

Special Symposium: Clinical Relevance of Genotyping ER Positive Breast Cancer Emerging Treatment Options in the Genomic Era

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Founding Convenor Hong Kong Breast Oncology Group

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Disclosures

Janice Tsang

 Consultant or Advisory Role: AstraZeneca, Eisai, GlaxoSmithKline, Novartis & Pfizer







Changing Portraits of Breast Cancer





claudin low Lum A Lum B Basal Her2





Background

- Results of our translational research in the last decade, have revolutionized our understanding of **breast cancer** as a **heterogeneous disease**:
- Genomics and transcriptomics

 have been helping to narrow down
 the number of candidate
 oncogenes -"One-size-fits-all"
 approach becomes less relevant

Genomic Medicine for Breast Cancer Patients (beyond ER, PR, HER2, and chemotherapy)

Altered genes with predictive biomarker	Treatment approach	Strength of hypothesis for somatic alteration-targeted drug match (reference)		
potential	ireatment approach	(rererence)		
PIK3CA mutation	PIK3CA-selective inhibitors	2 Phase I BYL719 (18)		
FGFR1 amplification, FGF3 amplification, other FGF ligands and receptors, and rare receptor mutations	FGFR small-molecule inhibitors and antibodies	2 Phase I BGJ398 (48) and phase I E3800 (47)		
Inherited and somatic <i>BRCA1</i> and <i>BRCA2</i> mutation	PARP inhibitors	2 Olaparib (49) and veliparib: NCT01506609ª		
<i>Cyclin D1/CDK4/CDK6</i> amplification or deletion of <i>CDKN1B</i> , CDKN2A, and CDKN2B	CDK4/6 inhibitors	2 PD0332991 (40)		
AKT1-3 gain-of-function mutation/gene fusion via translocation/amplification	AKT inhibitors	3 MK-2206: NCT01277757ª		
GATA3 mutation	Aromatase inhibition	3 Retrospective analysis of Z1031 (4)		
PTEN/INPP4B loss-of-function mutation/ deletion/loss of expression in TNBC	Broad-spectrum PI3K pathway inhibitors	3 BKM120: NCT01629615ª		
MDM2 amplification in TP53 wild-type tumors	MDM2 inhibitors	3 R05503781: NCT01462175ª		
HER2 mutation	Small-molecule HER2 kinase inhibitors	3 Neratinib: (NCT01670877)ª (50)		
PIK3R1 loss-of-function mutation	PI3K pathway inhibitors?	4		
MLL family member mutation	HDAC inhibition?	4		
Rare RTK mutations	Various matched inhibitors?	4		

NOTE: Number 1 indicates approved therapy; 2, early evidence of efficacy; 3, clinical investigations under way; and 4, clinical investigations not yet activated.

Ellis and Perou, Cancer Discovery, 2013 (PMID 23319768)

Development of Genomic Signatures...



Discovery

- NGS, RNA seq, proteomics
- Analytical validation
- Training sets and validation sets
- Clinical Validation
 - Prognostic & Predictive value
 - Retrospective vs Prospective L-T FU
 - Compared with old therapies
- Clinical Utility

Best practices for translational omics studies Institute of Medicine (www.iom.edu/translationalomics)

FIGURE: Omics-Based Test Development Process





Intrinsic Subtypes Perou et al., Nature 2000 Sorlie et al., PNAS 2001 Sorlie et al., PNAS 2003 Nielsen et al., CCR 2004 Cheang et al., CCR 2008 Parker et al., JCO, Feb 2009 Cheang et al., JNCI 2009 Prat et al., BCR 2010 Nielsen et al., CCR 2010 Cheang et al., CCR 2012

Relapse-free survival



Comprehensive molecular portraits of human breast tumours

The Cancer Genome Atlas Network*

Diverse mutations of breast cancer subtypes



Percentages of cases with mutation by expression subtype

TCGA Nature 2012

Prognostic Marker

 valuable if provides extra information beyond that provided by clinicopathological features

- A prognostic marker
 - associated with clinical outcome irrespective of treatment given
 - tumour size, tumour grade, no. of positive lymph nodes





Predictive Marker

- Predicts clinical benefit from a specific therapy
 - ER endocrine therapy
 - HER2/neu over-expression –anti-HER2 directed therapies
- KRAS mutation for EGFR therapy
- Pathological complete response (pCR) to predict long-term survival – FDA program
- Some predictive markers also prognostic





Sparano JA et al. Sur Oncol Clin N Am. 2010;19:581-606

BIOMARKERS

Predictive factors to select patients for specific therapies

Prognostic factors to segregate patients into risk groups

Surrogate endpoints as measurements before, during and after treatment, used as a measure of disease activity to determine whether the treatment is working

Usual steps

- Develop a "locked down" version of genomic classifier to identify patients likely to benefit
- 2. Determine the reproducibility/robust of measurement of the classifier/biomarker
- With a pre-defined analysis plan using the completely specified classifier/ biomarker to design and/or analyze a new clinical trial to test the effectiveness

FDA Definition of "Biomarker"

 A characteristic that is objectively measured and evaluated as an indicator of normal biologic processes, pathogenic processes, or pharmacologic responses to a therapeutic intervention

Use of biomarkers

- Diagnosis
- Tool for staging disease
- Indicator of disease status
- Predict and/or monitor clinical response to an intervention





Overview of gene expression analysis of human breast tumors

Unsupervised analysis Classification



Prognosis and Therapeutic Interventions" of Diseases of the Breast, 5th edition, *In Press*



Enteinaio-subtypes classifier (Peonetoly/deDxe9000001967676 752: Sorlie et al. PNAS 2001: 752: Sorlie (Paik et al. N Engl J Med 98:10869-10874)

2. MammaPrint® (Van't Veer et al. Nature 2002; 415:530-536) Courtesy of Maggie Cheang

Various Genomic Platforms

- 1)Immunohistochemical staining (IHC4)
- 2)Molecular Classification
- 3)Genomic Expression Profiligng Prognostic platform
- 4) Genomic Expression Profiling Immunomodulatory
- 5) Targeted sequencing
- 6) Whole exome (genome) sequencing
- 7) Big Data
 - -The Cancer Genome Atlas (TCGA)

Gene expression, Exome Sequencing, DNA copy number,

miRNA expression, DNA methylation etc.



- -Molecular Taxonomy of Breast Cancer
- International Consortium (METABRIC)



A Multi-gene Assay to Predict Recurrence of Tamoxifen-Treated Node-Negative Breast Cancer.

Paik et al., The New England Journal of Medicine, 351:2817-26 (2004)



Figure 1. Panel of 21 Genes and the Recurrence-Score Algorithm.

The recurrence score on a scale from 0 to 100 is derived from the referencenormalized expression measurements in four steps. First, expression for each gene is normalized relative to the expression of the five reference genes (ACTB [the gene encoding β-actin], GAPDH, GUS, RPLPO, and TFRC). Reference-normalized expression measurements range from 0 to 15, with a 1-unit increase reflecting approximately a doubling of RNA. Genes are grouped on the basis of function, correlated expression, or both. Second, the GRB7, ER, proliferation, and invasion group scores are calculated from individual gene-expression measurements, as follows: GRB7 group score = $0.9 \times GRB7 + 0.1 \times HER2$ (if the result is less than 8, then the GRB7 group score is considered 8); ER group score = (0.8×ER+1.2×PGR+BCL2+SCUBE2) ÷4; proliferation group score = Survivin+KI67+MYBL2+CCNB1 [the gene encoding cyclin B1]+STK15)÷5 (if the result is less than 6.5, then the proliferation group score is considered 6.5); and invasion group score= (CTSL2 [the gene encoding cathepsin L2] +MMP11 [the gene encoding stromolysin 3]) ÷2. The unscaled recurrence score (RS1) is calculated with the use of coefficients that are predefined on the basis of regression analysis of gene expression and recurrence in the three training studies²⁴⁻²⁶: RS_U=+0.47×GRB7 group score-0.34×ER group score +1.04×proliferation group score+0.10×invasion group score+0.05×CD68 -0.08×GSTM1-0.07×BAG1. A plus sign indicates that increased expression is associated with an increased risk of recurrence, and a minus sign indicates that increased expression is associated with a decreased risk of recurrence. Fourth, the recurrence score (RS) is rescaled from the unscaled recurrence score, as follows: RS=0 if RSU<0; RS=20×(RSU-6.7) if 0≤RSU≤100; and RS=100 if RSU>100.



Figure 2. Likelihood of Distant Recurrence, According to Recurrence-Score Categories.

A low risk was defined as a recurrence score of less than 18, an intermediate risk as a score of 18 or higher but less than 31, and a high risk as a score of 31 or higher. There were 28 recurrences in the low-risk group, 25 in the intermediate-risk group, and 56 in the high-risk group. The difference among the groups is significant (P<0.001).

Gene Expression-based Clinical Assays 2013

	Approval or Endorsement	Predicting Prognosis	Predicting Treatment Benefit using Randomized Clinical Trials				Randomized	
Test			General Chemo	Endocrine	Taxane	Anthrac.	Herceptin	Prospective Study
OncotypeDX® RT-PCR, FFPE	NCCN: Prediction of chemotherapy benefit	NSABP-B14	NSABP-B20 (+/- CMF) SWOG8814 (+/- CAF)	NSABP- B14	NSABP B28 (failed to predict a benefit)	NO	NO	TAILORx (node- to report 2014-15) RxPONDER (1-3 nodes, recruiting)
Prosigna® nCounter, FFPE	CE Mark, FDA: Prediction of 10-year DRFS in ER+, node 0-3, postmenop. treated with endocrine therapy	ATAC, ABC\$G08	NO	NO	NO	NO	NO	NO
PAM50 research-based assay RT-PCR and microarray, FFPE and fresh	NA	NCIC-MA5, NCIC-MA12, GEICAM/9906, multiple non- randomized trial cohorts	NO	NCIC- MA12	GEICAM9906, CALGB 9342-9840 (low proliferation predicts weekly paolixatel benefit)	NCIC-MA5 (CMF vs. CEF; epirubicin benefit in HER2E subtype only)	NOAH (HER2E benefits the most)	NO
Mammaprint® microarray, fresh and FFPE	FDA (fre8h): risk for distant mets, <67 years, Stage I-II, tumor ≤5cm and node-negative	multiple non- randomized trial cohorts including RASTER	NO	NO	NO	NO	NO	MINDACT Prognosis validation (to report 2014-15)
EndoPredict® RT-PCR, FFPE	CE Mark	ABCSG06, ABCSG08, GEICAM9906	NO	NO	GEICAM9906 (failed to predict a benefit)	NO	NO	NO
Breast Cancer Index SM RT-PCR, FFPE	NO	ATAC, Stockholm, multiple non- randomized trial cohorts	No laggie Cl	∾ neang - I	CR- Slide	[№]	NO of C Perc	NO NO

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JOURNAL OF CLINICAL ONCOLOGY

Neoadjuvant Setting...

Accurate Prediction and Validation of Response to Endocrine Therapy in Breast Cancer

Arran K. Turnbull, Laura M. Arthur, Lorna Renshaw, Alexey A. Larionov, Charlene Kay, Anita K. Dunbier, Jeremy S. Thomas, Mitch Dowsett, Andrew H. Sims , and J. Michael Dixon

A B S T R A C T

Purpose

Aromatase inhibitors (Als) have an established role in the treatment of breast cancer. Response rates are only 50% to 70% in the neoadjuvant setting and lower in advanced disease. Accurate biomarkers are urgently needed to predict response in these settings and to determine which individuals will benefit from adjuvant Al therapy.

Patients and Methods

Pretreatment and on-treatment (after 2 weeks and 3 months) biopsies were obtained from 89 postmenopausal women who had estrogen receptor–alpha positive breast cancer and were receiving neoadjuvant letrozole for transcript profiling. Dynamic clinical response was assessed Relative changes in breast tumour size measured by 3-dimensional ultrasound in BC patients receiving neoadjuvant letrozole...



Lorna Renshaw, Alexey A. Larionov, Charlene Kay, Jeremy S. Thomas, Andrew H. Sims, J. Michael Dixon, University of Edinburgh Cancer Research UK Centre, Edinburgh; Anita K. Dunbier, Mitch Dowsett, Institute of Cancer Research, London, United Kingdom; and Anita K. Dunbier, University of Otago, Dunedin, New Zealand.

Published online ahead of print at www.jco.org on June 1, 2015.

Arran K Turnbull Laura M Arthur

Supported by Breakthrough Breast

A 4-gene predictive model to clinical response to AI by 2 wks is associated with clinical response





Deregulated immune and apoptotic responses before treatment and cell proliferation

that is not reduced 2 weeks after initiation of treatment are functional characteristics of breast tumors that do not respond to Als

The molecular response to letrozole was characterized and a four-gene classifier of clinical response was established (accuracy of 96%) on the basis of the level of two genes before treatment (one gene [IL6ST] was associated with immune signaling, and the other [NGFRAP1] was associated with apoptosis) and the level of two proliferation genes (ASPM, MCM4) after 2 weeks of therapy. The four-gene signature was found to be 91% accurate in a blinded, completely independent validation data set of patients treated with anastrozole

Turnbull et al. J Clin Oncol 33:3370-2278, 2015



NIH Public Access **Author Manuscript**

Nature. Author manuscript; available in PMC 2012 December 21.

Published in final edited form as: Nature. ; 486(7403): 353-360. doi:10.1038/nature11143.

Whole Genome Analysis Informs Breast Cancer Response to Aromatase Inhibition



Genomic wide somatic mutations (WGA) and response to neoadj AI

Pathway signatures

outcomes...low risk

connections between

mutations and clinical

Figure 5. Pathway signatures reveal connections between mutations and clinical outcomes

Neoadjuvant Setting...

Difficult to identify the key mutation pathway in luminal BC– due to the inter-connectedness of the complicated network with too many ways to perturb a





1 SM3 in a complex with mutual non-SM0s

Ellis et al. Nature 486:353-60, 2012

JOURNAL OF CLINICAL ONCOLOGY

ORIGINAL REPORT

Genomic Index of Sensitivity to Endocrine Therapy for Breast Cancer

W. Fraser Symmans, Christos Hatzis, Christos Sotiriou, Fabrice Andre, Florentia Peintinger, Peter Regitnig, Guenter Daxenbichler, Christine Desmedt, Julien Domont, Christian Marth, Suzette Delaloge, Thomas Bauernhofer, Vicente Valero, Daniel J. Booser, Gabriel N. Hortobagyi, and Lajos Pusztai

See accompanying editorial on page 4101

A B S T R A C T

Purpose

We hypothesize that measurement of gene expression related to estrogen receptor α (ER; gene name ESR 1) within a breast cancer sample represents intrinsic tumoral sensitivity to adjuvant endocrine therapy.

Methods

Supported by grants from the Commonwealth Foundation for Cancer Research to WES, the National Cancer Institute (Grant No. 1-R21 CA118156-01A1) to W.F.S., the Breast Cancer Research Foundation to L.P. and W.F.S., the "Fonds National de la Recherche Scientifique" to C.S., the Ameri-

From The University of Texas M. D. Ander

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bruck, Austria

Graz. Graz: and Medical University of Inns-

A genomic index for sensitivity to endocrine therapy (SET) index was defined from genes coexpressed with ESR1 in 437 microarray profiles from newly diagnosed breast cancer, unrelated to treatment or outcome. The association of SET index and ESR1 levels with distant relapse risk was evaluated from microarrays of ER-positive breast cancer in two cohorts who received 5 years of tamoxifen alone as adjuvant endocrine therapy (n = 225 and 298, respectively), a cohort who received neoadjuvant chemotherapy followed by tamoxifen and/or aromatase inhibition (n = 122) and two cohorts who received no adjuvant systemic therapy

A genomic index for sensitivity to endocrine therapy (SET) index was defined from genes

coexpressed with *ESR1* in 437 microarray profiles from newly diagnosed breast cancer, unrelated to treatment or outcome. The association of SET index and ESR1 levels with distant relapse risk was evaluated from microarrays of ER-positive breast cancer in two cohorts who received 5 years of tamoxifen alone as adjuvant endocrine therapy (n 225 and 298, respectively.

The SET index of ER-related transcription predicted survival benefit from adjuvant endocrine therapy, not inherent prognosis. Prior chemotherapy seemed to enhance the efficacy of adjuvant endocrine therapy related to SET index

Adjuvant Setting...



Added Value of Precision Medicine in the Genomic Era



- Identifying the right therapy or the right patient
 - Enhance clinical outcomes
 - Increase benefit : risk ratio
 - Accelerate new therapeutic development for breast cancer

Added Value of Precision Medicine in the Genomic Era



- Efficient development of validated companion diagnostic markers essential
- Translational studies important to better understand reasons for success and failure, and to gain new insights in breast cancer biology that may provide new therapeutic opportunities

Emerging Treatment Options vs Challenges...



Cancers not limited to BC are highly dynamic evolutionary...

Ongoing linear and branching evolution results in multiple simultaneous subclones that may individually be capable of giving rise to episodes of disease relapse and metastasis. The dynamic clonal architecture is shaped by mutation and competition between subclones in light of environmental selection **pressures**, including those that are exerted by cancer treatments.



Heterogeneity of Breast Cancer

- From a single common disease to many rare diseases
 - Intratumoral heterogeneity
 - How many populations? Hierarchy?
 - Primary vs relapsed/metastatic tumour samples
 - Solid biopsy vs liquid biopsy (CTCs, plasma DNA)
 - Intertumoral heterogeneity
 - How many tumours?
 - Molecular segmentation or granularity?
 - Heterogeneity of the host
 - immunity

Heterogeneity of Breast Cancer



ble 4. Olivies line lise time of turneys between sits in breast second					
able 4 Clinical implications of tumour heterogeneity in breast cancer					
pe of eterogeneity	Clinical implications	Potential solution			
tertumour	Need for patient stratification	High-throughput molecular profiling technique Molecular classifiers			
	Need for therapy selection/clinical development of targeted agents	Innovative trial designs: Master protocols Basket trials Adaptive trial design N-of-1 studies			
tratumour	Need to define the phenotype of the recurrent disease	Metastatic biopsy			
	Molecular evolution of the disease	Repeated tumour biopsies Geographically separated biopsies Liquid biopsies			
	Identification of driver events	Next-generation sequencing Bioinformatic tools and algorithms Systems biology Animal models/functional validation			
	Identification of predictive biomarkers	Deep sequencing Single-cell sequencing			
	Emergence of treatment resistance	Combination of targeted agents Exploiting passenger events Eradicating the 'lethal close' Adaptive therapy Targeting the tumour microenvironment Cancer immunotherapy			

Zardavas, Irrthum, Swanton & Picaart. Nat Rev Clin Oncol 12:381-394, 2015

Heterogeneity of Breast Cancer

• Tumour heterogeneity in breast cancer even occurs at single cell level



Nature 512:155-160, 2014

Change of ER/PgR & HER2 status

- 3-28% of all metastatic lesions will either loose or acquire ER expression.
- 3-25% of the patients will loose or acquire the HER2 overexpression or amplification.

J Natl Cancer Inst 93: 1441-6, 2001 Ann Oncol 13: 1036-43, 2002 Br J Cancer 93:552-6, 2005 Cancer 103: 1763-9, 2005



Adapted from Prat, Baselga. Nat Clin Pract Oncol 2008; 5: 531-542.

Further New Challenges...

- The incidence of breast cancer is increasing
- The breast cancer patients are living longer
- Our research and clinical trials have proven success...
- Matching science with the affordability...

...the high expectation of the patient and the general public... patient classification, and selection for specific therapies

Proteomics in Clinical Trials

Basket Trials

-aim to test one drug or one particular genetic mutation across multiple organs. FIGURE 9 | GENOMICALLY BASED CLINICAL TRIALS

One of the major uses of genomics in clinical research is in the design and execution of novel clinical trials. Two such types of trials are basket and umbrella trials. In the basket trial depicted here, one drug is being tested against a particular genetic mutation (green dots) across liver, lung, bone, colon, and stomach cancers. In the umbrella trial illustrated here, three different drugs are being tested against multiple genetic mutations (yellow, green, blue, and red dots) within lung cancer.



Umbrella Trials

-seek to test a drug or drugs across multiple genetic mutations within a particular type of cancer. For example, the I SPY-2 umbrella trial in breast cancer.

"Transforming lives through research", AACR (2014)

Articles

Comparative genomic hybridisation array and DNA sequencing to direct treatment of metastatic breast cancer: a multicentre, prospective trial (SAFIR01/UNICANCER)



Fabrice André, Thomas Bachelot, Frederic Commo, Mario Campone, Monica Arnedos, Véronique Dieras, Magali Lacroix-Triki, Ludovic Lacroix, Pascale Cohen, David Gentien, Jose Adélaide, Florence Dalenc, Anthony Goncalves, Christelle Levy, Jean-Marc Ferrero, Jacques Bonneterre, Claudia Lefeuvre, Marta Jimenez, Thomas Filleron, Hervé Bonnefoi

Summary

Background Breast cancer is characterised by genomic alterations. We did a multicentre molecular screening study to Langet Orcel 2014; 15: 267-74 identify abnormalities in individual patients with the aim of progenomic alterations.

Methods From June 16, 2011, to July 30, 2012, we recruited patients w for biopsy in 18 centres in France. Comparative genomic hybridi PIK3CA (exon 10 and 21) and AKT1 (exon 4) were used to assess meta targets were decided on the basis of identified conomic alteration

SAFIR01: Study Flow



The UK Molecular profiling of Advanced breast cancer to inform Therapeutic **Choices (MATCH study)**

Clinical Leads: Dr Nicholas Turner (Royal Marsden) & Dr Alistair Ring (Brighton and Sussex) Molecular Sequencing Lead: Dr David Gonzalez de Castro (Institute of Cancer Research / Royal Marsden) Methodology Lead: Prof Judith Bliss (ICR-CTSU)

Proposed funders: applications under review by Breakthrough Breast Cancer & Cancer Research UK Proposed pharmaceutical partners: AstraZeneca

BREAST

Courtesy of Stephen Johnston

NHS

CANCER

RESEARCH

National Institute for Health Research Clinical Research Network



Conclusion

- We have entered the genomic era where we are one step forward to further enhancement of personalized medicine and precision medicine.
- Clinical validity is demonstrated yet awaiting the prime time for clinical utility with demonstration of clinically meaningful benefit.
- Basket trial or umbrella trial should be the trend with prospective L-T FU with mutational analysis.

Conclusion

- There are emerging new technologies with liquid biopsy (CTCs, ctDNA), leading to potential serial and non-invasive mutational analyses, likely to become available in the near future.
- Efforts to realize the dream of personalized treatment for breast cancer will include drug development and intelligent design of clinical trials for increasingly small subgroup of patients with specific host and disease characteristics.

Acknowledgements



















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