

# Cancer immunotherapy by PD-1 blockade

Keynote Lecture  
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**ESMO Asia Congress 2015**  
**Disclosure of Conflict of Interest**

*Honjo Tasuku*

**Matters requiring disclosure of COI  
with regard to our presentation are as follows;**

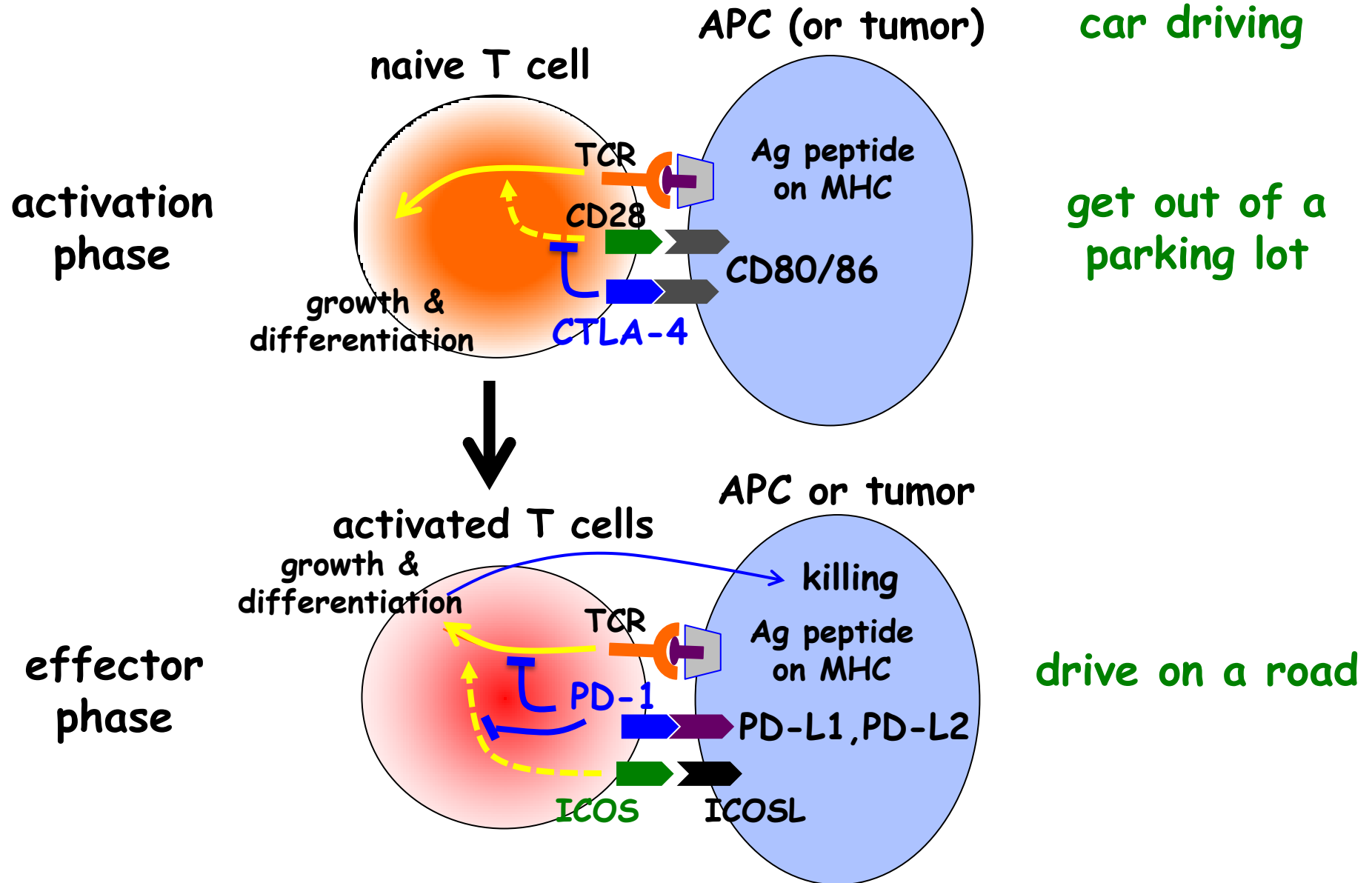
**Research founding: ONO PHARMACEUTICAL CO., LTD.**

# Cancer Immunotherapy

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1. cancer antigen vaccination
  2. activation of immune cell *in vitro*
  3. cytokine
  4. blockade of negative immune regulators
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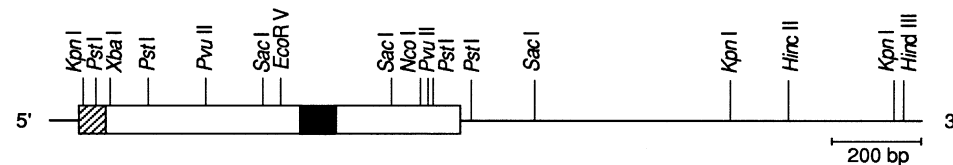
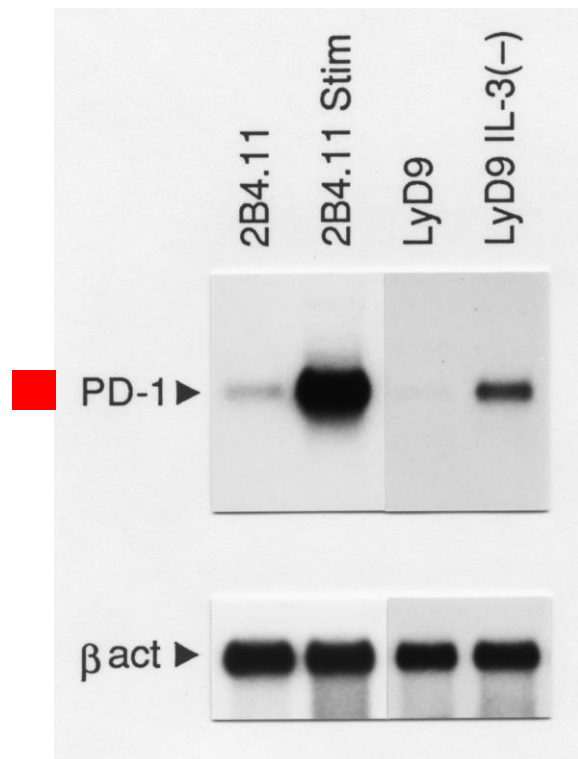
# Two step regulation of immune cell activation



# Discovery of PD-1 (programmed cell death-1) cDNA

Ishida, Y. et al. (1992). *EMBO J.* 11, 3887-3895.

cDNA subtraction between apoptotic and normal cells



Consensus : D x x x x x x x x D x x Y x x L x x x x - x x x Y x x L

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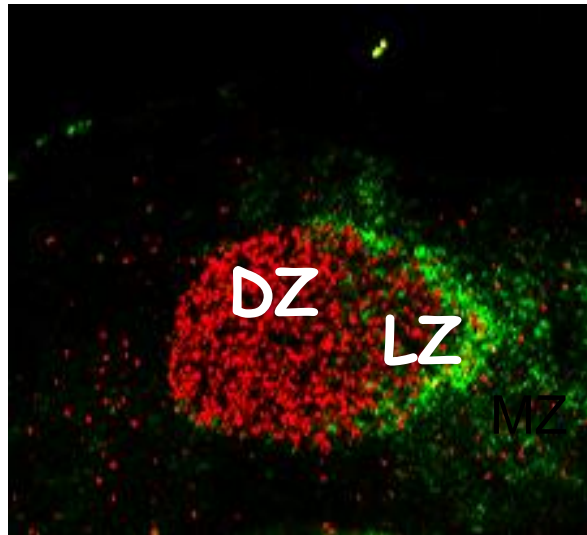
CD3 ζa	E	T	A	A	N	L	Q	D	P	N	Q	L	Y	N	E	L	N	L	G	R	-	R	E	E	Y	D	V	L
CD3 ζb	K	Q	Q	R	R	R	N	P	Q	E	G	V	Y	N	A	L	Q	K	D	K	M	A	E	A	Y	S	E	I
CD3 ζc	E	R	R	R	G	K	G	H	-	D	G	L	Y	Q	G	L	S	T	A	T	-	K	D	T	Y	D	A	L
CD3 γ	D	K	Q	T	-	L	L	Q	N	E	Q	L	Y	Q	P	L	K	D	R	E	-	Y	D	Q	Y	S	H	L
CD3 δ	E	V	Q	A	-	L	L	K	N	E	Q	L	Y	Q	P	L	R	D	R	E	-	D	T	Q	Y	S	R	L
CD3 ε	N	K	E	R	P	P	P	V	P	N	P	D	Y	E	P	I	R	K	G	Q	-	R	D	L	Y	S	G	L
Ig α	D	M	P	D	-	D	Y	E	D	E	N	L	Y	E	G	L	N	L	D	D	-	C	S	M	Y	E	D	I
Ig β	D	D	G	K	A	G	M	E	E	D	H	T	Y	E	G	L	N	I	D	Q	-	T	A	T	Y	E	D	I
Fc εRI-γ	A	A	I	A	S	R	E	K	A	D	A	V	Y	T	G	L	N	T	R	N	-	Q	E	T	Y	E	T	L
Fc εRI-β	E	L	E	S	K	K	V	P	D	D	R	L	Y	E	E	L	N	H	V	Y	-	S	P	I	Y	S	E	L
PD-1	E	E	P	S	A	A	P	V	P	S	V	A	Y	E	E	L	D	F	Q	G	V	H	T	E	Y	A	T	I

225 250

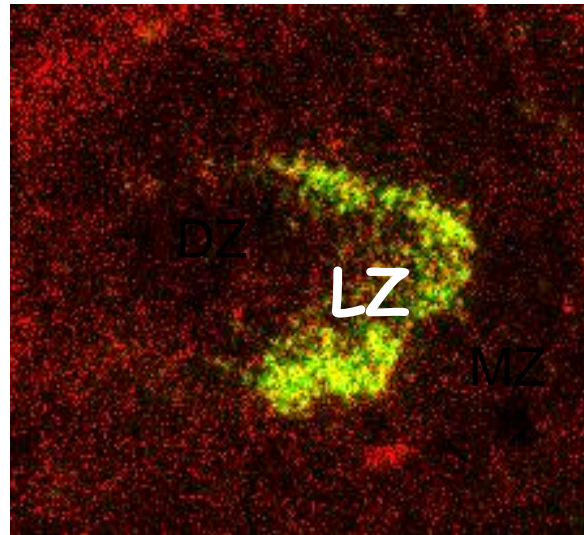
REKTPELPTACI

# PD-1 is expressed on T cells and centrocytes in the light zone of GC in human tonsil

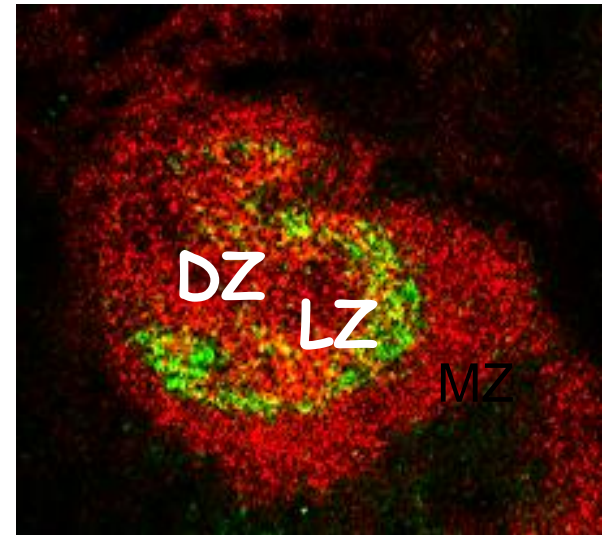
PD-1 / Ki67



PD-1 / CD3



PD-1 / CD20



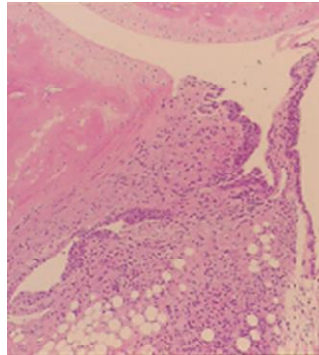
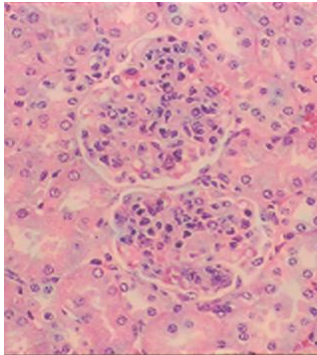


# PD-1 is required for self-tolerance

Nephritis

Arthritis

C57BL/6  
PD-1KO

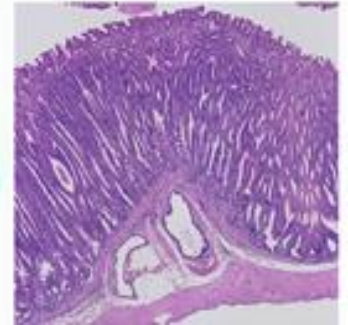
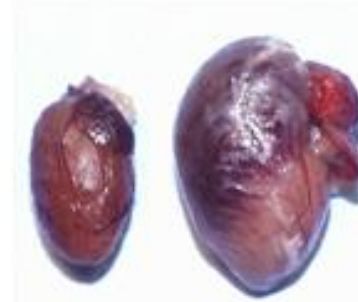


*Nishimura H et al, Immunity (1999)*

Dilated  
cardiomyopathy

Gastritis

BALB/c  
PD-1KO

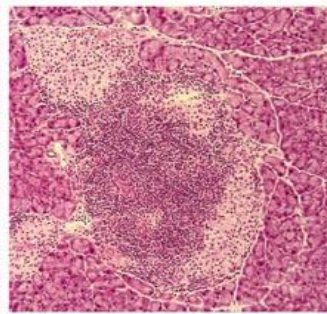
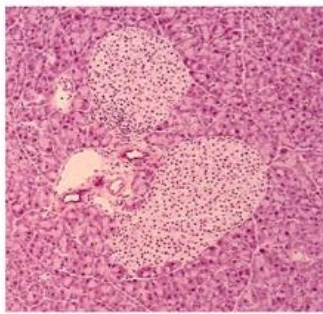


*Nishimura H et al, Science (2001)*

WT

PD-1 KO

NOD  
Diabetes

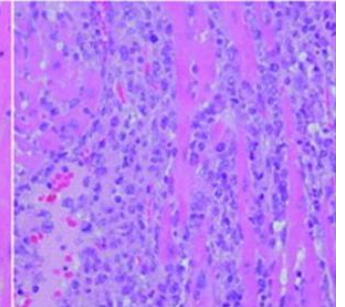
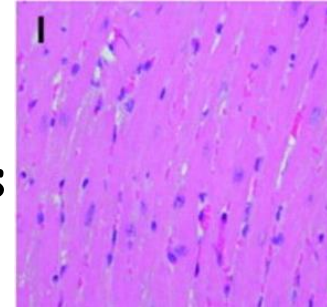


*Wang J et al, PNAS (2005)*

WT

PD-1 KO

MRL  
Myocarditis



*Wang J et al, Int Immunol (2010)*

Since PD1 is a negative immune regulator, its blockade may help treatment of cancer and infectious diseases.



# Identification of PD-1 ligands PD-L1 and PD-L2

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- By 1998, PD-1, a negative immune receptor. To isolate a PD-1 ligand, screening cells by binding with PD-1-Ig.
- Sept. 1998, I proposed collaboration on this project to Steve Clark in Genetic Institute (GI) by using his Biacore machine.

I sent to GI all the reagents for the assay.

- Clive Wood (GI) obtained several B7 related cDNA with unknown function from Gordan Freeman. One of them turned out to be PD-L1.

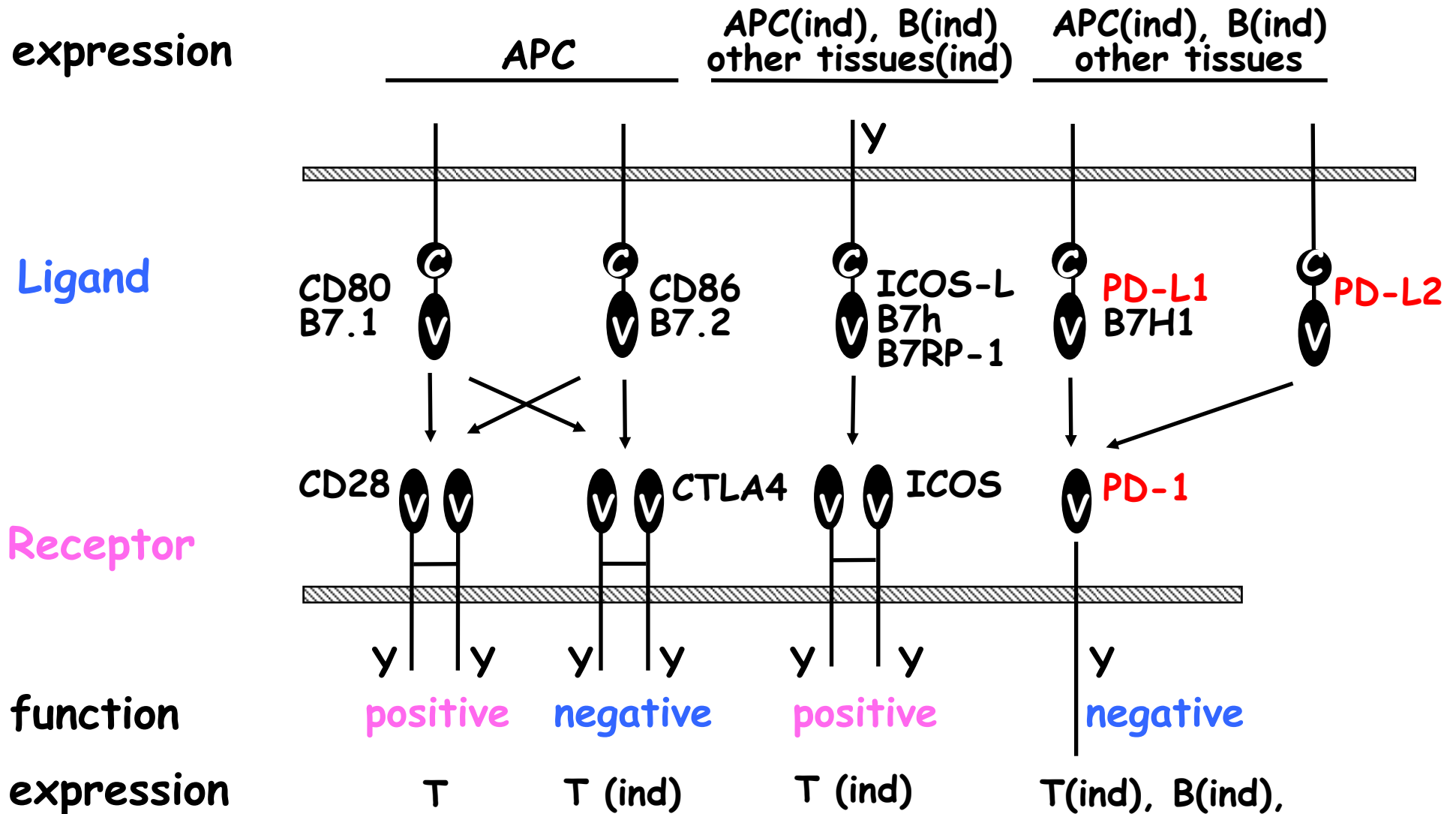
Freeman, G. J., Long, A. J., Iwai, Y., Bourque, K., Chernova, T., Nishimura, H., Fitz, L. J., Malenkovich, N., Okazaki, T., Byrne, M. C., Horton, H. F., Fouser, L., Carter, L., Ling, V., Bowman, M. R., Carreno, B. M., Collins, M., Wood, C. R. and Honjo J. Exp. Med. 192 1027-1034 (2000)

## Then, similarly PD-L2

Latchman, Y., Wood, C. R., Chernova, T., Chaudhary, D., Borde, M., Chernova, I., Iwai, Y., Long, A. J., Brown, J. A., Nunes, R., Greenfield, E. A., Bourque, K., Boussiotis, V. A., Carter, L. L., Carreno, B. M., Malenkovich, N., Nishimura, H., Okazaki, T., Honjo, T., Sharpe, A. H. and Freeman, G. J. Nature Immunol. 2 261-268 (2001)

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# Positive and negative regulators of immune response

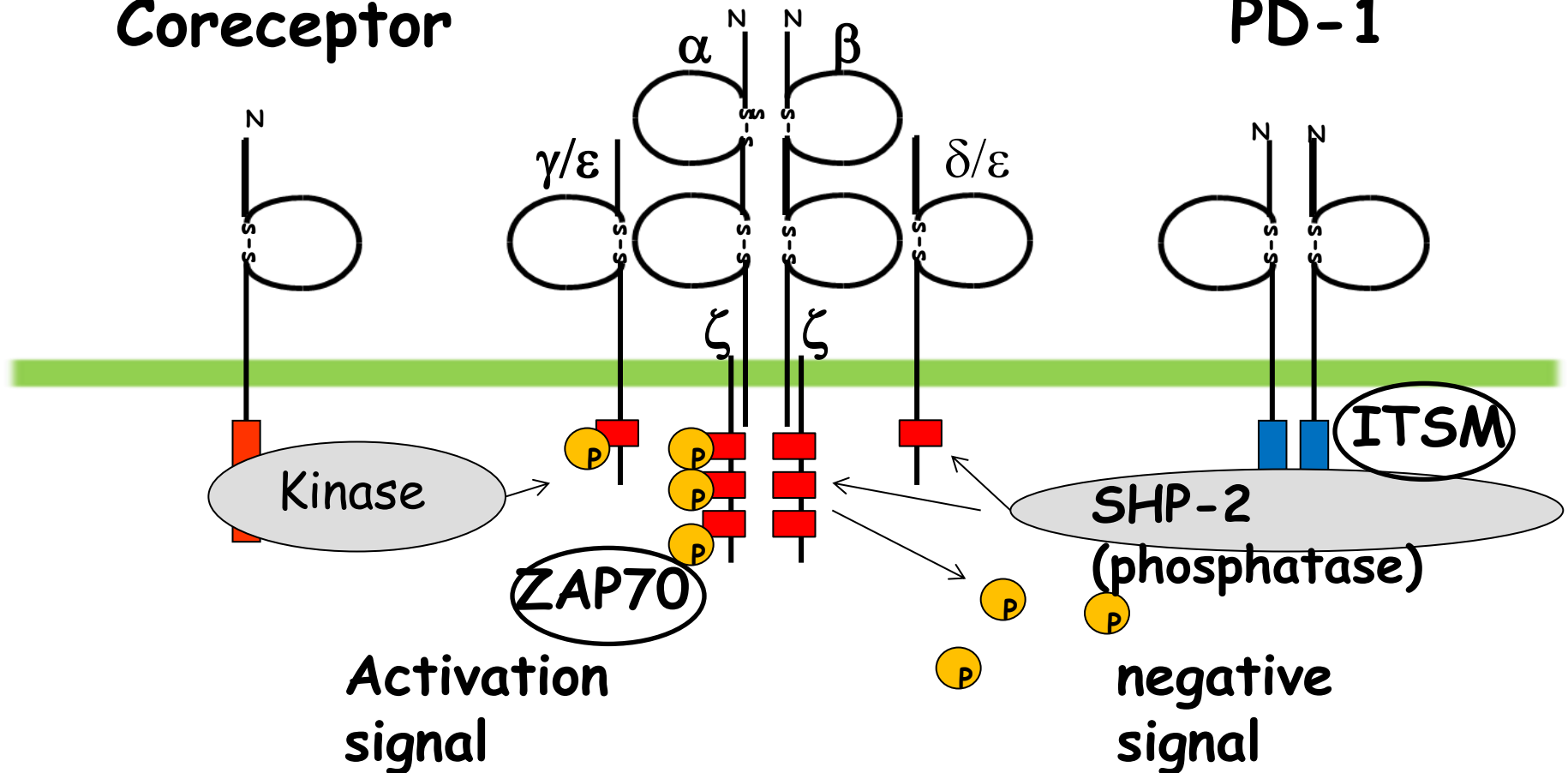


# Molecular mechanism of immune inhibition by PD-1

Antigen receptor

Coreceptor

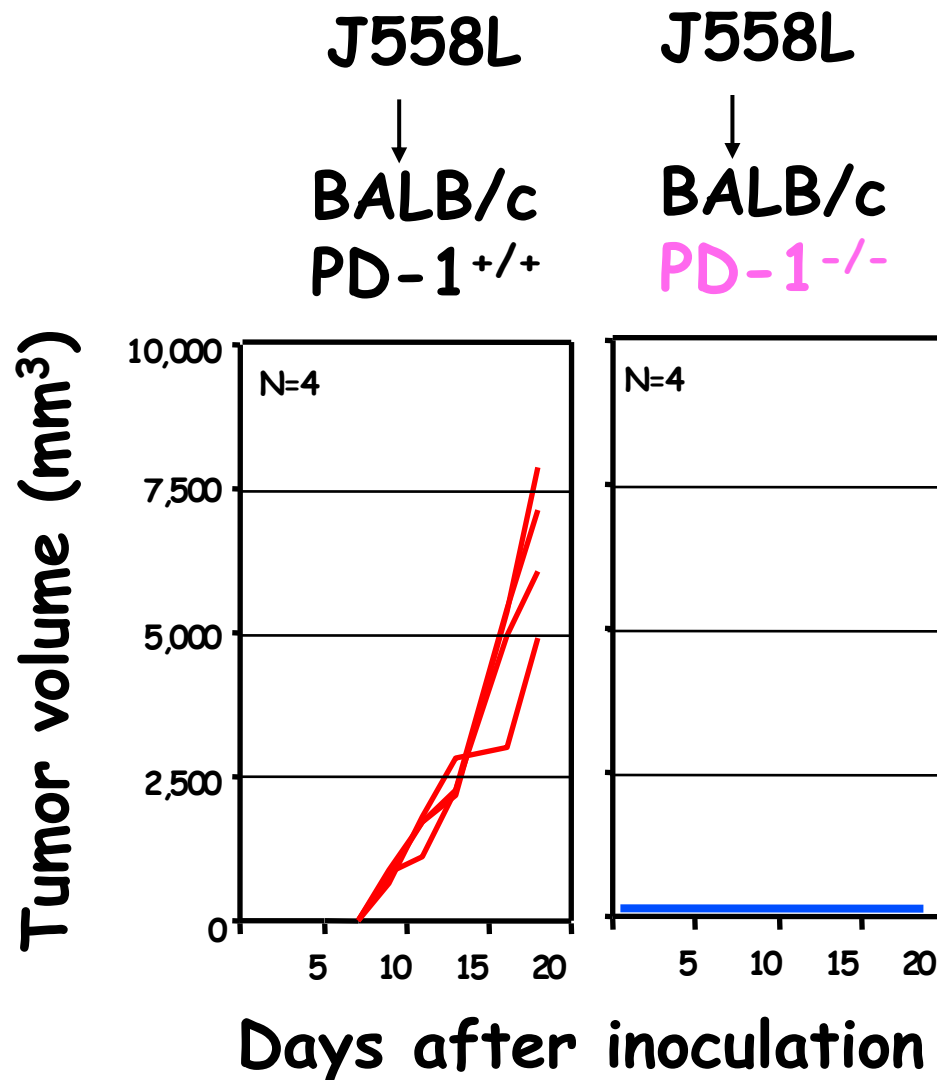
PD-1



Okazaki et al. PNAS (2001)

# Inhibition of tumorigenesis of J558L in PD-1<sup>-/-</sup> mice

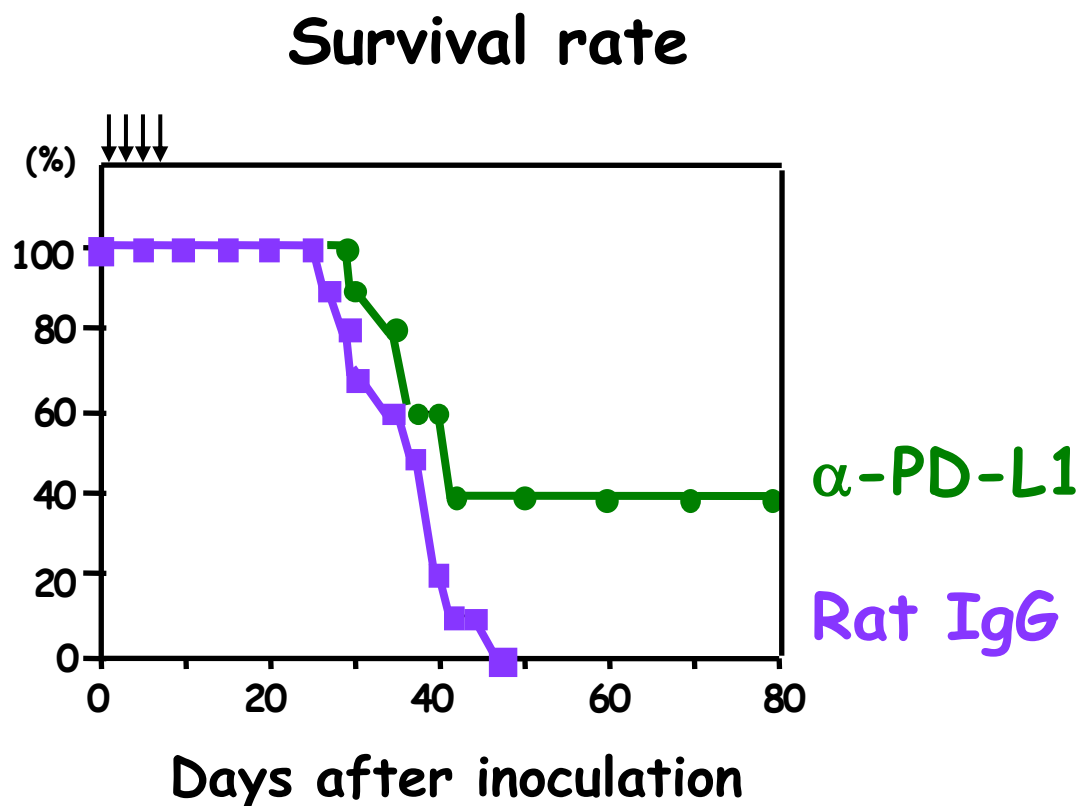
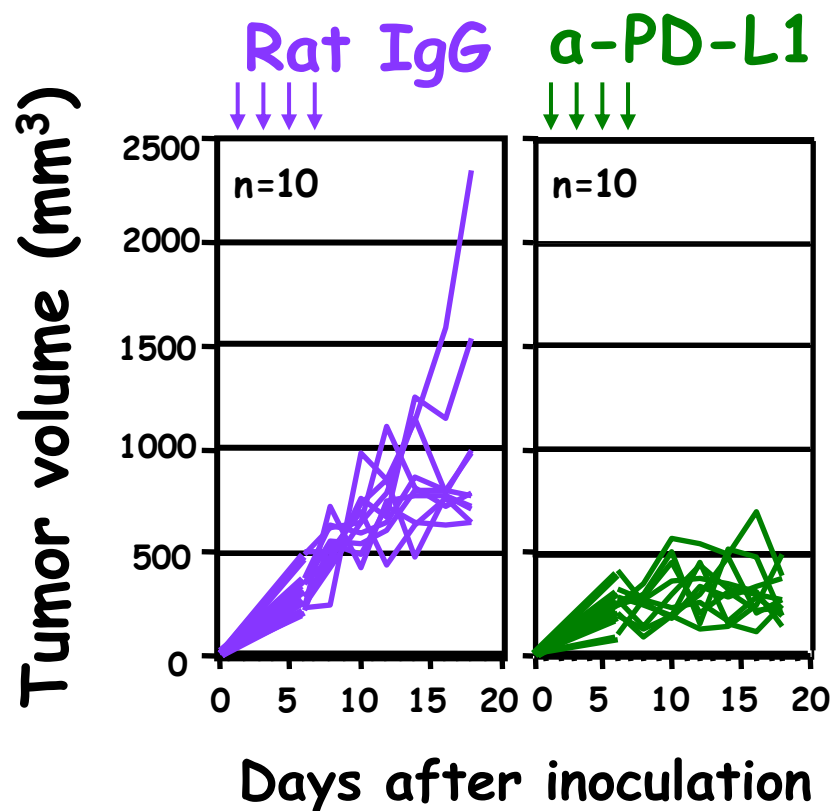
Iwai et al. PNAS 2002



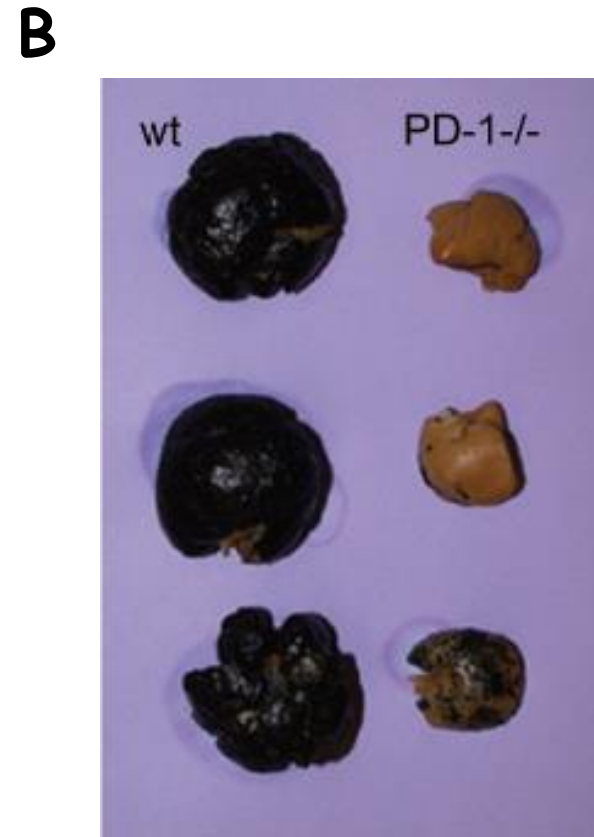
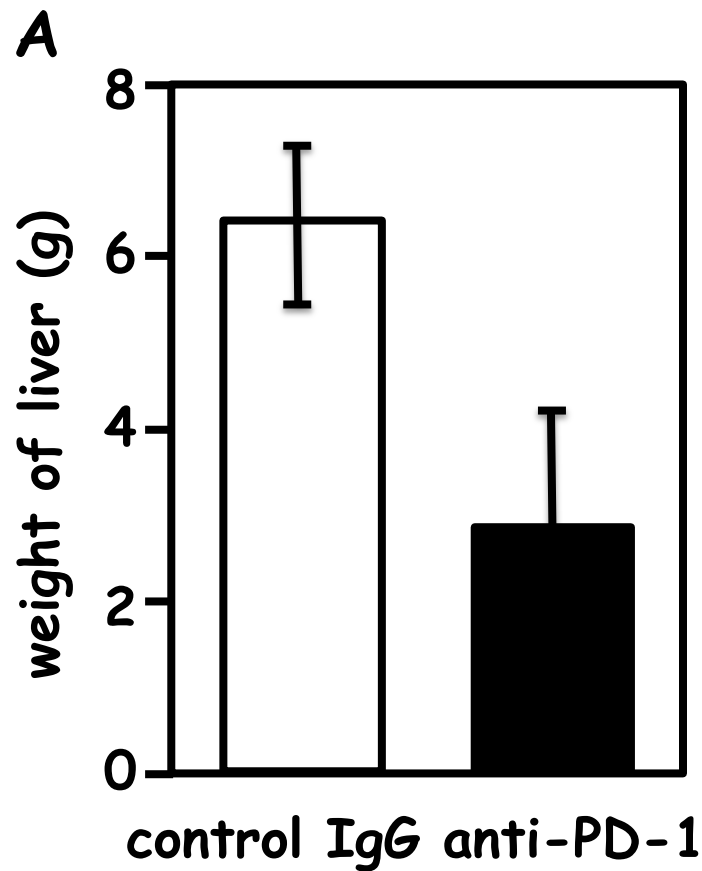
# Inhibition of tumorigenesis of P815/CD-L1 by anti-CD-L1

Iwai et al. PNAS 2002

P815/CD-L1 → DBA/2



# PD-1 blockade inhibits metastasis of melanoma (mouse model)



Iwai et al. Int. Immunol. (2005)

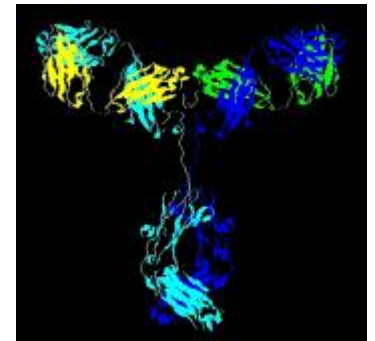
# Humanized anti-PD-1 antibody

Established by Human immunoglobulin Tg mice  
(Xenogenic mice: patent by Ono pharm. And  
Medarex: May 9, 2005)

Subclass: IgG4S228P

mutant IgG4 (S228P) stabilizes  
the protein and reduces ADCC.

KD = 2.6 nmol/L



一般的な抗体の構造  
(イメージ図)

Approved as Investigation New Drug by FDA  
(USA; Aug 1, 2006)

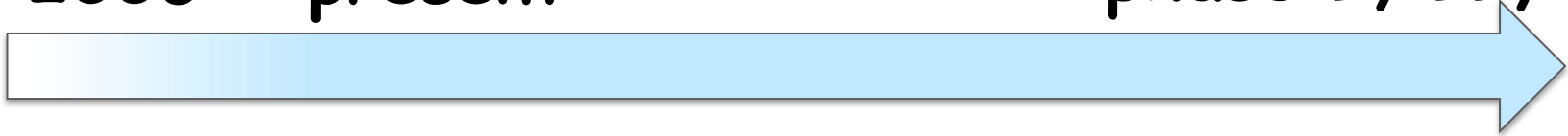


# Clinical trials in Japan and US

## BMS (US)

2006 - present

phase I, II, III

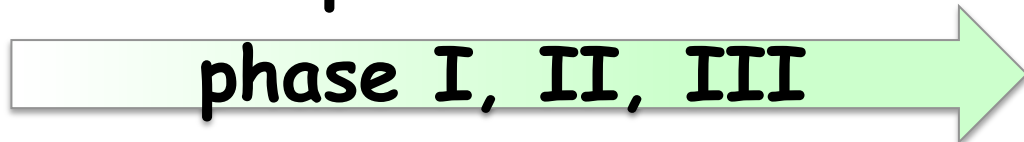


recurrent-refractory tumor  
(NSCLC, colon cancer, melanoma, RCC, prostate cancer)

Collaboration

2009 - present

phase I, II, III



recurrent-refractory tumor

Ono (JPN)

(2011/9/11 BMS press release)

# Data summary

296 patients involved

CR or PR on NSCLC, melanoma and RCC

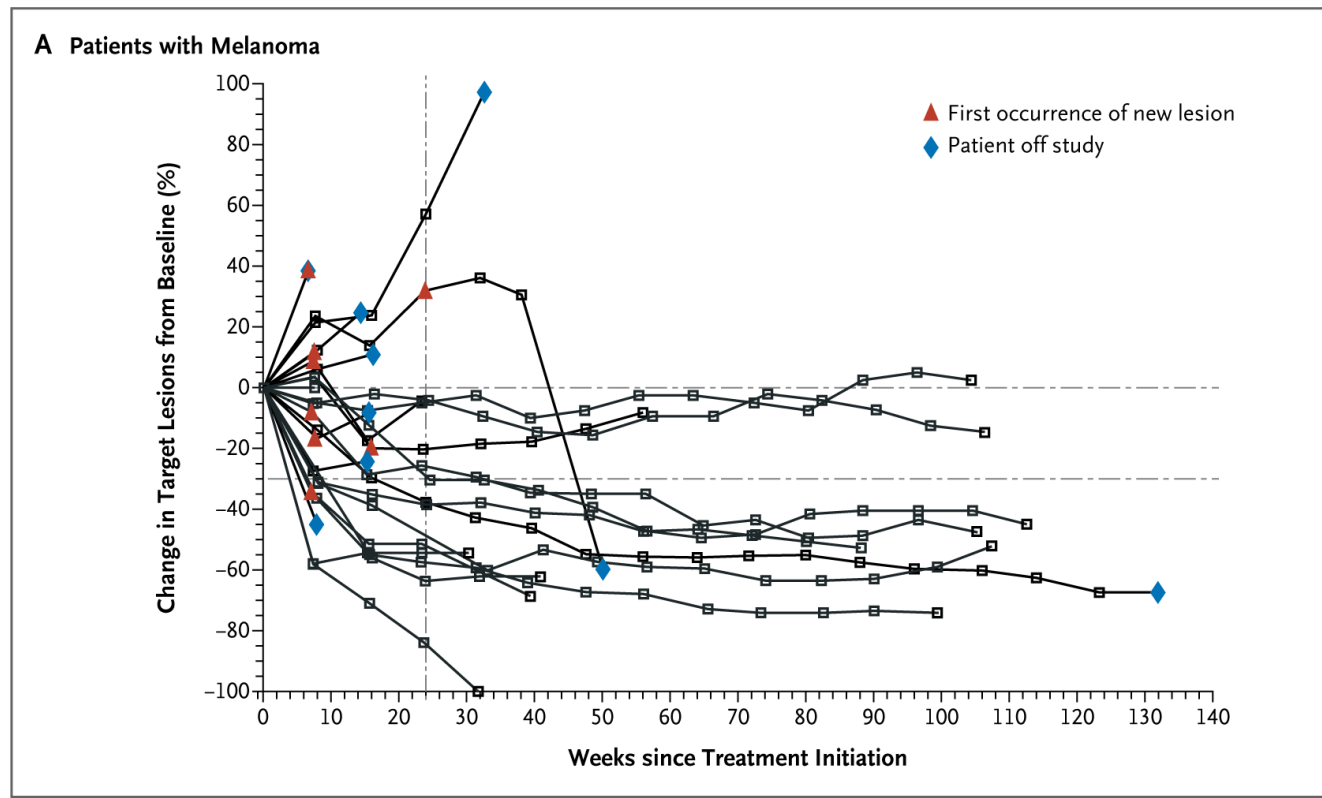
Cumulative response rates of:

18% (14 of 76 patients) among NSCLC,  
28% (26 of 94 patients) among Melanoma  
27% (9 of 33 patients) among RCC

Grade 3 or 4 drug related adverse events in 14% patients  
(including 3 death by immune-related pulmonary toxicity)

# Durable response by PD-1 blockade

“Responses were durable; 20 of 31 responses lasted 1 year or more in patients with long follow-up.”



From Topalian et al. NEJM 2012

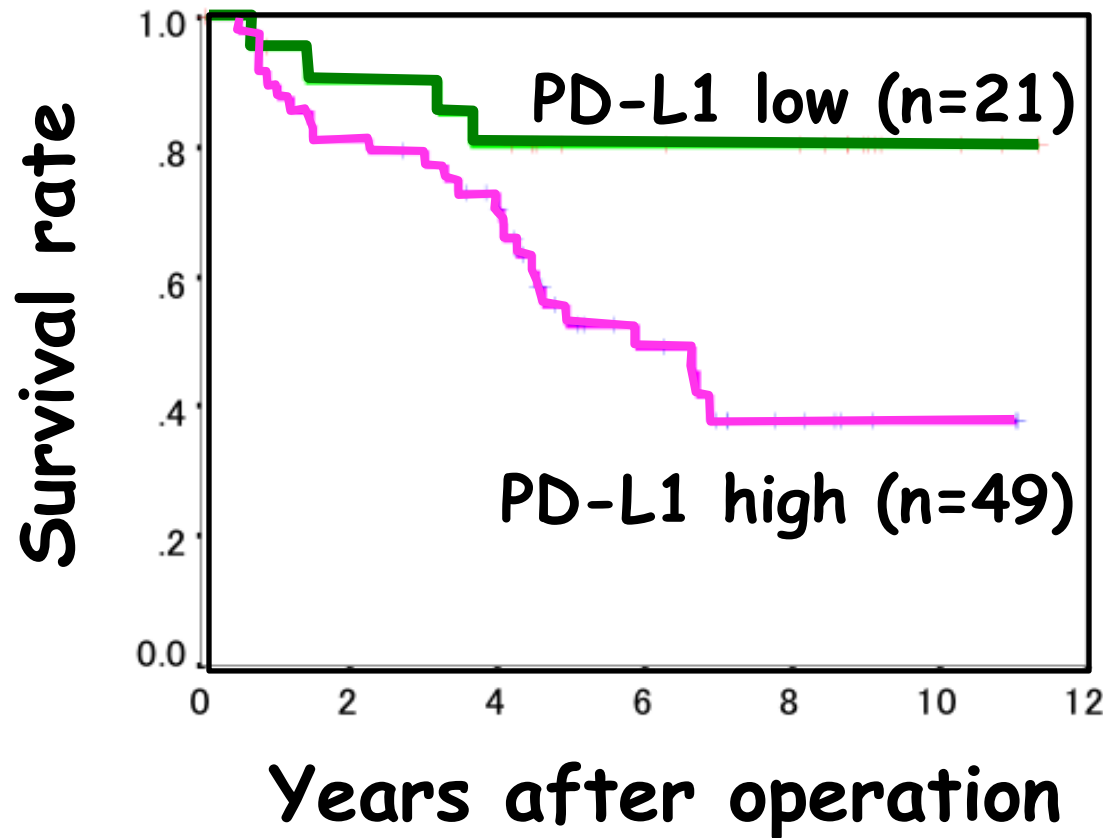
# Efficacy and safety of anti-PD-1 antibody (Nivolumab: BMS-936558, ONO-4538) in patients with platinum-resistant ovarian cancer

Junzo Hamanishi, MD, PhD  
Kyoto University, Japan

Junzo Hamanishi, Masaki Mandai\*, Takafumi Ikeda, Manabu Minami, Atsushi Kawaguchi, , Masashi Kanai, Yukiko Mori, Shigemi Matsumoto, Toshinori Murayama, Shunsuke Chikuma, Noriomi Matsumura, Kaoru Abiko, Tsukasa Baba, Ken Yamaguchi, Akihiko Ueda, Satoshi Morita, Masayuki Yokode, Akira Shimizu, Tasuku Honjo, Ikuo Konishi  
Kyoto University, Japan , \*Kinki University, Japan

Hamanishi et al. J Clin Oncol. 2015

# Negative correlation between PD-1 ligand expression with prognosis



$P=0.0164$

Hamanishi et al. PNAS (2006)

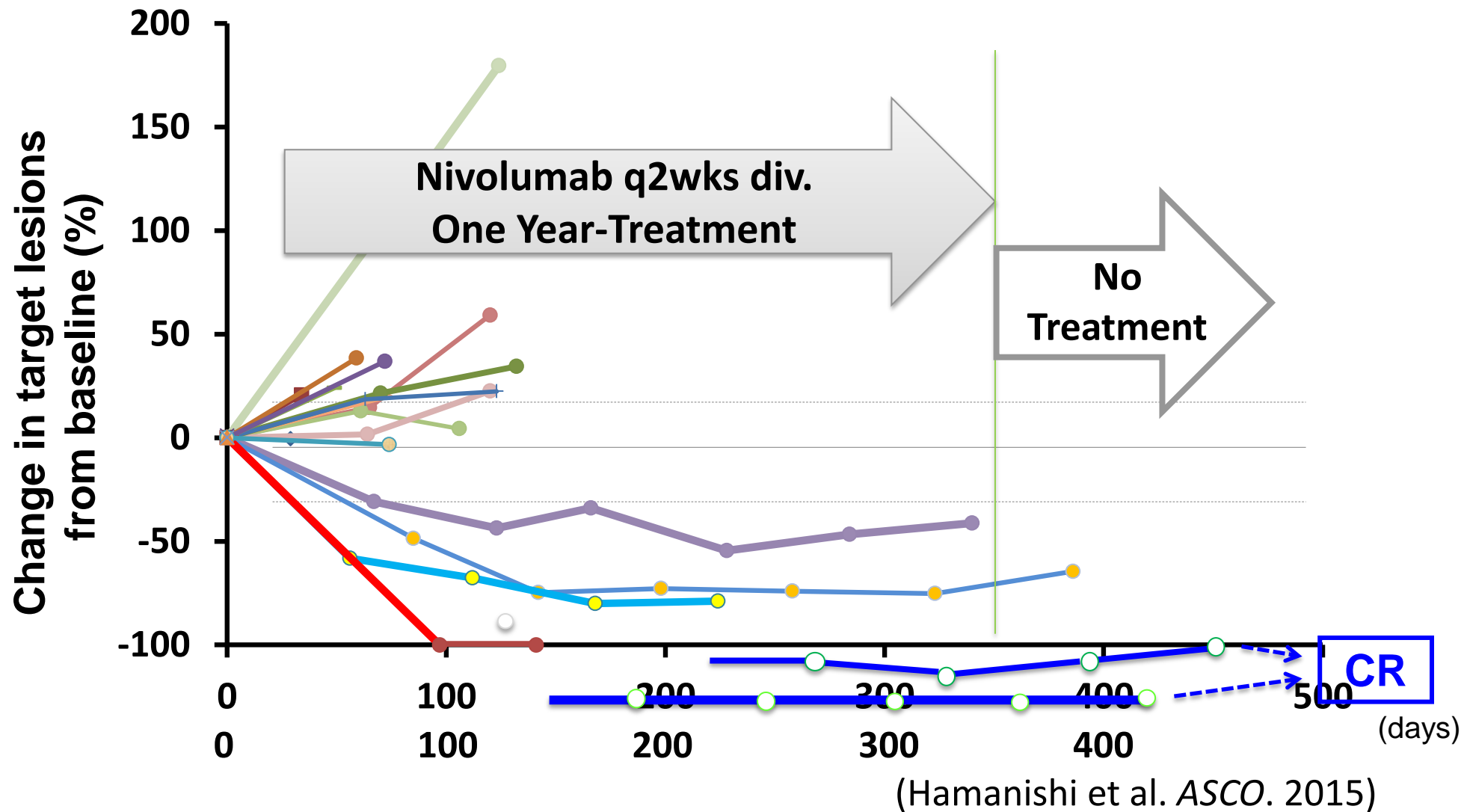
# Clinical Effect : Best Overall Response

Dose	total (n)	CR	PR	SD	PD	NE	RR	DCR
1 mg/kg	10	0	1	4	4	1	1/10 (10%)	5/10 (50%)
3 mg/kg	10	2	0	2	6	0	2/10 (20%)	4/10 (40%)

Response rate is 20 % in 3 mg/kg cohort

(Hamanishi et al. JCO. 2015)

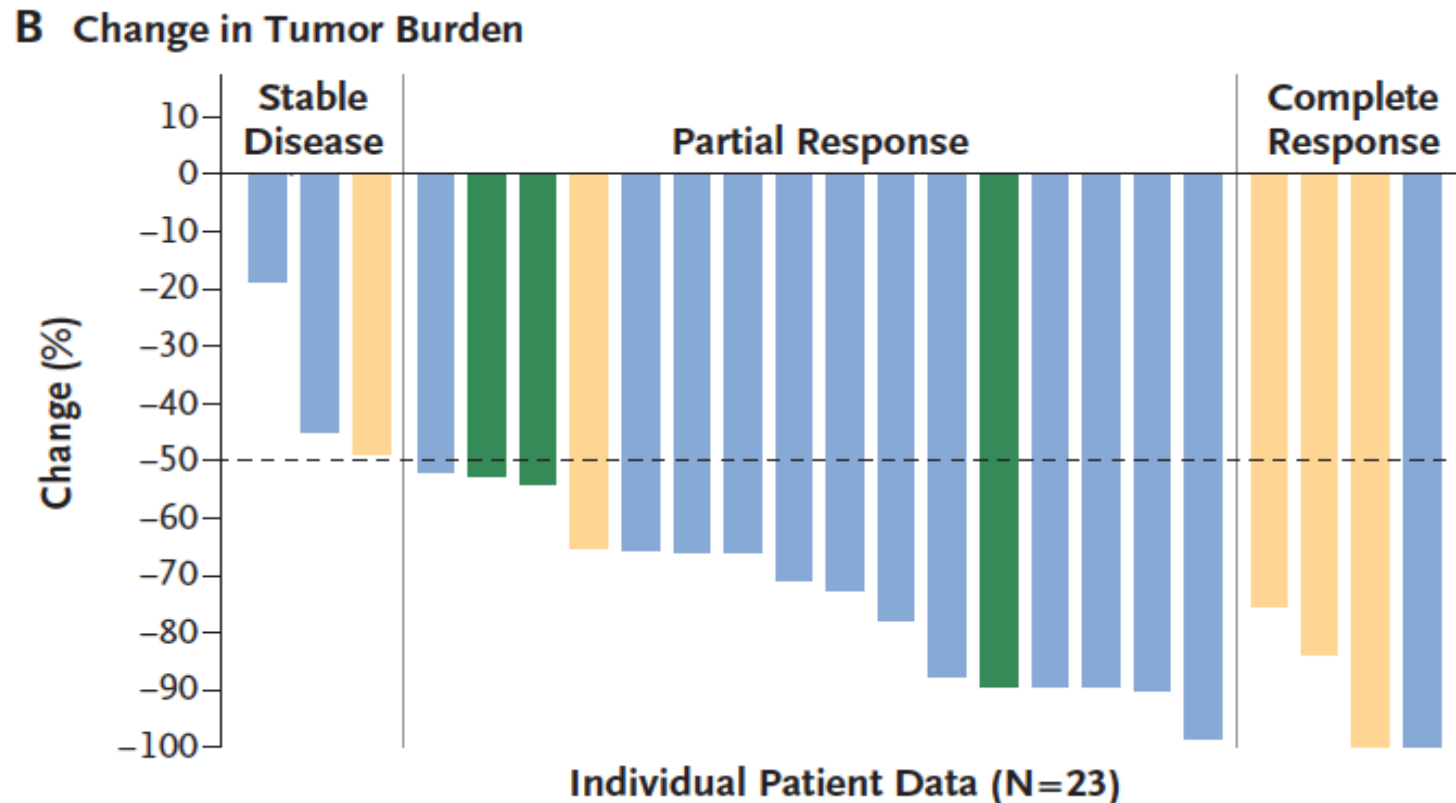
# Follow-up Study (on going)



Durable response without treatment

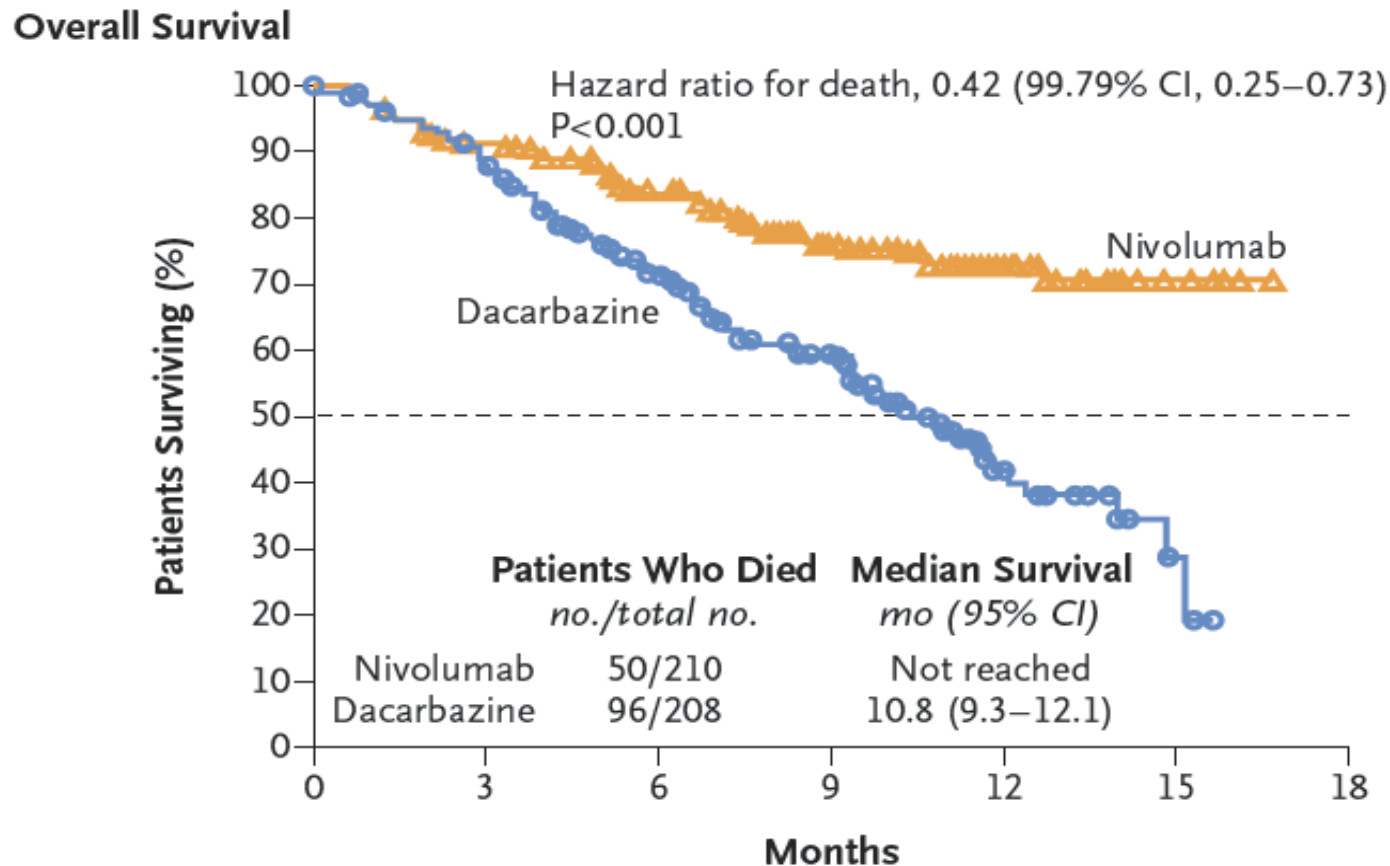


# Response Changes in Tumor Burden in Patients with Hodgkin's Lymphoma Receiving Nivolumab



**Ansell SM et al. N Engl J Med 2014. December 6**

# Rumdomized Study on Untreated Melanoma Patients with Nivolumab and Dacarbazine (Alkylating Agent)



**No. at Risk**

	0	3	6	9	12	15	18
Nivolumab	210	185	150	105	45	8	0
Dacarbazine	208	177	123	82	22	3	0

Robert C et al. N Engl J Med 2014. November 16

# Why can anti PD-1 cure cancer?

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- Tumor cells continuously mutate and produce non-self antigens.

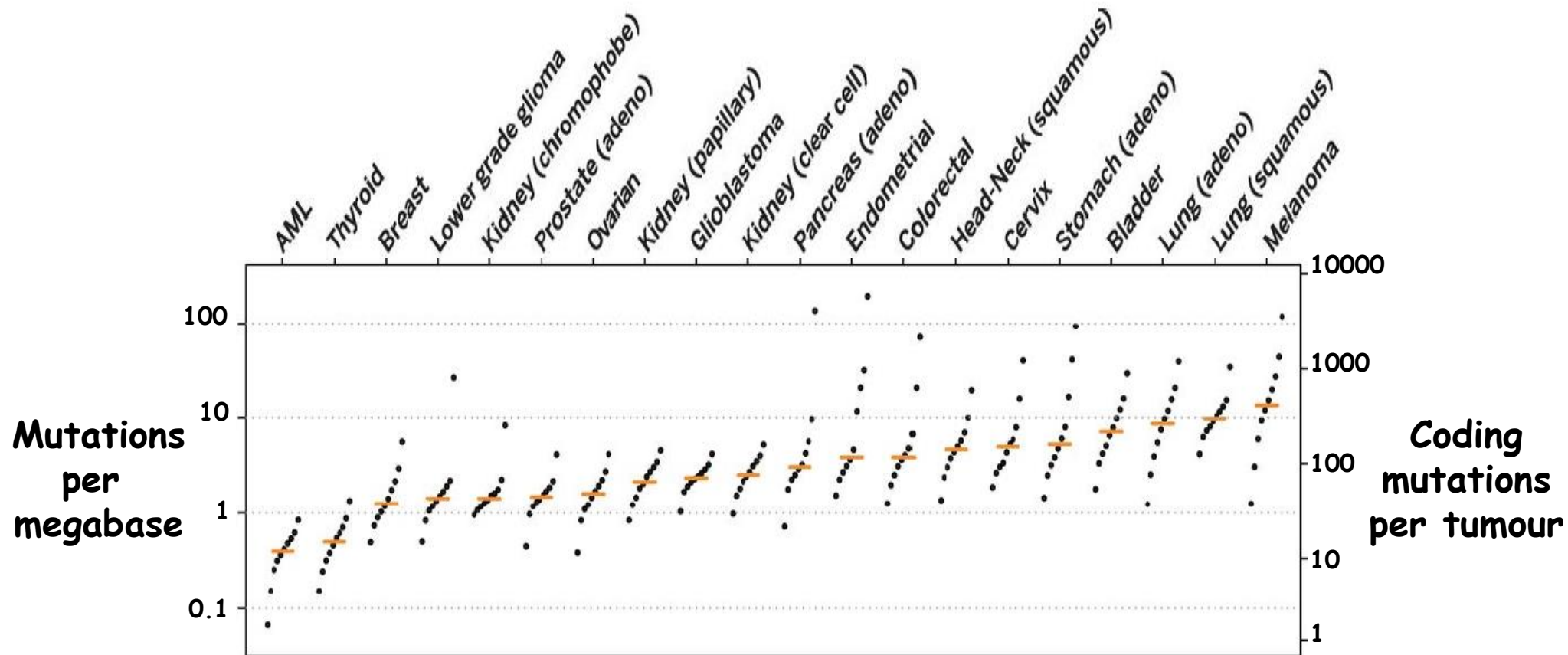
A large immune repertoire can recognize and attack almost all cancer antigens.

- In most cases the **immune surveillance** can eliminate tumor cells.

However, tumor cells may induce **immune tolerance** and grow.

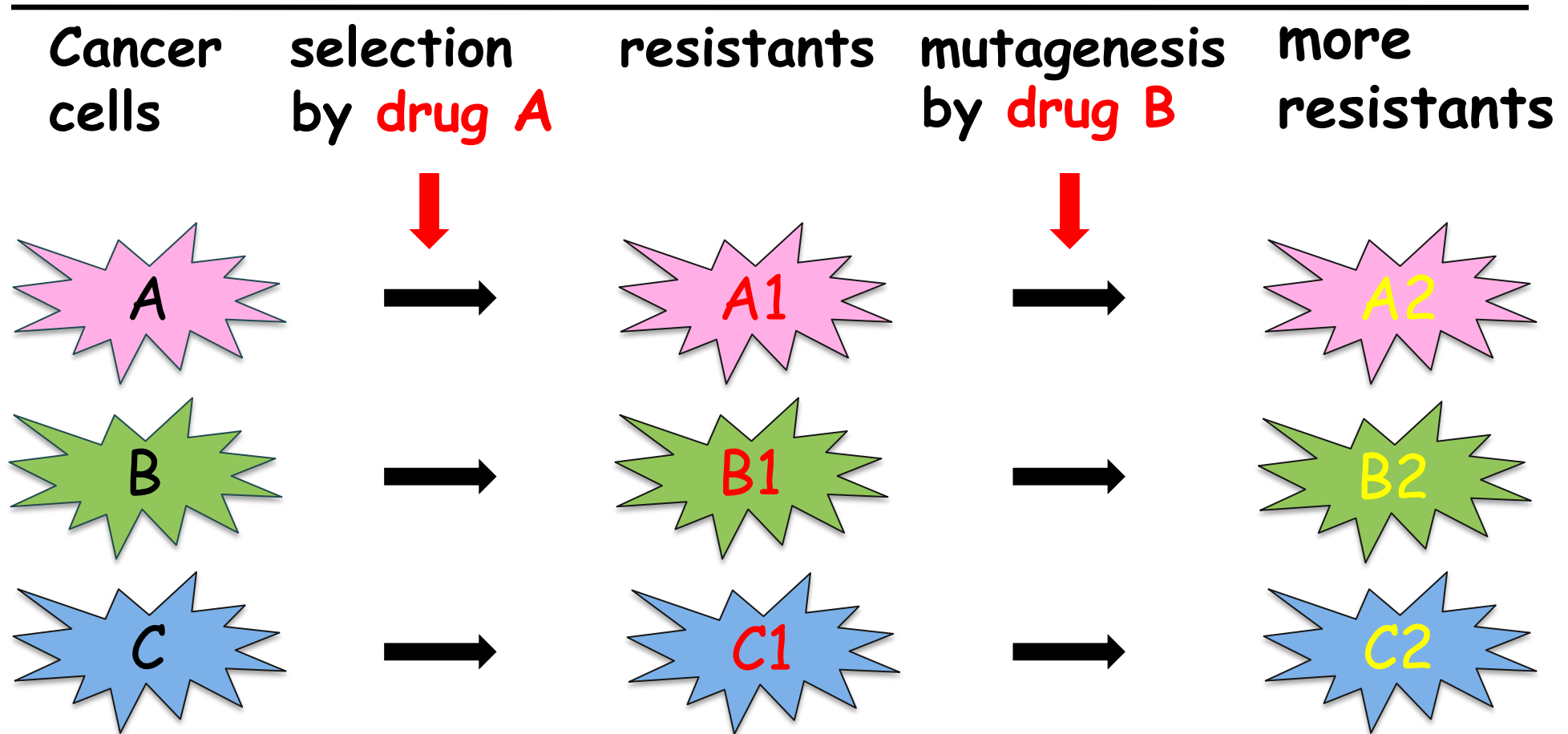
- Anti-PD-1 breaks **immune tolerance**.
-

# Cancer cells accumulate mutations



Iñigo M. et al. Science 2015

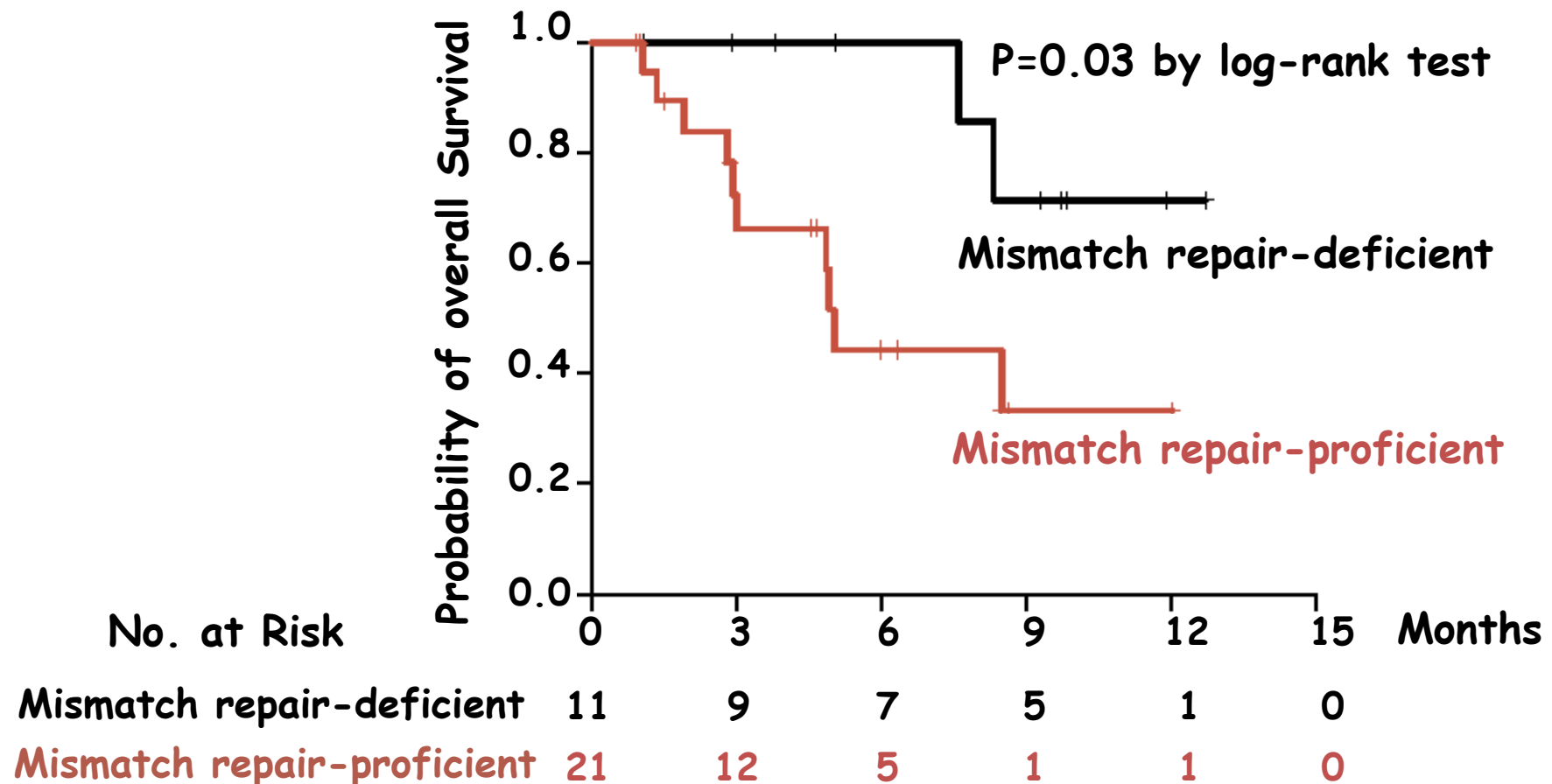
# The reason why immunotherapy but not chemotherapy has durable effects



**Lymphocytes can recognize and attack all of them**

# Colon cancers with mutation-prone genetic alterations respond better to PD-1 antibody

Phase 2 study with 41 patients  
with progressive metastatic carcinoma



# Advantages of anti-PD-1 therapy

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1. Less adverse effects

→ Probably because of rheostatic regulation

2. Effective for a wide range of tumors  
(about 100 clinical trials)

3. Sustained effects to responders

→ 2 and 3 are probably due to huge  
repertoire of the Ag receptor

4. Possible combination with other anti-cancer  
treatments

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# Future challenge of PD-1 Ab cancer immunotherapy

1. Although milder the side-effect autoimmune symptoms inevitably develop and must be carefully watched.
2. Important to understand why there are non-responder patients (30% in melanoma).
3. Important to identify markers for responders or non-responders.

# Why some patients do not respond to anti PD-1 ?

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Host immune system may not be activated?

a. Tumors are not highly mutagenic  
➡ Testable

b. Patients' immune system may be defective either genetically or environmentally  
➡ Testable and combination therapy

c. Other mechanisms of immune suppression

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Many cancer patients are waiting for  
 $\alpha$ PD-1 treatment.

What should be done for the best  
benefit for cancer patients?

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I. Academic studies

a. responder marker  
identification

b. improvement of efficacy

II. Pharmaceutical industry

a. acceleration of  $\alpha$ PD-1 approval to many types  
of cancers

b. many companies should coordinate for this  
goal .

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# Acknowledgements



# Research group

## Kyoto Univ. Gynecologic oncology

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Tsukasa Baba  
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Masaki Mandai

## Tokushima Univ.

Taku Okazaki

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