan/ J Hepatol <sup>7</sup>	LOH of 15 MSI (>30%)	10/19 (53%)	unknown
2008/ China/ J Gastrointest Surg <sup>12</sup>	LOH + MSI	48/160 (30%)	HBV

# Clonality of Co-existing Multifocal Hepatic Tumors ?



Baseline



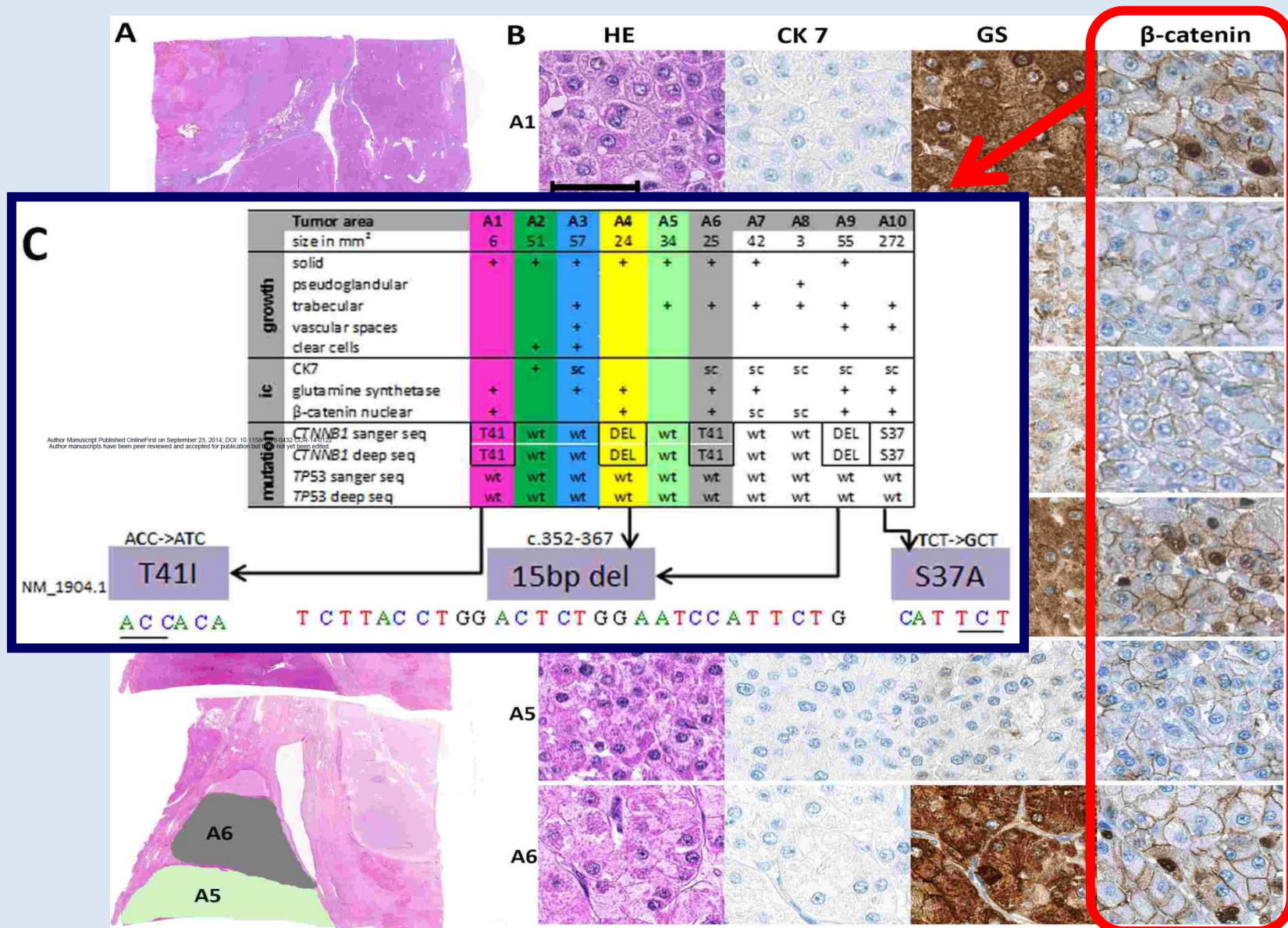
After 3 months

# Clonality of Multifocal HCC

Year/Country/Journal	Methods	Different Clonality	Etiology
1987 / Taiwan/ Liver <sup>1</sup>	DNA ploidy (Feulgen analysis)	4/14 (29%)	HBV predominant
1989 / Taiwan/ Gastroenterology <sup>2</sup>	DNA fingerprint/ Integrated HBV DNA	11/18 (61%)	HBV in 9
1991 / Taiwan/ Hepatol <sup>3</sup>	Integrated HBV DNA (Southern blot analysis)	16/28 (57%)	HBV
1999/ UK/ Gut <sup>4</sup>	DNA fingerprint/AP-PCR	13/13 (100%)	unknown
2002/ Hong Kong/ Cancer Res <sup>5</sup>	cDNA array + p53 + HBV integration	2/6 (33%)	HBV
2003/ Hong Kong/ J Pathol <sup>6</sup>	DNA fingerprint/ LOH +CGH + HBV integration	4/11 (36%)	HBV in 10
2003/ Japan/ J Hepatol <sup>7</sup>	LOH of 15 MSI (>30%)	2/9 (22%)	unknown
2005/ Taiwan/ J Gastroenterol Hepatol <sup>8</sup>	LOH	8/16 (50%)	HBV in 11
2007/ Japan/ Br J Cancer <sup>9</sup>	Promoter hypermethylation	15/19 (79%)	HCV in 13



# Intratumor Heterogeneity in HCC



# Intratumor Heterogeneity of HCC

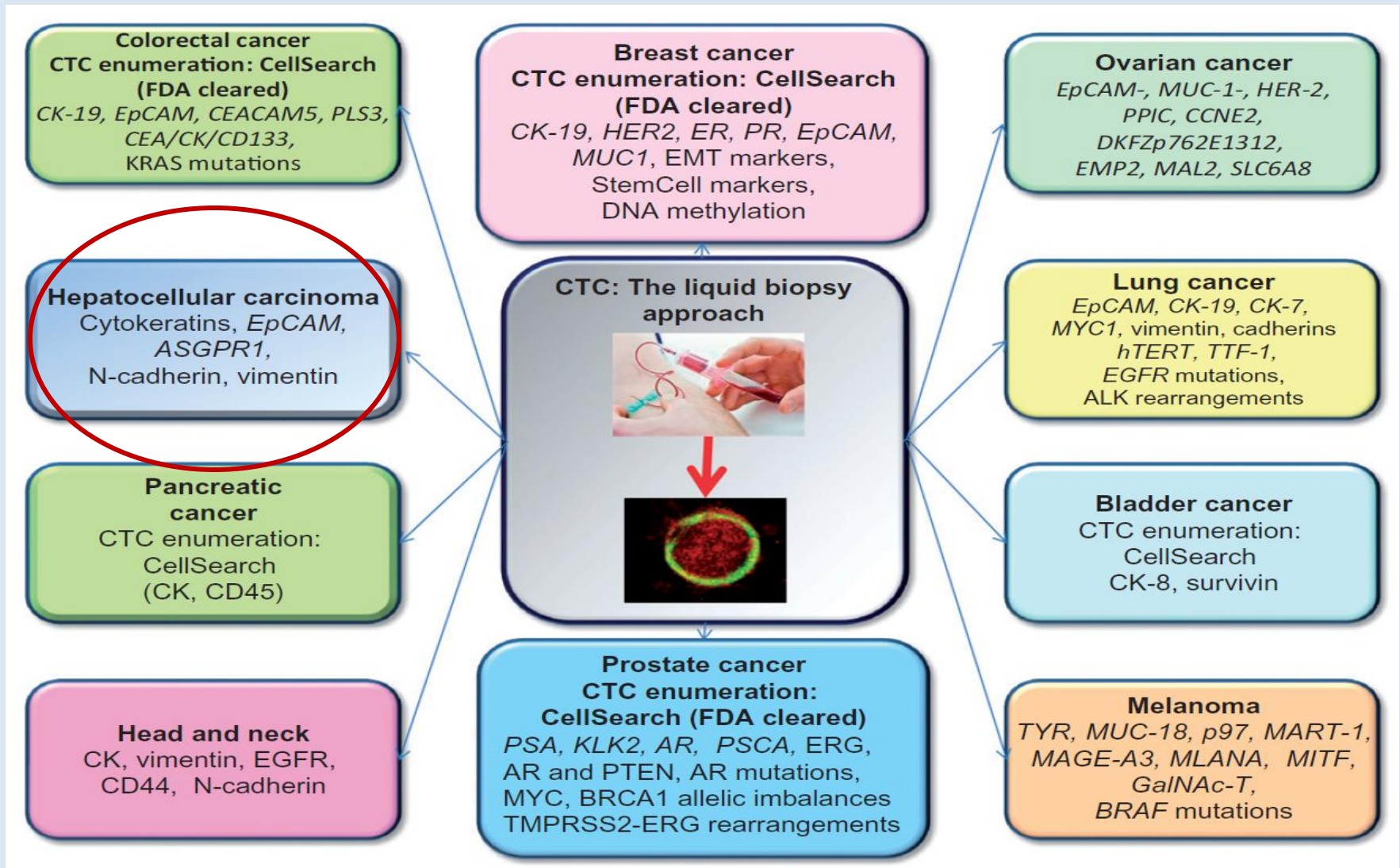
Year/Country/Journal	Methods	Different Clonality	Etiology
1987 / Taiwan/ Liver <sup>1</sup>	DNA ploidy (Feulgen analysis)	2/17 (12 %)	HBV predominant
2003/ Japan/ J Hepatol <sup>7</sup>	LOH of 15 MSI (>30%)	1/7 (14%)	unknown
1995/ Japan/ Cancer <sup>13</sup>	DNA ploidy/ flow cytometry	7/28 (25%)	Alcohol in 9
1998/ Japan/ J Hepatol <sup>14</sup>	DNA ploidy/ flow cytometry	9/20 (45%)	HCV in 12 HBV in 10
2000/ Japan/ J Hepatol <sup>15</sup>	Restriction Landmark Genomic Scanning	4/6 (66%)	HCV in 5
2001/ Japan/ Int J Cancer <sup>16</sup>	Histology	14/41 (34%)	HCV predominant
2014/ Switzerland/ Clin Cancer Res <sup>17</sup>	Histology + IHC + p53/ $\beta$ -catenin mutations	5/23 (22%)	mixed



Determining Molecular Subtypes for a Tumor  
with Extreme Tumor Heterogeneity

– Are there solutions ?

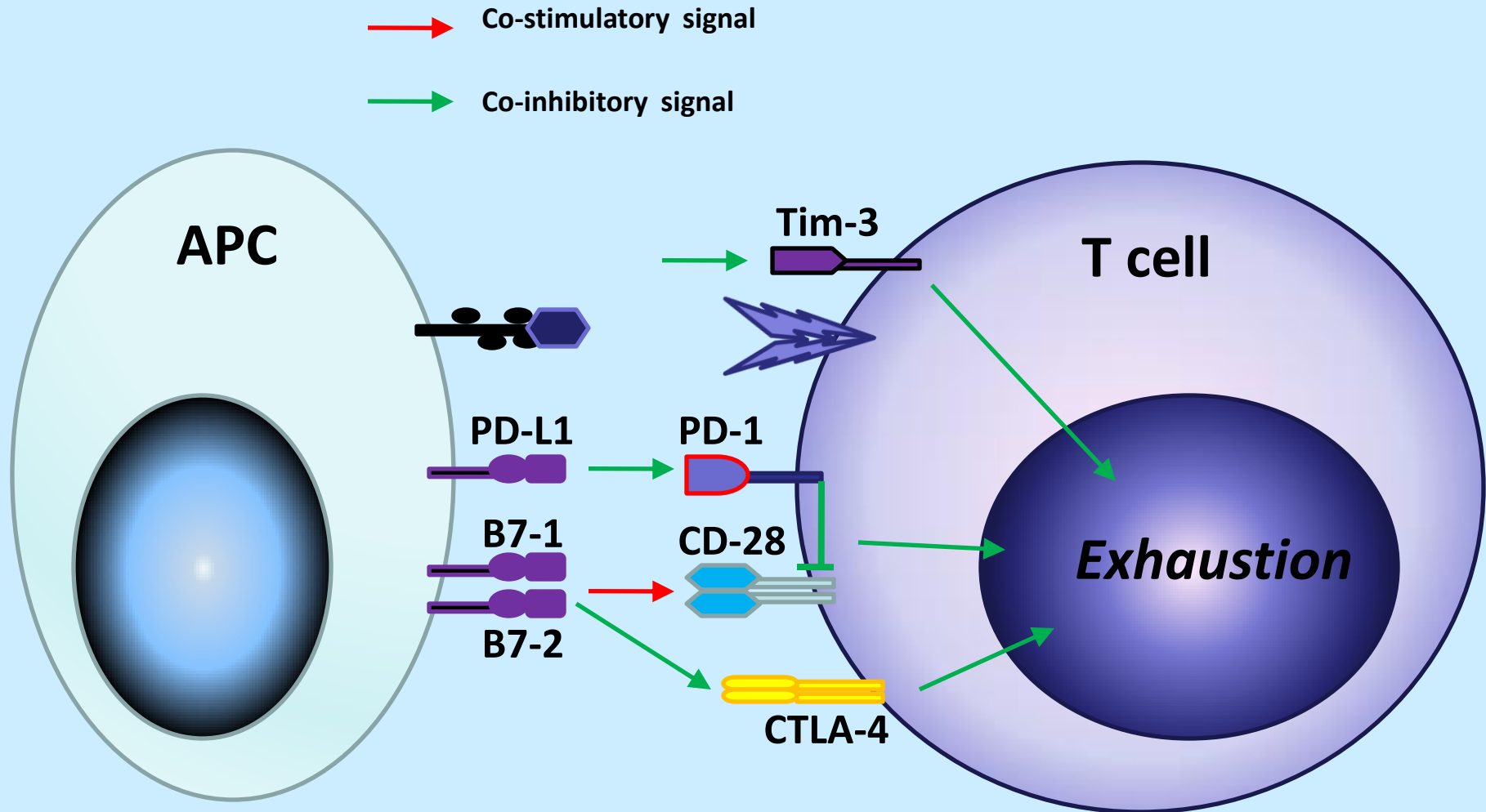
# CTC analysis and molecular characterization in various types of solid cancers



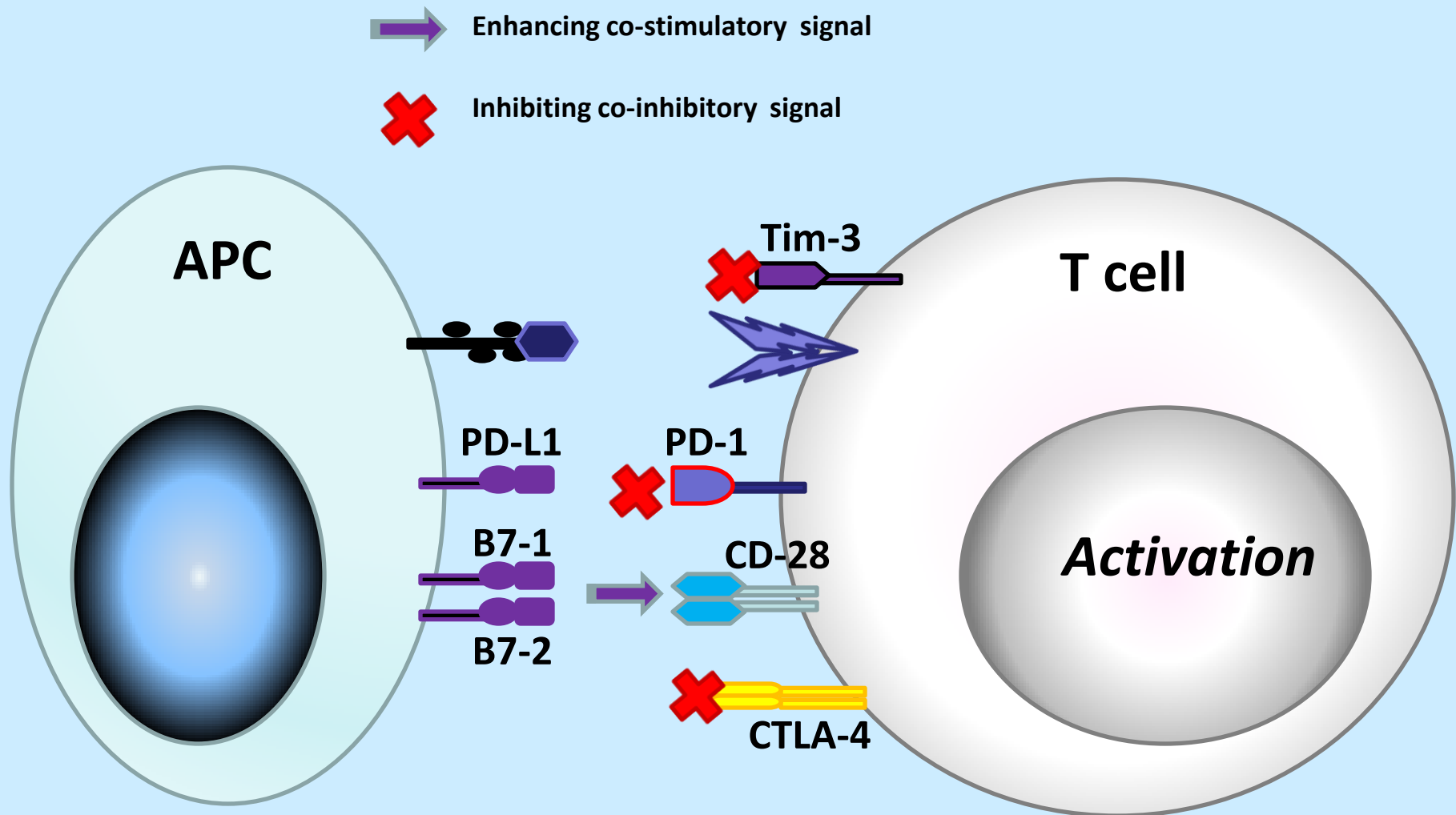
Determining Molecular Subtypes for a Tumor  
with Extreme Tumor Heterogeneity

– Are there solutions ?

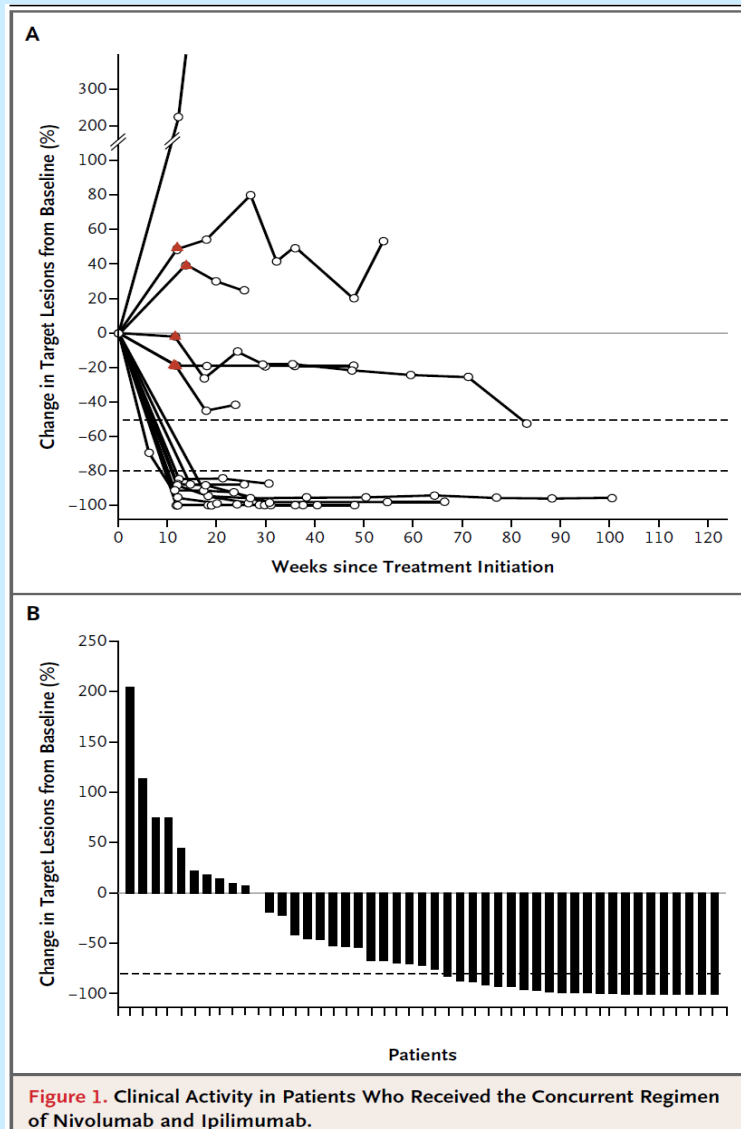
# T cell dysfunction in cancers



# Restoring T cell function in cancers



# Nivolumab (anti-PD1) plus Ipilimumab (anti-CTLA4) in Advanced Melanoma

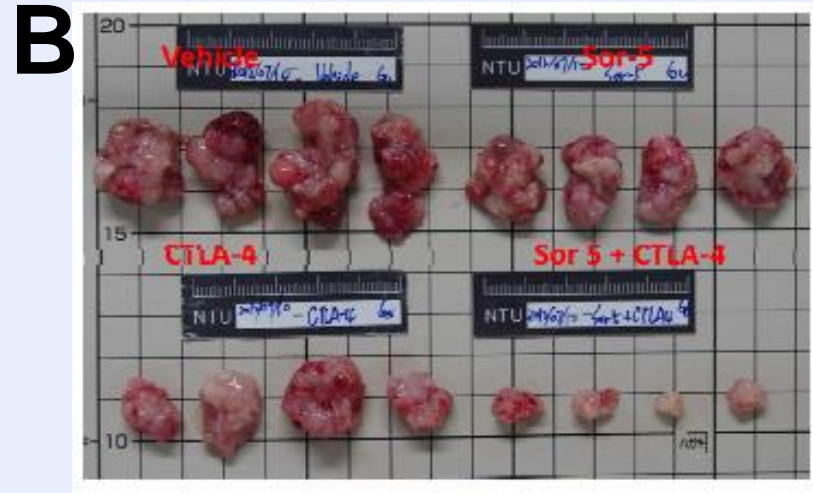
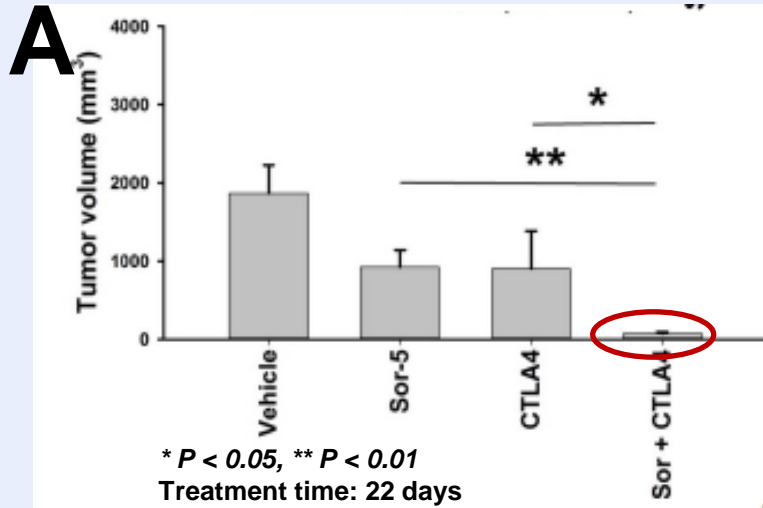


# Clinical Trials of Immune Checkpoint Inhibitors in HCC

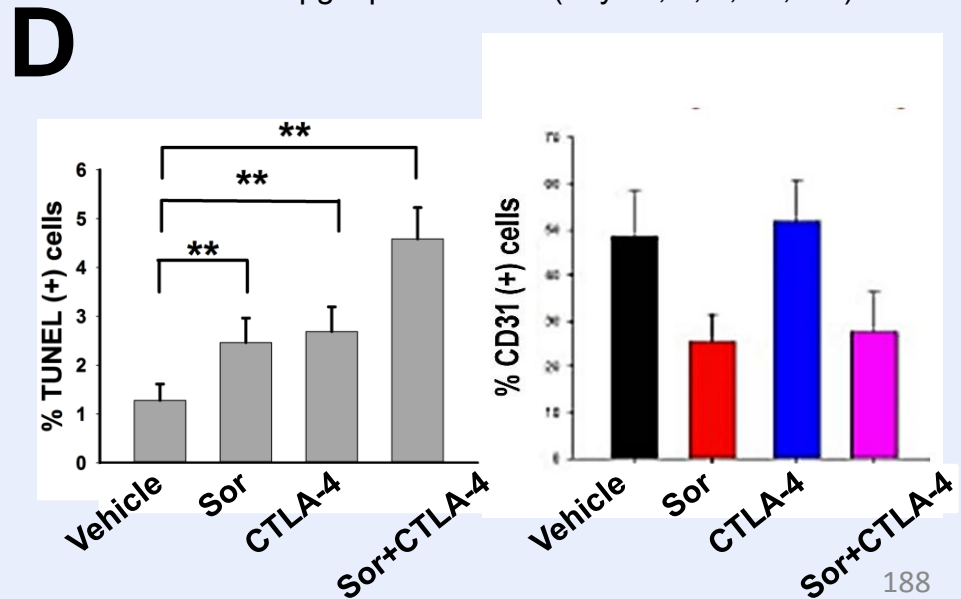
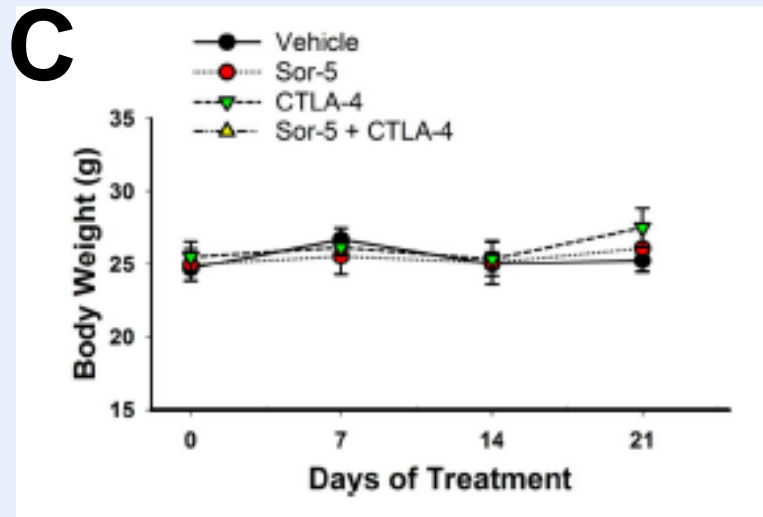
Target	Drug	Trial ID	HBV/HCV eligibility	Phase	Treatment	Results
CTLA-4	Tremelimumab	NCT 01008358	HCV + only	I	Monotherapy (n = 20)	PR 17.6% DCR 76.4%
	Tremelimumab	NCT 01853618	Not specified	I	With RFA or TACE	Pending
PD-1	Nivolumab	NCT 01658878	Non-infected/ HBV/ HCV 3 arms	I	Monotherapy	Pending
PD-L1	MEDI4736	NCT 01693562 (n= 20)	HBV+ / HCV+ allowed in HCC cohort	I/II	Monotherapy ( n = 20)	12wk DCR 21%

Modified from Hato T et al. Hepatology 2014; 60: 1776  
ESMO 2014 # 1058PD

# Potential Anti-tumor Synergy Between Sorafenib and Anti-CTLA4

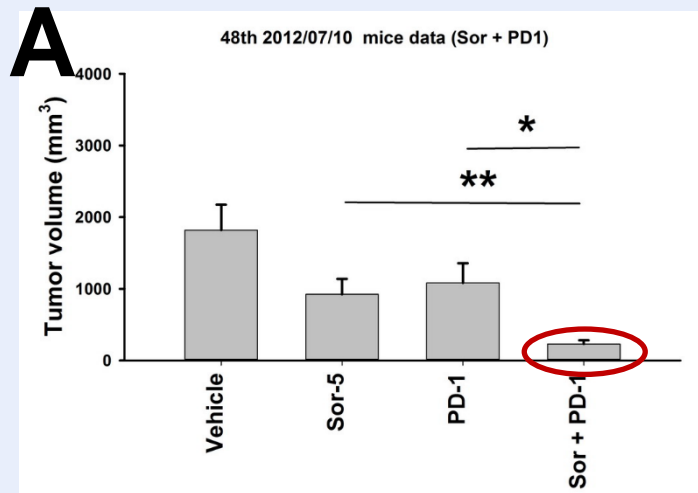


➤ anti-CTLA4: 100 µg/ i.p. × 5 doses (days 5, 7, 9, 14, 21)

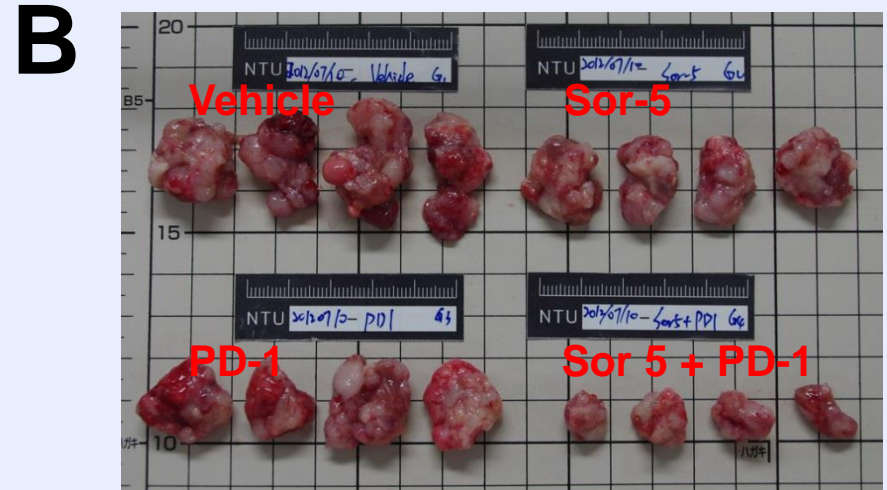
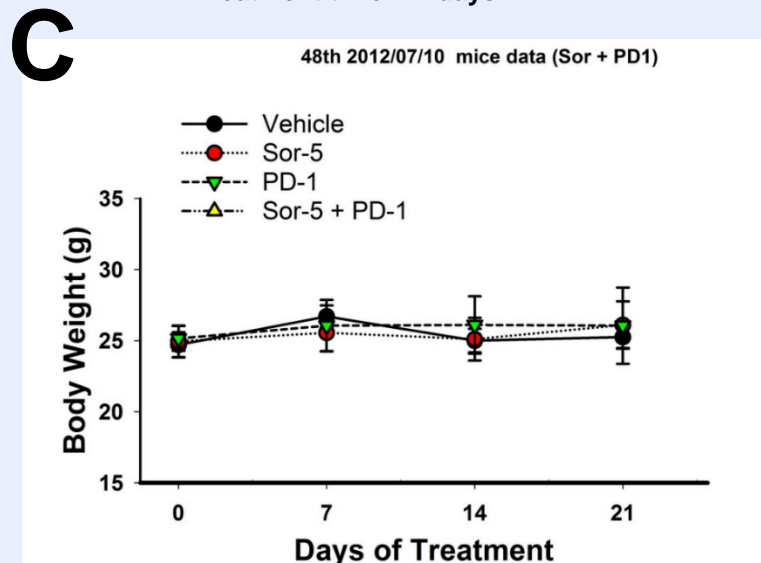




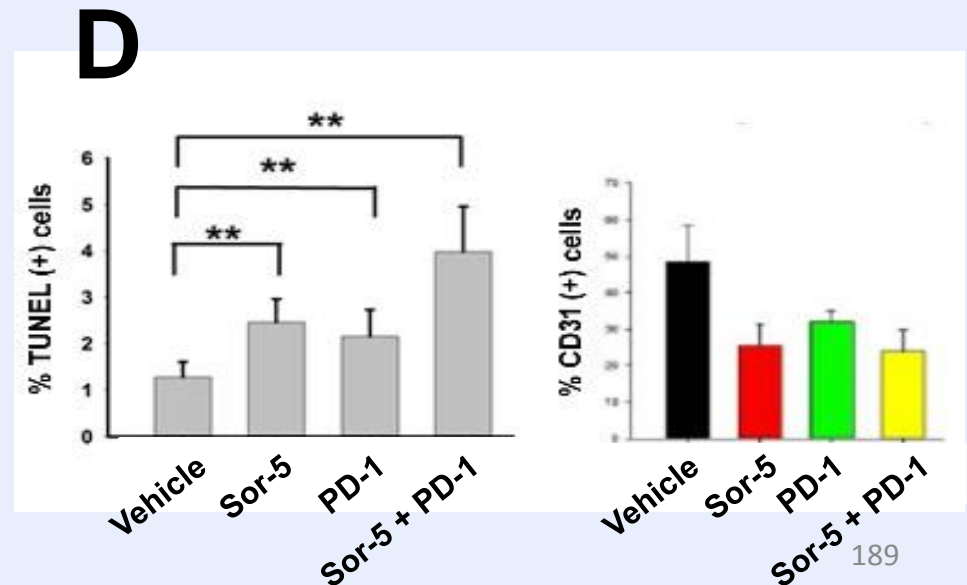
# Potential Anti-tumor Synergy Between Sorafenib and Anti-PD-1



Treatment time: 22 days



➤ anti-PD1: 200 µg/ i.p. × 5 doses (days 5, 7, 9, 14, 21 )



# Combination of Immune Checkpoint Inhibitors and Cancer Antigen – Specific Immunotherapy

e.g. **Anti-glypican 3 plus Anti-PDL1**

# SUMMARY

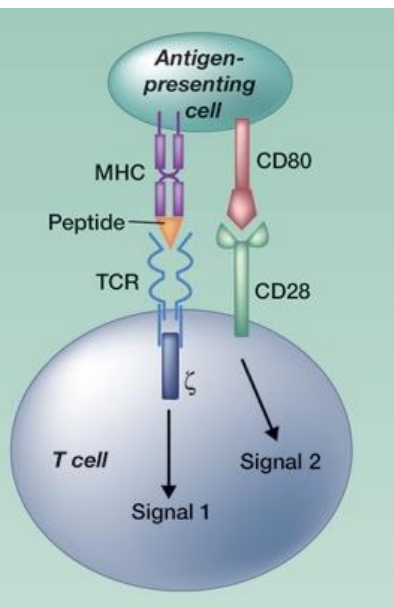
- HCC is the third leading cause of cancer death in the world. Global harmonization of etiology and epidemiology is expected to happen.
- NTUH has been leading drug development for HCC in the past 10 years.
- Many newly-discovered targets need to be tested, and biomarker-driven adaptive trials are under discussion.
- HCC is extremely heterogeneous, a fact that may jeopardize the determination of molecular subtypes. Liquid biopsy should be pursued.
- Immunotherapy for HCC has just begun, and looks promising.



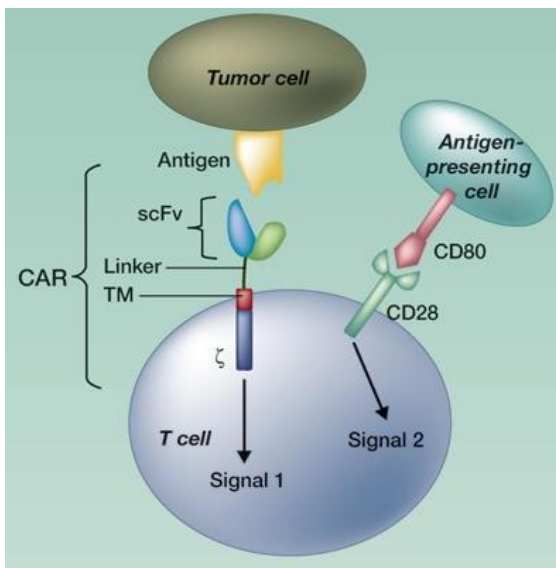
# **Recent Progress in Drug Development for Hepatocellular Carcinoma**

# T cells and Chimeric Antigen Receptors (CARs)

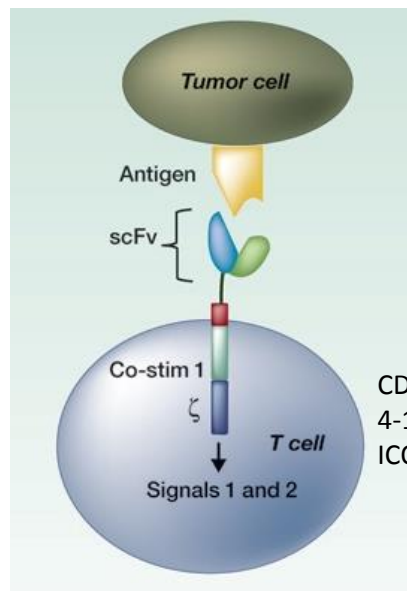
## T cell receptor signaling



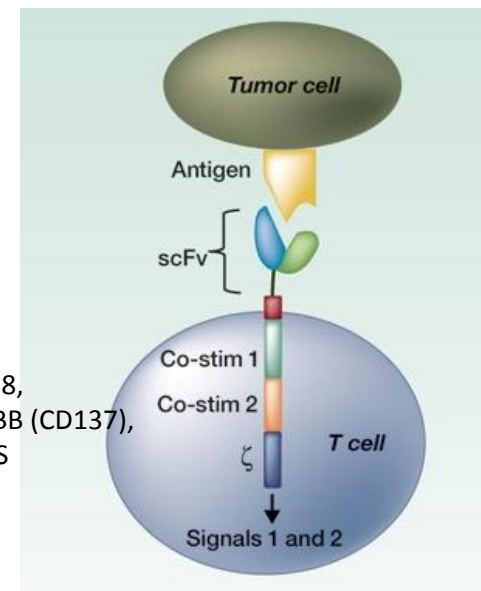
## 1<sup>st</sup> CAR signaling



## 2<sup>nd</sup> CAR signaling



## 3<sup>rd</sup> CAR signaling



“Living drugs”  
Not HLA-restricted.  
More cytotoxic , potent and persistent

scFv: single-chain variable fragment

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## In Girl's Last Hope, Altered Immune Cells Beat Leukemia



Jeff Swensen for The New York Times


Emma Whitehead, with her mother, Kari. Last spring, Emma was near death from acute lymphoblastic leukemia but is now in remission after an experimental treatment at the Children's Hospital of Philadelphia. [More Photos »](#)

By DENISE GRADY

Published: December 9, 2012 |  381 Comments

PHILIPSBURG, Pa. — Emma Whitehead has been bounding around the house lately, practicing somersaults and rugby-style tumbles that

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What's Popular Now 
[The Decline of North Carolina](#)

[A Lesson in Air Safety: Out in 90 Seconds](#)


Well

Tara Parker-Pope on Health

[Culprits in a Child's Headaches](#)

July 8, 2013

[How Pregnancy Changes a Runner's Body](#)

July 10, 2013, 12:01 AM

[Using a Robot to Ease a Child's Pain](#)

July 9, 2013

[Playing, and Losing, as a Medical Team](#)

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[What Does Birth Cost? Hard to Tell](#)

July 8, 2013

Immunology Research Tools

Premium Quality at Affordable Price

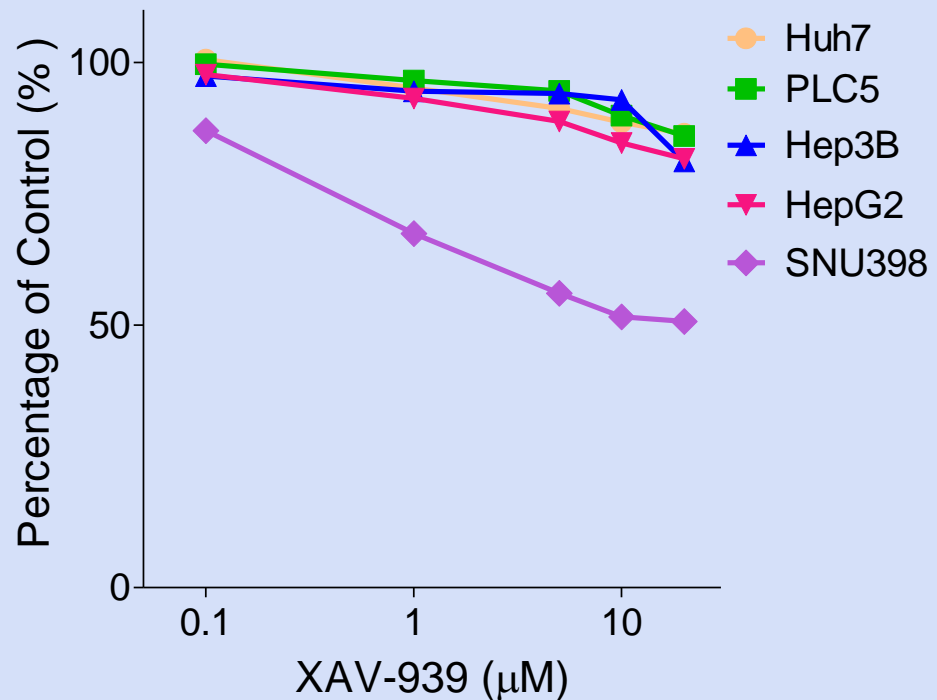
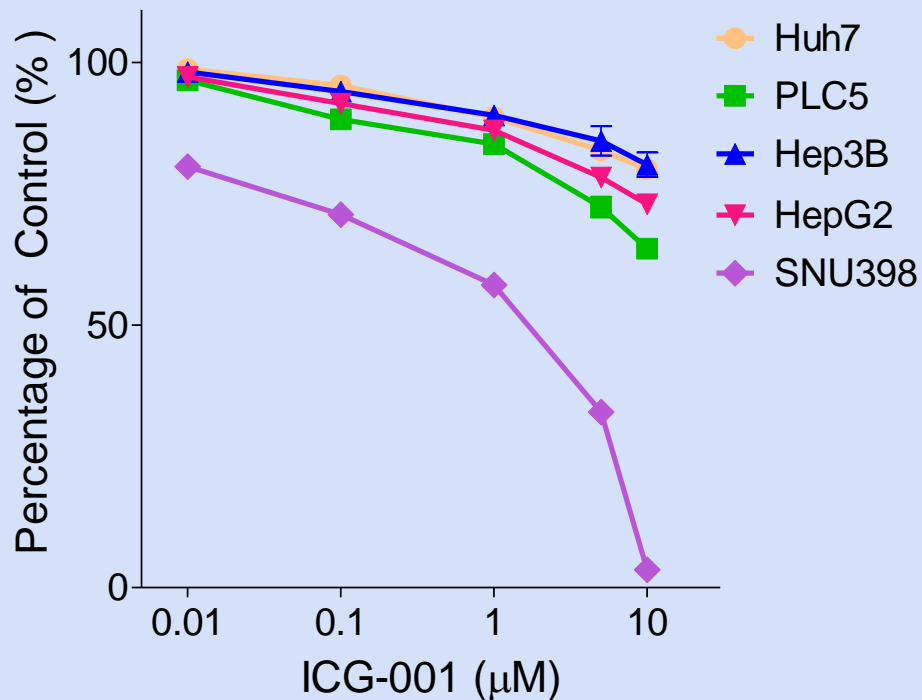
 FACEBOOK

 TWITTER

HCC cell lines	<i>FZR1</i>	<i>CTNNB1</i>	<i>APC</i>	<i>AXIN1</i>	<i>AXIN2</i>	<i>STK11 (LKB1)</i>	<i>RNF43</i>
SNU398	Nil	Missense mutation (pS37C)	Nil	Nil	Nil		
HepG2	Nil	Nil	Nil	Nil	Nil		
Huh7	Nil	Nil	Nil	Nil	Nil	(Nil)	
PLC5	Nil	Nil	Nil	Nil	Nil	Misense mutation (pD194N)	
SK-hep1	Nil	Nil	Nil	Nil	Nil	(Nil)	
HLE		(Nil) (by Sanger)	(Nil) (by Sanger)	?		(Nil)	
Hep-3B		?	?	?			
SNU-387	Nil	Nil	Nil	Nil	Nil	(Nil)	
SNU-449	Nil	Nil	Nil	Nonsense mutation p.R712*	Nil	(Nil)	
SNU-423	Nil	IntronSNP No aa change	NI	Nil	Nil	(Nil)	
SNU-475	Nil	Nil	Nil	Nil	Nil	(Nil)	



# Wnt/ $\beta$ -Catenin Inhibitors in HCC Cells



# Small-molecules Targeting Wnt Signaling

## IWP (Inhibitors of Wnt production)

- **LGK974**

## IWR (Inhibitors of Wnt receptor)

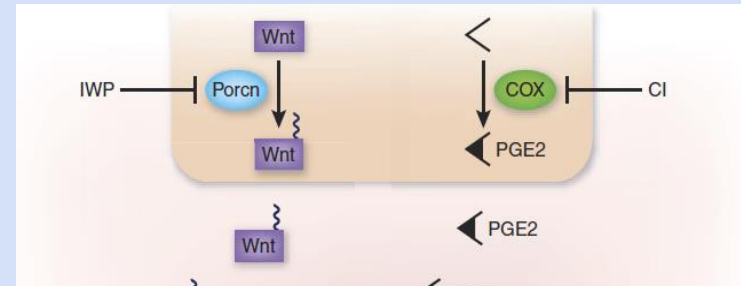
- XAV

- Otl

## $\beta$ -ID

- ICC

- PR



**1. Inactivating mutation of RNF43 (a negative regulator of Wnt/ $\beta$ -catenin signaling) predicts LGK974 sensitivity of pancreatic cancer cells.**

(Jiang X et al PNAS 2013;110:12649-54)

**2. Loss-of-function mutation of Notch signaling predicts LGK974 sensitivity of HNSCC cells.**

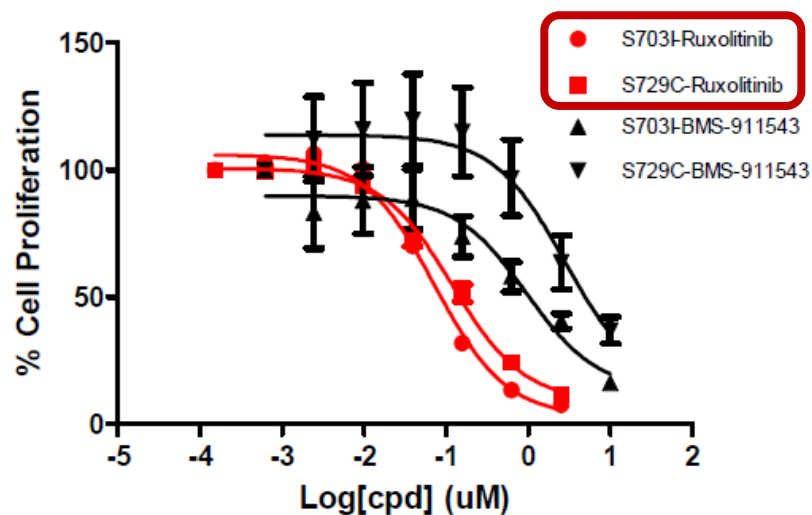
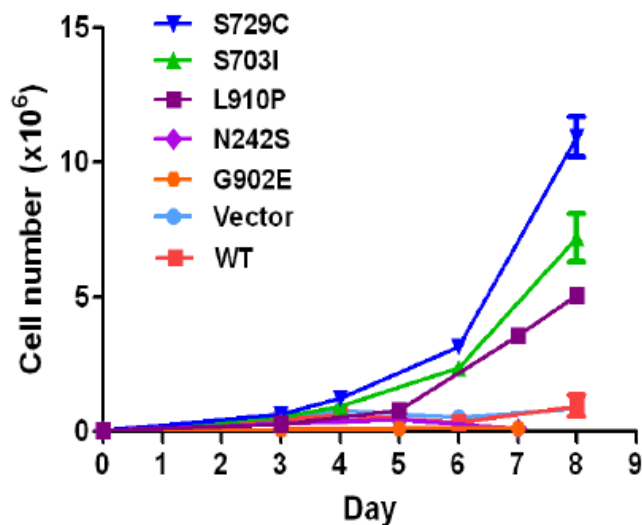
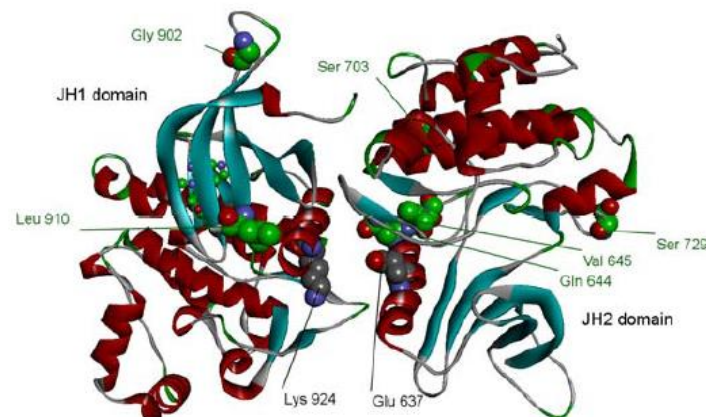
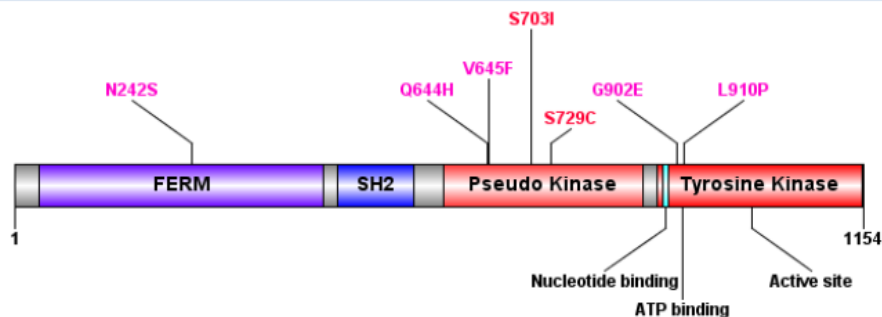
(Liu J et al PNAS 2013;110:20224-9)

# Whole Genome Sequencing Identifies Recurrent Mutations in HCC – 88 cases from HBV-endemic area

**Table 1 Significantly mutated genes in primary HCC**

Gene	Description	Mutation Frequency	Confidence Interval (95%)	# COSMIC Matched	# Recurrence	FDR
<i>TP53</i>	tumor protein p53	35.2% (31)	±10.0%	29	1	0
<i>CTNNB1</i>	catenin (cadherin-associated protein), beta 1, 88kDa	15.9% (14)	±7.6%	12	1	0
<i>JAK1</i>	Janus kinase 1	9.1% (8)	±6.0%	2	2	0.001
<i>AXIN1</i>	axin 1	4.5% (4)	±4.4%	0	0	0.043
<i>EPS15</i>	epidermal growth factor receptor pathway substrate 15	4.5% (4)	±4.4%	0	0	0.043
<i>SLC10A1</i>	solute carrier family 10 (sodium/bile acid cotransporter family), member 1	3.4% (3)	±3.6%	0	0	0.047
<i>CACNA2D4</i>	calcium channel, voltage-dependent, alpha 2/delta subunit 4	5.7% (5)	±4.8%	0	0	0.066
<i>ADCY2</i>	adenylate cyclase 2 (brain)	5.7% (5)	±4.8%	0	0	0.067
<i>LRP1B</i>	low density lipoprotein receptor-related protein 1B	11.4% (10)	±6.6%	0	0	0.073
<i>FAM5C</i>	family with sequence similarity 5, member C	5.7% (5)	±4.8%	0	0	0.077
<i>COL11A1</i>	collagen, type XI, alpha 1	6.8% (6)	±5.3%	0	0	0.093

# Activating mutations in JAK1



## **Activating JAK1-S703I Mutation May Predict the Sensitivity of JAK-STAT Inhibition in HCC Patient-derived Xenograft Tumor Model**

- 4/60 PDX harbor JAK1 mutations.
- JAK1-S703I activates JAK-STAT pathway and drive cell proliferation.
- JAK1/2 inhibitor is modestly active in growth suppression.

Yang S et al Proc AACR 2015, Abs #688

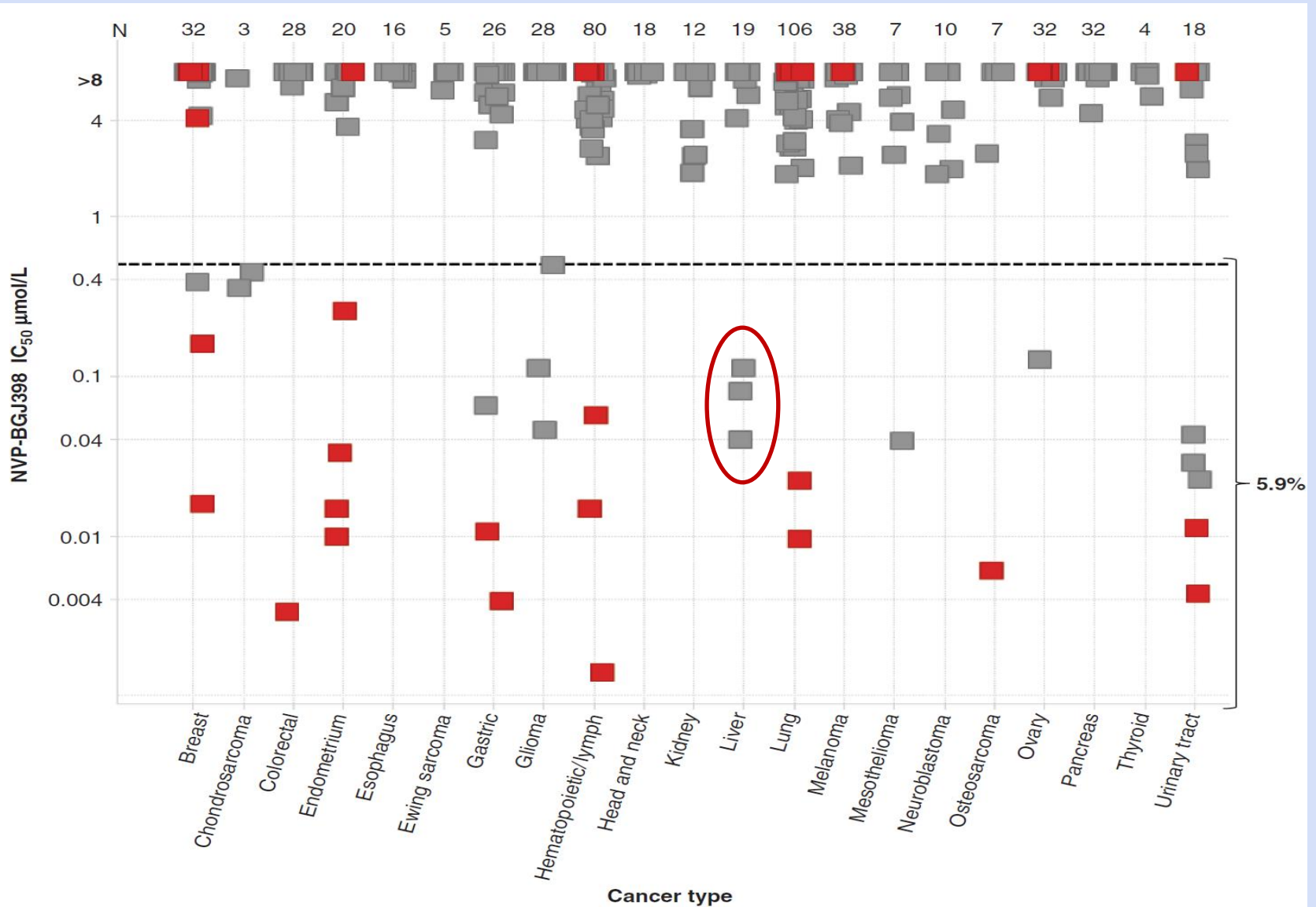
# Whole Genome Sequencing Identifies Recurrent Mutations in HCC – 88 cases from HBV-endemic area

**Table 2 Potentially actionable mutations and matched clinical stage inhibitors**

Genes	Mutation	Amplification	Deletion	Combined Frequency	Inhibitor
<i>JAK1</i>	<i>JAK1</i> (9.1%)	-	-	9.1%	JAKi (ruxolitinib)
<i>FAK</i>	-	<i>FAK</i> (26.1%)	-	26.1%	FAKi (PF-04554878, PF-562271)
<i>CCND1</i> , <i>CDKN2A</i>	-	<i>CCND1</i> (4.5%)	<i>CDKN2A</i> (10.2%)	14.7%	CDK4/6i (PD-0332991, LY2835219, LEE011)
<i>FGF19</i>	-	<i>FGF19</i> (4.5%)	-	4.5%	FGFRi (brivanib, BGJ398, LY2874455)
<i>BRCA1/2</i> , <i>PARP1</i>	<i>BRCA1</i> (1.1%), <i>BRCA2</i> (5.7%)	<i>PARP1</i> (18.2%)	-	25.0%	PARPi (AG-14699, olaparib)

Kan Z, et al. Genome Res. 2013 Jun 20. [Epub ahead of print]

# FGFR Genetic Alterations Predict for Sensitivity to **NVP-BGJ398**, a Selective Pan-FGFR Inhibitor



# Novartis has a Highly Selective FGFR4 Inhibitor

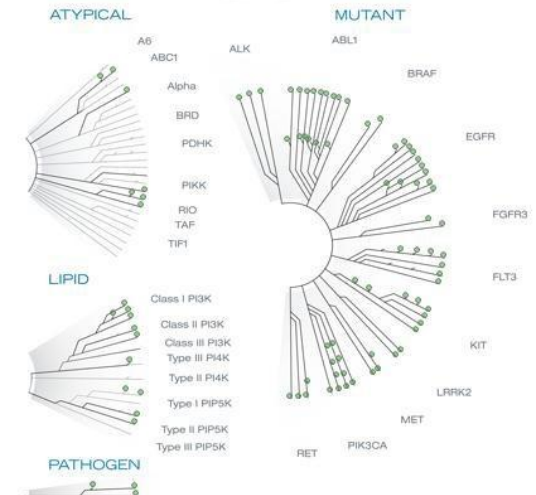
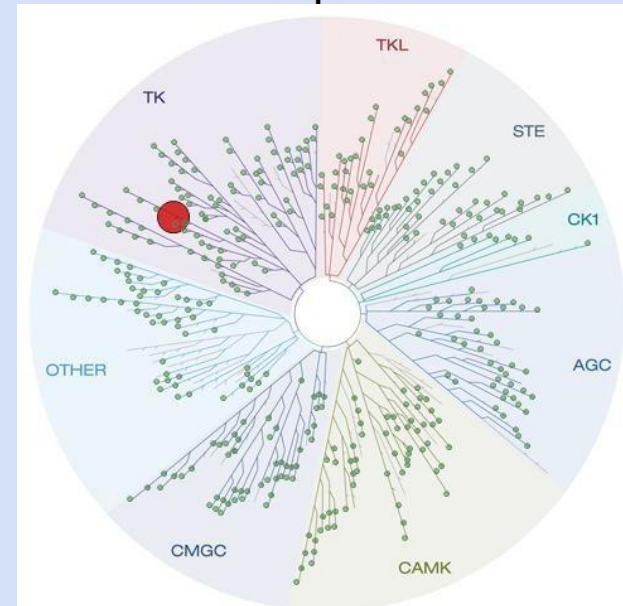
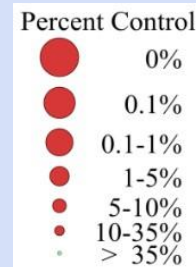
*Activity in FGF19/FGFR4/KLB cancer cell lines only*

Biochemical assay	
Kinase	IC50 $\mu$ M
FGFR1	>10
FGFR2	>10
FGFR3	>10
<b>FGFR4</b>	<b>0.0024</b>
auroraA	5.6
MK2	9.4
Others (59 kinases)	>10

Cellular assay	
BaF3 model	IC50 $\mu$ M
BAF3/Tel-FGFR1	>10
BAF3/Tel-FGFR2	>10
BAF3/Tel-FGFR3	>10
<b>BAF3/Tel-FGFR4</b>	<b>0.0011</b>
Others (42 kinases)	>10

KINOMEscan: 456 kinases

FGFR4 inhibitor at 3  $\mu$ M

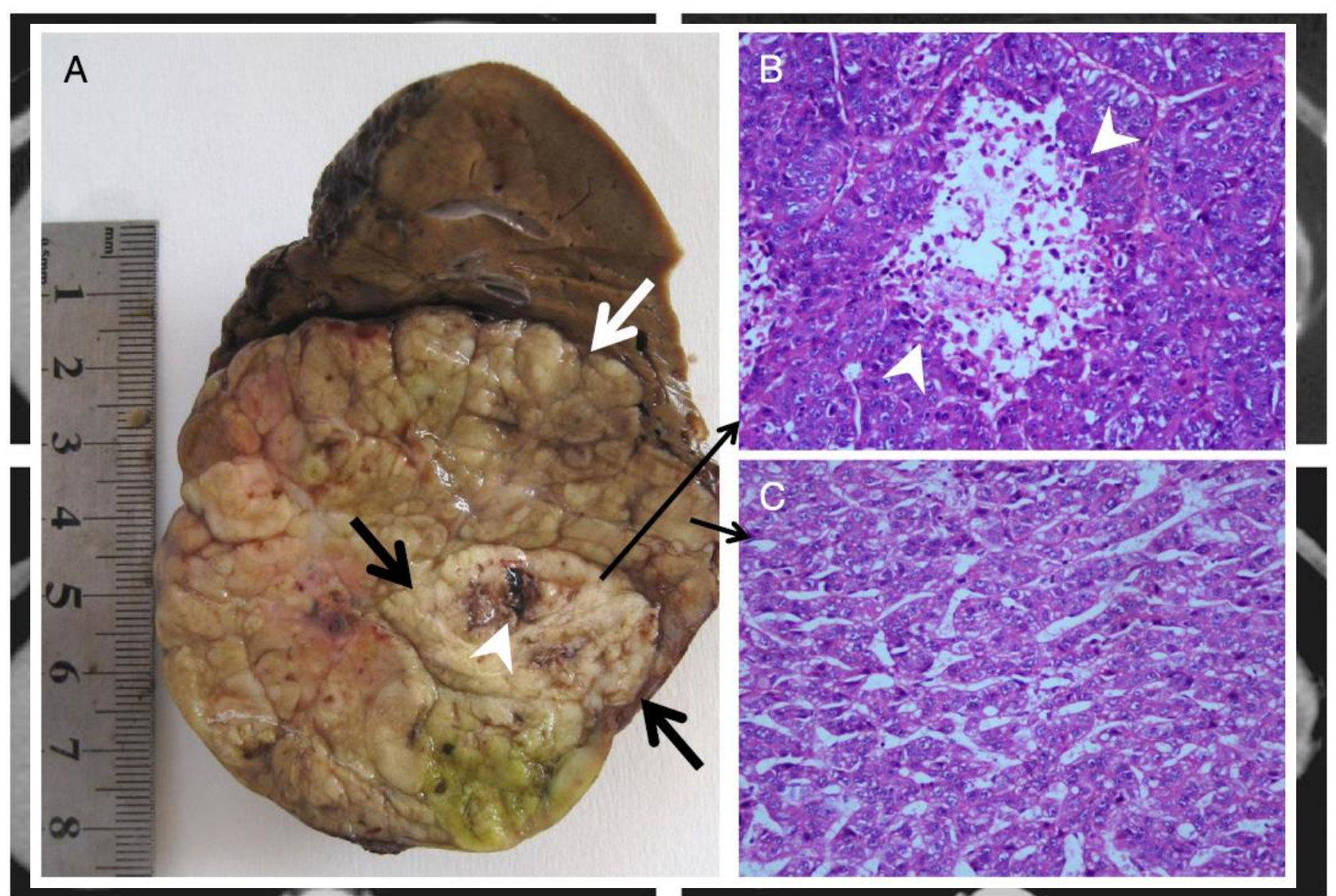


## Conclusions:

- FGFR4 inhibitor selectively binds the ATP site of FGFR4 in a kinome wide scan
- > 1,000-fold selectivity vs. panel of 65 kinases in biochemical assays
- > 1,000-fold selectivity vs. panel of 46 kinases in cellular assays



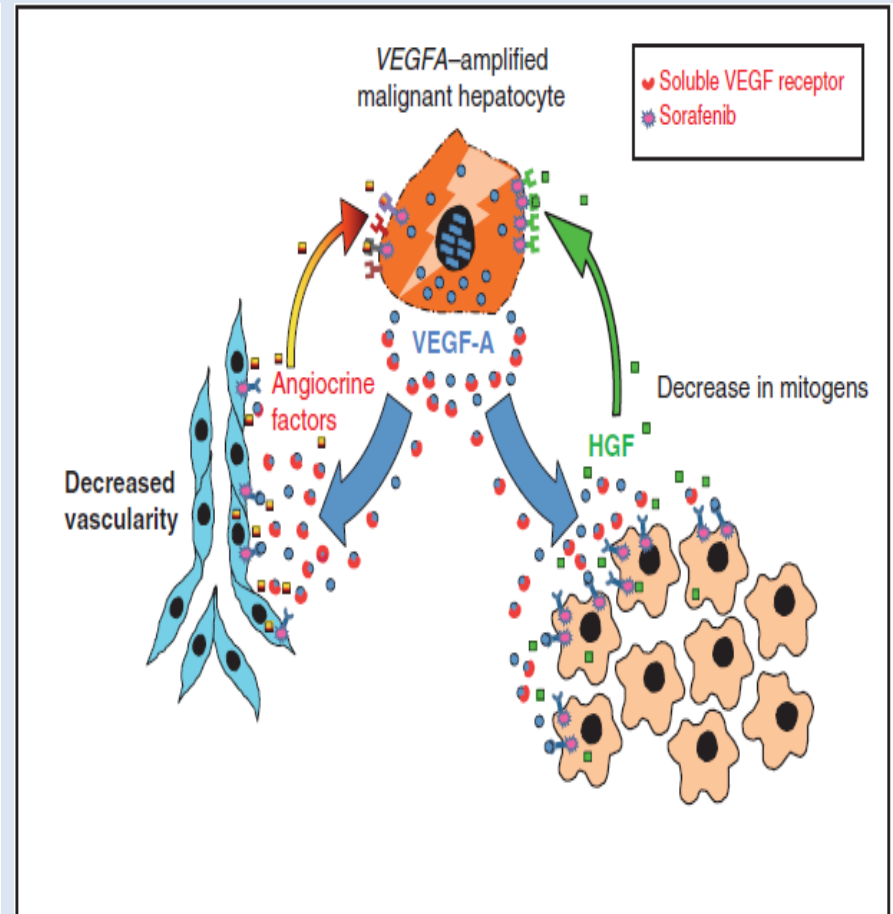
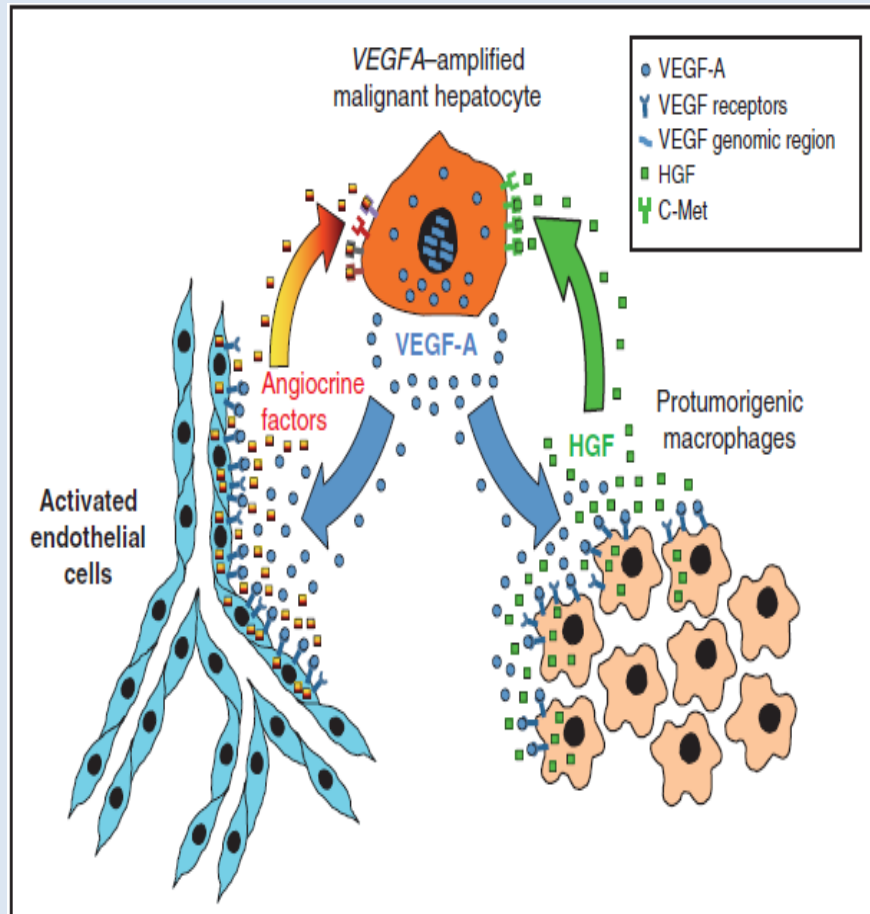
# FDG PET/CT and Enhanced CT Imaging of Tumor Heterogeneity in Hepatocellular Carcinoma -- Imaging-Pathologic Correlation



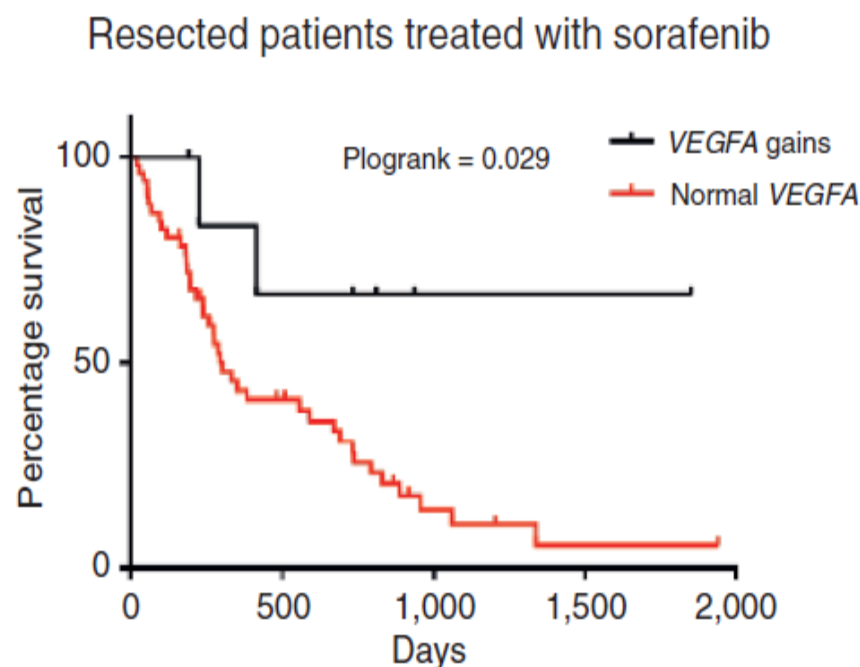
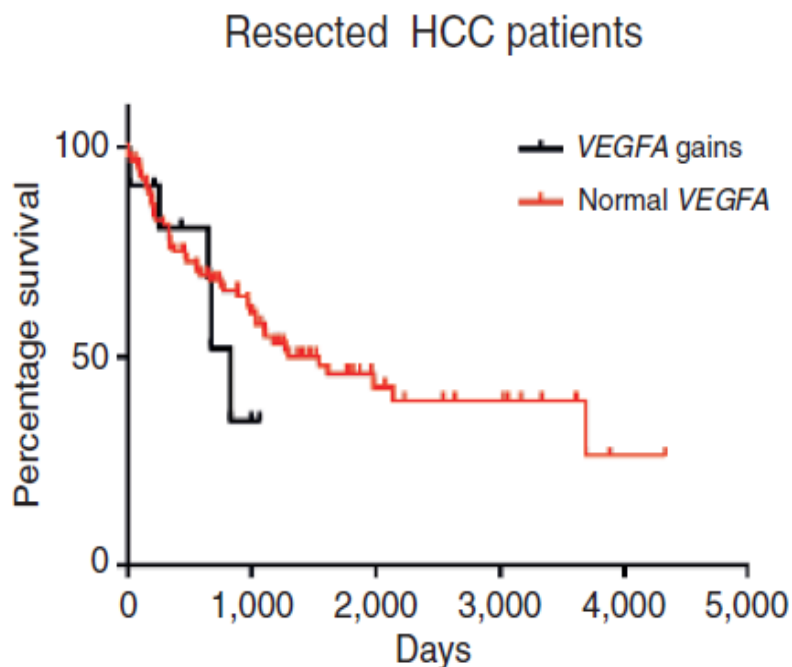
# New Targets for HCC

- Wnt/ $\beta$ -Catenin
- JAK/STAT
- FGF19/FGFR4
- VEGF-A
- c-MET
- TSC-2

# Human and Mouse VEGFA –Amplified HCC Are Highly Sensitive to Sorafenib Treatment

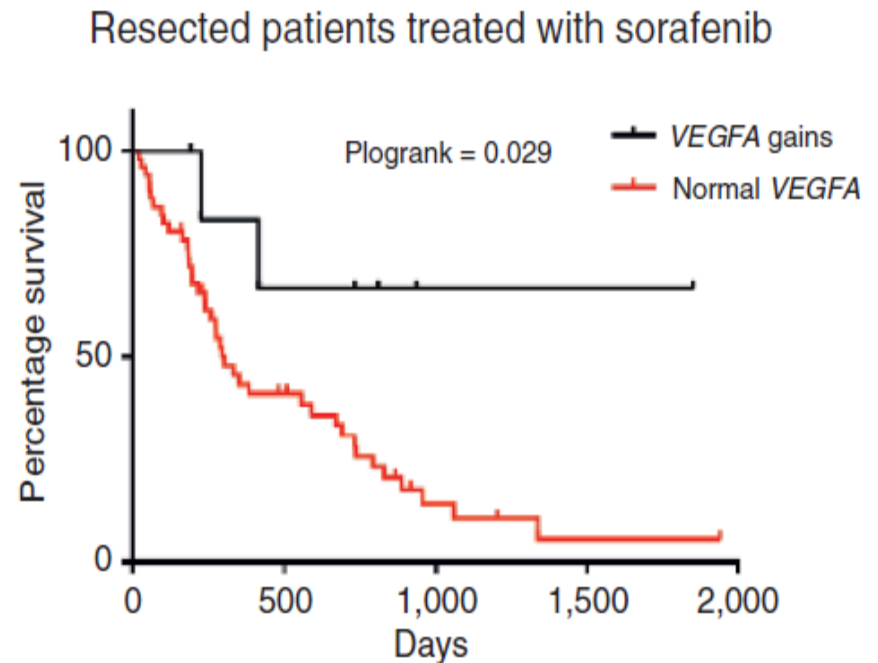
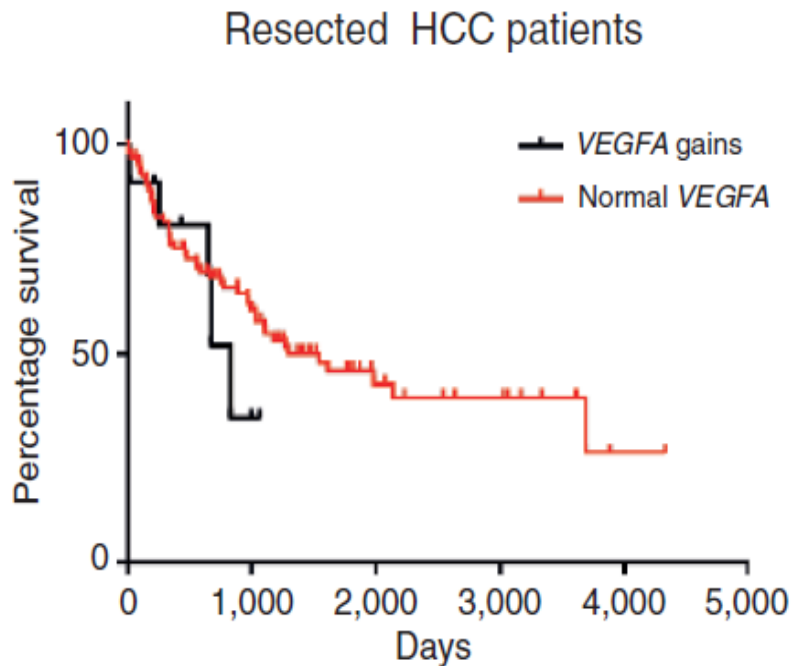


# Human and Mouse VEGFA –Amplified HCC Are Highly Sensitive to Sorafenib Treatment



Horwitz E et al. Cancer Discovery 2014;4:730-743

# Human and Mouse VEGFA –Amplified HCC Are Highly Sensitive to Sorafenib Treatment



Horwitz E et al. Cancer Discovery 2014;4:730-743

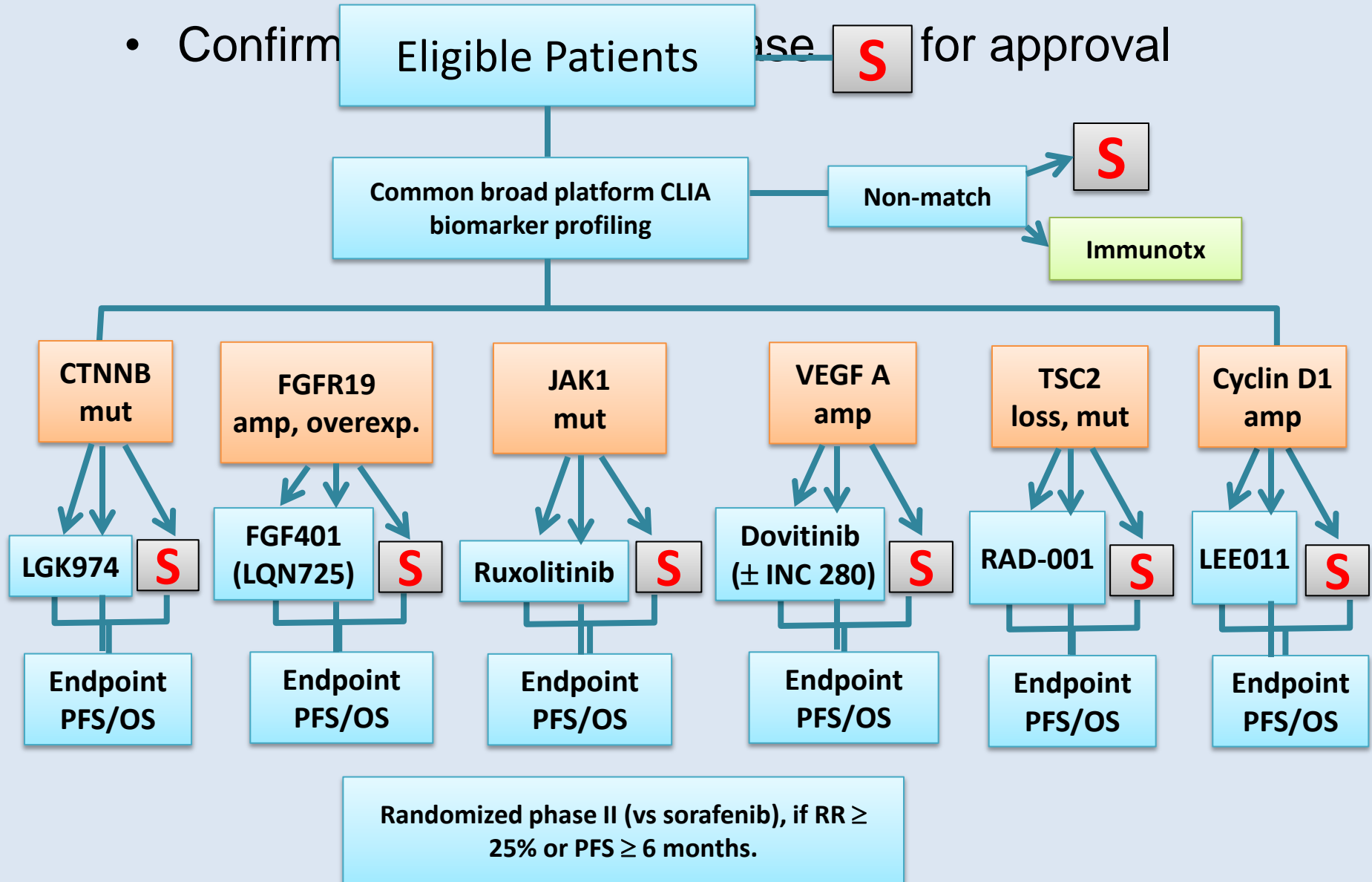
# New Targets for HCC

- Wnt/ $\beta$ -Catenin
- JAK/STAT
- FGF19/FGFR4
- VEGF-A
- c-MET
- TSC-2



# Master Protocol for **Novartis** 1<sup>st</sup>-line HCC

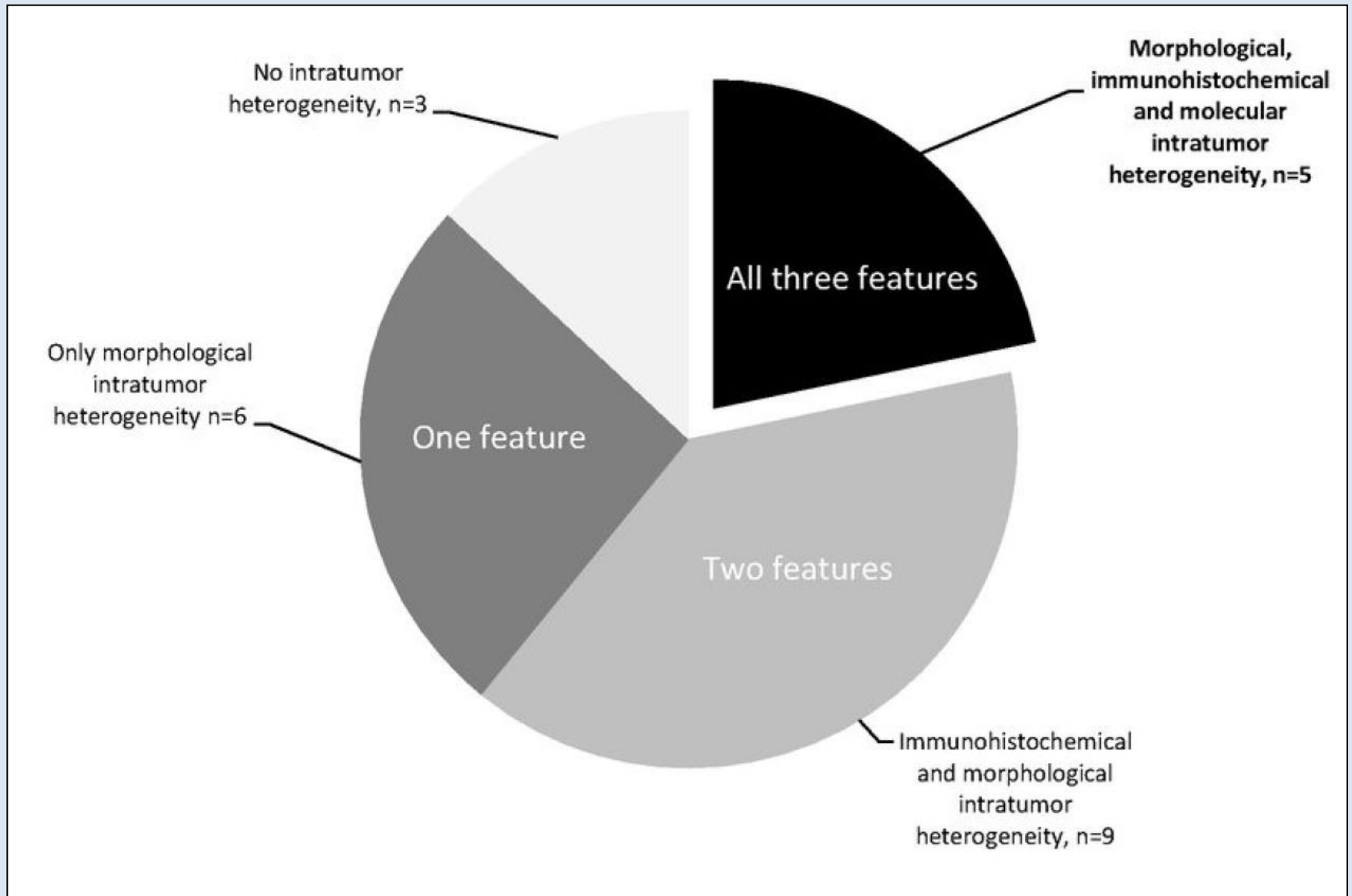
- Confirm Eligible Patients case **S** for approval







# Intratumor Heterogeneity in Hepatocellular Carcinoma



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# Predictive Biomarkers of Antiangiogenic Therapy for Advanced HCC

**Table 2.** Studies of predictive and prognostic markers, other than serum or plasma markers, for advanced HCC

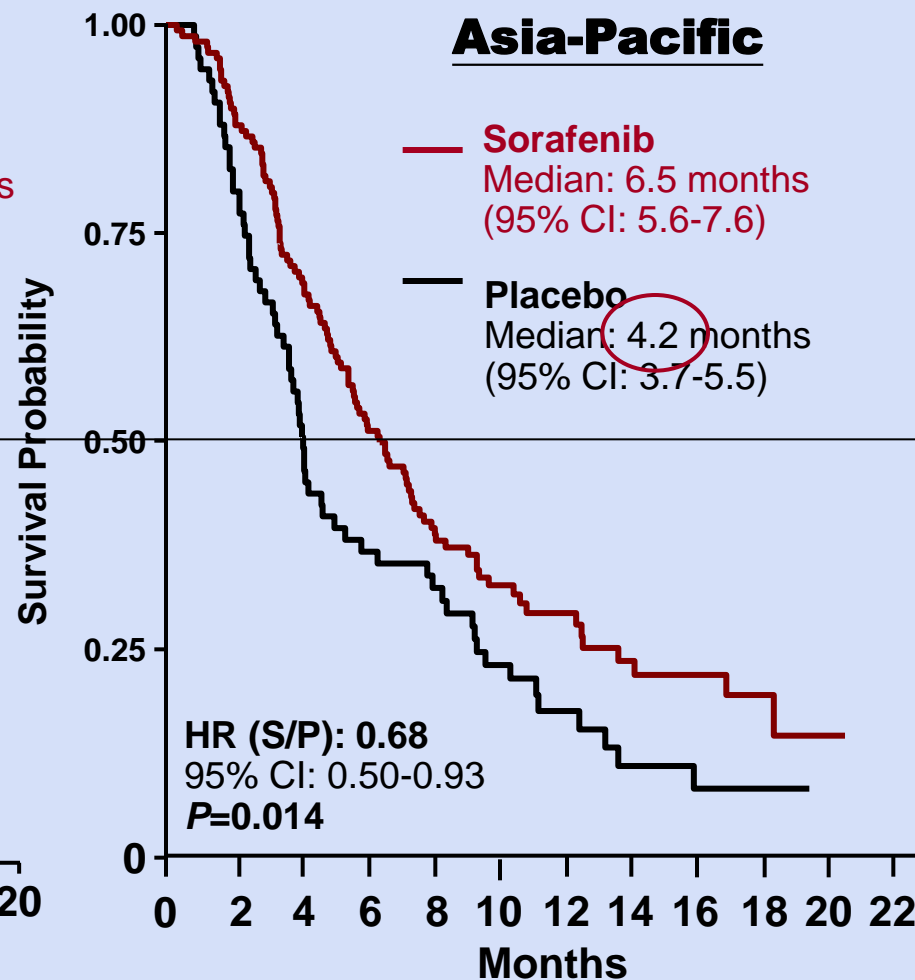
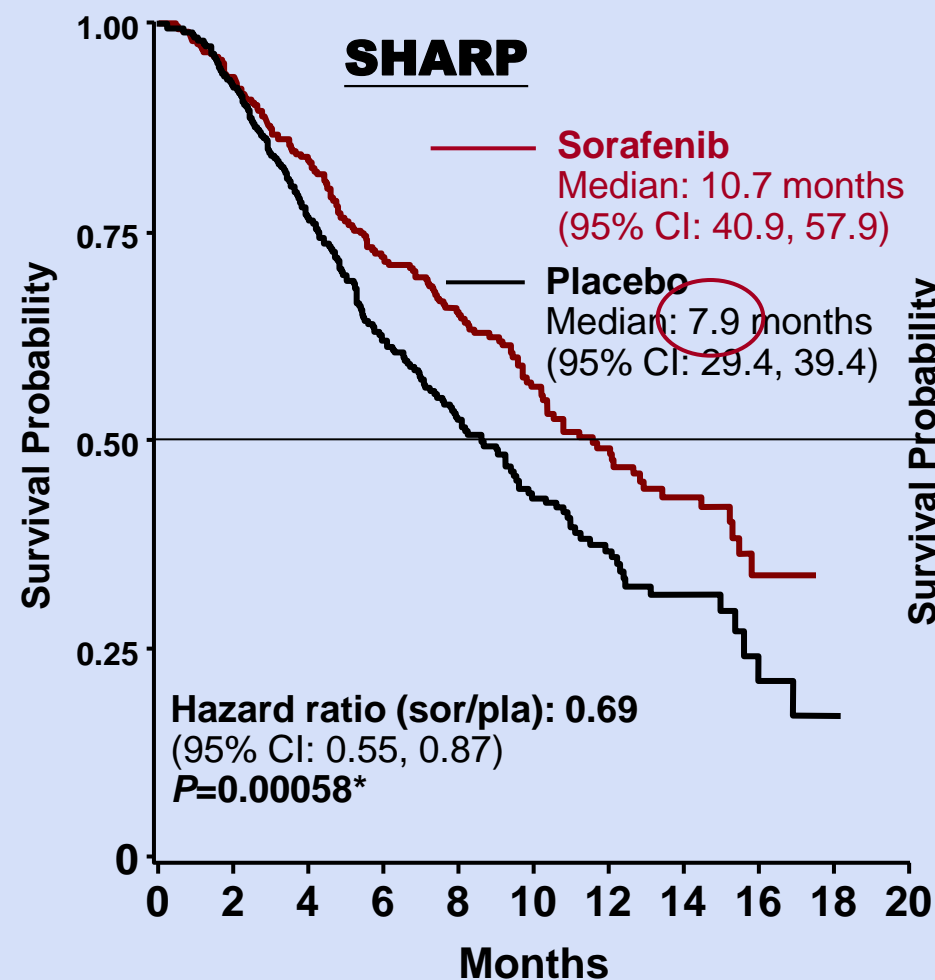
	Authors	Treatment	Results		
			Predictive markers	Prognostic markers	Others
Tumor characteristics					
Phospho-ERK expression	Abou-Alfa et al. [71]	Sorafenib	High p-ERK → longer TTP	—	
	Ozenne et al. [72]	Sorafenib	No predictive value	—	
Phospho-c-Jun expression	Hagiwara et al. [73]	Sorafenib	Phospho-c-Jun expression → poor response, TTP	Phospho-c-Jun expression → poor OS	

Shao YY, et al. Liver Cancer 2013;2:93–107

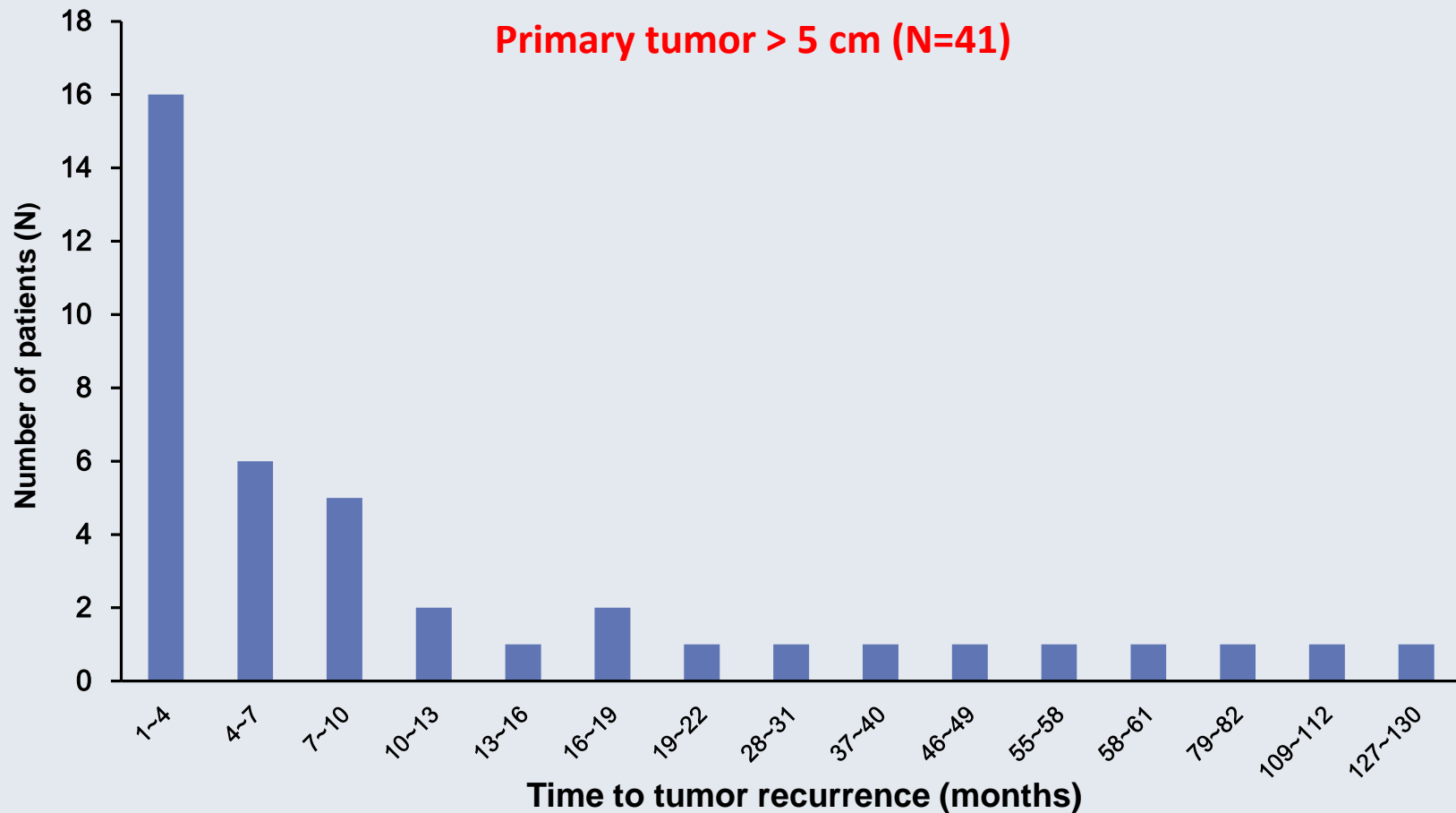
# Predictive Biomarkers of Antiangiogenic Therapy for Advanced HCC

Functional imaging					
<b>DCE-MRI</b>	Hsu et al. [75]	Sorafenib plus UFT	High baseline $K^{trans}$ or decreased $K^{trans}$ after treatment → DCR	Vascular response <sup>a</sup> → better OS	Vascular response <sup>a</sup> → better PFS
<b>Positron emission tomography</b>	Lee et al. [83]	Sorafenib	—	Low SUV → better OS	Low SUV → better PFS
Treatment side effects					
<b>Hypertension</b>	Estfan et al. [87]	Sorafenib	Hypertension → better TTP (?) <sup>b</sup>	Hypertension → better OS	
	Otsuka et al. [88]	Sorafenib	No predictive value	No prognostic value	
<b>Skin toxicity</b>	Otsuka et al. [88]	Sorafenib	No predictive value	Skin toxicity → better OS	
	Vincenzi et al. [89]	Sorafenib	Early <sup>c</sup> skin toxicity → better DCR and TTP	Early skin toxicity → better OS <sup>d</sup>	
a=defined as $\geq 40\%$ decrease in $K^{trans}$ after treatment; b=statistical values of the comparison not reported; c=within the first month of treatment; d=borderline statistical significance.					

# Phase III SHARP and Asia-Pacific Overall Survival



# Recurrence of HBV-related HCC after Curative Resection (TCOG, T1297 study)



Cheng LT et al (unpublished data)

# Study Methods

Clinico-pathologic analysis of patients with long survival/good tumor response.

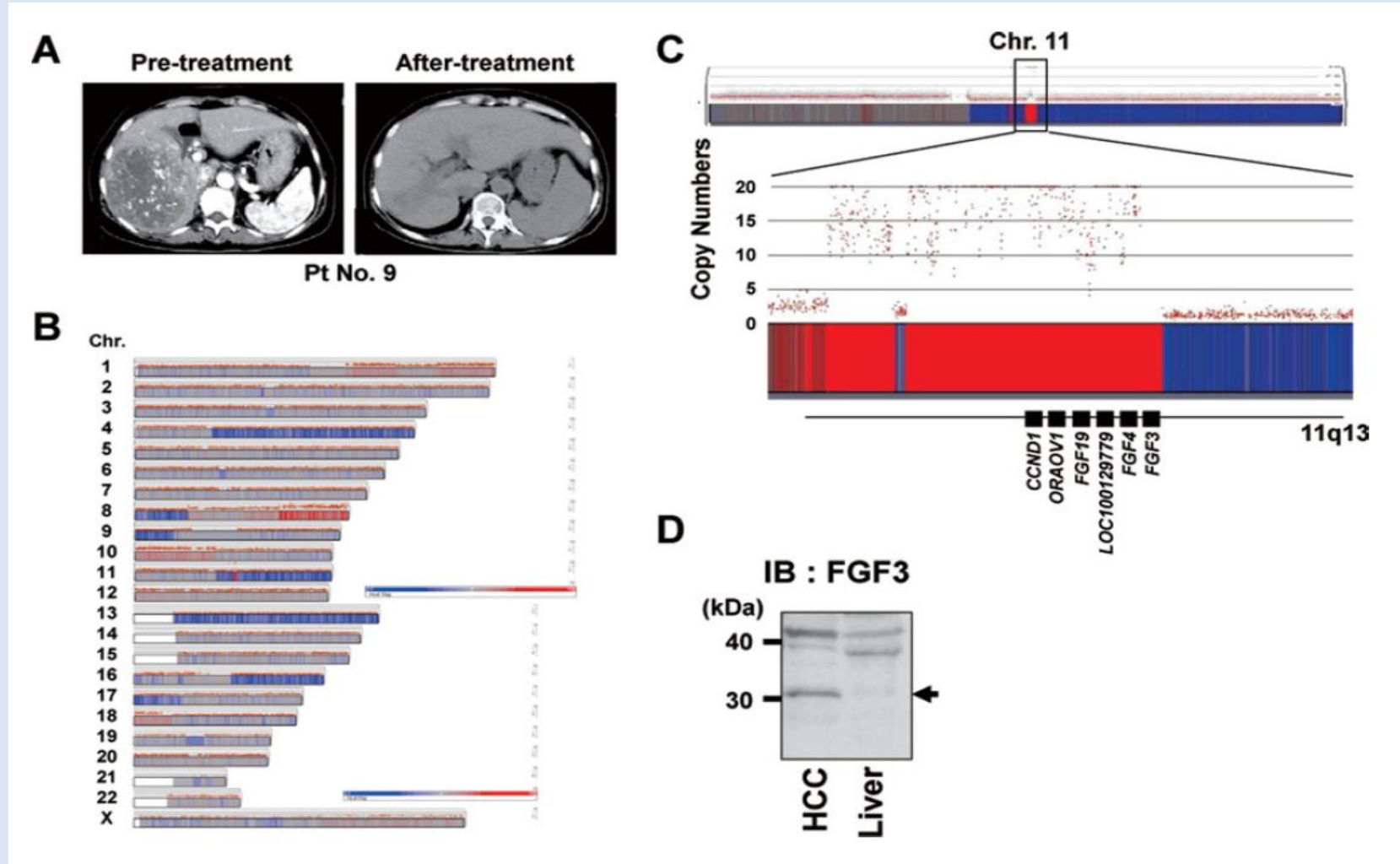
Retrospective biomarker analyses.

Molecular studies of extreme responders.

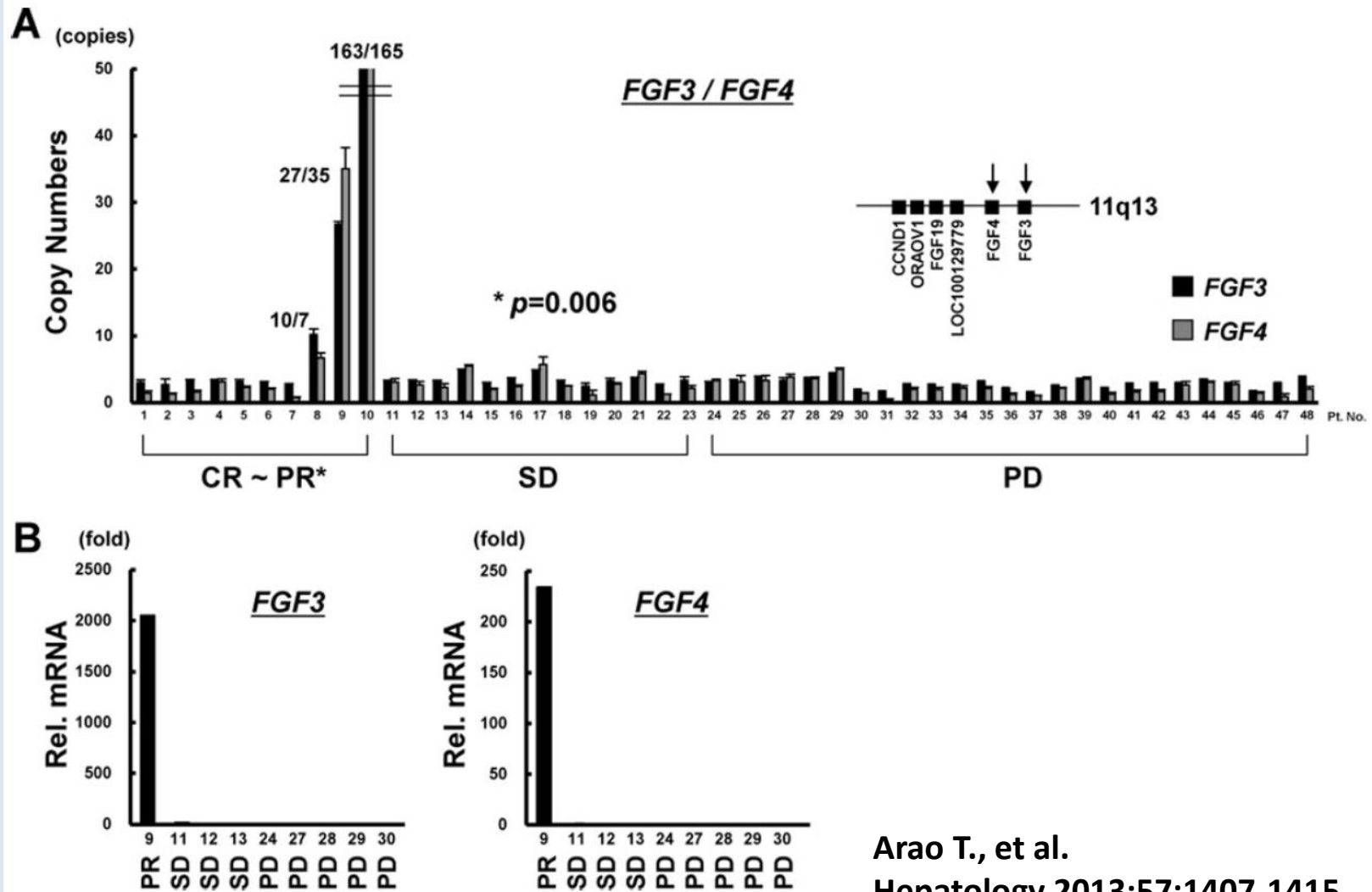
Inventory biomarkers and validation studies.



# FGF3/FGF4 Amplification and Multiple Lung Metastases in Responders to Sorafenib in HCC



# FGF3/FGF4 Amplification and Multiple Lung Metastases in Responders to Sorafenib in HCC



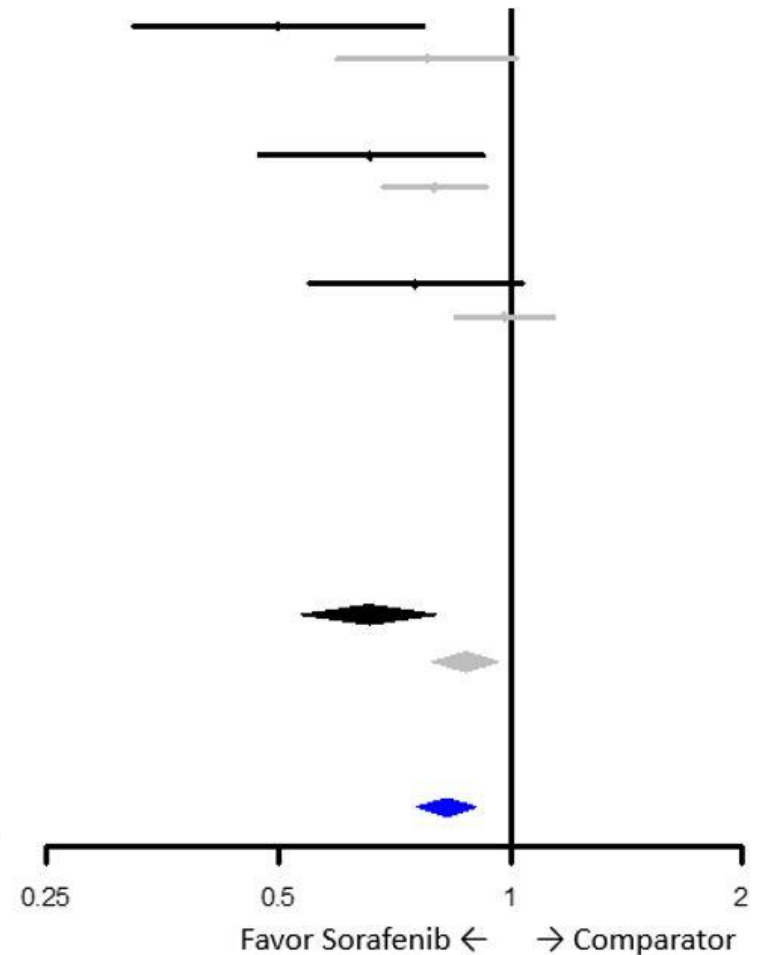
# HCV (+) vs HCV (-) Patients

Study	Subgroup	HR	95% CI
SHARP	HCV	0.50	(0.32, 0.77)
	Non-HCV	0.78	(0.60, 1.02)
Sorafenib vs. sunitinib	HCV	0.66	(0.47, 0.92)
	Non-HCV	0.80	(0.68, 0.93)
Sorafenib vs. brivanib	HCV	0.75	(0.55, 1.03)
	Non-HCV	0.98	(0.84, 1.14)
AP	HCV	NA	
	Non-HCV	NA	

Synthesized	HCV	0.65	(0.53, 0.80)
	Non-HCV	0.87	(0.79, 0.96)

Overall		0.82	(0.75, 0.90)
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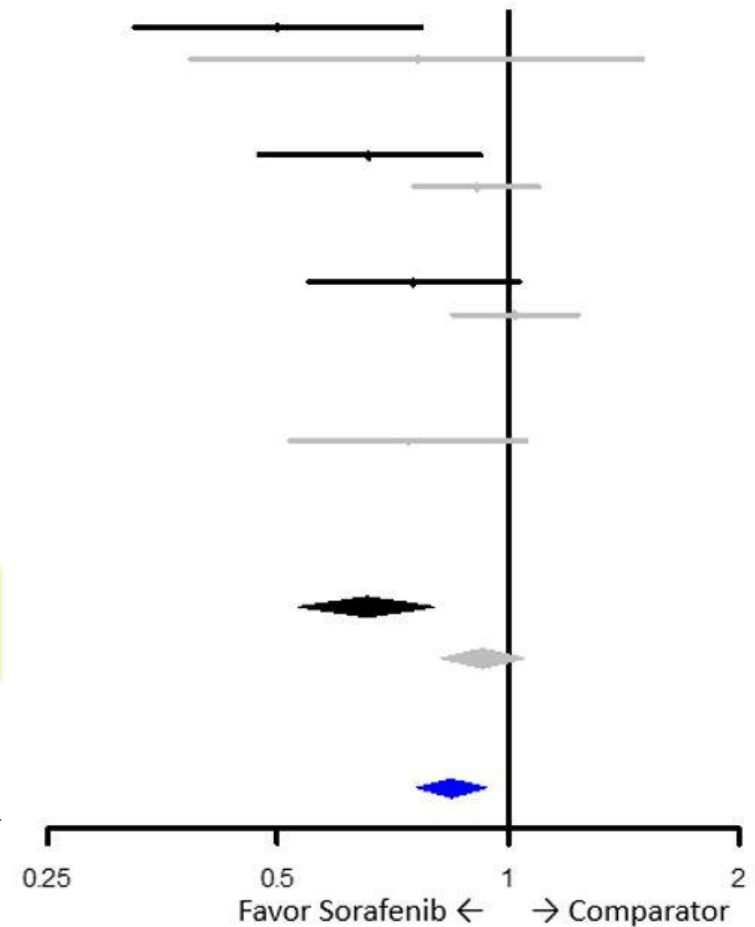
**$p = 0.013$**



# HCV (+) vs. HBV (+) Patients

Study	Subgroup	HR	95% CI
SHARP	HCV	0.50	(0.32, 0.77)
	HBV	0.76	(0.39, 1.50)
Sorafenib vs. sunitinib	HCV	0.66	(0.47, 0.92)
	HBV	0.91	(0.75, 1.10)
Sorafenib vs. brivanib	HCV	0.75	(0.55, 1.03)
	HBV	1.02	(0.84, 1.24)
AP	HCV	NA	
	HBV	0.74	(0.52, 1.06)
<b>Synthesized HCV</b>		<b>0.65</b>	<b>(0.53, 0.80)</b>
<b>HBV</b>		<b>0.92</b>	<b>(0.82, 1.05)</b>
<b>Overall</b>		<b>0.84</b>	<b>(0.76, 0.94)</b>

**$p = 0.004$**



# Drug development for **Squamous NSCLC**

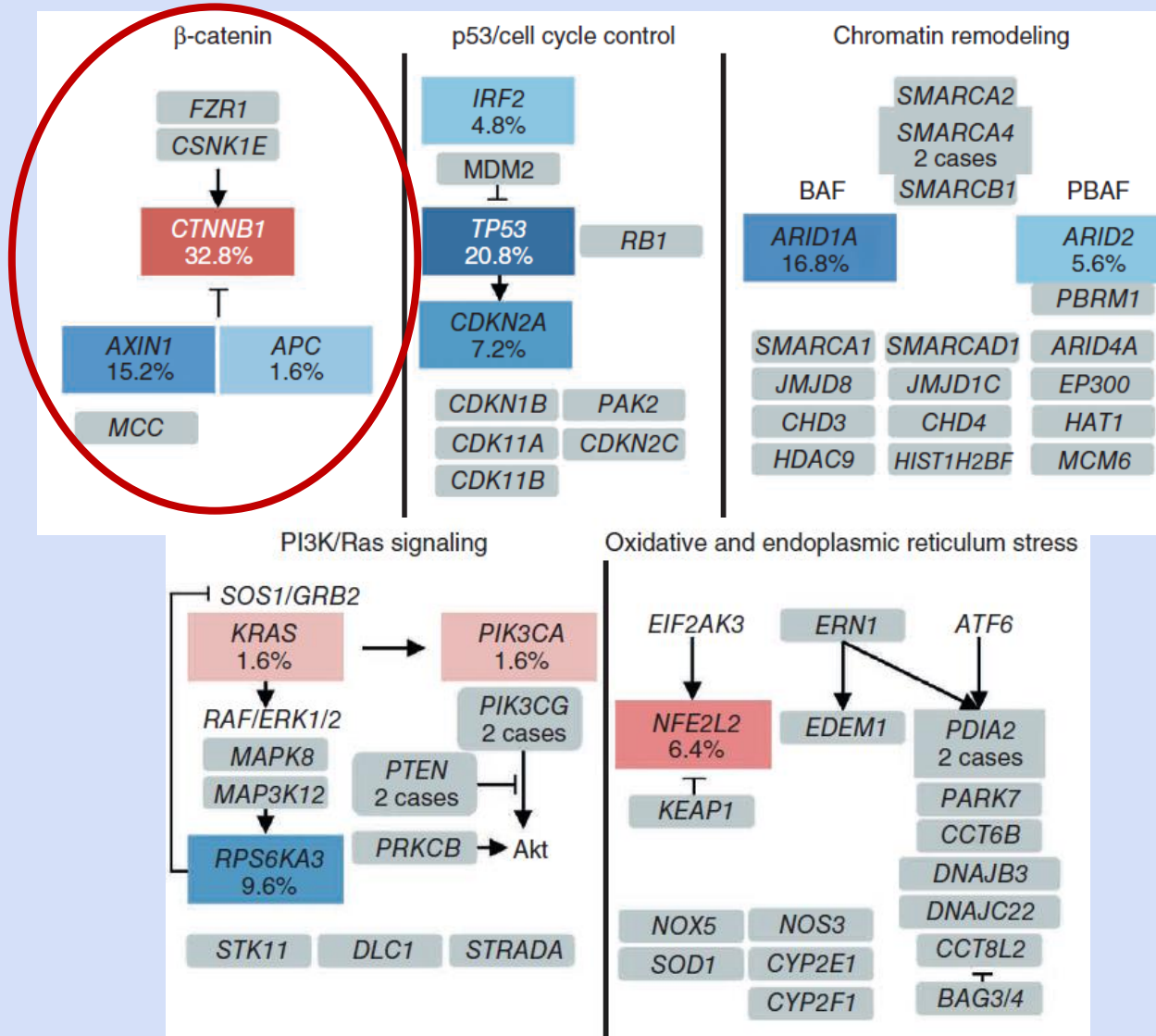
: Many possible biomarkers and many potentially active drugs.

However, testing one drug for one biomarker at one time is painstaking, costly, and frustrating to patients and investigators.

# Landscape of the Most Prevalent Mutations and High-Level Gene Amplifications in Human HCC

Gene	Pathways/Gene Functions Involved	Estimated Frequency Based on Deep-Sequencing Studies (%)
Driver Genes Frequently Mutated in HCC		
<i>TERT promoter</i>	telomere stability	60
<i>TP53</i>	genome integrity	20–30
<i>CTNNB1</i>	WNT signaling	15–25
<i>ARID1A</i>	chromatin remodeling	10–16
<i>TTN</i>	chromosome segregation	4–10
<i>NFE2L2</i>	oxidative stress	6–10
<i>JAK1</i>	JAK/STAT signaling	0–9
Oncogenes/Tumor Suppressors Rarely Mutated in HCC		
<i>IDH1, IDH2</i>	NAPDH metabolism	<5
<i>EGFR</i>	growth factor signaling	<5
<i>BRAF</i>	RAS/MAPK signaling	<5
<i>KRAS, NRAS</i>	RAS/MAPK signaling	<5
<i>PIK3CA</i>	AKT signaling	<5
<i>PTEN</i>	AKT signaling	<5
Oncogenes Contained in High-Level Amplifications in HCC		
<i>FGF19</i>	FGF signaling	5–10
<i>CCND1</i>	cell cycle	5–10
<i>VEGFA</i>	HGF signaling/angiogenesis	7–10

# Integrated Analysis of Somatic Mutations and Focal Copy-number Changes Identifies Key Genes and Pathways in HCC



# Time Has Come to Raise the Bar in Oncology Trials

Oncology clinical trials are at crossroads,.....

There is a need to completely re-envision what a clinical trial looks like, including the mandatory use of “- omics”, and “adaptive design” enabled by high-functioning systems and processes.

Dilts DM, J Clin Oncol 2014;32:1186



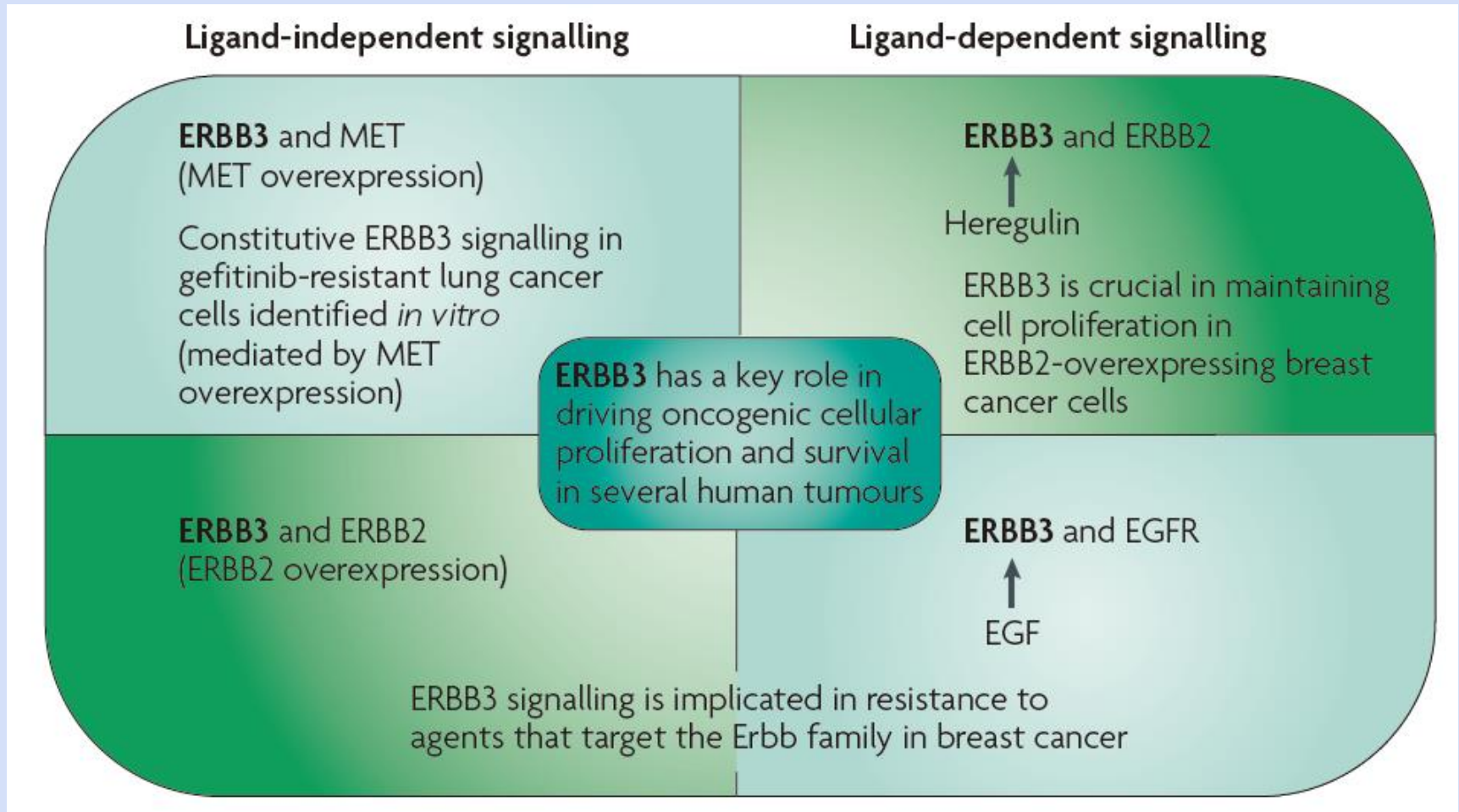
# New Targets for HCC

- Wnt/ $\beta$ -Catenin
- JAK/STAT
- FGF19/FGFR4
- VEGF-A
- c-MET
- TSC-2

# CONCLUSIONS

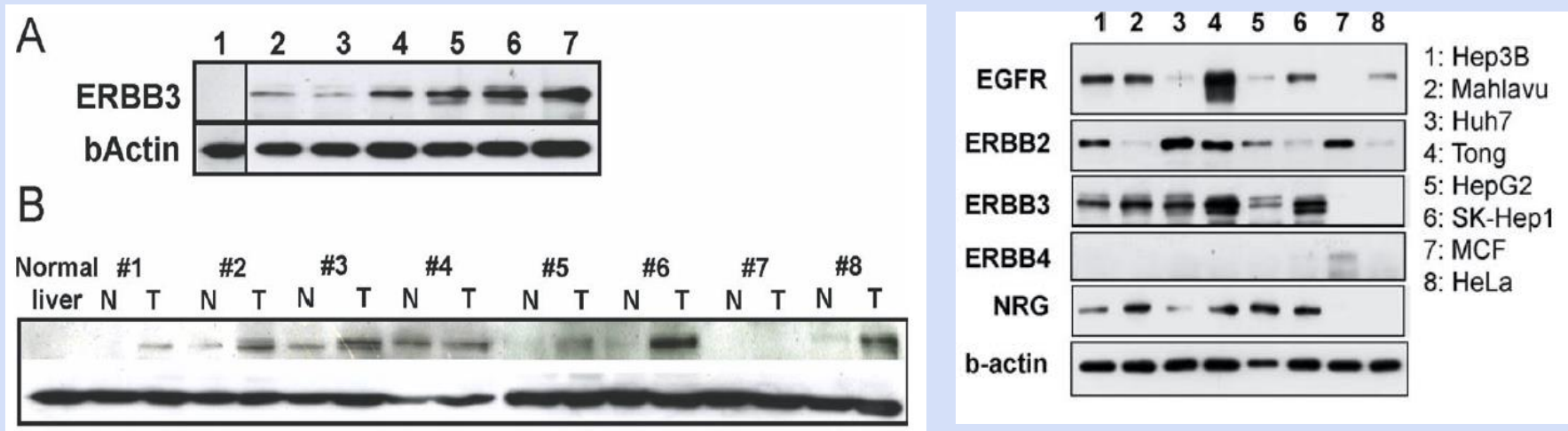
1. Biomarker-driven adaptive trials, testing multi-drugs in multi-arms are possible in HCC.
2. “Super-adaptive” design of trials may help expedite drug development in HCC.
3. A disinterested organization that works with multiple companies, and provides the logistic support for a big data-managing operation is mandatory – These problems can be solved by a single- or oligo-company study.
4. Regulatory approval may be a problem, but can be solved by conducting the study in a single/oligo, well-experienced countries.

# The Central Role of HER-3



~ Baselga J & Swain SM: Nature Rev Cancer 2009; 9 463.

# Neuregulin/HER3 Autocrine Loop Contributes to Invasion and Early Recurrence of Human HCC



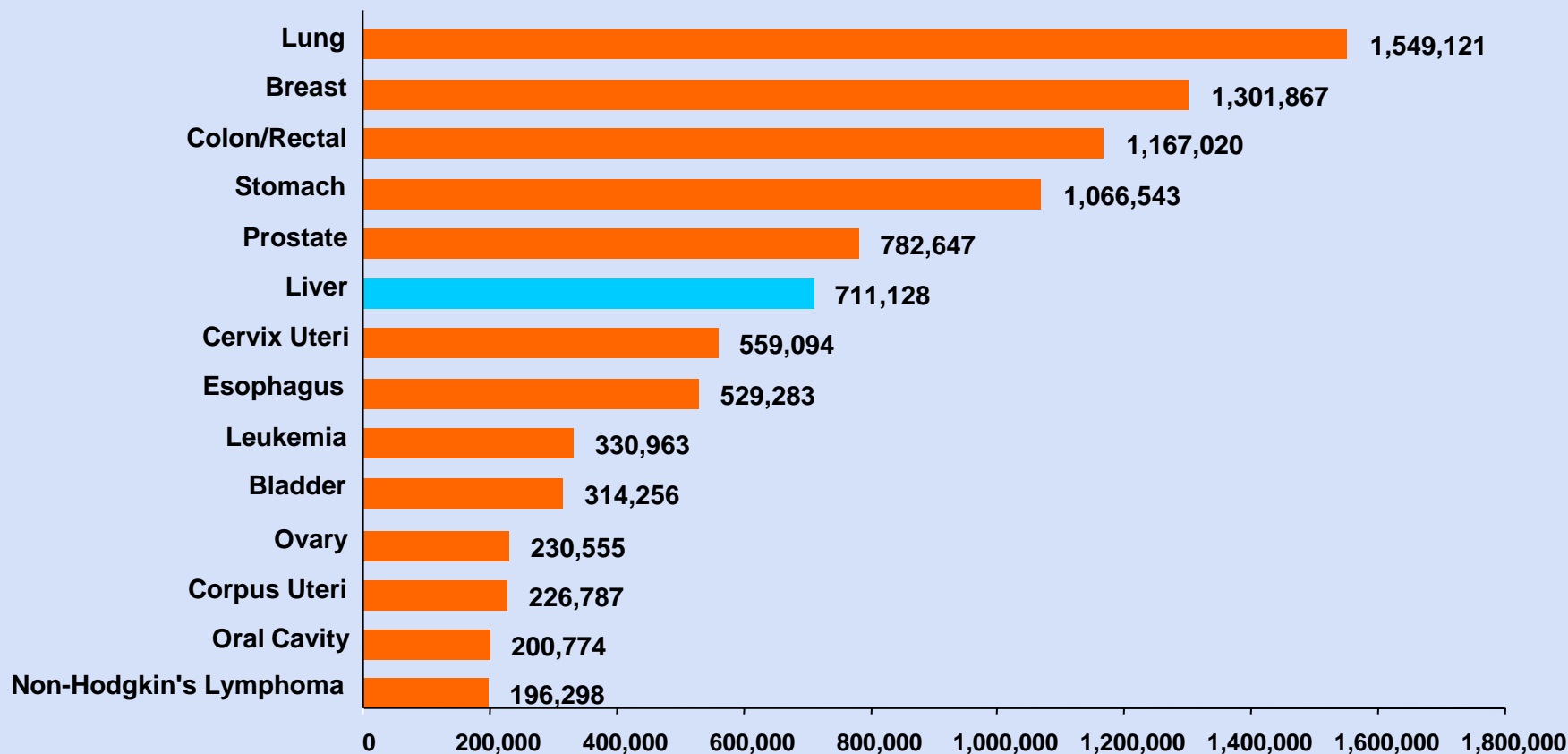
- HER-3 overexpression associated with intra-hepatic metastasis, recurrence, and poor prognosis

~ Hsieh SY et al: *Hepatology* 2011; 53: 504.

(A) Immunoblotting of HER-3: Lane 1, normal liver tissue; 2, Huh7; 3, HepG2; 4, Mahlavu; 5, SK-Hep1; 6, Tong; 7, and Hep3B. By real-time qRT-PCR, the overexpression of HER-3 in HCC compared to non-tumor part (2 fold-elevation) was seen in 50/71 HCC patients (~ 70%).

# Liver Cancer:

- Sixth most common cancer worldwide<sup>1</sup>
- Third most common cause of cancer-related death<sup>2</sup>

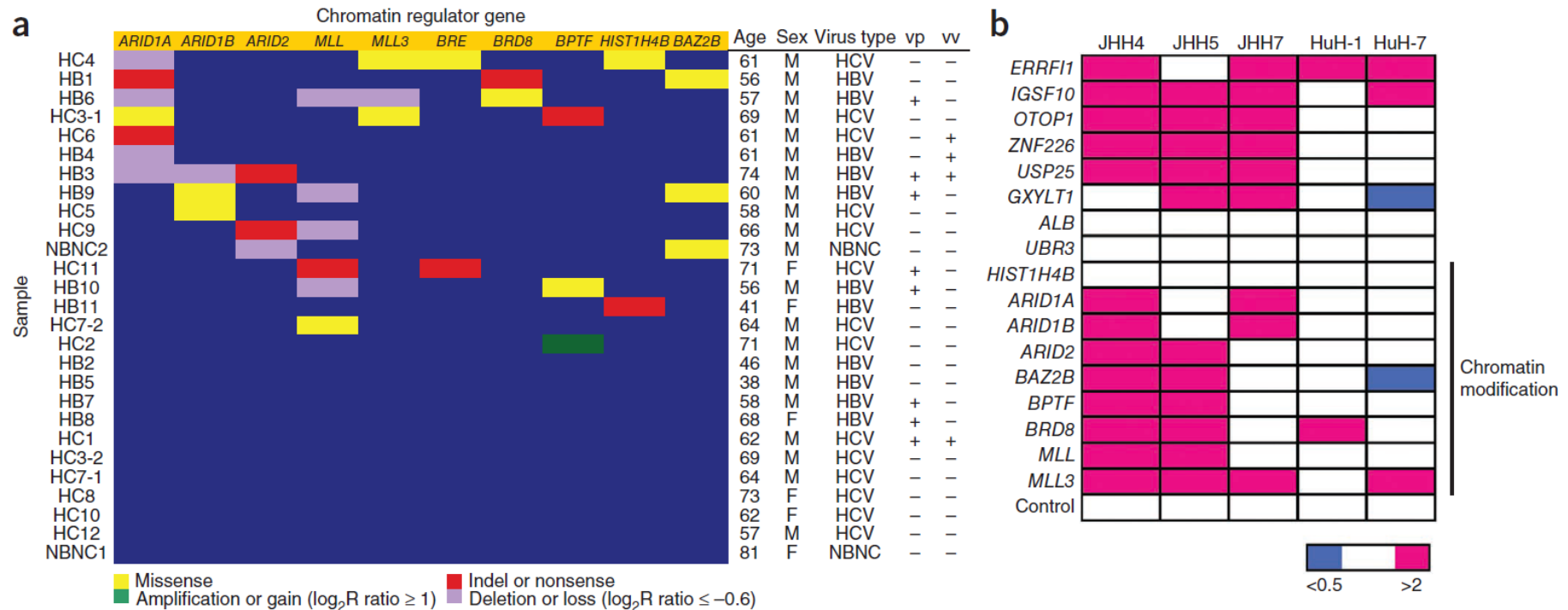


1. Garcia M, et al. American Cancer Society, 2007. [www.cancer.org](http://www.cancer.org). Accessed March 20, 2008.

2. <http://www.who.int/mediacentre/factsheets/fs297/en/index.html>. Accessed June, 2008.

# Whole-genome Sequencing of Liver Cancers Identifies Etiological Influences on Mutation Patterns and Recurrent Mutations in Chromatin Regulators

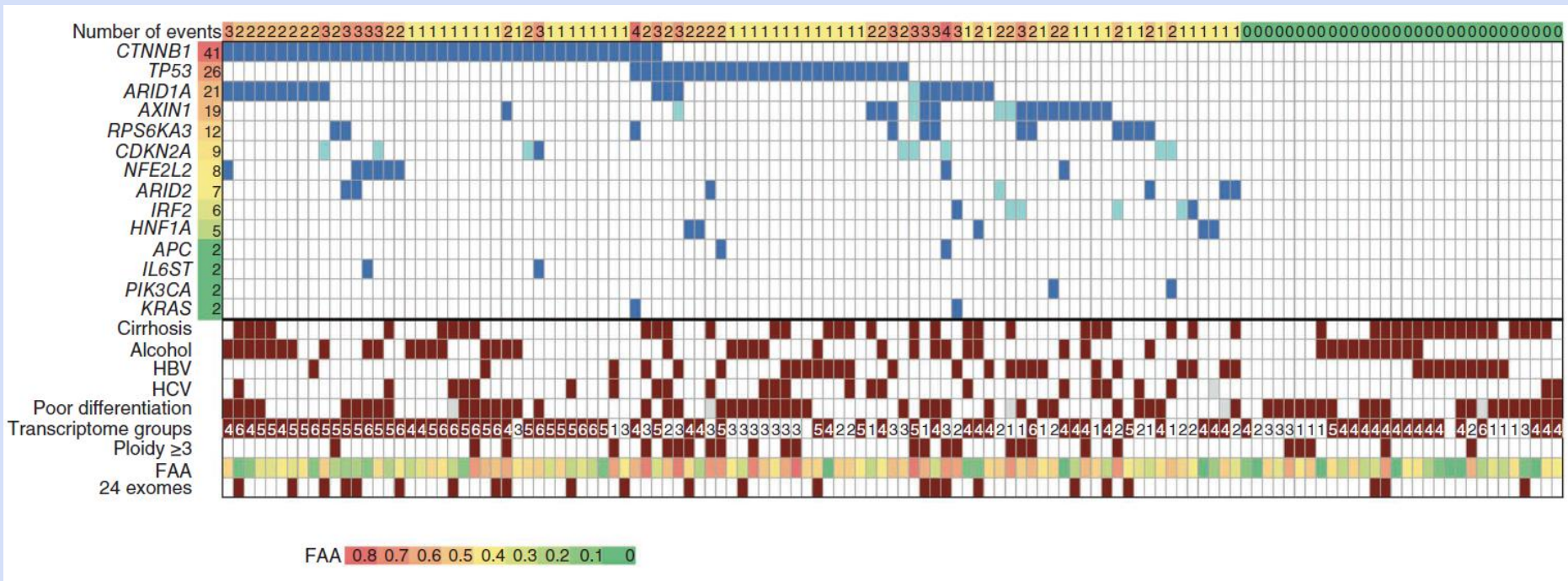
**Table 1** Significantly mutated genes and their mutation frequency in the validation set



**Figure 4** Mutations in chromatin regulators and functional analysis of potential driver genes. (a) Mutations in chromatin regulators in 27 HCC genomes. Mutations in chromatin-regulator genes are summarized. In addition to point mutations, 55.6-kb genomic deletion of *ARID2* in NBNC2, which was identified by the read-pair method, and several copy-number alternations of chromatin-regulator genes are included. HC6 had both 1-bp deletion and low-level loss in *ARID1A*. (b) Functional assays of potential driver genes in HCC cell lines. Changes in cell proliferation in five HCCs compared to proliferation with control siRNA treatment are presented. Magenta and blue boxes represent more than 2-fold and less than 0.5-fold changes in the cell number, respectively. Genes involved in chromatin modification are indicated by the line.

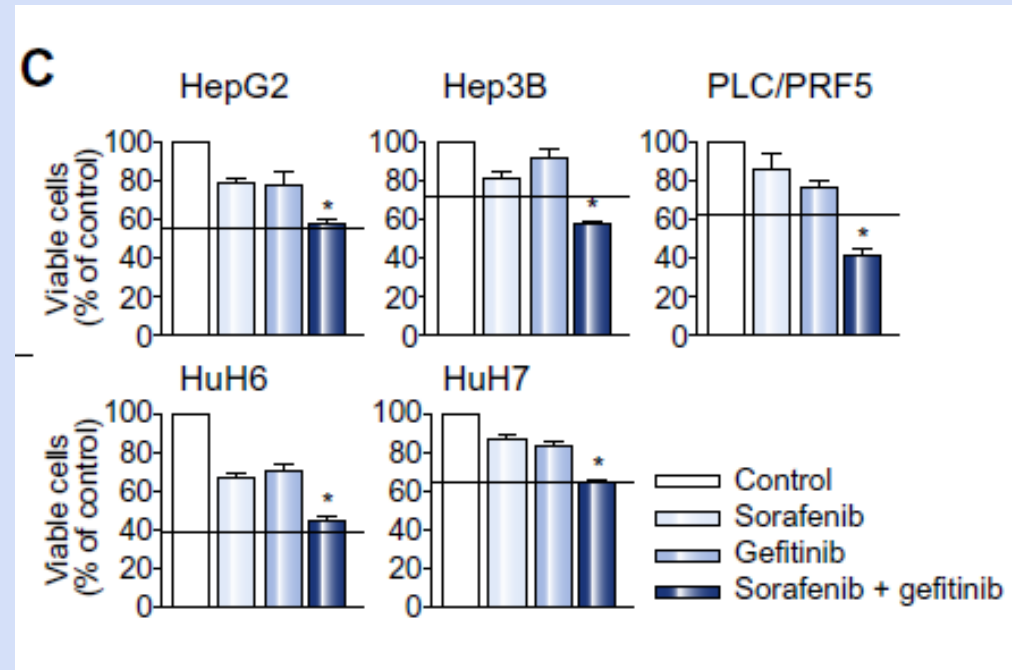
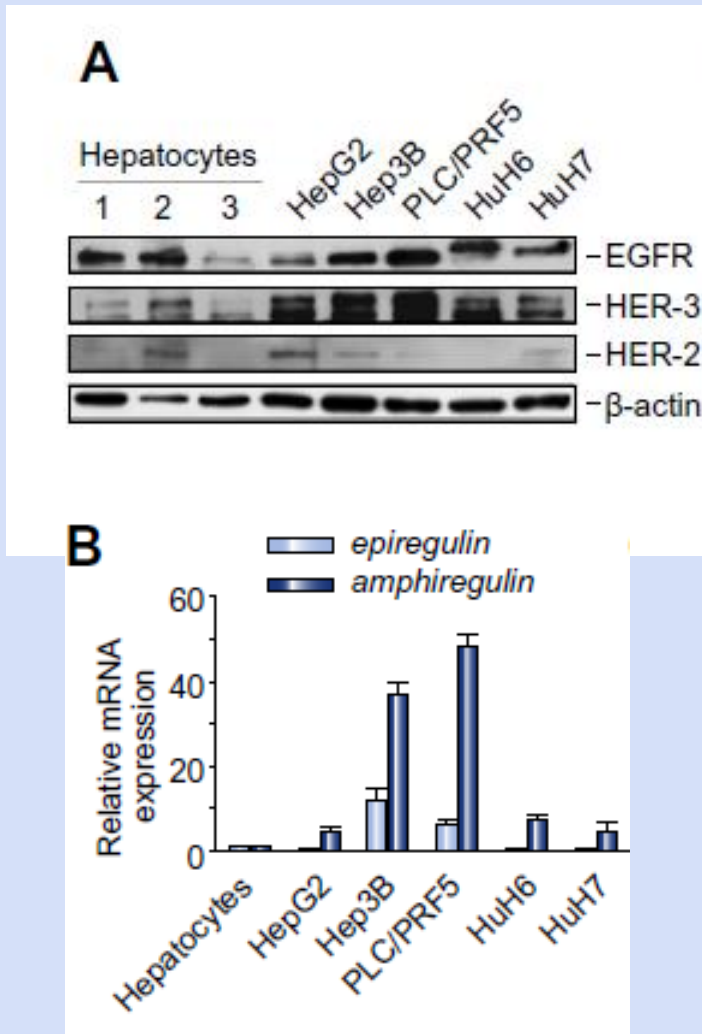


# Integrated Analysis of Somatic Mutations and Focal Copy-number Changes Identifies Key Genes and Pathways in Hepatocellular Carcinoma



Guichard C et al: Nature Genetics 2012;44: 694.

# Increased HER-3 and EGF-like Ligands in HCC Cells

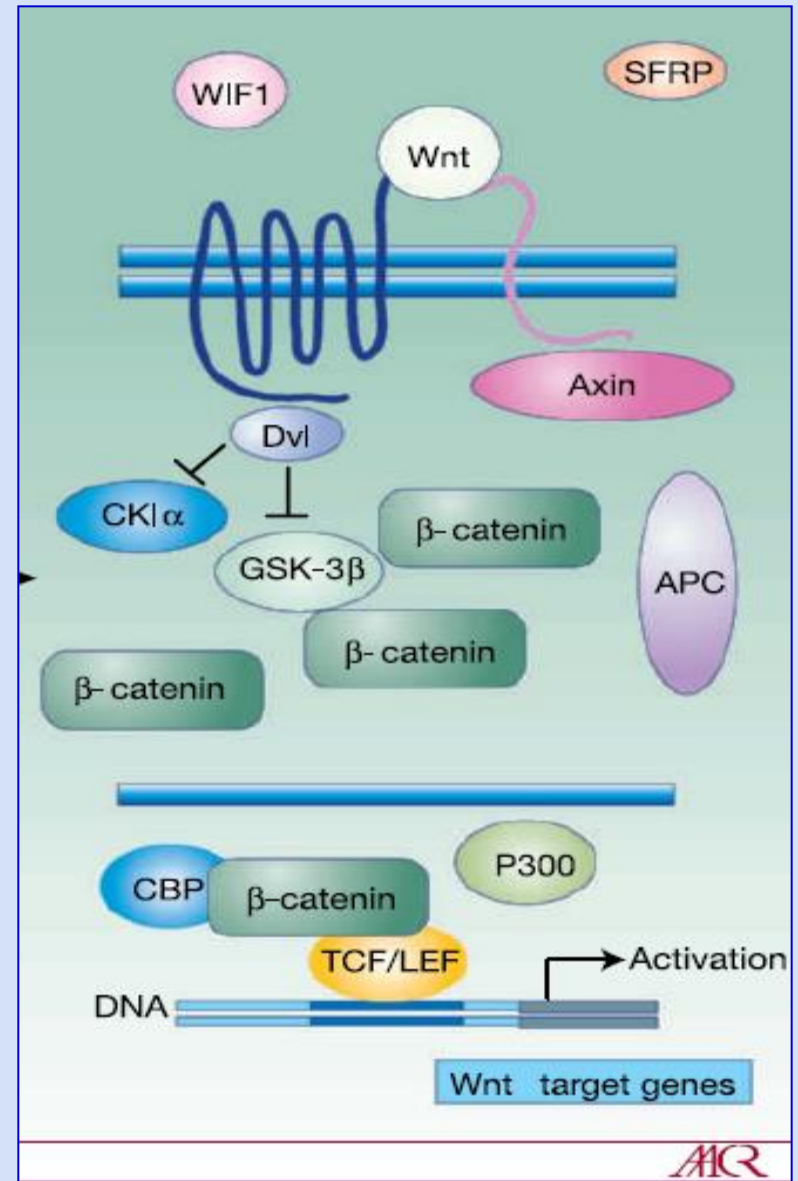


~ Blivet-Van Eggelpoël MJ, et al: *J Hepatol* 2012; 57(1):108-15.



# Targeting WNT/ $\beta$ -catenin Pathway

- Biologics:
  - Restoration of inhibitors
  - Targeting Wnt ligand-Fz receptor
- siRNA
- Small molecules:
  - Novel compounds
  - Existing drugs



# Small-molecules Targeting Wnt Signaling

## IWP (Inhibitors of Wnt production)

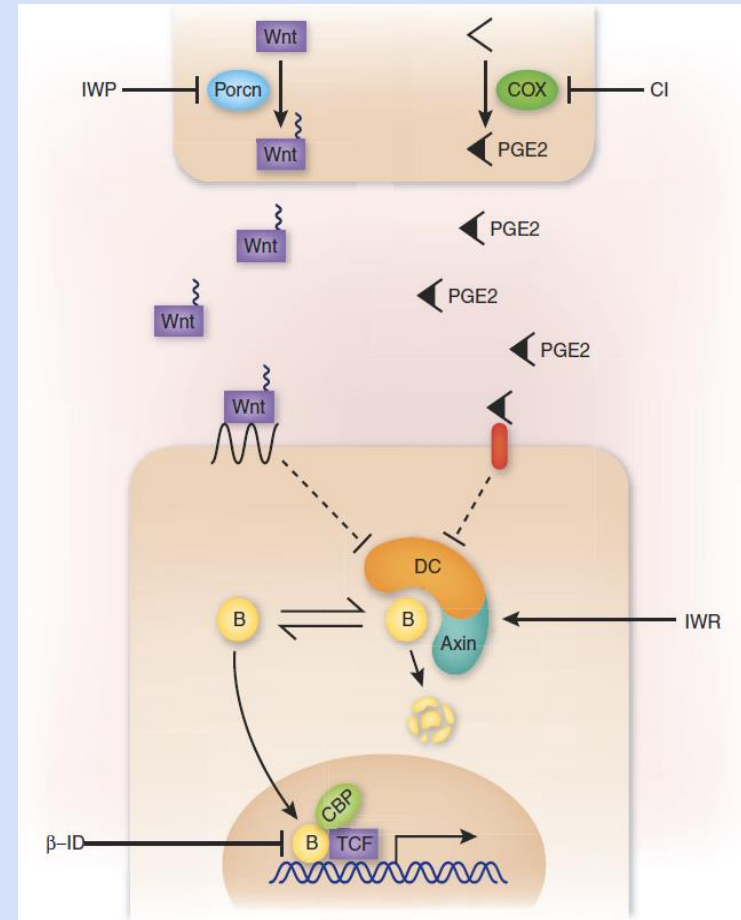
- LGK974

## IWR (Inhibitors of Wnt response)

- XAV939
- Other Tankyrase inhibitors

## $\beta$ -ID ( $\beta$ -catenin interaction disruptors)

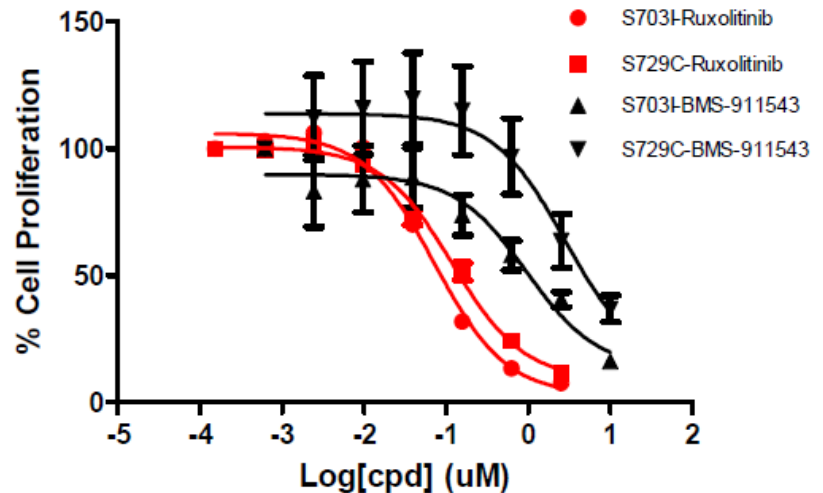
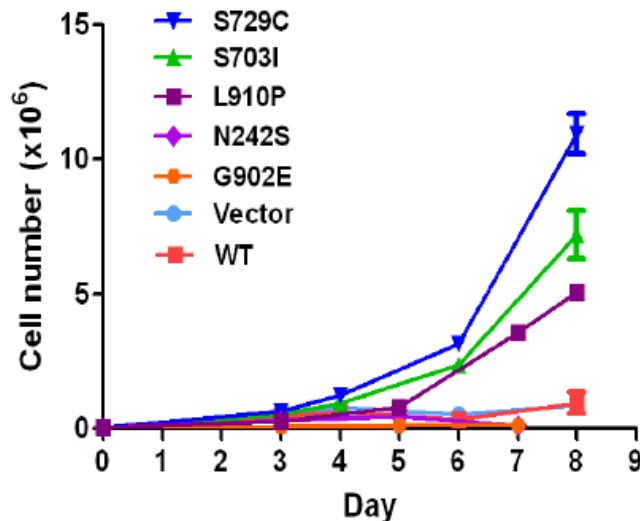
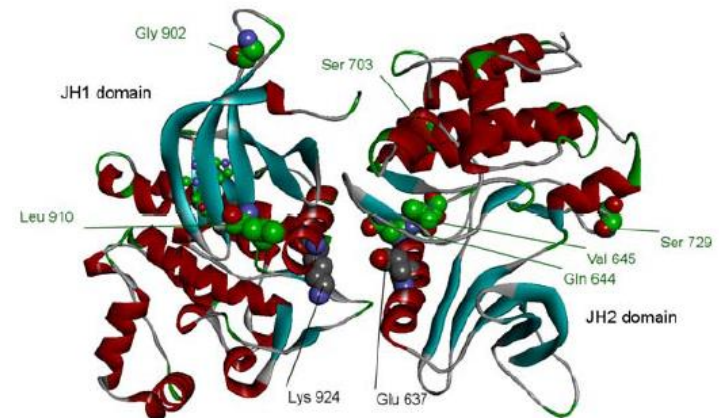
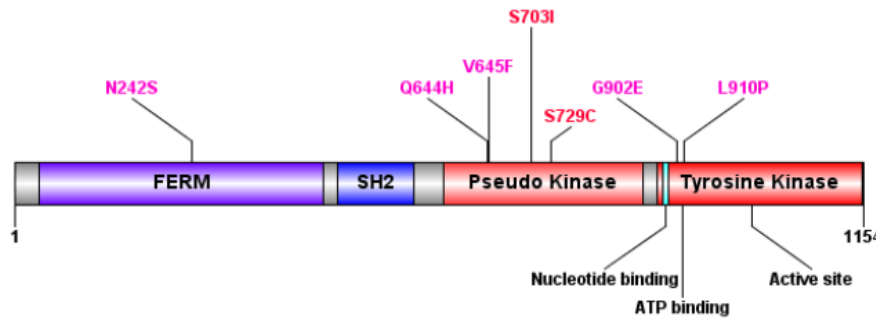
- ICG-001
- PRI-724



~ Yeh JY & Peterson RT: *Nature Chem Biol* 2009;5:74 (News) &  
~ Chen B et al: *Nature Chem Biol* 2009;5:100-.

# Whole Genome Sequencing Identifies Recurrent Mutations in HCC – 88 cases from HBV-endemic area

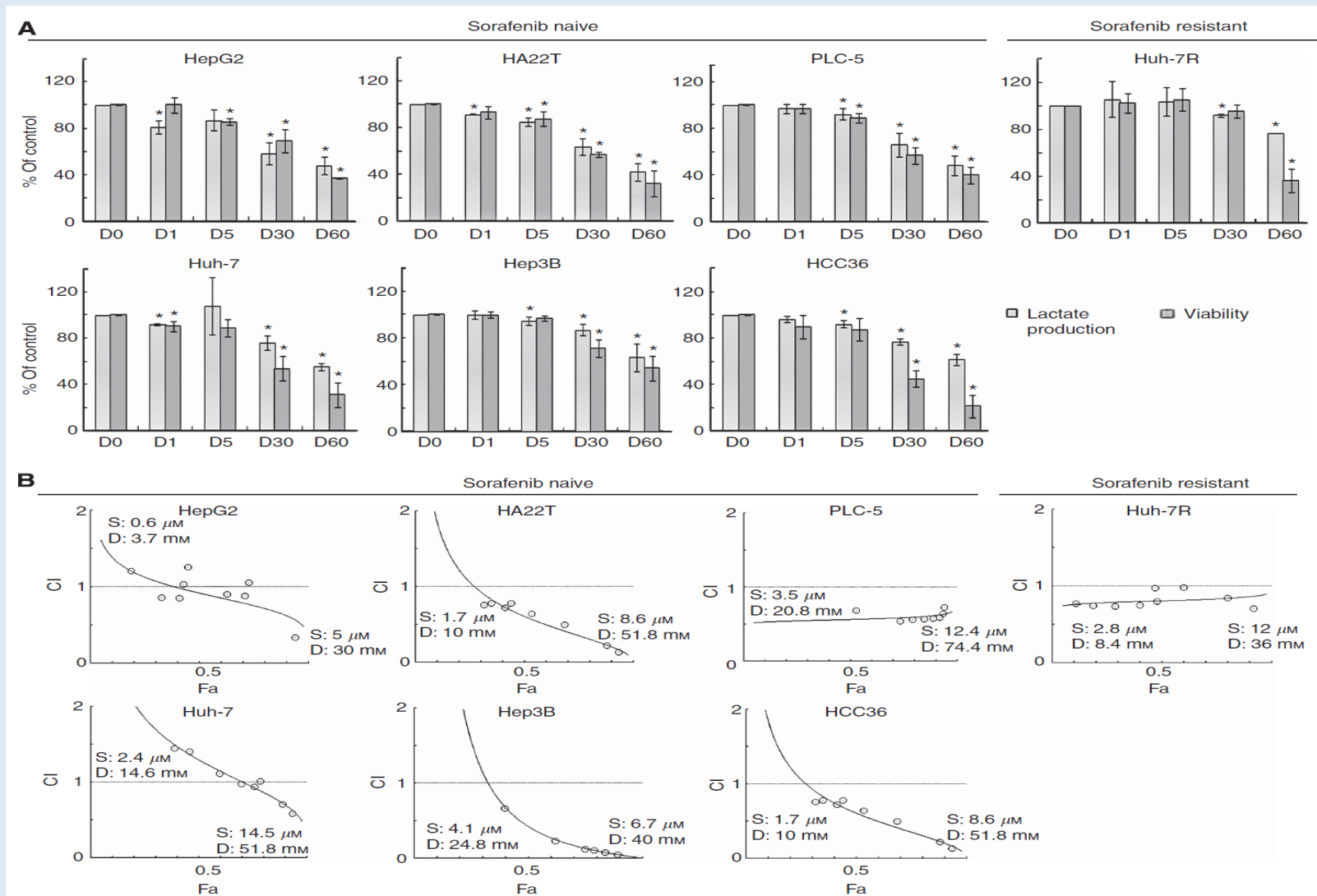
## Activating mutations in JAK1



# Metabolism Reprogramming (Warburg Effect) in Cancer



# Activating Oxidative Phosphorylation by a PDK inhibitor Overcomes Sorafenib Resistance of HCC



# 7 Years On - - -

- **Results of SHARP was presented in June 2007. Sorafenib was approved by FDA (Dec. 2007) and EMEA (Oct. 2007).**

- **Notable ongoing:**

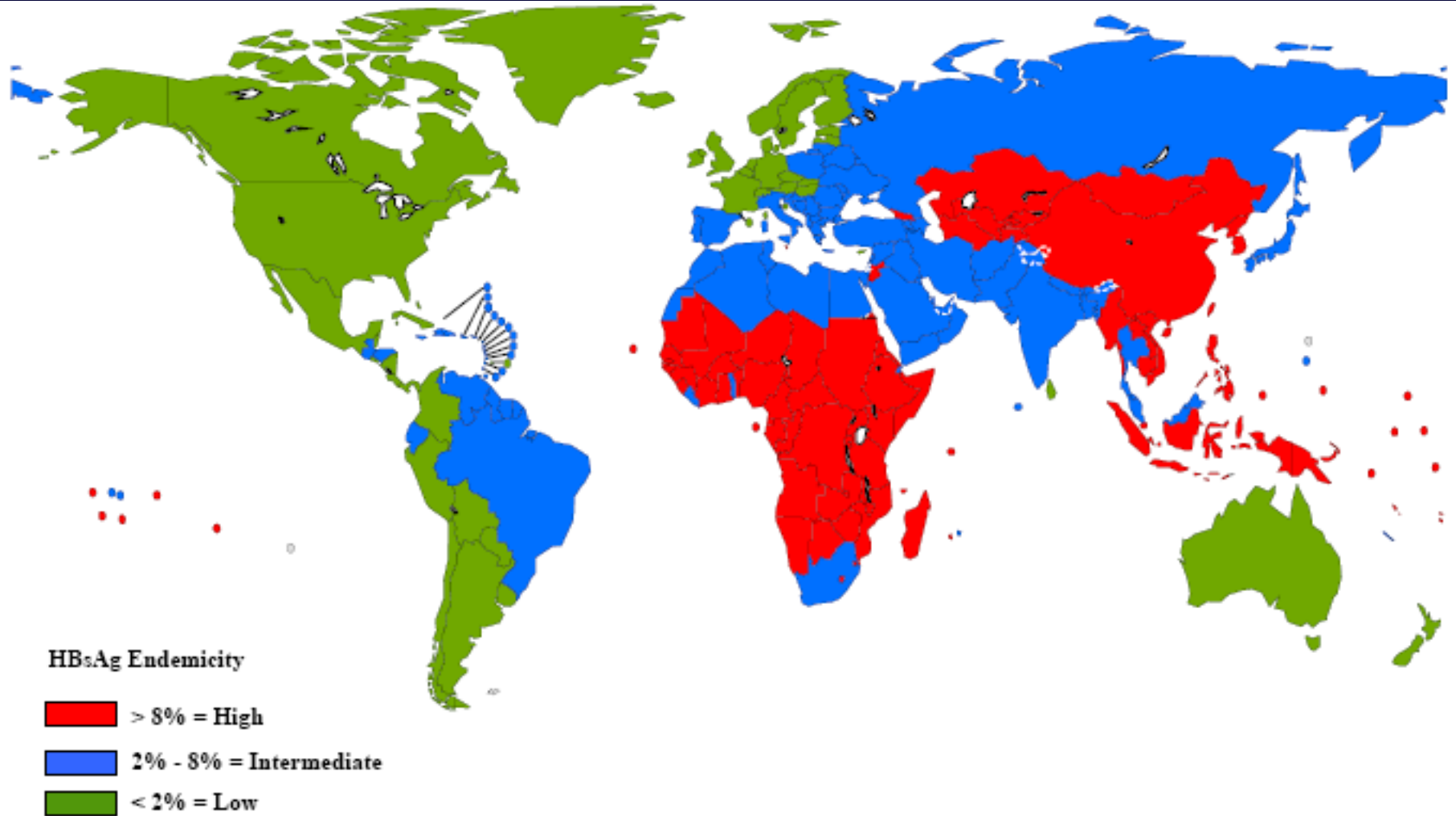
Lenvatinib – phase III, 1<sup>st</sup>-line (vs sorafenib)

Tivantinib – phase III, 2<sup>nd</sup>-line (c-met enriched)

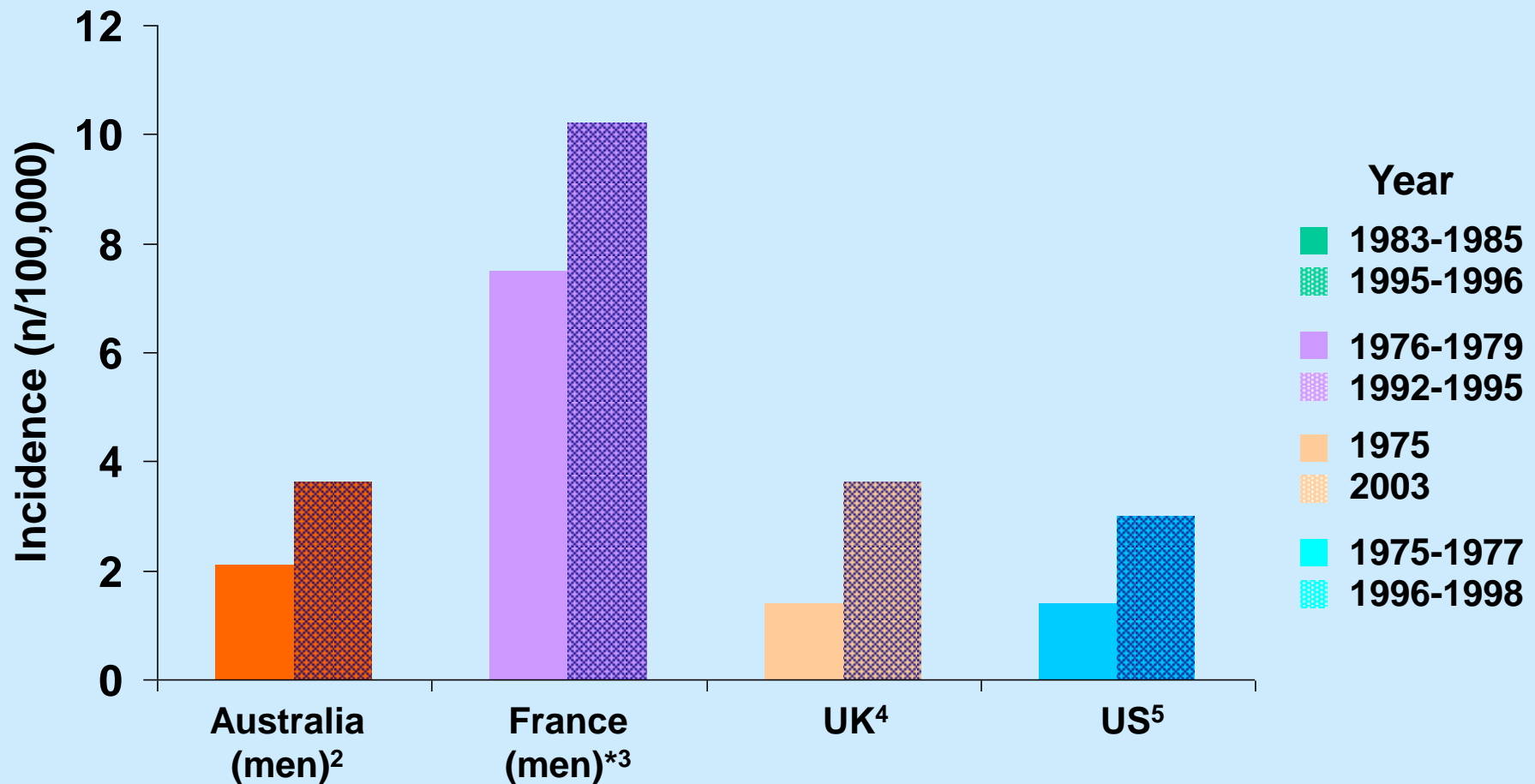
Cabozantinib – phase III, 2<sup>nd</sup>-line

Regorafenib – phase III, 2<sup>nd</sup>-line

# Geographic Variations of HBV infection



# Incidence of HCC – Increasing in Western Countries



\*Primary liver cancer.

1. El-Serag HB et al. *Gastroenterology*. 2007;132:2557–2576; 2. Law MG, et al. *Med J Aus*. 2000;173:403-405;

3. Benhamiche A-M, et al. *J Hepatol*. 1998;29:802-806;

4. <http://info.cancerresearchuk.org/cancerstats/types/liver/incidence/?a=5441>. Accessed January 2008;

5. El-Serag HB, et al. *Ann Intern Med*. 2003;139:817-823.



# ASCO 2011

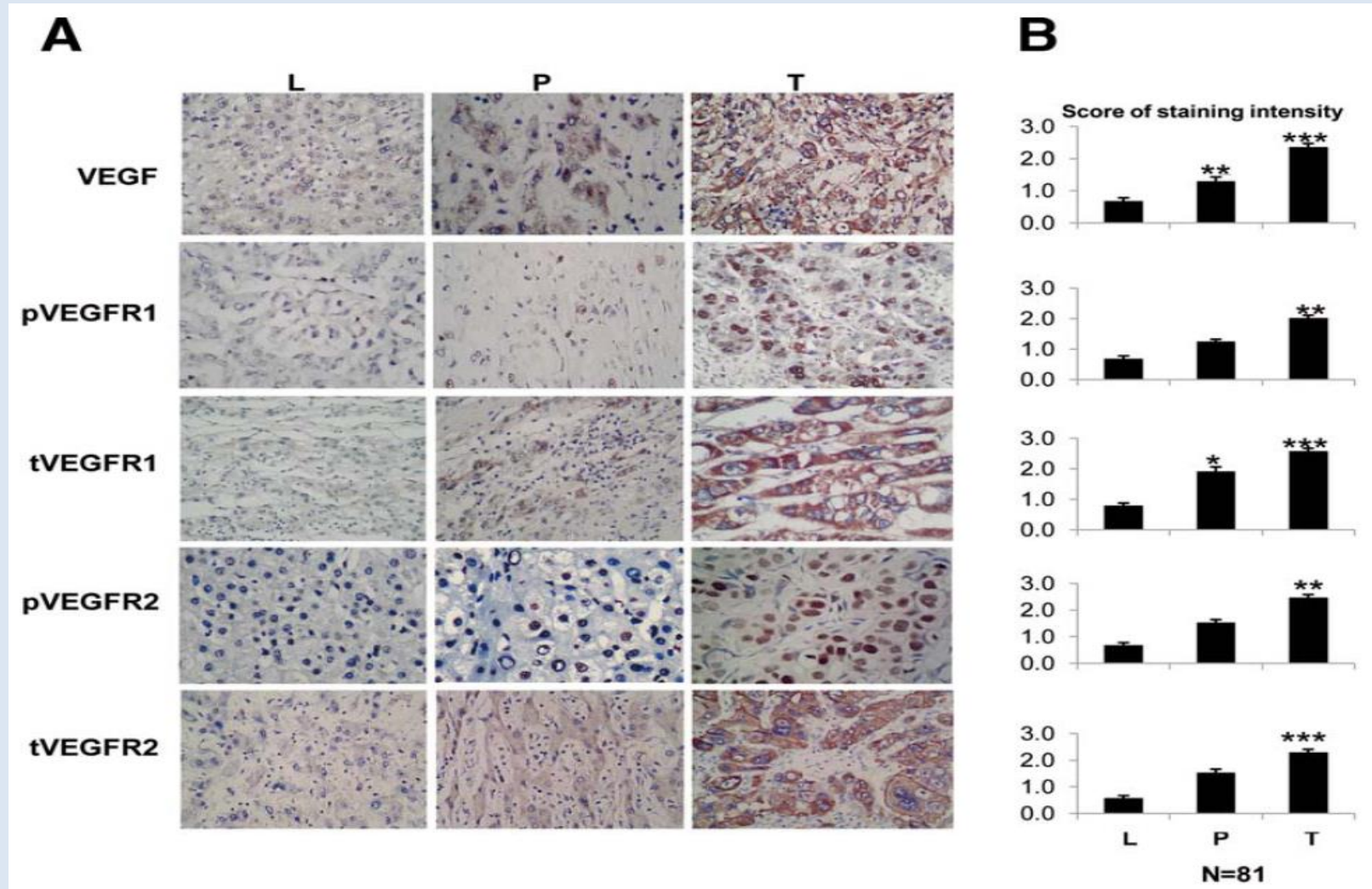
## Phase 3 Trial of Sunitinib versus Sorafenib in Advanced Hepatocellular Carcinoma

A-L Cheng,<sup>1</sup> Y-K Kang,<sup>2</sup> D-Y Lin,<sup>3</sup> J-W Park,<sup>4</sup> M Kudo,<sup>5</sup> S Qin,<sup>6</sup>  
M Omata,<sup>7</sup> S Pitman Lowenthal,<sup>8</sup> S Lanzaone,<sup>9</sup> L Yang,<sup>8</sup> MJ Lechuga,<sup>9</sup>  
E Raymond<sup>10</sup> for the SUN1170 HCC Study Group

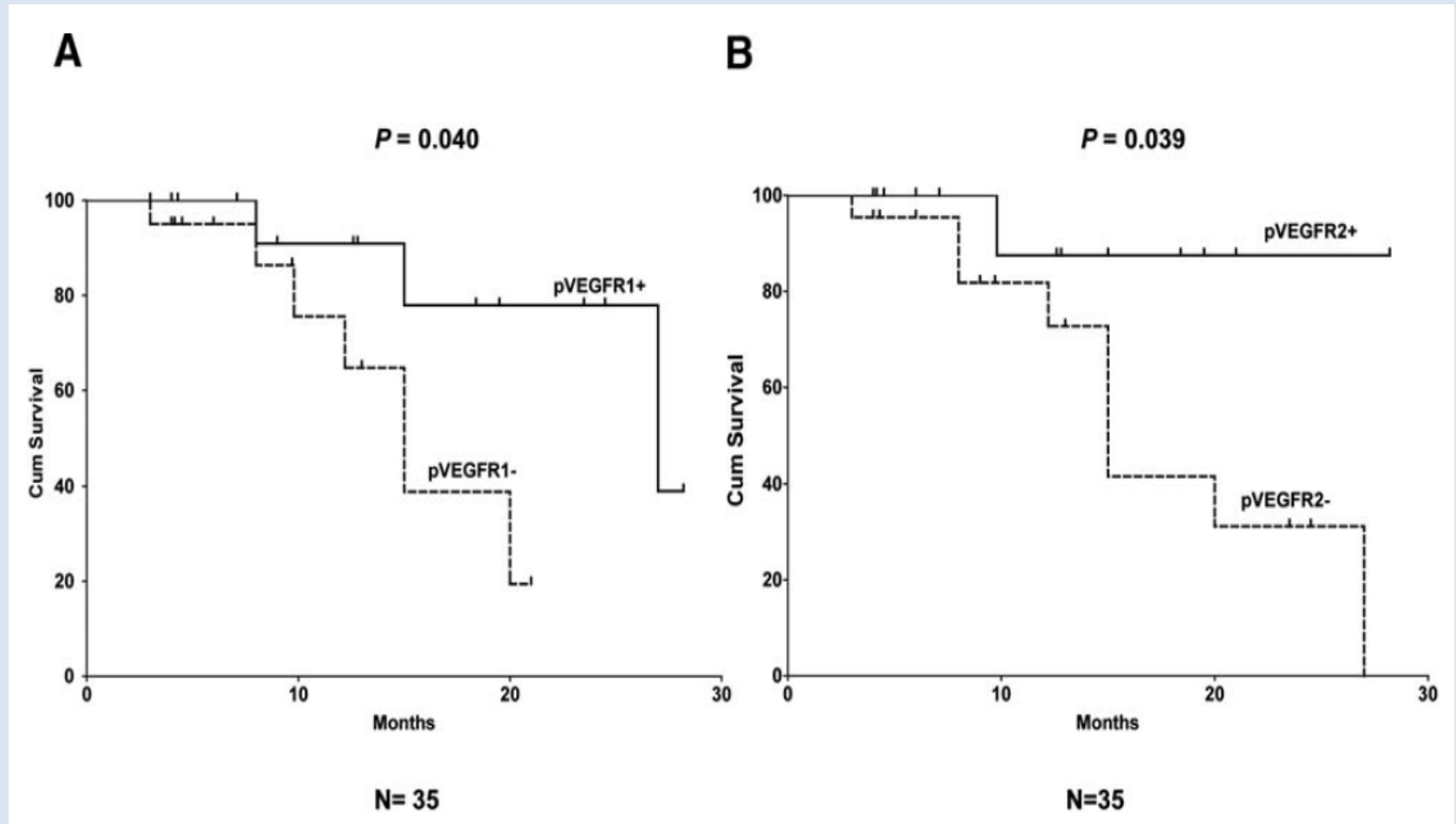
<sup>1</sup>National Taiwan University Hospital, Taipei, Taiwan; <sup>2</sup>Asan Medical Center, Seoul, Republic of Korea; <sup>3</sup>Chang Gung Memorial Hospital, Chang Gung University, Taiwan; <sup>4</sup>Center for Liver Cancer, National Cancer Center, Goyang, Republic of Korea; <sup>5</sup>Kinki University Hospital, Osaka, Japan; <sup>6</sup>Nanjing Bayi Hospital, Nanjing, Jiangsu, China; <sup>7</sup>Yamanashi Prefecture Central Hospital, Kofu, Yamanashi, Japan; <sup>8</sup>Pfizer Oncology, La Jolla, California, USA; <sup>9</sup>Pfizer Italia Srl, Milan, Italy; <sup>10</sup>Service Inter Hospitalier de Cancerologie Bichat-Beaujon, Clichy, France



# Autocrine VEGF Signaling Promotes Cell Proliferation and Modulates Sorafenib Treatment Efficacy in HCC



# Autocrine VEGF Signaling Promotes Cell Proliferation and Modulates Sorafenib Treatment Efficacy in HCC



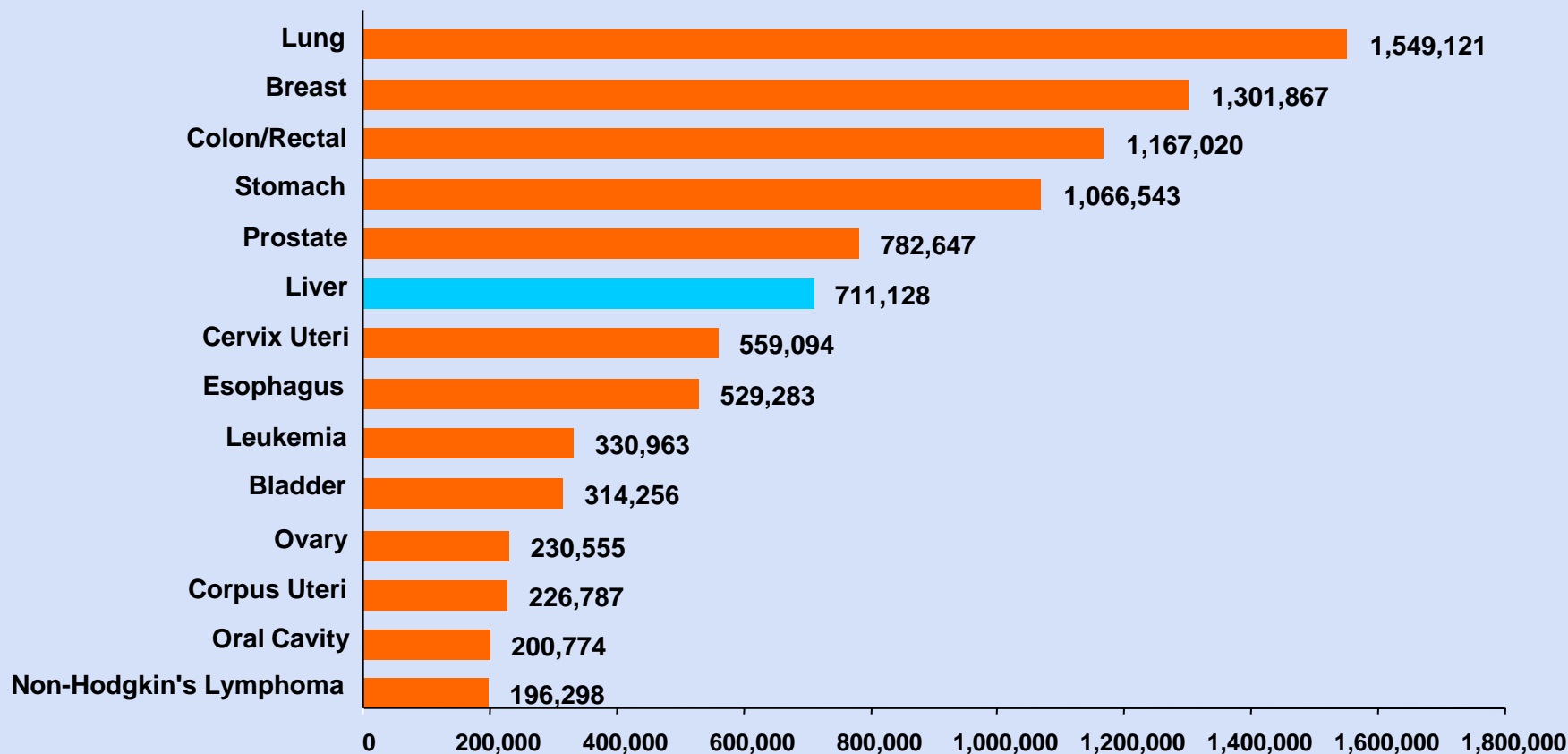
Peng S, et al. Hepatol 2014;60:1264-1277

# Expression of FGF19 is Associated with Recurrence and Poor Prognosis of HCC

- FGF19 expression in 48% of 281 HCC  
( > 5% tumor cells showed IHC memb. staining)
- FGF19 expression is associated with large tumor, higher BCLC stages, early recurrence, and shorter DFS.

# Liver Cancer:

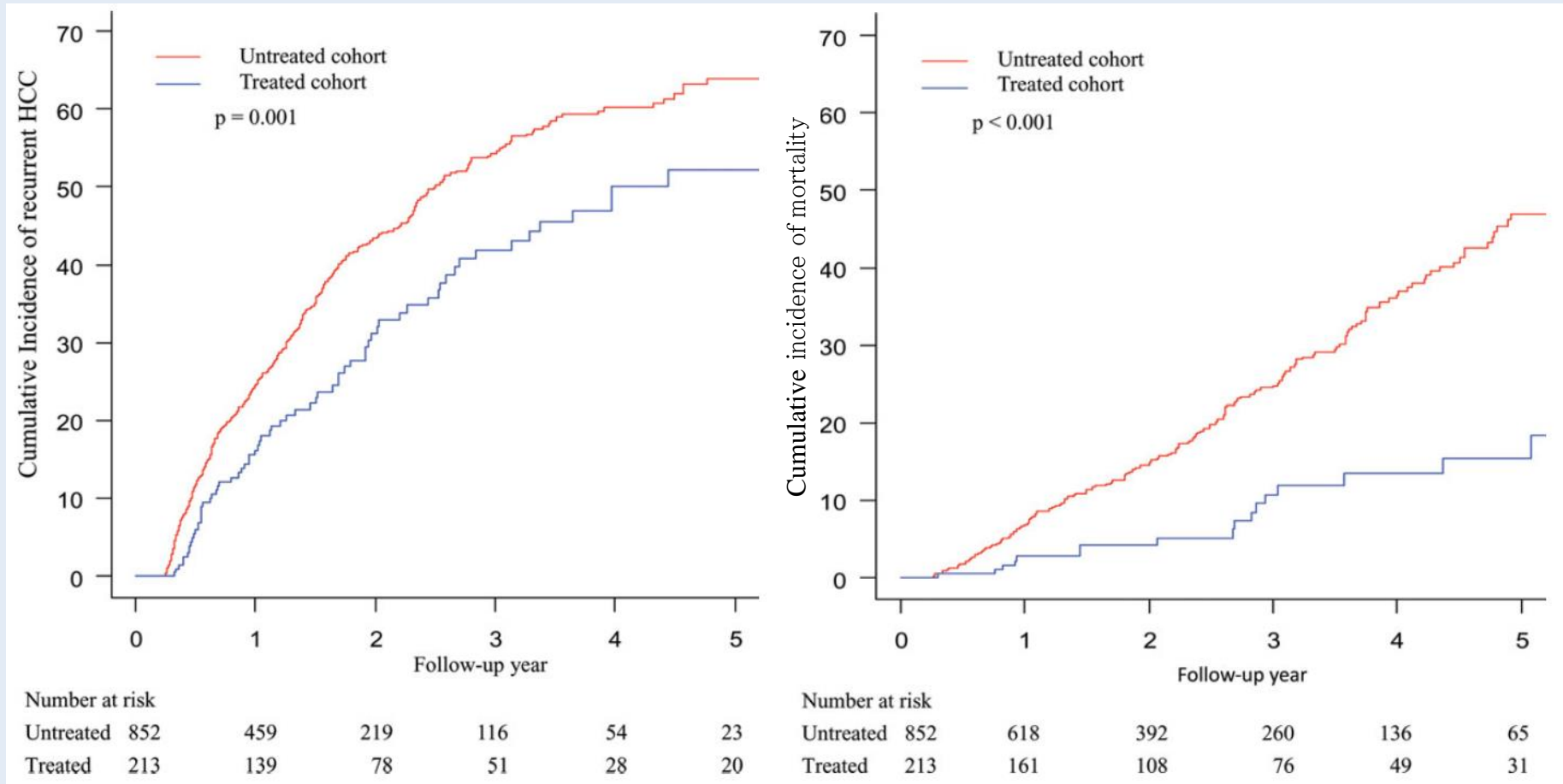
- Sixth most common cancer worldwide<sup>1</sup>
- Third most common cause of cancer-related death<sup>2</sup>



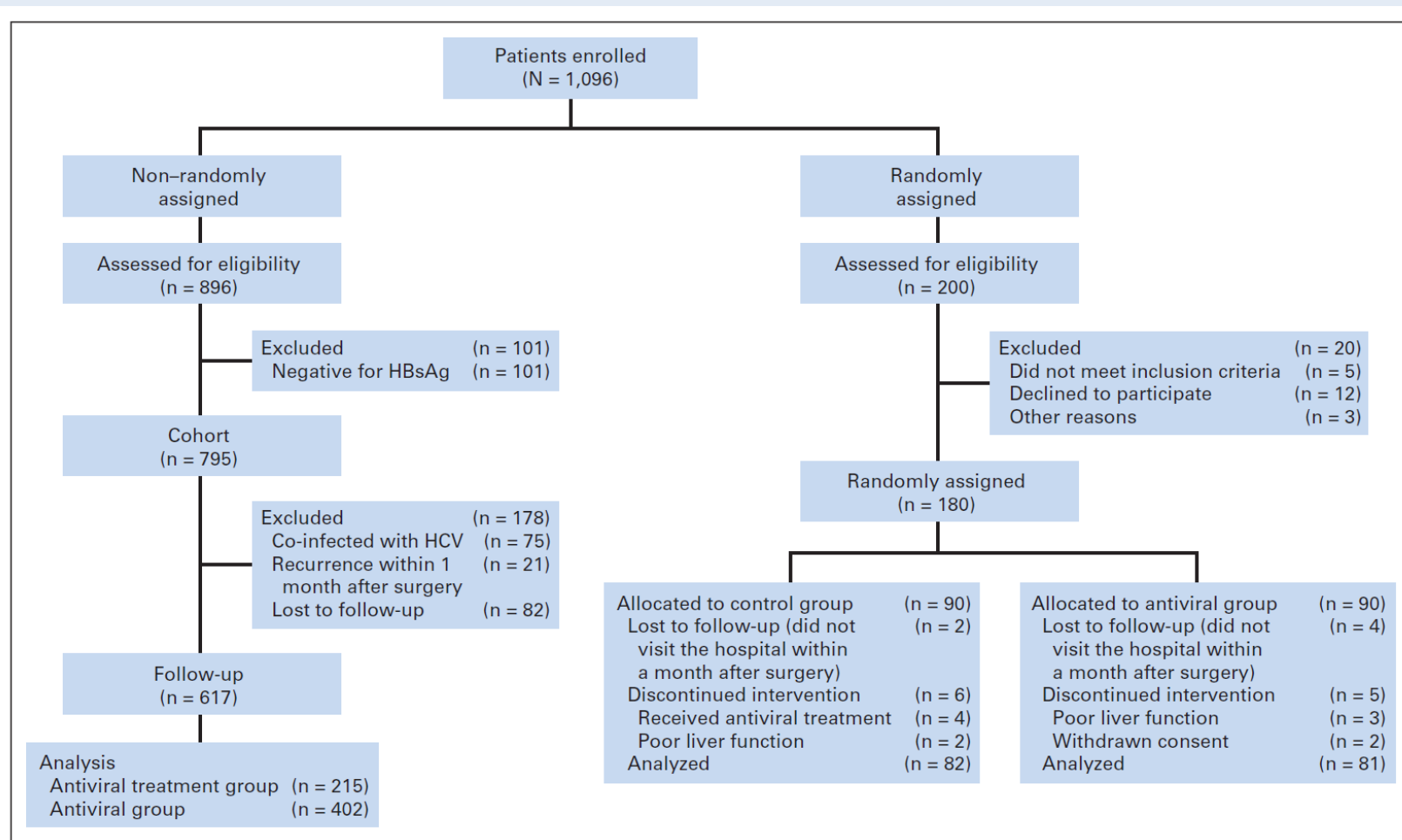
1. Garcia M, et al. American Cancer Society, 2007. [www.cancer.org](http://www.cancer.org). Accessed March 20, 2008.

2. <http://www.who.int/mediacentre/factsheets/fs297/en/index.html>. Accessed June, 2008.

# Postoperative Peg-Interferon Plus Ribavirin Is Associated with Reduced Recurrence of HCV-Related HCC



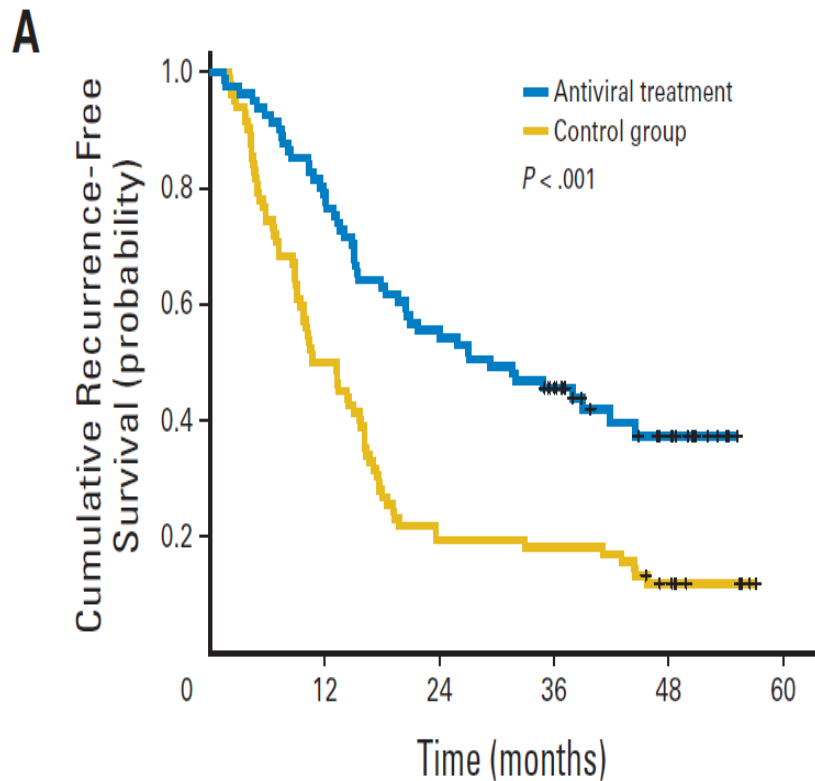
# Effect of Antiviral Treatment With Nucleotide/Nucleoside Analogs on Postoperative Prognosis of HBV-Related HCC: A Two-Stage Longitudinal Clinical Study



**Fig 1.** CONSORT diagram of the patients enrolled onto the nonrandomized cohort and the randomized clinical trial. HBsAg, hepatitis B surface antigen; HCV, hepatitis C virus.

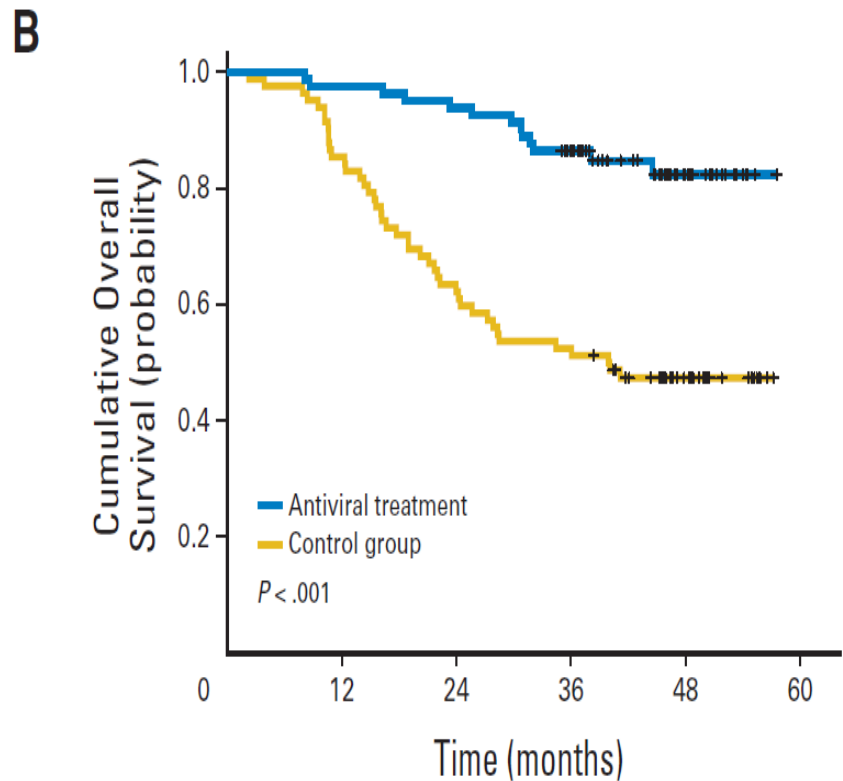


# Effect of Antiviral Treatment With Nucleotide/Nucleoside Analogs on Postoperative Prognosis of HBV-Related HCC: A Two-Stage Longitudinal Clinical Study



No. at risk

Antiviral treatment	81	64	45	34	12
Control group	82	41	16	15	8



No. at risk

Antiviral treatment	81	79	76	65	19
Control group	82	70	51	43	19





FIG. 1. Patient A.B. on admission. Note the wasting, liver size, and abdominal distention due to ascites.

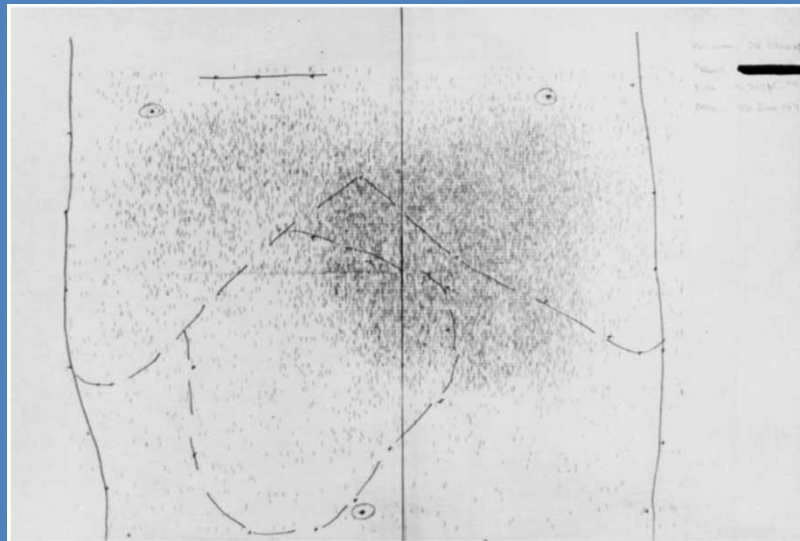
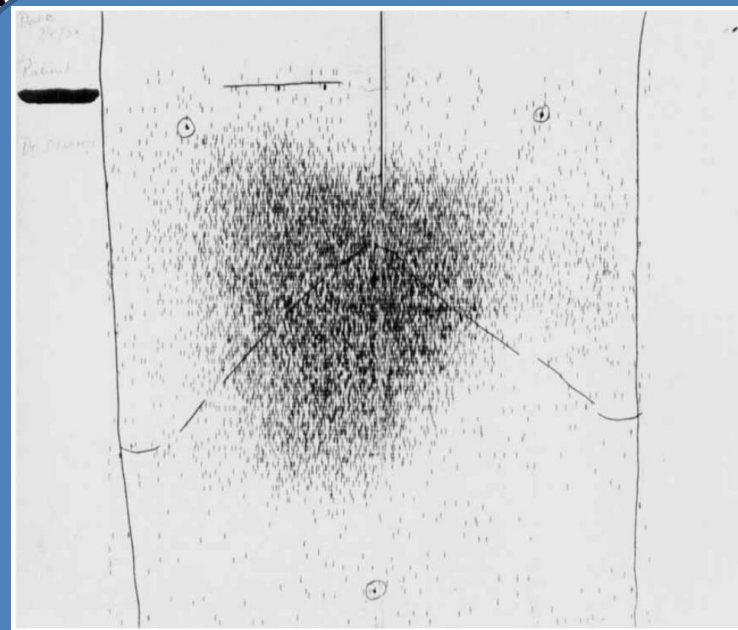
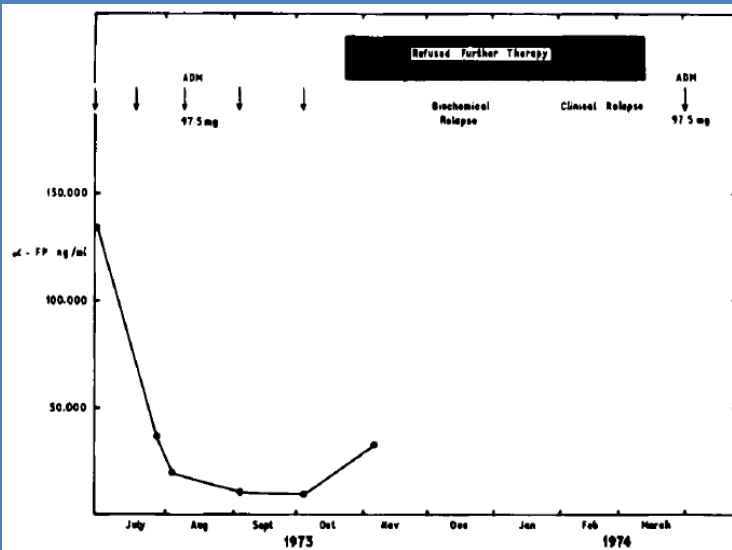


FIG. 2. Liver scan of patient A.B. done on admission, June, 1973. Note the mass outlined.



## A Randomized Phase III Study of Doxorubicin Versus Cisplatin/Interferon $\alpha$ -2b/Doxorubicin/Fluorouracil (PIAF) Combination Chemotherapy for Unresectable HCC

Parameter	Doxorubicin arm	PIAF arm	<i>P</i> value†
Median no. of cycles	4	3	
Responses			
Complete response	0	0	
Partial response	9	19	
Stable disease	37	35	
Progressive disease	40	37	
Overall responses	10.5% (95% CI = 3.9 to 16.9%)	20.9% (95% CI = 12.5 to 29.2%)	.058
Median overall survival (mo.) based on intent-to-treat	6.83 (95% CI = 4.80 to 9.56)	8.67 (95% CI = 6.36 to 12.00)	.830

**Table 3.** Toxicity profiles by treatment arm\*

Toxicities	Doxorubicin arm		PIAF arm		<i>P</i> value†
	N	%	N	%	
Neutropenia	59	63	77	82	.003
Thrombocytopenia	23	24	54	57	<.001
Anemia	26	28	26	28	1.000
Febrile neutropenia	16	17	12	12	.412
Raised hepatic transaminase	12	13	16	17	.413
Hyperbilirubinaemia	15	16	12	13	.533
Diarrhea	7	7	11	12	.322
Vomiting	4	4	11	12	.059
Stomatitis	7	7	3	3	.193
Anorexia	3	3	7	7	.193
Abdominal pain	6	6	3	3	.305
Alkaline phosphatase	7	7	5	5	.550
Malena/gastrointestinal bleeding	5	5	5	5	1.000
Hypokalemia	0	0	7	7	.007
Hyponatremia	1	1	6	6	.054
Nausea	4	4	2	2	.406
Treatment-related mortality	3	3	8	9	.194

## **APASL Guideline : Chemotherapy/New drugs**

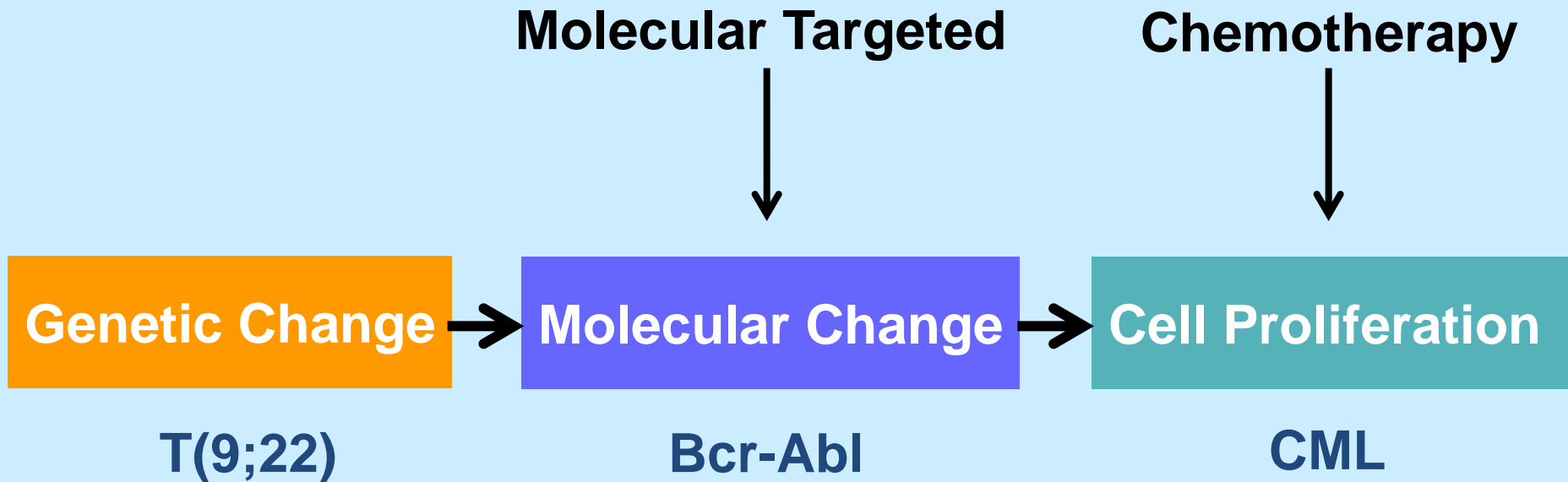
### **Recommendation (3)**

- Cytotoxic therapy, such as anthracyclines, platinum, fluoropyrimidines, and gemcitabine, are not routinely recommended, but can possibly be considered in highly selected patients whose general and hepatic conditions are adequate, so as to minimize treatment-related toxicity.  
(Grade C)

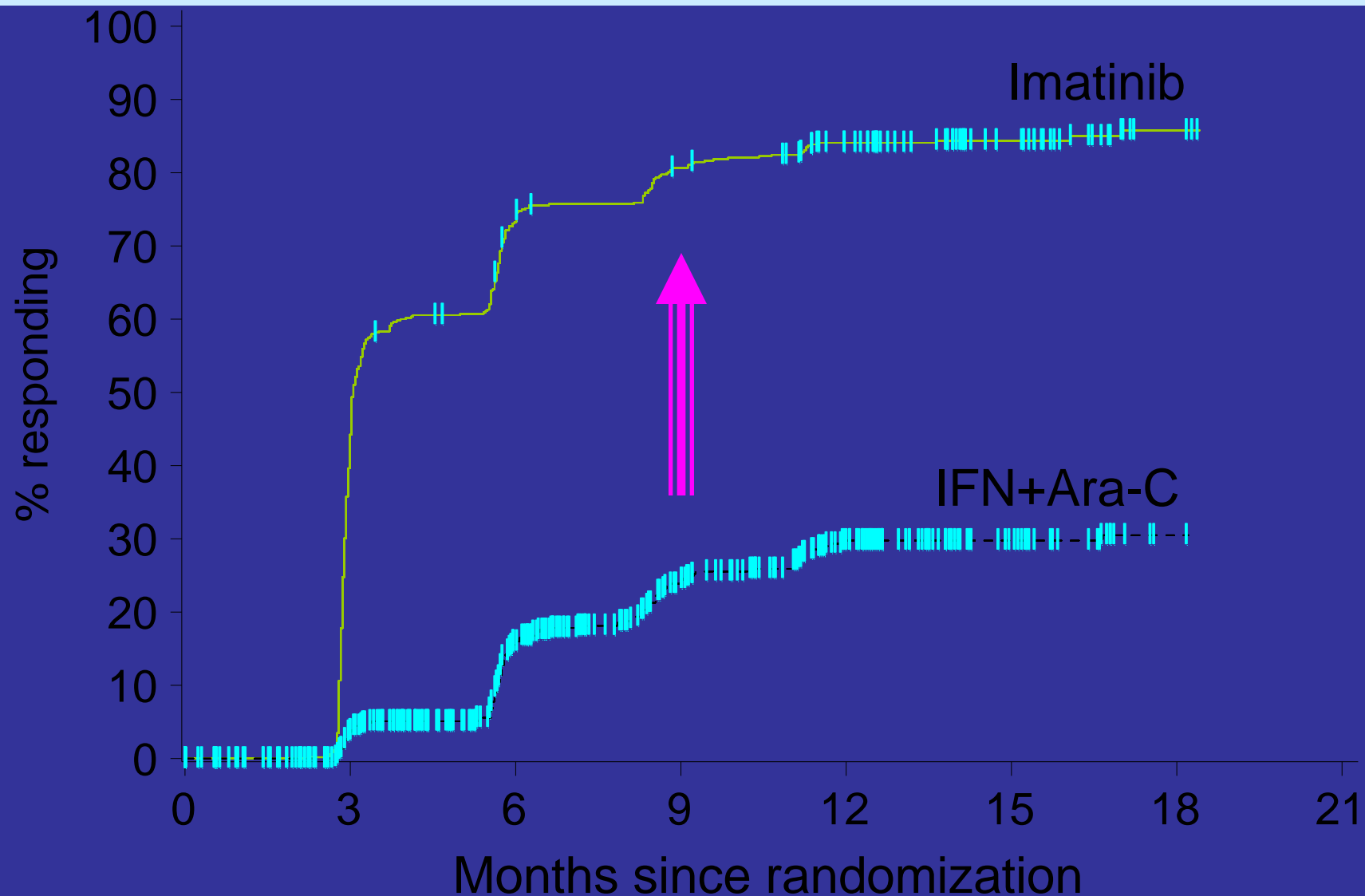
# Imatinib for Chronic Myeloid Leukemia

— An ideal example of molecular targeted therapy

- T (9;22) → Bcr-Abl → CML
- Bcr-Abl, a protein absent in normal cells
- Imatinib binds to Bcr-Abl and abolish its kinase activity

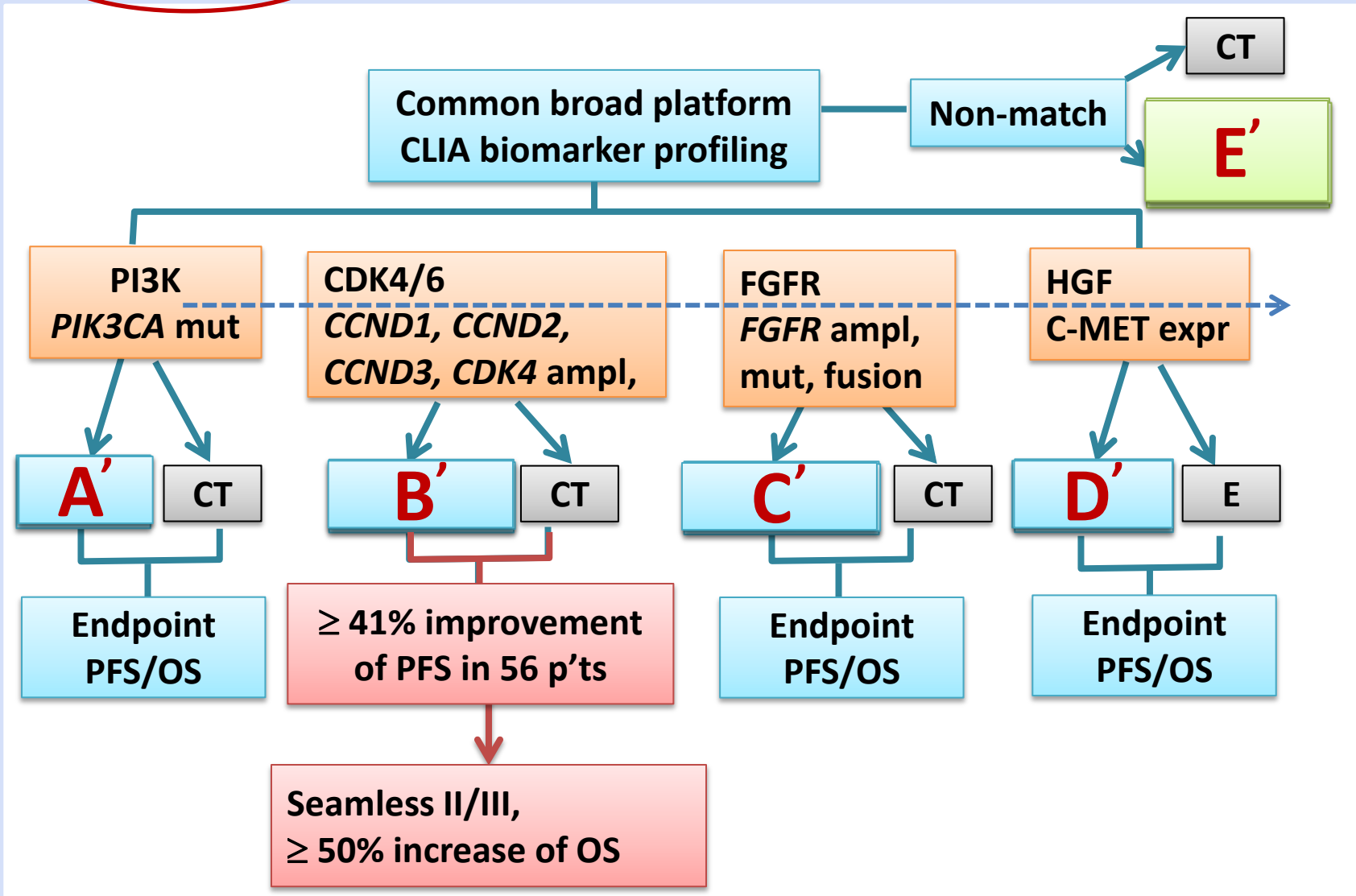


# Major Cytogenetic Responses



# US Master Protocol for 2<sup>nd</sup>-line Squamous NSCLC

- Confirmatory, seamless phase II/III for approval





# **“Super-adaptive” Master Protocol**

- **Biomarker-driven, multi-arm, multi-drug.**
- **Drop the losers, add newcomers, and push forward winners.**
- **A trial that is “living” and “growing”.**

# Synergism of Anti-CTLA4 and Anti-PD1

- Simultaneous activity on effector T cells.
- **Anti-PD1** restores the function of exhausted T cells (high PD-1 expression after chronic immune activation) and **Anti-CTLA4** suppresses Treg.

## **Notable ongoing:**

**Lenvatinib – phase III, 1<sup>st</sup>-line (vs sorafenib)**

**Tivantinib – phase III, 2<sup>nd</sup>-line (c-met enriched)**

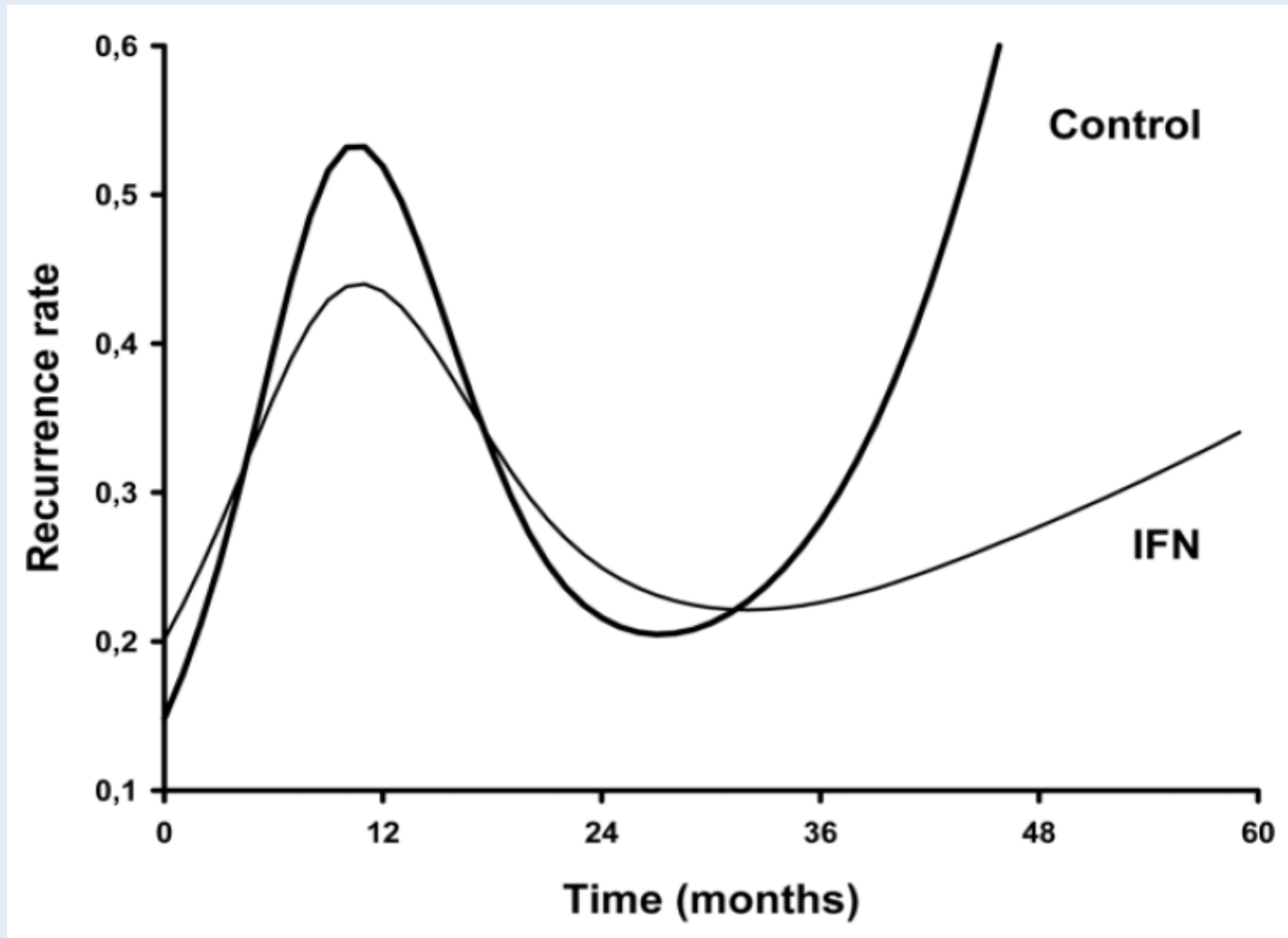
**Cabozantinib – phase III, 2<sup>nd</sup>-line**

**Regorafenib – phase III, 2<sup>nd</sup>-line**

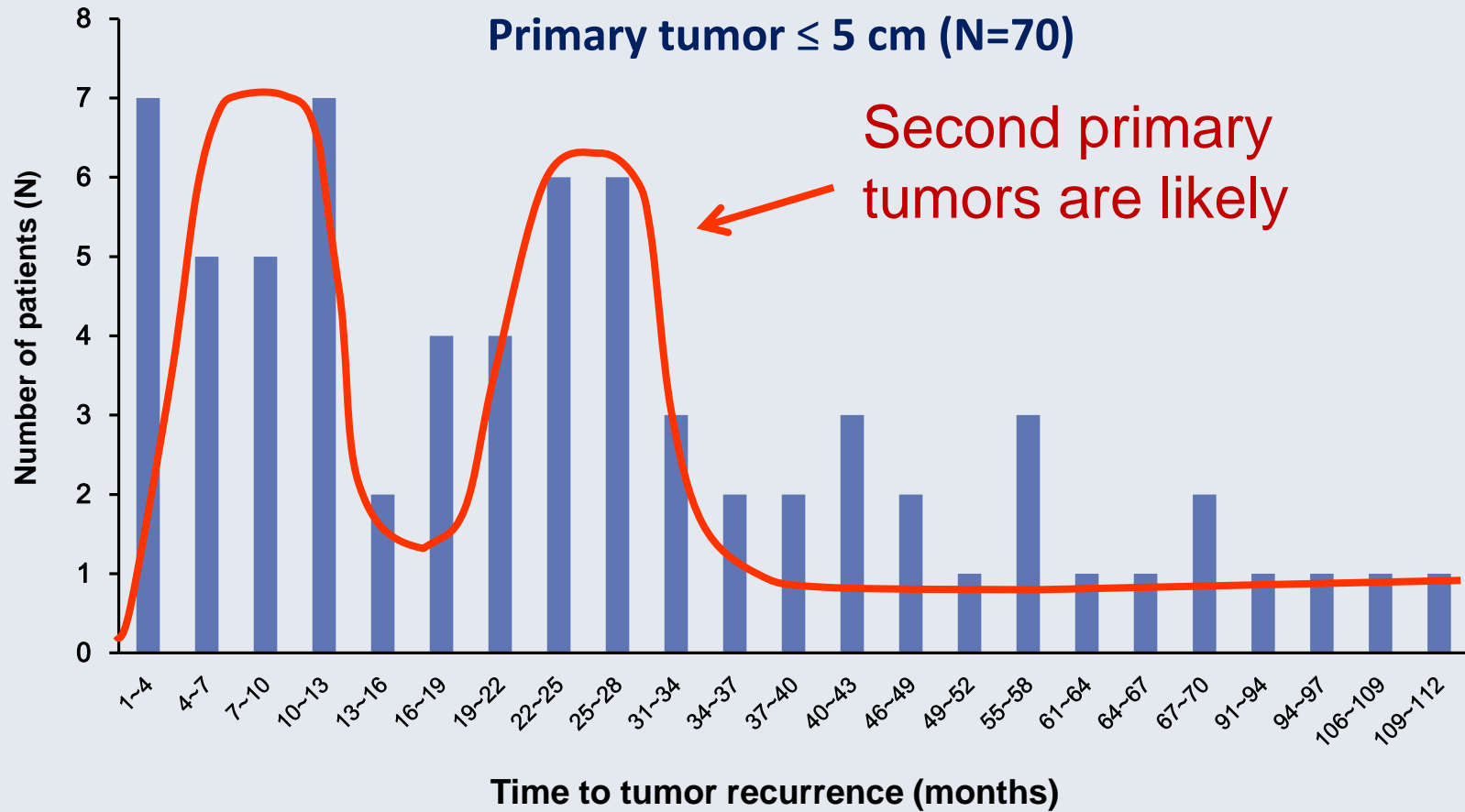
Half of recurrent HCC are second primary tumor. Therefore, archived tissues of the primary tumors may not be adequate enough to determine molecular subtypes of recurrent tumors which are under treatment.

Fresh biopsy is always preferred, but HCC is unique in that tissue diagnosis is not routinely required.

## Prevention of HCC Recurrence with Alpha-Interferon after Liver Resection in HCV Cirrhosis

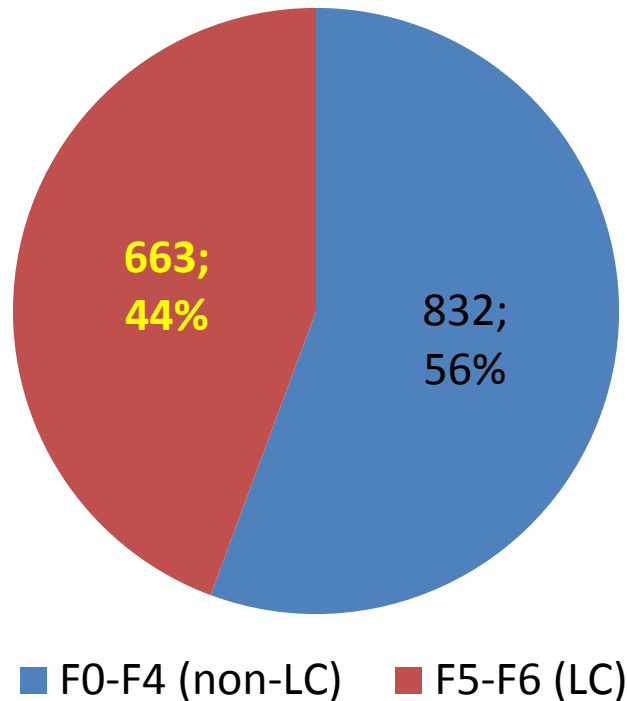


# Recurrence of HBV-related HCC after Curative Resection (TCOG, T1297 study)

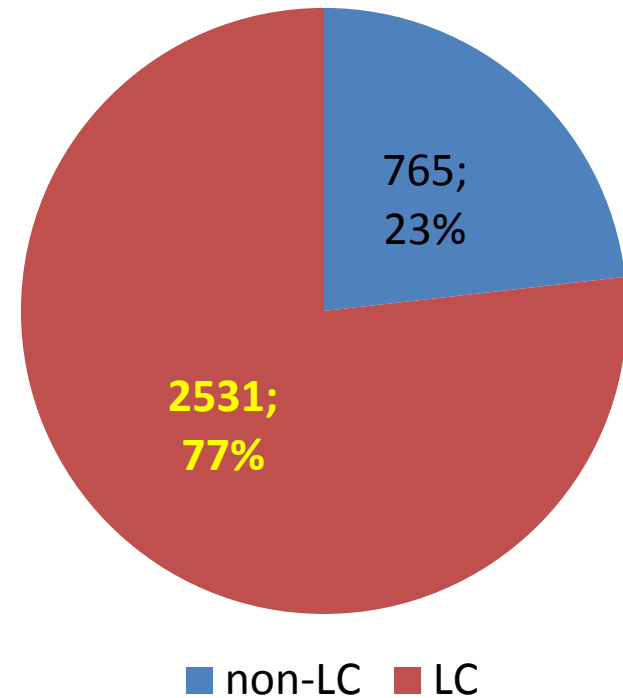


# Prevalence of LC in HCC patients (I)

By pathology, surgical  
patients  
(surgical treatment)

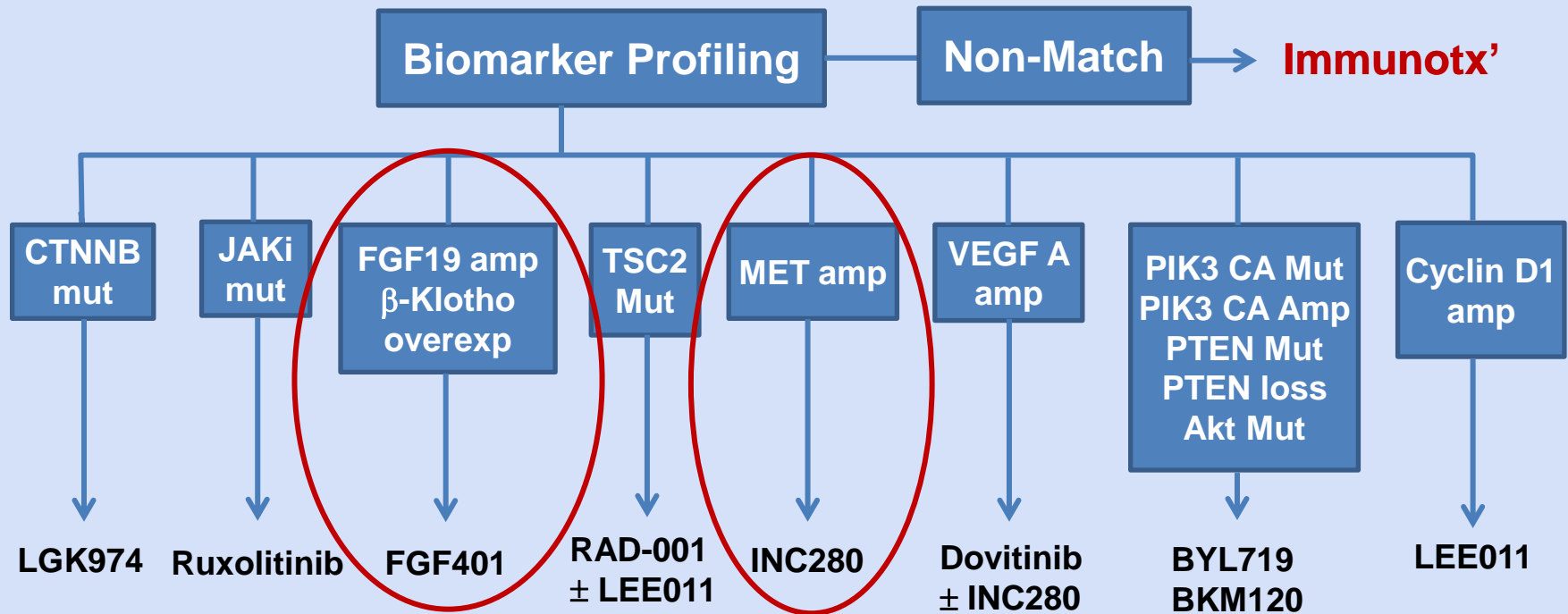


By image, non-surgical  
patients  
(non-surgical treatment)



# Master Protocol for Novartis 2<sup>nd</sup>-line HCC

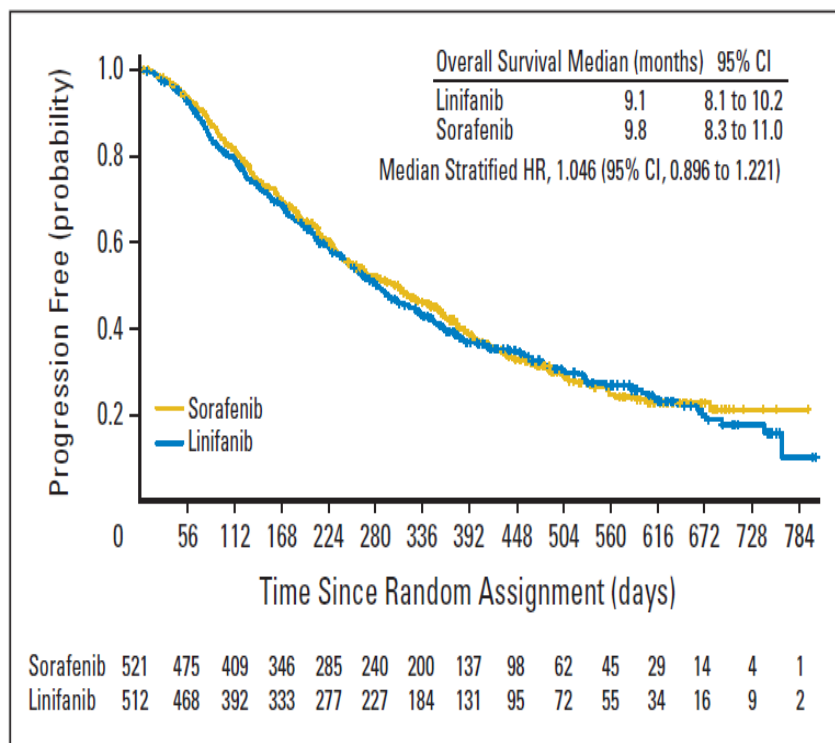
- Exploratory, single-arm



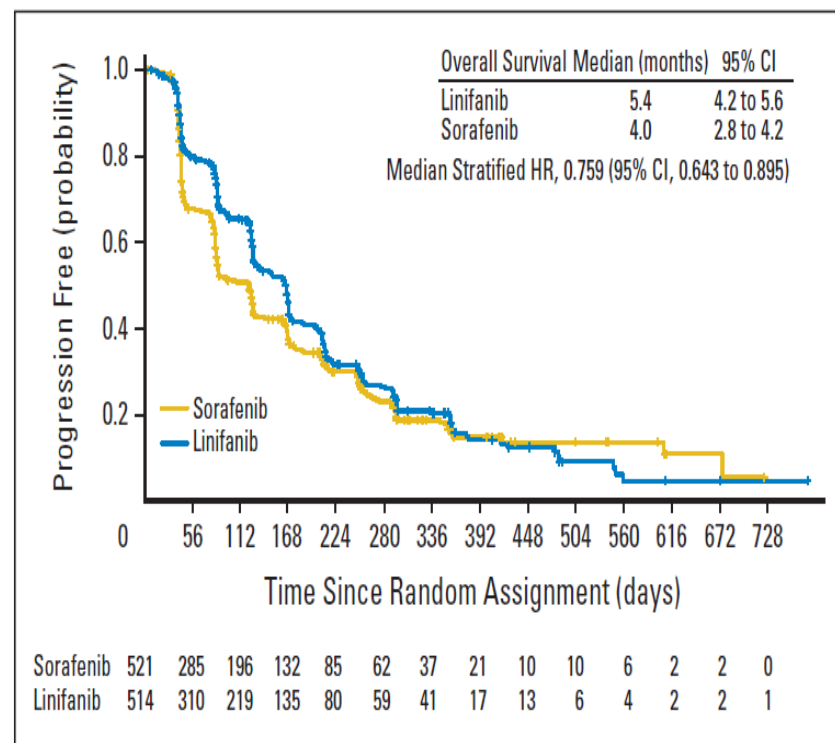
**Further Phase II if RR > 15%  
or PFS > 5 months**



# Linifanib Versus Sorafenib in Patients With Advanced HCC: Results of a Randomized Phase III Trial

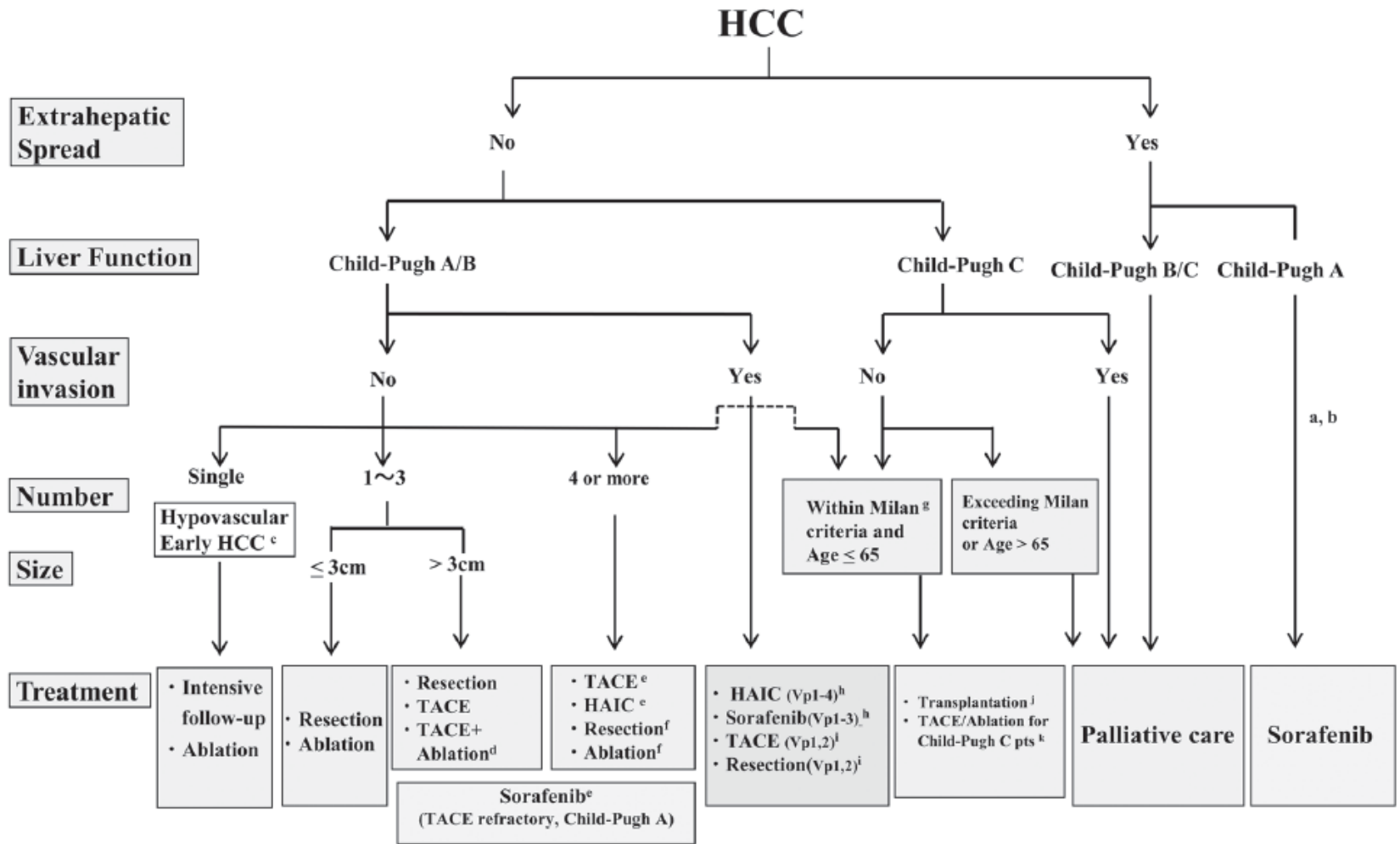


**Fig 2.** Kaplan-Meier analysis of overall survival with a cutoff point at the 667th patient death. HR, hazard ratio.



**Fig 4.** Kaplan-Meier analysis of time to progression. HR, hazard ratio.

## JSH-LCSGJ Consensus-based Treatment Algorithm for HCC revised in 2014



# Combination Trials of c-MET inhibitors for HCC

<b>Drugs/ (Identifier)</b>	<b>Design</b>	<b>Patients</b>	<b>Primary outcome</b>	<b>Site</b>	<b>Start date/ Status</b>
Sorafenib+ Onartuzumab (NCT01897038)	I, open-label	Advanced HCC	DLT	International	2013-Sep Completed
Sorafenib +/- Golvatinib (NCT01271504)	I/II, randomized	First-line (phase II)	I: MTD II: TTP, OS	International	2011 April Not recruiting

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