

Predictive Molecular Testing: What are the New Tools?

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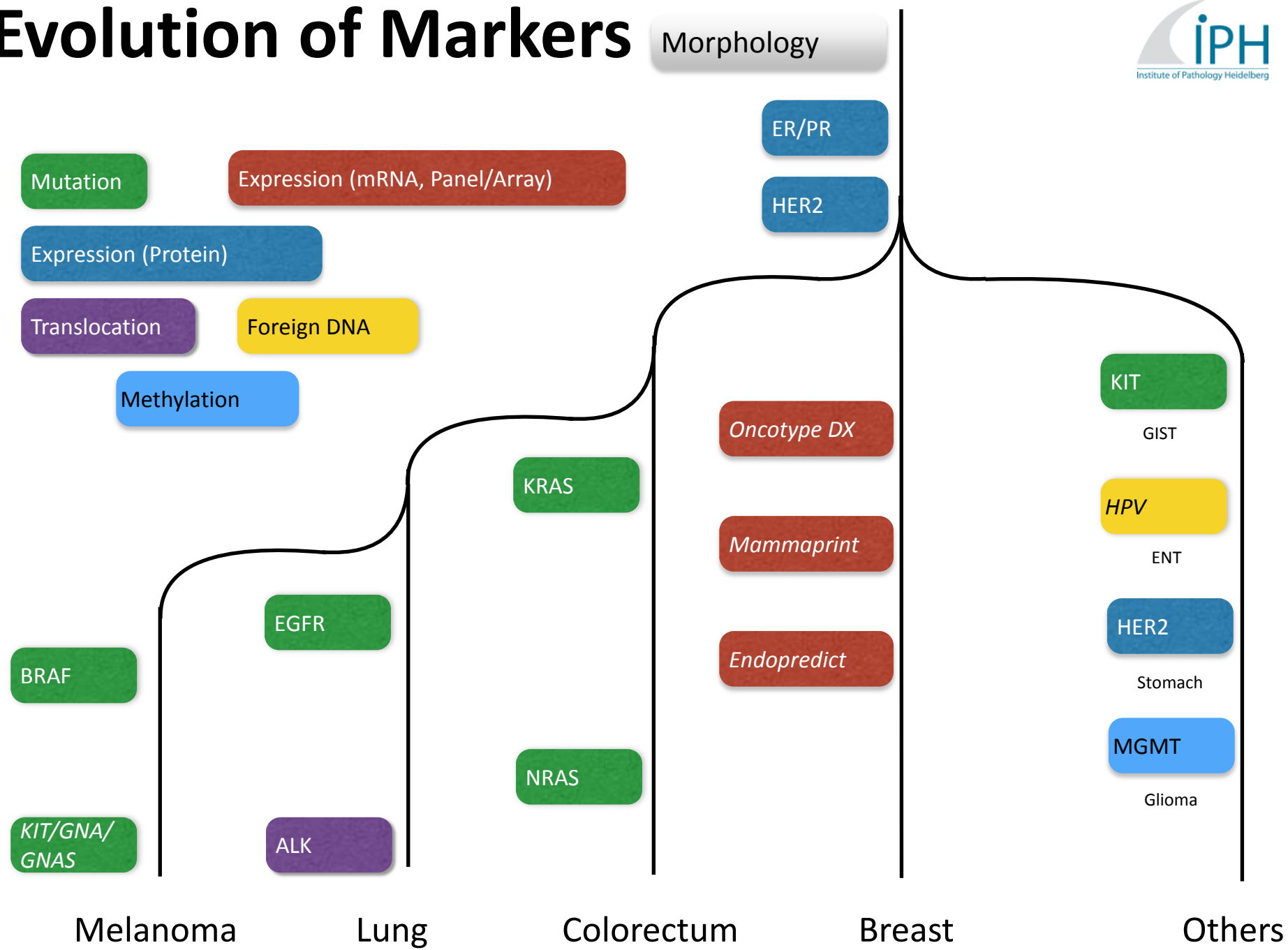
UniversitätsKlinikum Heidelberg



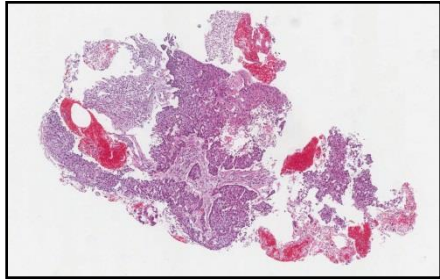
Topics

- Panel Sequencing
- ‚Liquid Biopsy‘
- Image Analysis
- Umbrella Concepts

Evolution of Markers



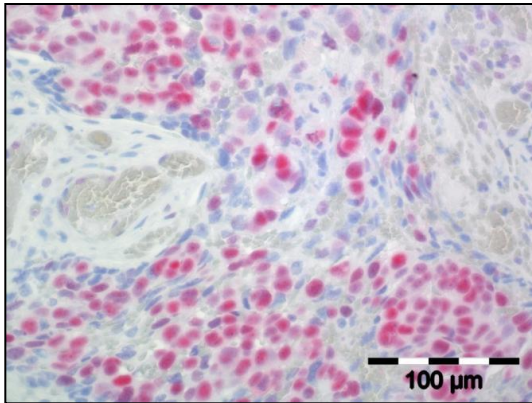
Complexity of Technologies



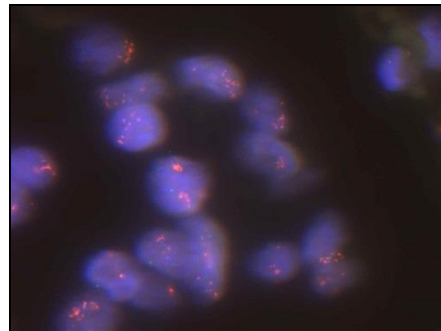
Histo



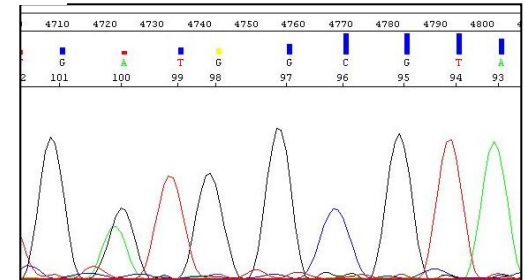
PCR-
Technologies



IHC



FISH, CISH



Sequencing (Sanger,
Pyro, NG)

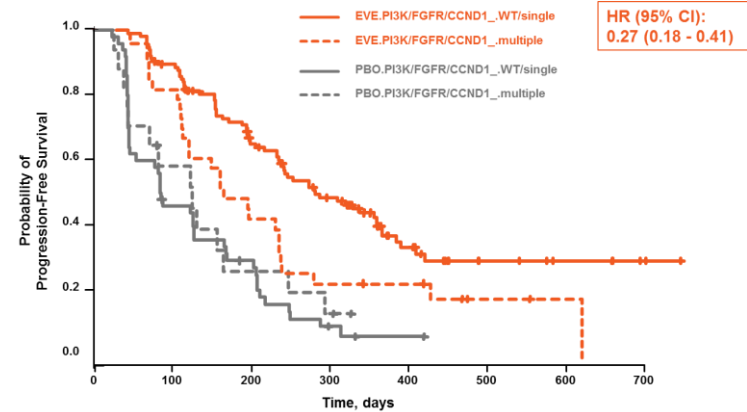
New Single Markers

Established marker – new entity

e.g.. BRAF mutation in lung

Established marker – new drug

e.g. RAS mutation for MEK-inhibitor in CRC, lung, melanoma

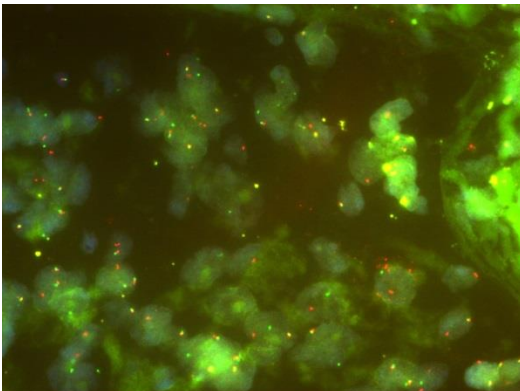


New marker – established drug

e.g. PIK3CA-mutation for mTOR-inhibitor in breast;
KRAS-RAS extension in CRC

New marker – new drug

e.g. Met-expression for Met-inhibitor in stomach



Developments of Biopsy Diagnostics

Diagnostics

Majority of tumors non resectable

Biopsy for primary diagnosis

More differentiating subtyping

More and more
cytoblock

Available amount

**For targeted
therapy.....**

.....mainly Bx

Only paraffin: average
number of tumor-
containing particles

Prediction

RAS

EGFR

EML4-ALK

B-RAF

MEK

mTOR

c-MET

FGFR

IGF-1R

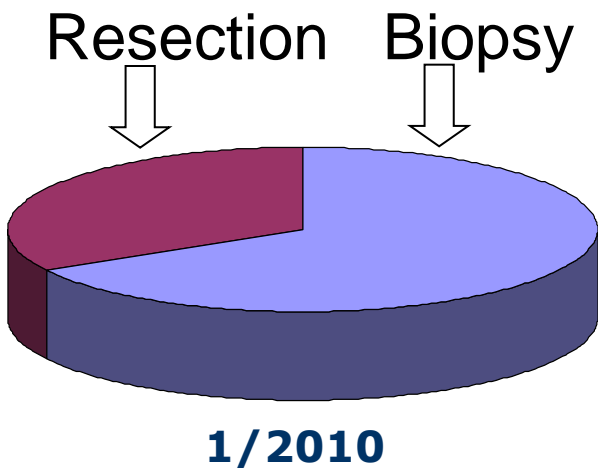
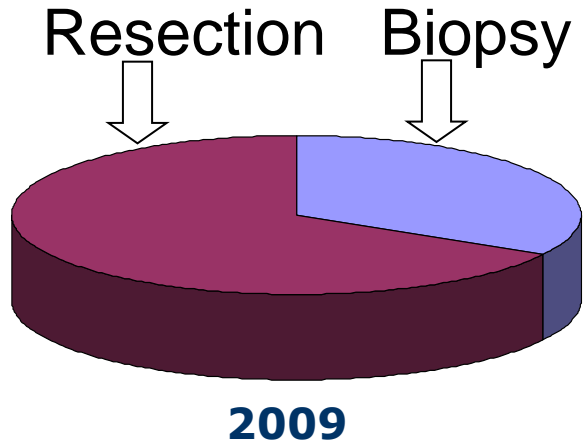
TS, ERCC-1...

More in (pre-) clinical testing

Tissue need

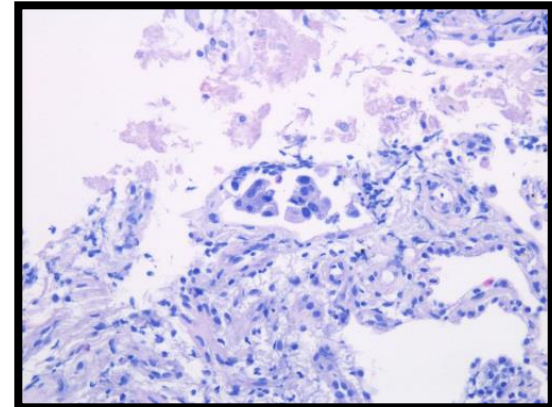
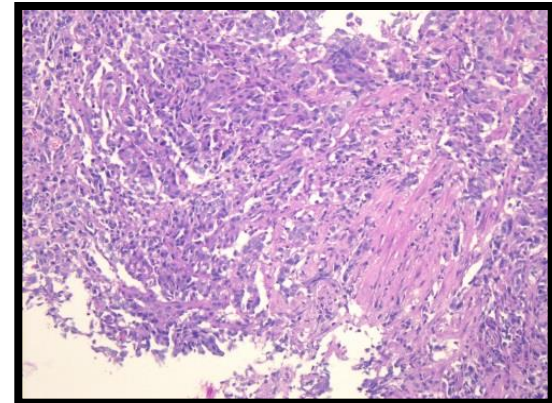
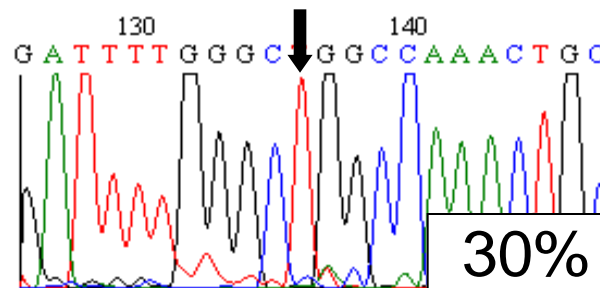
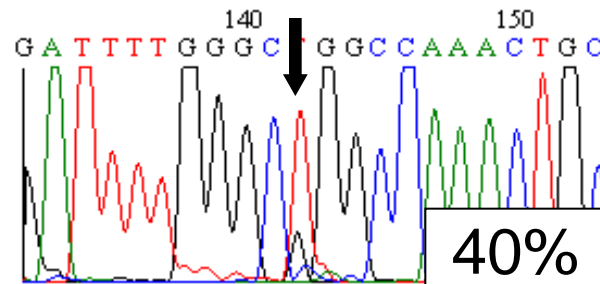
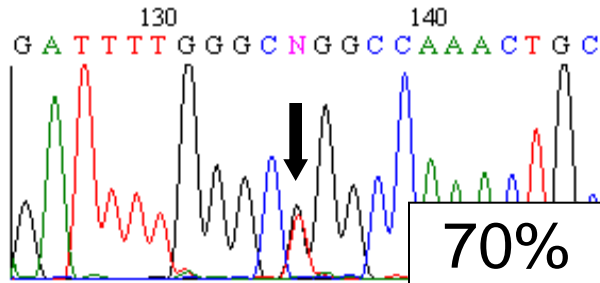
Number of sections extremely variable and depending on:
Experience of endoscopist, instrumentation
thickness of sections, number of procedures , temperature,
Experience of TA, experience of pathologists, algorithms etc.

The Biopsy Challenge



- Time pressure (patient management)
- Extreme increase case numbers
- Critical amount of material
- Critical tumor content
- Microdissection

Tumor Cell Concentration



Critical amount:
Tumor cell content >40%
(20% mutated allele)

Reporting/Drop Out

Category 1:

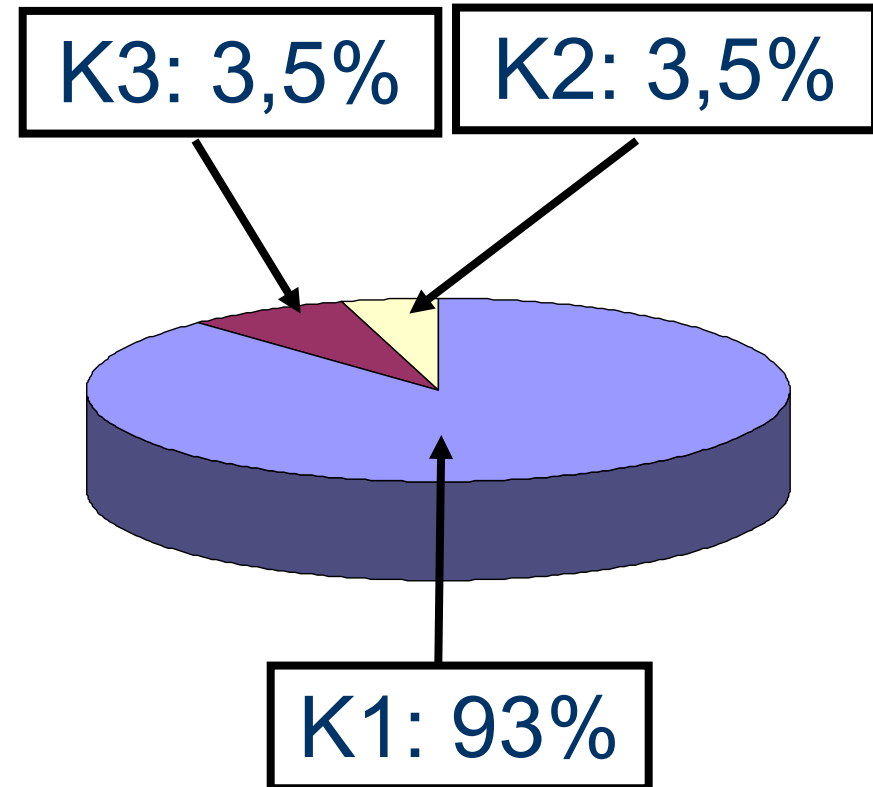
Sufficient tumor, high tumor content, no restriction

Category 2:

No more tumor tissue present, no analyses

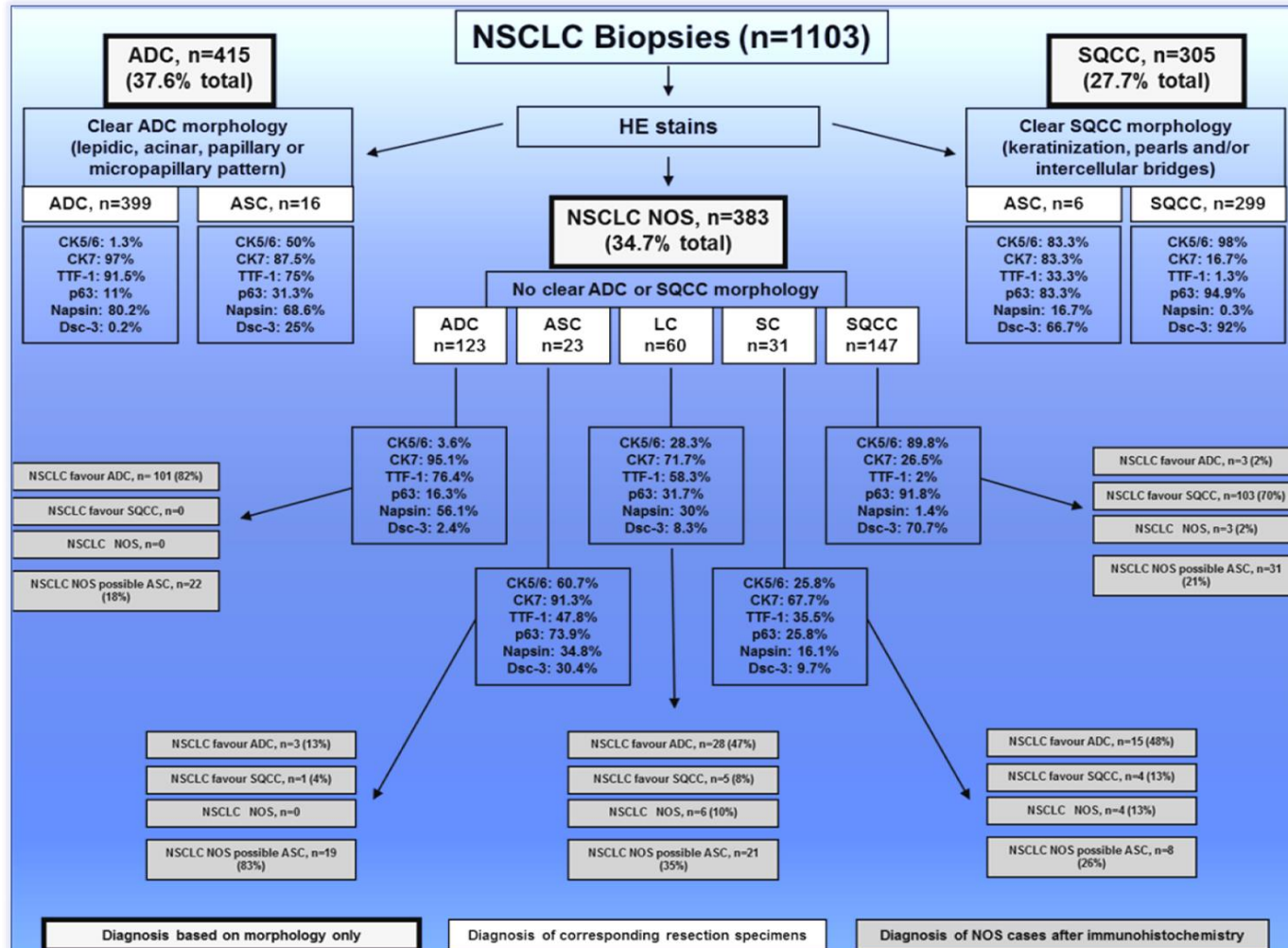
Category 3:

Critically low tumor content; valid if positive for mutation; wt result of restricted reliability



Drop-out/uncertainty: 7% of all analyses in HD (nationally best result); increases with each further test by 3-3,5 %; consequence: rebiopsy, waiting time, costs

Solution I: Rational Algorithms save Material



Solution II: Improving Technology and Quality Management

- Specific technology improvements (Extraction, Assays, IT)
- Improve Workflows (TAT, Reporting, Integration in Tumor Boards)
- Quality Management/RoundRobins/Accreditation
- Monitoring (positive cases, distribution, follow-up) and publication
- Special Case Management/Expert panels
- Centralisation (?)

Heidelberg Publications

Histological Stratification: Warth et al. JCO 30 (2012) 1438-46, Eur Resp J (2012), Eur Resp J 39 (2012) 1437-42, Herpel et al., JTO 5 (2010) 2006-12;
EGFR: Penzel et al., Virchows Arch 458 (2010) 95-8, Warth et al., Virchows Arch 460 (2012) 407-14; Gottschling et al., Lung Cancer 77 (2012) 183-91;
EML4-ALK: Penzel et al., JTO 7 (2012) 1198-9; **TS:** Herpel et al., Histopathology (2012); **Her2:** Stenzinger et al., JMD 14 (2012) 199-205;
Braf: Andrulis et al., AJSP (2012) Apr. 22; Dietel et al., Pathologe (2012);
Kras: Lehmann et al., Diag Mol Pathol 21 (2012) 114-9; **KIT:** Herpel et al., Anticancer Res 31 (2011)

Solution III

Innovation Next Generation Sequencing

- **Whole Genome:** complete tumor cell genome; non-focussed sequencing; low coverage
- **Whole Exome:** whole expressed transcriptome (~ 30.000 genes); low coverage
- **Panel-/targeted NGS:** focussed amplification (~ 200-800 Amplikons) sequenced, high coverage; all medically relevant information

NGS-Comparison of Methods

Parameter	Whole Genome (WGS)	Whole Exome (WES)	Panel-/Target-Sequencing
Little Tissue (Biopsy)	No (?ng)	No (200 ng)	Yes (< 1 ng)
Sensitivity	Low (< 80x)	Low (80x)	High (2000x)
TAT	High (>>4 wks)	High (3-4 wks)	Lower (3-5 Tage)
Paraffin/Formalin	No	No	Yes, published
Diagnostic QM	No	No	Yes (RRs, accreditation)
Diagn. Experience/-Implementation	No	No	Yes (HD, Köln)
Costs	Very high	High	Within reach
Technical Effort	Very high	Very high	Already integrated in workflow
Bioinformatics	Extremely high	Very high	In-house feasible
Diagnostic Need (Tumor)	No; science	No; science	Necessary

Improvement by NGS

Methodical

- **Lower drop-out rate**
 - One stop analysis: no increased drop out by sequential analyses – less rebiopsies (costs, invasive procedure, waiting time)
 - Less grey zone results due to higher sensitivity (less uncertainty, less rebiopsy)
- **Higher sensitivity:** more resistance mutation (RAS in CRC); less unnecessary therapy (costs, unwanted effects)
- Upfront-testing **saves some tests in second and third line**
- **Potential to reduce test complexity** (amplification, translocation)

Clinical

- **Provides oncologists with all necessary information** for upfront therapy planning (clinical wish)
 - Patient information
 - Modifies therapy planning in first line
- Relevant **additional information:**
 - E.g. BRAF-mutations in CRC (not otherwise tested but invalidates EGFR inhibition)
 - Therapy planning in diagnostically unclear tumors (CUP)
 - Potential for targeted trials

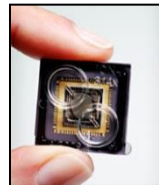
Other Aspects

- Provides patients **with improved access to clinical trials**
- **Essential component of CCCs** (Umbrella-concepts)
- Basis for **registries** (monitoring; improvement of diagnostics and therapy, comparison of centers, epidemiology etc.)
- **Basis for bedside-bench research** improving diagnostic output and clinical decision making

NGS-Panel Sequencing

AmpliSeq Cancer Hotspot Panel V2 (207 Amplikons)

ABL1 (4,5,6,7)	ERBB4 (3,4,6,7,8,9,15,23)	IDH1 (4)	NRAS (2,3,4)	TP53 (2,4,5,6,7,8,10)
AKT1 (3,6)	EZH2 (16)	IDH2 (4)	PDGFRA (12,14,15,18)	VHL (1,2,3)
ALK (23,25)	FBXW7 (5,8,9,10,11)	JAK2 (14)	PIK3CA (2,5,7,8,10,14,19,21)	
APC (16)	FGFR1 (4,7)	JAK3 (4,13,16)	PTEN (1,3,5,6,7,8)	
ATM (8,9,12,17,26,34,35,36,39,50,54,55,56,59,61,63)	FGFR2 (7,9,12)	KDR (6,7,11,19,21,26,27,30)	PTPN11 (3,13)	
BRAF (11,15)	FGFR3 (7,9,14,16,18)	KIT (2,9,10,11,13,14,15,17,18)	RB1 (4,6,10,11,14,17,18,20,21,22)	
CDH1 (3,8,9)	FLT3 (11,14,16,20)	KRAS (2,3,4)	RET (10,11,13,15,16)	
CDKN2A (2)	GNA11 (5)	MET (2,11,14,16,19)	SMAD4 (3,4,5,6,8,9,10,11,12)	
CSF1R (7,22)	GNAQ (5)	MLH1 (12)	SMARCB1 (2,4,5,9)	
CTNNB1 (3)	GNAS (8,9)	MPL (10)	SMO (3,5,6,9,11)	
EGFR (3,7,15,18,19,20,21)	HNF1A (3,4)	NOTCH1 (26,27,34)	SRC (14)	
ERBB2 (19,20,21)	HRAS (2,3)	NPM1 (11)	STK11 (1,4,4/5,6,8)	



DNA Extraktion



Multiplex-PCR / Library



Proben-Multiplexing



Automatisierte emPCR



Sequenzierung auf Ion Torrent PGM/Proton

Panel Development and Roll-Out

Lung Panel

AKT1
ARID1A
BRAF
CBL
CCND1
CCNE1
CDK6
CDKN2A
CTNNB1
EGFR
ERBB2
EYS
FAM123B
FBXW7
FGFR1
FGFR2
FGFR3
HRAS
JAK2
KEAP1
KIT
KRAS

MCL-1
MDM2
MET
MYC
NFE2L2
NFK-2.1
NOTCH1
NRAS
PDGFRA
PTEN
RB1
RBM10
SMAD4
SMARCA4
SOX2
STK11
TERT
TP53
PIK3CA

Breast Panel

AFF2
AKT1
APC
ARID1A
BRAF
CASP8
CBFB
CCND1
CDH1
CDKN2A
CTCF
EGFR
ERBB2
FGFR1
GATA3
KRAS
MAP2K4
MAP3K1
MLL3
MYC
NOTCH1
PIK3CA
HERC1

PIK3R1
PTEN
RUNX1
SF3B1
TBL1XR1
TP53
MDM2
TBX3
TLR4
GIGYF2
RBMX
CDKN1B
CDK4
ZNF703
PAK1
RPS6KA1
CEP164
USP36
NR1H2
RB1
PTPRD
HERC1

Colon Panel

ACVR2A
APC
ARID1A
ATM
BRAF
CASP8
CTNNB1
EGFR
FAM123B
FBXW7
IGF2
KRAS
LRP2
MLH1
MSH3
MSH6
NRAS
PIK3CA
PTEN
SLC9A9
SMAD2
SMAD4

SOX9
SYNE1
TCF7L2
TGFBR2
TP53

Molekularpathologische Begutachtung

Material

Internes Blockmaterial E-1863/14 I

Klinische Angaben

Bitte um RAS-Mutationsanalyse

Befund

Am morphologisch gesicherten und angereicherten Tumorgewebe (40 % Tumorzellgehalt) wurde eine gezielte Mutationsanalyse mittels der Next Generation Sequenzierungstechnologie (PGM; ION TORRENT) unter der Verwendung des Colon Cancer Panels V1 (180 Amplikons; u.a. N- und KRAS Exone 2, 3 und 4) durchgeführt.

Hierbei wurde die Punktmutation c.35G>A mit einer Allelfrequenz von 22 % bei einer Amplikonabdeckung (Coverage) von 3996 im Exon 2 von KRAS nachgewiesen, die zur Aminosäuresubstitution p.G12D führt.

Der Status aller anderen untersuchten Genabschnitte (s.u.) ist in unseren Datenbanken hinterlegt und kann bei Bedarf (z.B. Studienkontext) angefordert werden.

Sequenzierte Gene (Exone)

ACVR2A (9,10,11)	ERBB2 (19,20,21)	MSH6 (3,5)	SMAD4 (2,3,5,6,8)
APC (3,4,5,6,7,8,9,10,11,12,13,14,15,16)	FAM123B/AMER1 (2)	MYC (2,3)	SOX9 (2,3)
ARID1A (2,3,4,7,18,20)	FBXW7 (2,3,4,5,6,7,8,9,10,11)	NRAS (2,3,4)	SYNE1 (8,22,30,82,85,12)
ATM (7,8,9,11,12,20,25,29,35,38,39,40,41,42,49,50,55,58,63)	IGF2 (2,3)	PIK3CA (2,3,5,8,9,10,14,19,21)	TCF7L2 (5,9,10,14)
BRAF (11,15,16)	KRAS (2,3,4)	POLE (9,13,14,32,33)	TGFRB2 (5,6,7)
CASP8 (3,9,10)	LRP2 (49,53)	PTEN (1,2,5,6,7,8,9)	TP53 (4,5,6,7,8,9,10)
CTNNB1 (3,5,6)	MLH1 (1,2,6,7,8,9,14,16)	SLC9A9 (3)	
EGFR (18,19,20,21)	MSH3 (7)	SMAD2 (8,11)	

since 02/14 Panel sequencing in regular diagnostics for CRC, GIST, breast and lung cancer, and CUP

NGS-Panelsequencing in Routine-Diagnostics (Heidelberg)

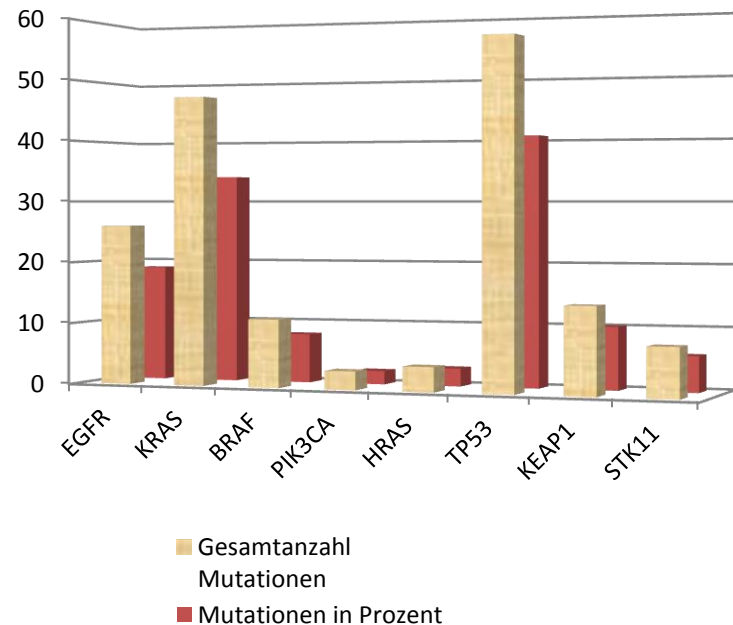
NGS-Sample-Statistics (NCT)

01.03. - 30.05.2014

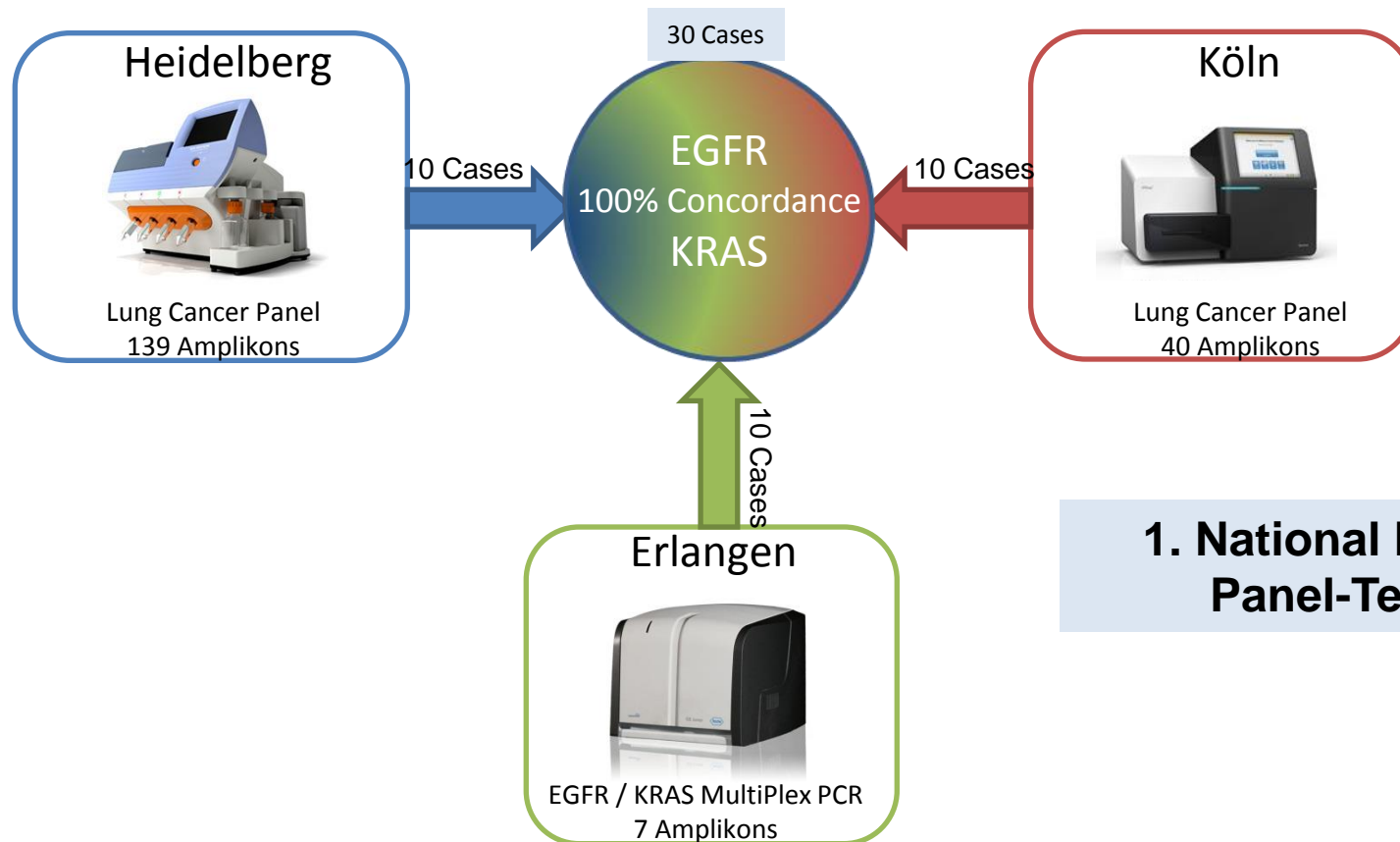
- Lung: 138 Cases
- Colon: 76 Cases
- Melanoma: 38 Cases
- GIST: 12 Cases
- Others (CUP): 65 Cases

Total: 329/3 months

Example Lung



Pre-RR: QA Panel Sequencing



DKTK-NGS-FFPE Trial (7 Sites)

Comparison of NGS technologies for FFPE materials

- Comparability of different sequencing sites
- Comparability of different NGS platforms
- Comparability of different DNA extraction (FFPE) protocols
- Comparability of different gene panels (multiplex PCRs)
- Comparability of different bioinformatics procedures

LOCAL MICRODISSECTION & DNA EXTRACTION

Local micro-
dissection



Local DNA-
extraction

Ion AmpliSeq™ Cancer
Hotspot Panel v2

3x Ion
Torrent PGM



KDR	PTPN11
KIT	RB1
KRAS	RET
MET	SMAD4
MLH1	SMARCB1
RPL	SMO
NOTCH1	SRC
TPM1	STK11
NRAS	TP53
EGFRA	VHL
AK3CA	
TEN	

TrueSeq Amplicon
Cancer panel

ABL1	EGFR	GNAS	MLH1	RET
AKT1	ERBB2			
ALK	ERBB4			
APC	FBXW7			
ATM	FGFR1			
BRAF	FGFR2			
CDH1	FGFR3			
CDKN2A	FLT3			
CSF1R	GNA11			
CTNIB1	GNAQ			

2 x Illumina
MiSeq



DNA provided to the
NGS sites

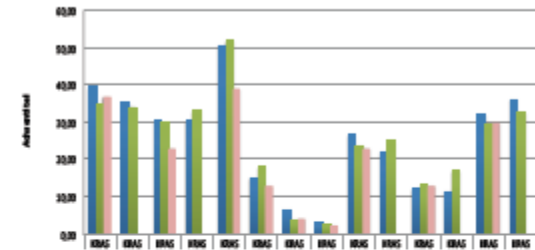
Detection of the predefined mutations by amplicon NGS

Tumor	Gene	PGM	PGN	MiSeq	MiSe	PGM
ColonCa 1	KRAS	✓	✓	✓	✓	✓
ColonCa 2	KRAS	✓	✓	✓	✓	✓
ColonCa 3	KRAS	✓	✓	✓	✓	✓
ColonCa 4	KRAS	✓	✓	✓	✓	✓
ColonCa 5	KRAS	✓	✓	✓	✓	✓
BreastCa 1	PIK3CA	✓	✓	✓	✓	✓
BreastCa 1	PIK3CA	✓	✓	✓	✓	✓
BreastCa 2	PTEN	✓	✓	✓	✓	✓
BreastCa 3	PIK3CA	✓	✓	✓	✓	✓
BreastCa 3	PIK3CA	✓	✓	✓	✓	✓
BreastCa 4	PIK3CA	✓	✓	✓	✓	✓
BreastCa 5	PIK3CA	✓	✓	✓	✓	✓
LungCa 1	EGFR	✓	✓	✓	✓	✓
LungCa 2	EGFR	✓	✓	✓	✓	✓
LungCa 3	EGFR	✓	✓	✓	✓	✓
LungCa 4	EGFR	✓	✓	✓	✓	✓
LungCa 5	EGFR	✓	✓	✓	✓	✓

Results with the DNA
provided to the NGS
sites

Conclusions DKTK NGS Trial

- Multiplex-PCR amplicon-based NGS is an excellent tool for detection of mutations in FFPE specimens
- High coverage (average 2000x)
- High sensitivity (1% tumor cells)
- Great homogeneity **within** the NGS platform: specially PGM)
- Careful consideration of gene panels and DNA extraction methods
- Consideration of NGS platform specific characteristics



Obstacles to Implementation of Panel-NGS in Clinical Diagnostics

- Does not cover all predictive tests (60-80%)
- Does not cover all positive cases; DNA is surrogate marker
- Requires justification by sufficient molecular targets per case
- Companion diagnostics principle (US)
- Rejection of NGS by authorities (e.g. Germany)
- No/insufficient refunding
- Principle of indication bound diagnostics
- Limited availability for diagnostic use (few sites)
 - High investment, rapid technology changes
 - Personnel (TA, bioinformatics, diagnostic PhDs)

„Liquid Biopsy“

Definition: Molecular analysis of informative molecules (mainly nucleic acids) from body fluids (mainly blood)

Aims: Early detection, diagnosis, predictive testing and follow-up (esp. Cancer)

Sources:

- **Circulating Tumor Cells (CTCs)**
- **Cell-free DNA (cfDNA)/circulating tumor DNA (ctDNA)**
- *Exosomes*

Expert Statement of German Society of Pathology (DGP)

Tissue vs. ‚Liquid Biopsy‘ in Tumor Diagnostics

Tissue

Tumor

Typing, Malignancy

Subtyping

Molecular analyses

IHC-analyses

Nucleic acids

Non-tumorous liver

Liquid

Nucleic acids

‚Liquid Biopsy‘ is a *misnomen*, suggesting equal level and quality of procedure and information obtained

Circulating Tumor Cells (CTCs)

What do they represent?

- Which tumor cells enriched and which not (are they tumor cells? EpCAM selection)? - variable
- Which part of the tumor is represented?
 - Primary vs. metastasis - unknown
 - Relation of CTCs to CSC? - undefined
 - Site specificity - unknown
- Presence in non-oncological patients - unclear significance
- Quantitative representation of tumor relevant changes (mutations, resistance phenotype) – not present
- Other unclear situations
 - Double tumors – not accessible

We have currently **no information which tumor cell populations and which tumor characteristics we measure with CTCs** with which reliability. This is likely to **remain highly variable and non-standardised for diagnostic purposes**

Circulating Tumor Cells (CTCs)

- **Low concentration** in peripheral blood (0-few 100 cells/10 ml blood); only 1,4% of stage IV breast cancer patients >500 CTCs /7,5 ml blood! (Bacelli et al, Nat Biotechnol, 2013)
- Presence **stage specific** (Bettegowda et al., Sci Transl Med, 2014)
 - Stage I: 47% over all entities
 - Stage IV: 82% over all entities
- Presence **entity specific** (stage IV) (Bettegowda et al., Sci Transl Med, 2014)
 - CRC: ~100%
 - Prostate: ~40%
 - Kidney: ~40%
 - Brain: <10%
- Presence **location specific** (CRC: CTCs in mesenterial veins > central veins) (Rahbari et al., Ann Surg Oncol, 2012)
- Presence in **non-oncological patients** (CED, fibrous mastopathy)?? (Pantel et al., Clin Chem, 2012)

CTCs are highly variable and **not useful for early detection of cancer**. Due to significantly lower sensitivity and lack of sufficient and standardized acquisition they are **not a useful source for any kind of tumor diagnostic procedure** (typing or prediction)

Blood-derived Nucleic Acids

- **Concentration:** 0-100 ng/ml blood
- **Purification:** Affinity chromatography
- **Source:** neoplastic and non-neoplastic cells
- **Condition:** necrosis? apoptosis? vital cells?
- **Half-life:** ~1.5 h

Amplification of Blood-derived DNA

Digitalized Signals

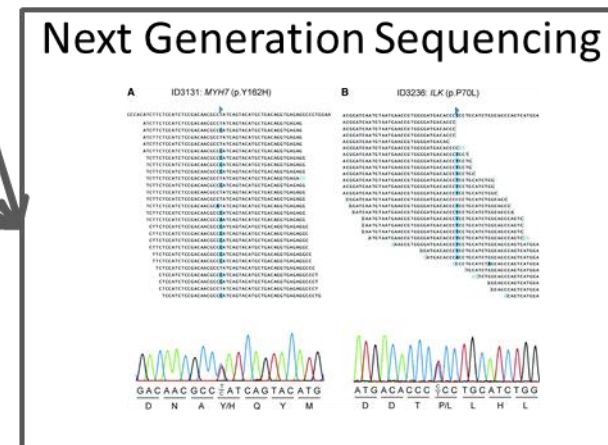
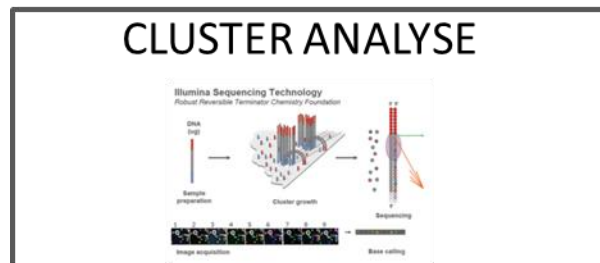
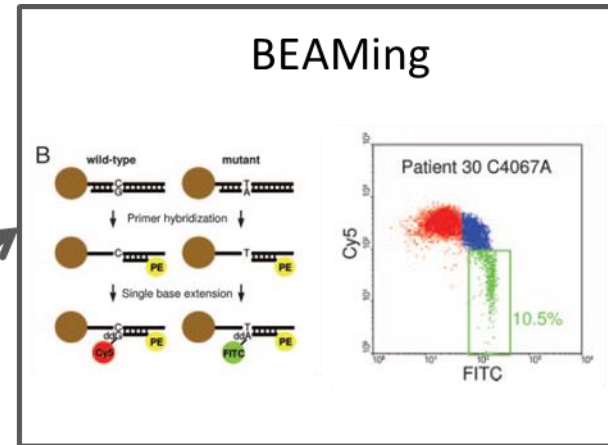
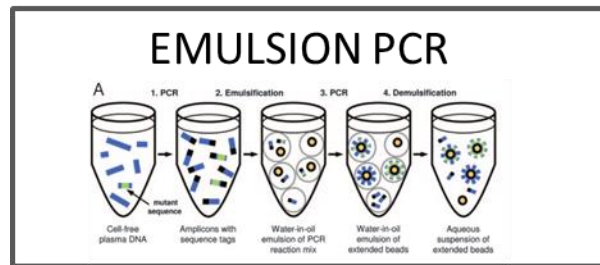
BEAMing PCR (Beads-Emulsion PCR-Amplification-Magnetic Beads) (Diehl et al., 2005, 2006, Li et al., 2009)

NGS (Li et al., 2009; Lianidou and Markou, 2011, Heitzer, 2013) (Ion Torrent, Illumina, 454)

- **Independent single template amplification of signals**
 - Emulsion PCR (BEAMing, Ion Torrent, 454)
 - Cluster (Illumina)
- **Detection**
 - FACS (BEAMing)
 - Sequencing (Ion Torrent, Illumina, 454)

Highly amplifying methodologies: prone to contamination

Detection Strategy for Blood-derived DNA



Combination of 2 highly amplifying technologies

Blood-derived Nucleic Acids Sensitivity in Tumor Diseases

Stage-dependent:

Early Stage: low (47%)

Stage IV: moderate (82% over all entities vs. Tumor Biopsy: 96.5%)

Entity-dependent (Stage IV):

CRC: ~100%

Ovarian-Ca: ~100%

Prostate-Ca: ~40%

Kidney-Ca: ~40%

Brain-Tumors: <10%

Bettegowda et al., Sci Transl Med 2014

Not useful for screening purposes

Too low for regular clinical diagnostics; needs evaluation for every specific condition

Consistency between PT and Blood DNA

- CRC/KRAS-codon12/13 mutations: sensitivity 87.2%; specificity: 99.2% (Bettegovda et al., 2014)
- Exom-sequencing of breast, lung, ovarian cancer ctDNA compared to tissue: 60% of mutations detected in breast cancer; 19% in ovarian cancer (Murtaza et al., 2013)
- Correlation of BRAF mutation in melanoma tissue and cfDNA: 84% (V600E) – 97% (V600K) (Ascierto et al., 2013)

Variable, due to complexity of mutation and entity?

Would require extensive entity and assay specific validation

Excluded/critical Clinical Conditions

- Double malignancy around the same date (5-10% of patients); may be unknown!
- Co-occurrence of premalignant neoplasia
 - CTC and ctDNA (?) found in nonmalignant conditions
 - Extremely relevant and frequent in HCC (HBV! and other high risk conditions)
- Acute therapeutic intervention (TACE, Rx etc.) (non-representative?); significant inflammation?

Refametinib in RAS-mutated HCC (Phase II; KRAS-BEAMing-Detection)

- The sensitivity in HCC is probably moderate; the specificity can not be determined (e.g. premalignant lesions, second malignancy)
- Lower sensitivity (~400% higher diagnostic drop-out compared to tumor biopsy); drop-outs are not recognised!
- Lower sensitivity harms recruitment but principally not trial success (approval can be reached) and is balanced by easier recruitment
- Lower sensitivity is deleterious for clinical success/patient recruitment once approval may be granted
- Insufficient, not broadly implemented test will lead to diagnostic and subsequent recruitment failure

Blood-derived Nucleic Acids Analyses

Diagnostic Applications

Potential

- Appearance of resistance mutations ? (when to react?)
- Correlation to tumor load? – monitoring of response/early response prediction?
- Repetitive analyses possible!

Not validated!

Limitations

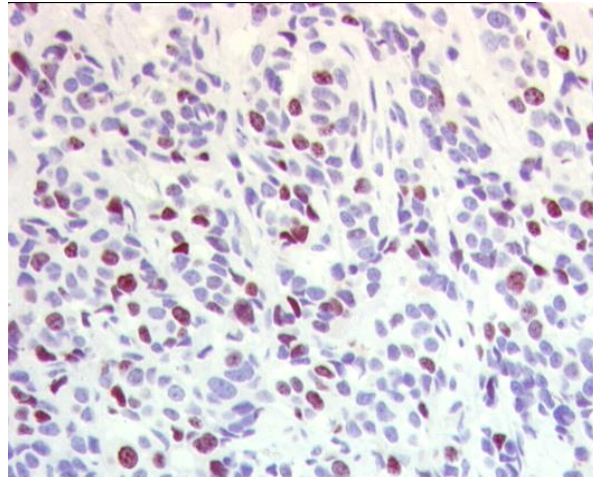
- Insufficient sensitivity - not applicable for primary diagnosis/ molecular analysis
- Relevant (unknown) clinical conditions excluded
- Heterogenous, non-comparable, not validated and quality assured technologies
- All current validation based on baseline tumor biopsy
- only amenable to NA-based analyses

Conclusions

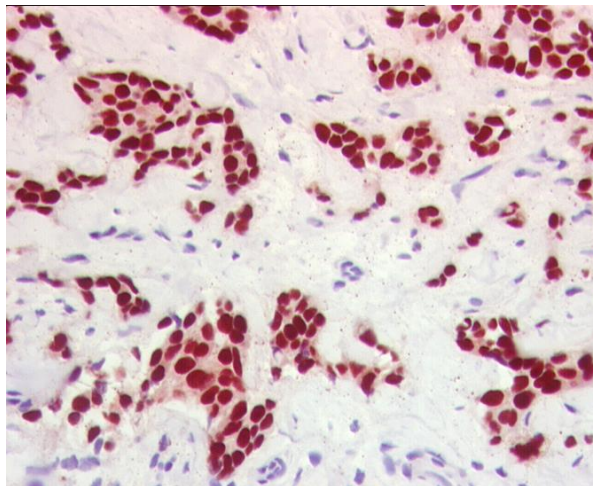
- ‚Liquid Biopsy‘ is unable to replace diagnostic tumor biopsy
- ‚Liquid Biopsy‘ is not ready for any diagnostic application
- ‚Liquid Biopsy‘ provides significant research application (CTC) and on the long run after significant improvement and validation may have limited diagnostic application (response/resistance; drug selection)
- Many tumors are poorly suited for diagnostic ‚Liquid Biopsy‘ (high and uncontrollable load of premalignant lesions)

Prognosis: Commercial interest threatens to beat scientific and clinical evidence

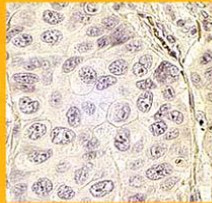
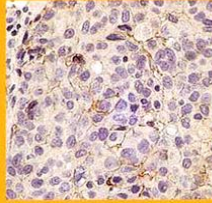
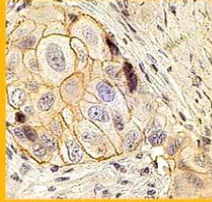
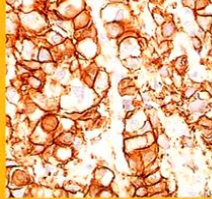
Immuno-Tests



Ki67: yes/no

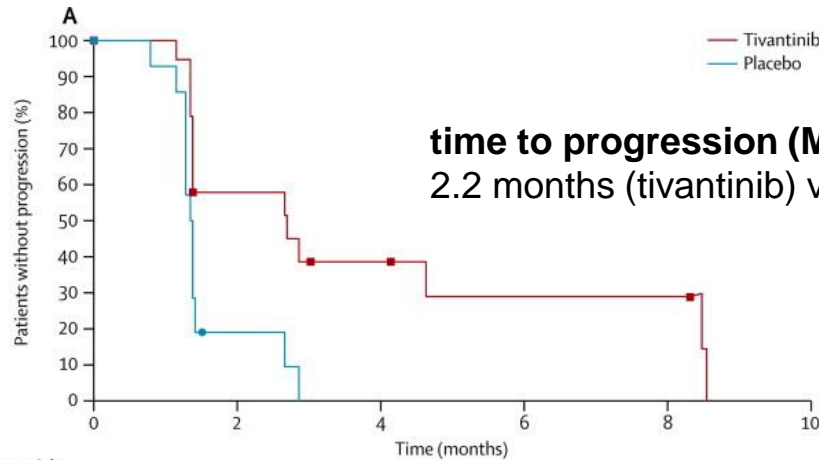


ER/PR: yes/no; intensity

	Keine Färbung zu sehen oder weniger als 10% der Tumorzellen zeigen eine membranständige Anfärbung.	0	Negativ
	Eine schwache oder kaum sichtbare Membranfärbung ist in mehr als 10% der Tumorzellen zu sehen. Die Zellen zeigen eine nur unvollständige Membranfärbung.	1+	Negativ
	Eine schwache bis moderate komplette Membranfärbung wird in mehr als 10% aller Tumorzellen festgestellt.	2+	Schwach Positiv
	Eine starke , die komplette Membran umfassende Färbung wird in mehr als 10% aller Tumorzellen beobachtet.	3+	Stark Positiv

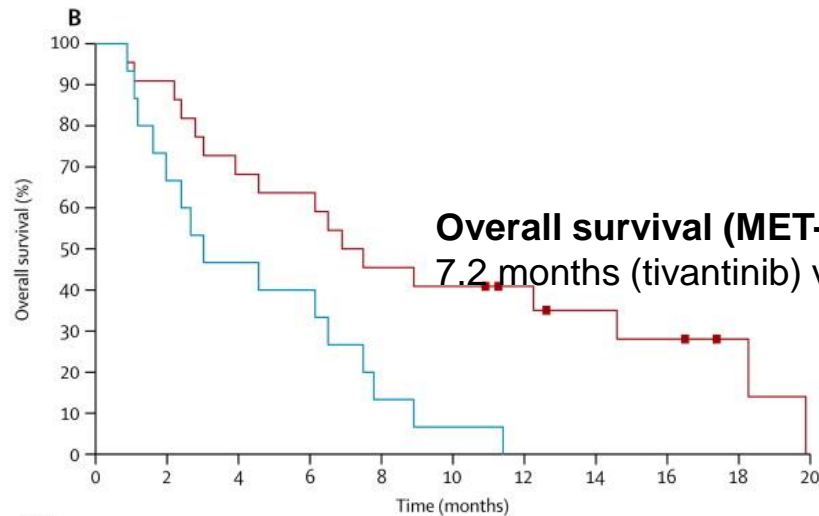
Her-2:intensity and continuity of membranous signal, # of positive cells

Tivantinib: Expression makes the Difference



Number at risk

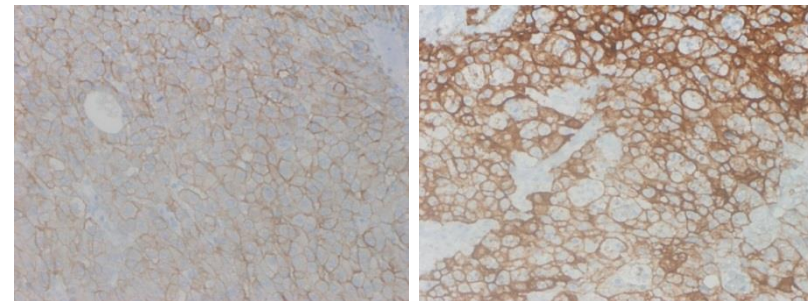
Time (months)	0	2	4	6	8	10
Tivantinib	22	9	5	3	3	0
Placebo	15	2	1	0	0	0



Number at risk

Time (months)	0	2	4	6	8	10	12	14	16	18	20
Tivantinib	22	20	15	14	10	9	7	5	4	2	0
Placebo	15	11	7	5	2	1	0	0	0	0	0

Immunohistochemistry (MET-high):
at least 2+ in at least 50% of tumor cells



Slide Information Storage

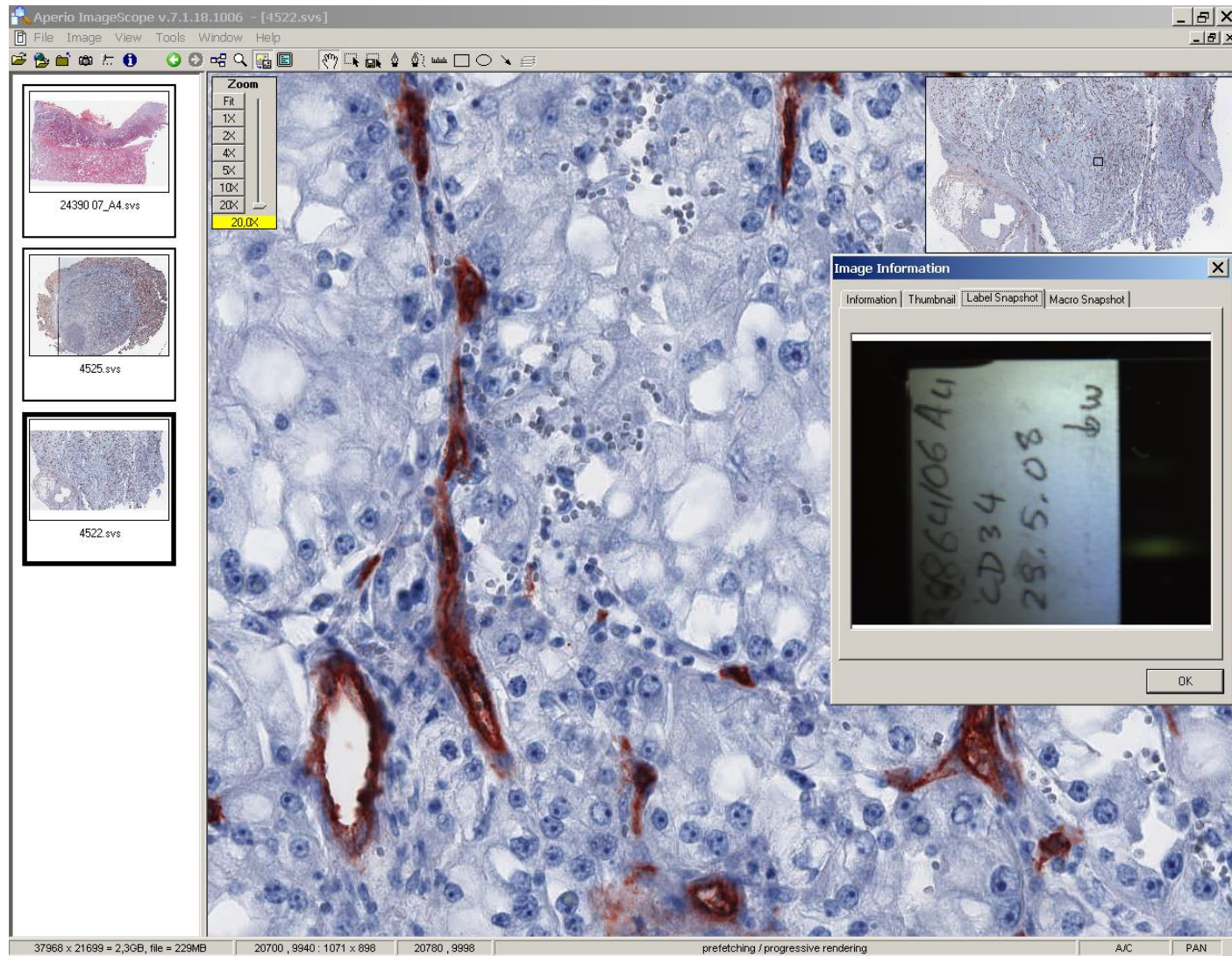
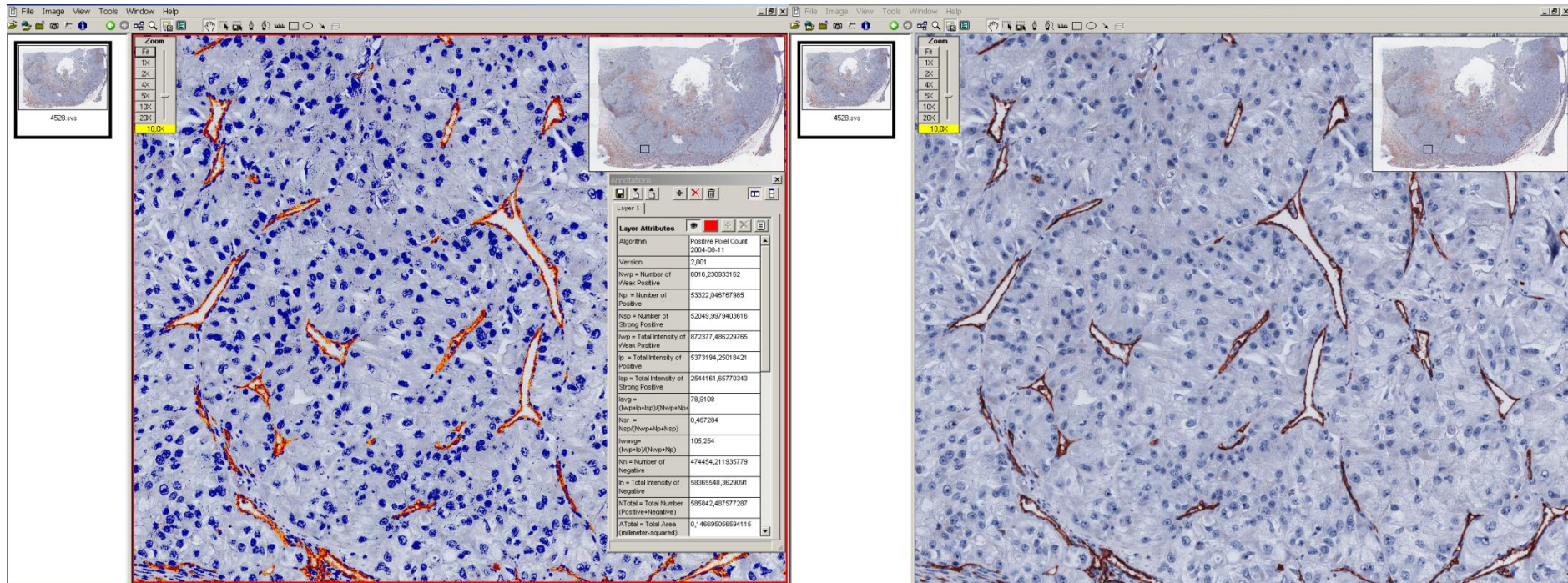
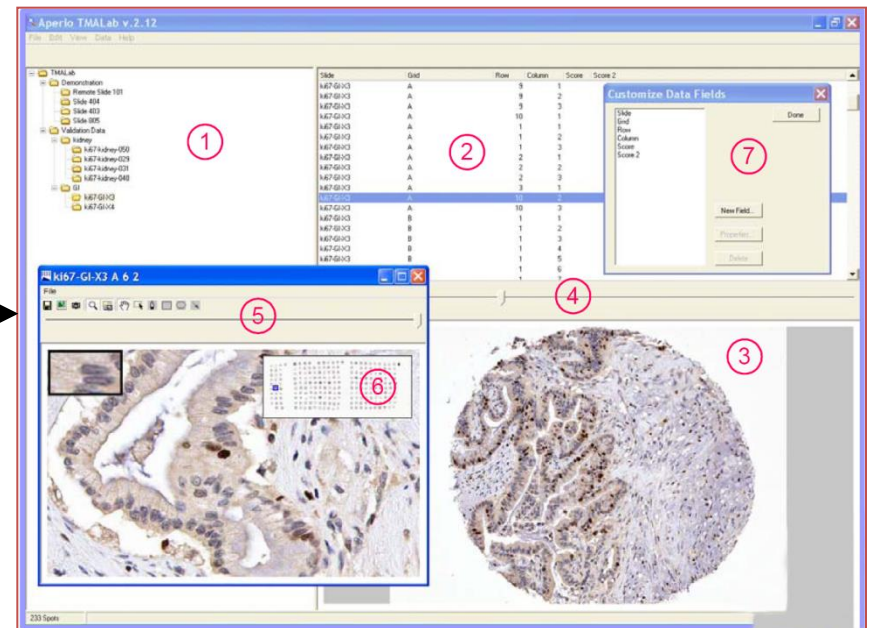
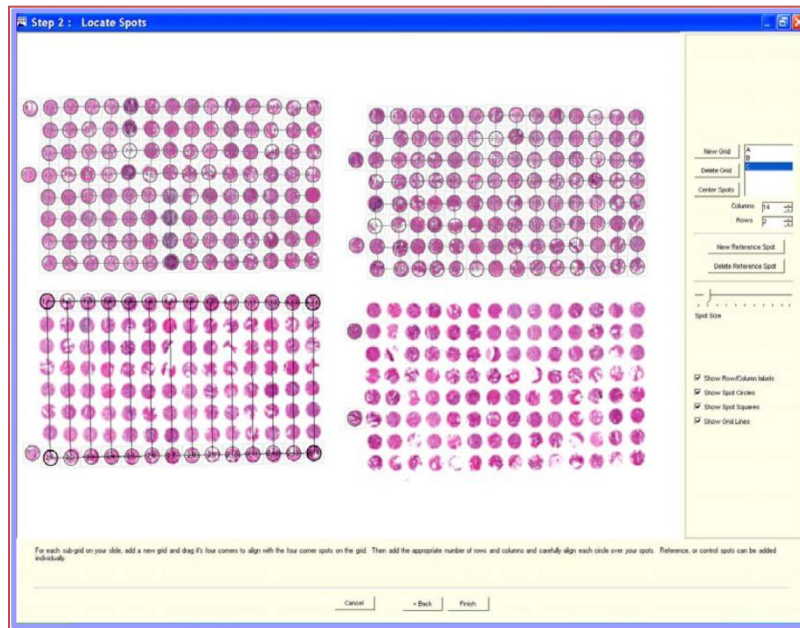


Image Analysis



Digital Data Acquisition and Analysis



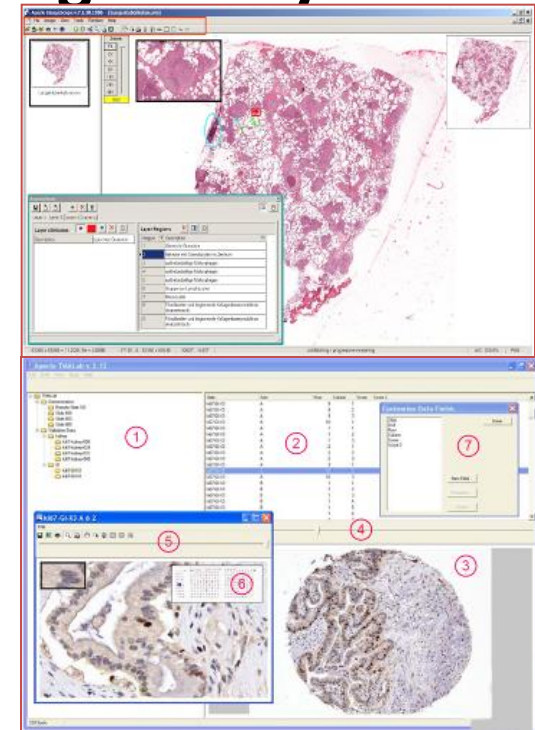
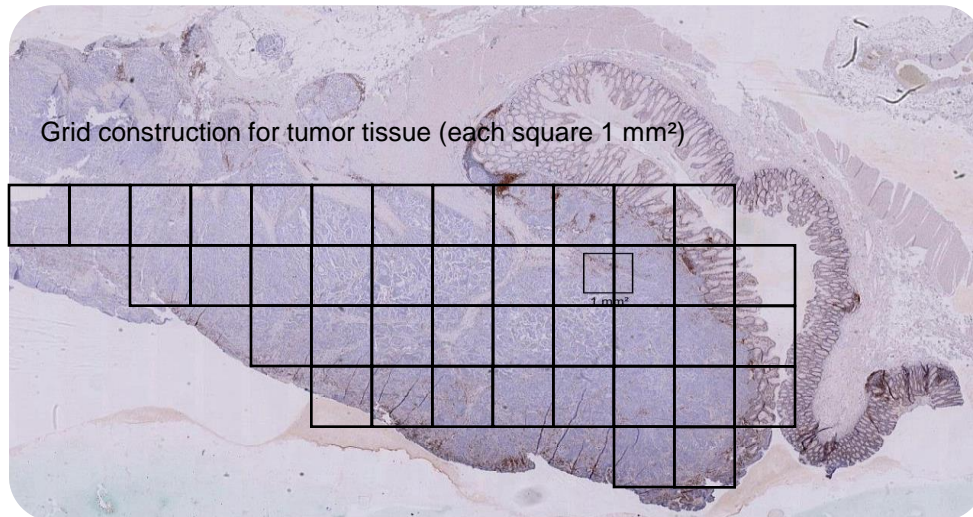
TIGA Center – VM and Image Analysis

Goals:

Standardized „read-out“ of FISH/IHC in clinical studies

- Identifying positive patient subgroups
- Significant biomarkers?
- Borderline cases
- Reclassification/revisiting guidelines

=> Objective and automated quantification of histological classifiers (trials and routine diagnostics) !



Halama et al., Tumor Maps: Quantification of Prognostic Immune Cell Markers in Colorectal Cancer Using Whole Slide Imaging, *Anal Quant Cytol Histol*, 2010

Diagnostic Applications

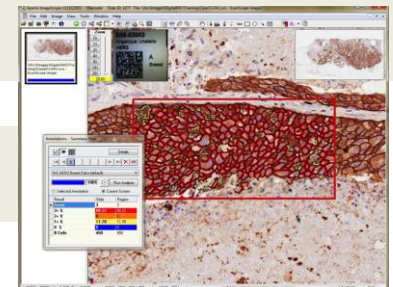
Indications

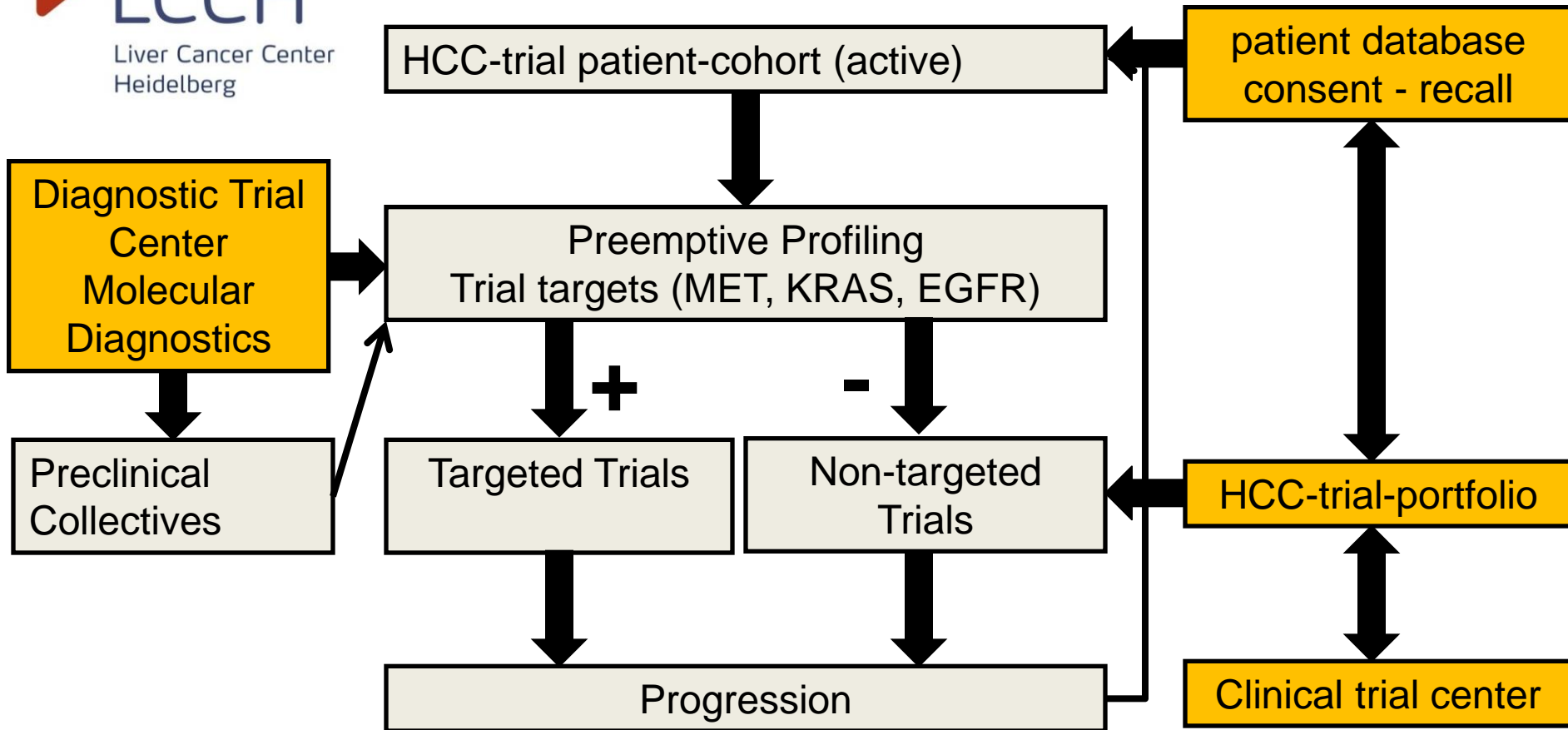
- Proliferation index (endocrine/mammary)
- Receptor expression (ER, PR, Her2)
- Novel markers
- Trial associated analyses!
- Cytology
- Histology parameters

Challenges

- Tumor entity adjusted tumor-stroma segmentation
- Technology (IHC, FISH, CISH)
- Signal type (yes/no, intensity, subcellular compartment, distance etc.)
- Area selection
- Standard
- Artifact recognition

Nevertheless, this is the proof of principle!





17 active HCC Trials

Advantages Umbrella Concept

- Rapid recruiting for clinical trials
- Optimized patient allocation in trials
- Improved calculation (industry, planning)
- Improved patient management
- Well-suited for networks (win-win)
- Scalable

Conclusions

- NGS (Panel sequencing) offers significant diagnostic, clinical and technological improvement over single tests and is ready for application
- Liquid biopsy has potential for research but is ready for diagnostic use and is in principle inferior to tissue based analyses; there are many unsolved technological and diagnostic issues
- Virtual microscopy combined with digitalized image analyses has great potential to improve IHC- and FISH based analyses to generate quantitative data
- Umbrella concepts combine comprehensive molecular analyses for clinical and trial purposes with patient management strategies. They are mandatory for strong oncology centers for improving patient and trial management

Thank You!

IPH

Molecular Diagnostic Center (W. Weichert, R. Penzel & Coworkers)

Diagnostic Trial Center (W. Weichert, T. Ruf & Coworkers)

National Center of Tumor Diseases (NCT)

Clinical Partners

Tissue Imaging and Analysis Center Heidelberg (TIGA, N. Grabe)

German Consortium for Translational Cancer Research (DKTK)

German Society of Pathology (DGP)
Working Group ‚Liquid Biopsy‘ (E. Dahl, S. Lassmann)



Circulating Tumor Cells (CTCs)

Translational Applications

Xenopatients

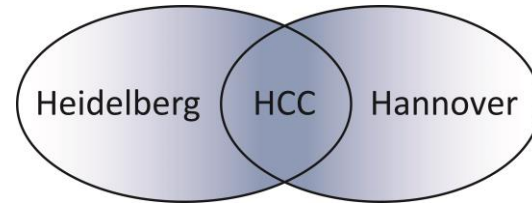
- In vivo amplification for research purposes
 - Mechanistic analyses
 - Interfering mechanisms
 - (functional) imaging
- In vivo amplification for clinical purposes
 - Drug testing?? (representativity)
 - Biomarker analysis/development

Quality Assessment in Panel Sequencing?

- Accreditation Institute (DAkkS)
- Round Robin Trials (QUiP)
- Inter-Center-Optimisation (DKTK)
- Preclinical Validation (DKTK)

Thank you!

- SFB/TRR77 Liver Cancer (Heidelberg/Hannover)
- Liver Cancer Center Heidelberg (LCCH)
- Institute of Pathology, University Hospital Heidelberg (IPH)
 - Molecular Hepatopathology Research Team
 - Diagnostic Trial Center Heidelberg
 - Molecular Diagnostic Center
- Tissue Imaging and Analysis Center Heidelberg (TIGA)
- Virtual Liver Consortium



Adaptation Single Marker: RAS in CRC

Cetuximab/Panitumumab

KRAS - Codon12/13

KRAS - Codon 61

NRAS - Codon 12/13/61

	Pmab + FOLFOX (n=320)	FOLFOX (n=321)	HR (95% KI)	p-Wert
WT RAS^a, n	259	253	-	-
Medianes OS – Monate (95% KI)	26,0 (21,7–30,4)	20,2 (17,7–23,1)	0,78 (0,62–0,99)	0,04
Medianes PFS – Monate (95% KI)	10,1 (9,3–12,0)	7,9 (7,2–9,3)	0,72 (0,58–0,90)	<0,01
MT RAS^b, n	272	276	-	-
Medianes OS – Monate (95% KI)	15,6 (13,4–17,9)	19,2 (16,7–21,8)	1,25 (1,02–1,55)	0,04
Medianes PFS – Monate (95% KI)	7,3 (6,3–7,9)	8,7 (7,6–9,4)	1,31 (1,07–1,60)	0,01

a: Wildtyp in NRAS und KRAS Exons 2, 3, 4; b: Mutation in den KRAS oder NRAS Exons 2-4
(RAS Bestimmungsrate 90%)

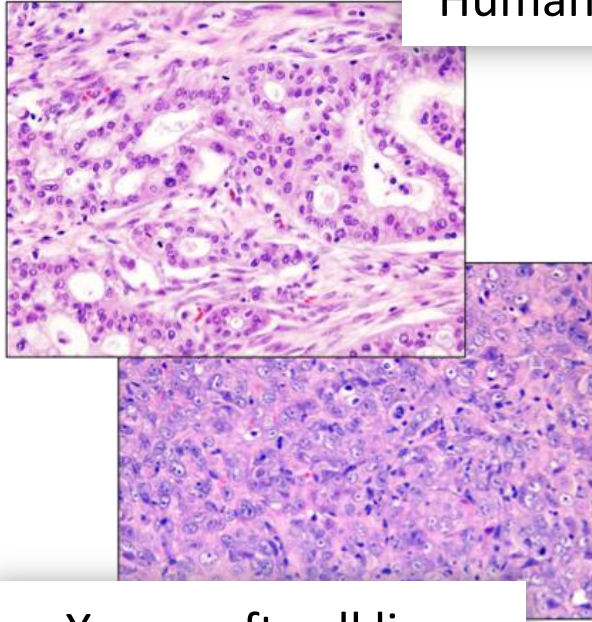
4 Amplikons



12 Amplikons

CTC-derived Tumors

Human



Xenograft cell line

Patient
PDAC



1° xenograft (PT)



expansion of
tumor material

serum-free culture

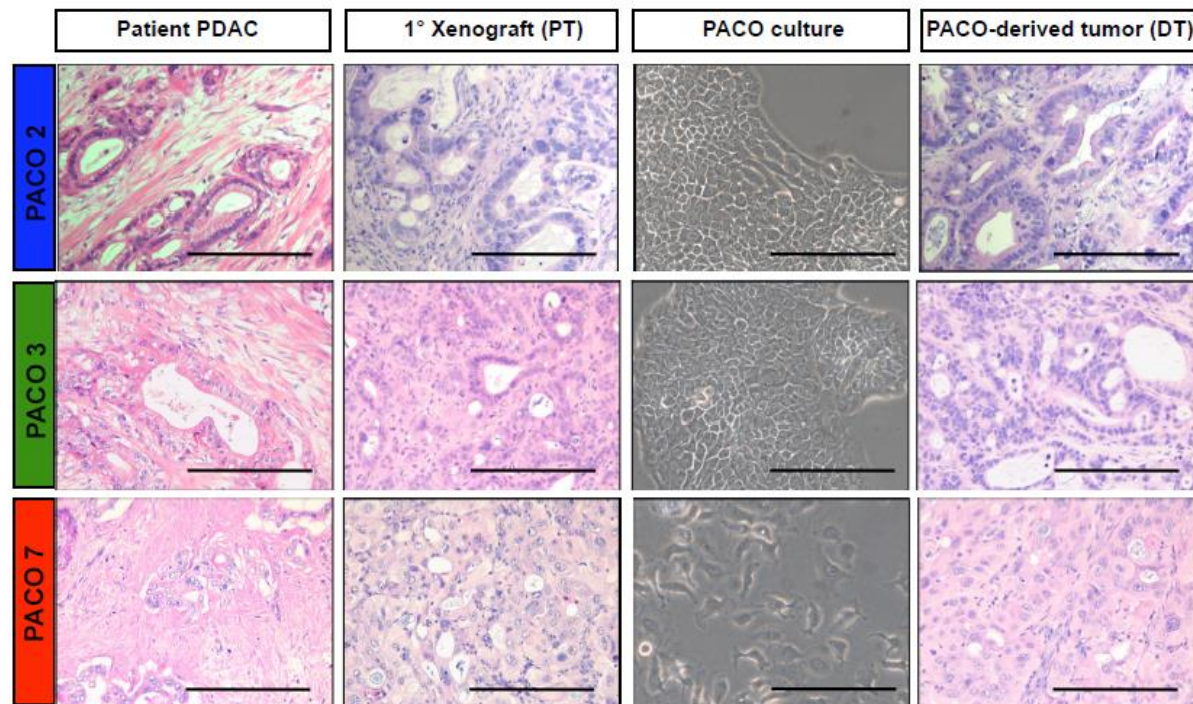


establishment of
PACO cultures

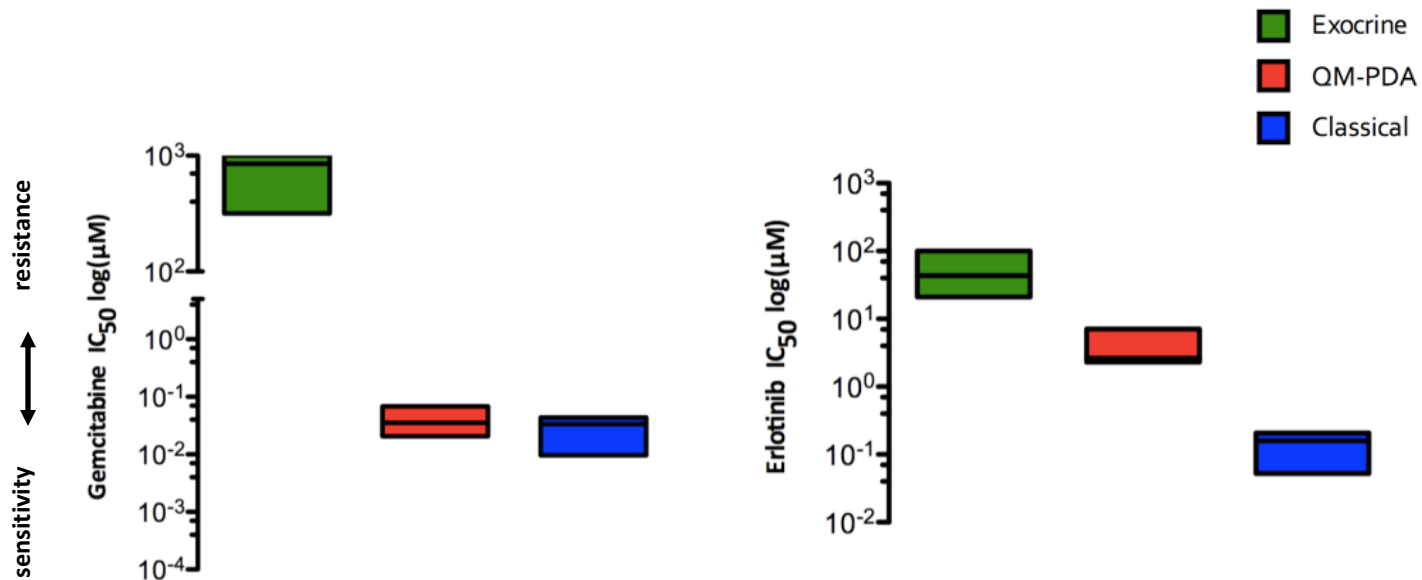
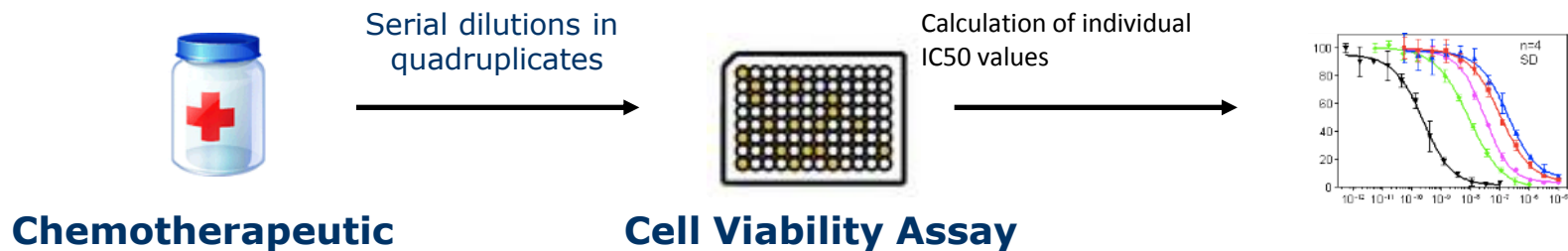
Culture derived
xenograft (DT)



validation of
tumorigenicity

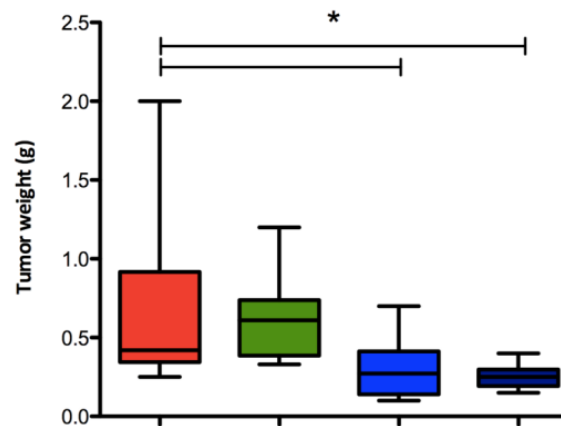
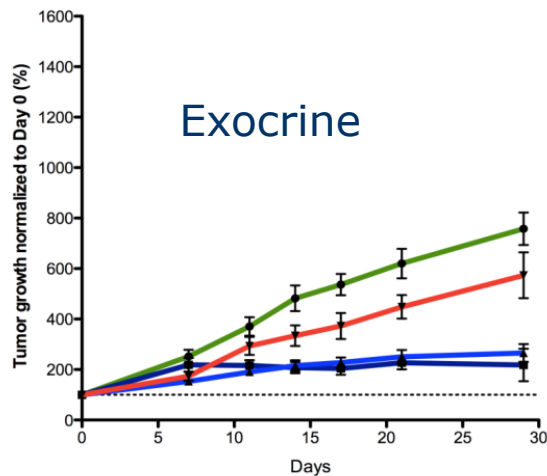
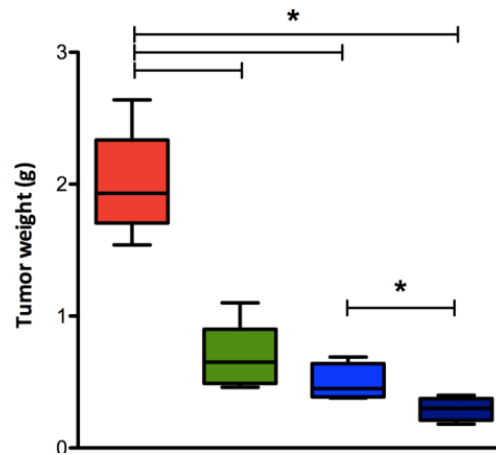
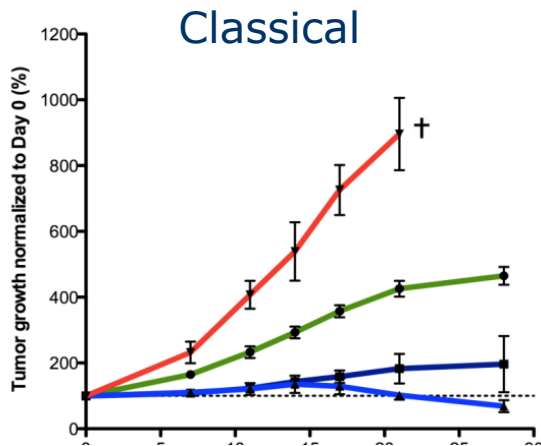
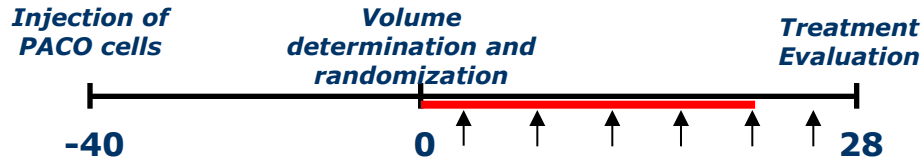


Different Sensitivity for Chemotherapy



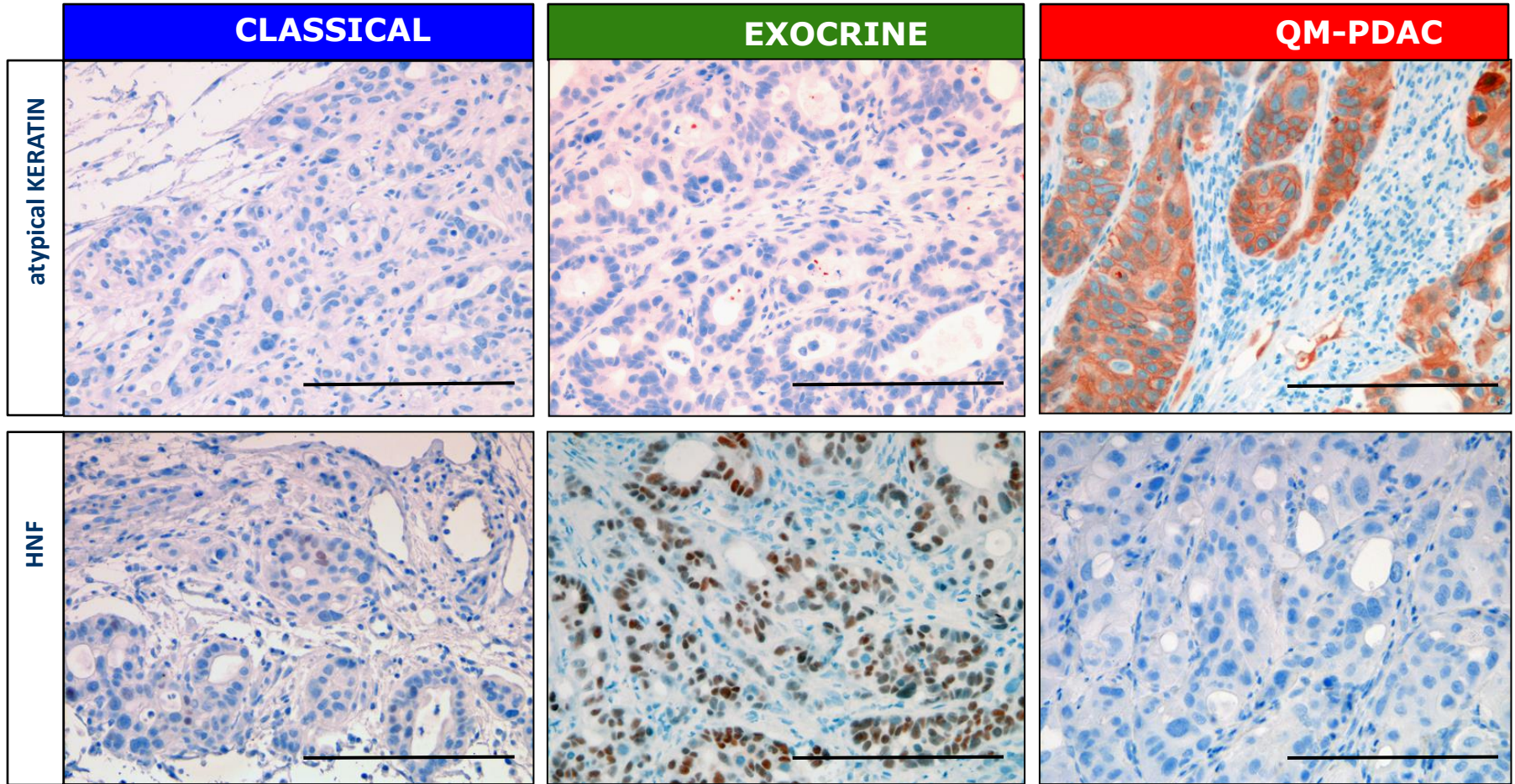
Chemotherapy *in vivo*

↑ Gemcitabine 125 mg/kg
 — Dasatinib 25 mg/kg

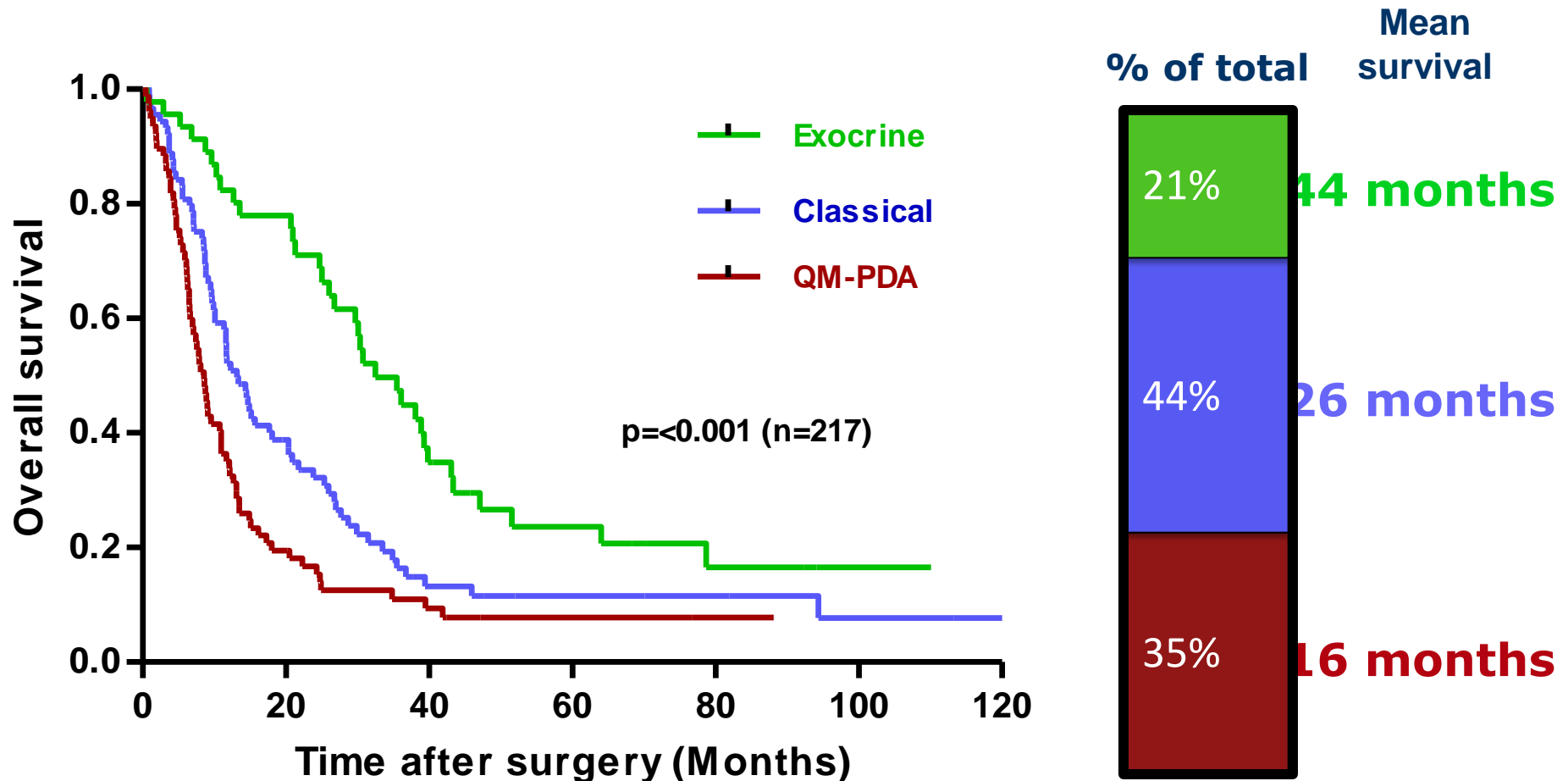


● Dasatinib
 ■ Gemzar
 ▲ Dasatinib/Gemzar
 ▼ Control

Two ABs stratify PDAC



PDAC Subtype Differences in OS



(patients with resectable tumors)

Current Predictive Tissue Tests in Oncology

Marker	Tumor Disease	Tech 1	Tech 2
ER	Breast Cancer	IHC	
HER2	Breast Cancer	IHC	FISH/CISH
HER2	Gastric Cancer	IHC	FISH/CISH
EGFR	NSCLC	Seq	
ALK	NSCLC	<i>IHC</i>	FISH/CISH
RAS	CRC	Seq	
BRAF	Melanoma	Seq	
KIT	GIST	Seq	

Currently predictive tumor markers are 50% sequencing-based and 50% histology-based

Circulating Tumor Cells (CTCs)

- Need **enrichment**; enrichment is problematic
 - Different enrichment procedures (e.g. Adnatest, CellSearch[®], OnkoQuick[®])
 - Not standardized (variation ~50%)
 - High effort; prone to contamination
 - Limited applicability (e.g. EpCAM selection in CellSearch[®]); tumor cell enrichment?
 - Maximal enrichment efforts expensive and more invasive (e.g. GILUPI, Epic Sciences)

Current **enrichment procedures are not standardized** and show **significant variation**; future **standardisation** as required for clinical test is **questionable**

CTC – Clinical Applications?

- Not useful for any primary diagnostic procedure
 - Too insensitive and variable
 - Many unknown issues
 - Too much effort (costs?)
- In vivo treatment testing? Possible, but...
 - So far insufficient success rate
 - Procedure too time consuming and labour intensive
 - No standardisation
- NIH CTC working group (CWG; preanalytic and analytical variables standardisation)

Blood-derived Nucleic Acids Analyses Research Applications

- Methods development
- Comparative testing in clinical trials
 - Tumor tissue analyses
 - Imaging (tumor load)

Limited translational research potential

But: Predictive Diagnostics remains Methodically Complex (e.g. ALK)

NGS-Report (AmpliSeq Cancer Hotspot Panel V2)

Material

Internes Blockmaterial R-4775/13

Klinische Angaben

Bitte um EGFR- und ALK-Analyse

Befund

Am morphologisch gesicherten und angereicherten Tumorgewebe (50 % Tumorzellgehalt) wurde eine gezielte Mutationsanalyse mittels der Next Generation Sequenzierungstechnologie (PGM; ION TORRENT) unter der Verwendung des AmpliCancer Panels V2 (207 Amplikons; u.a. EGFR Exone 18 - 21) durchgeführt.

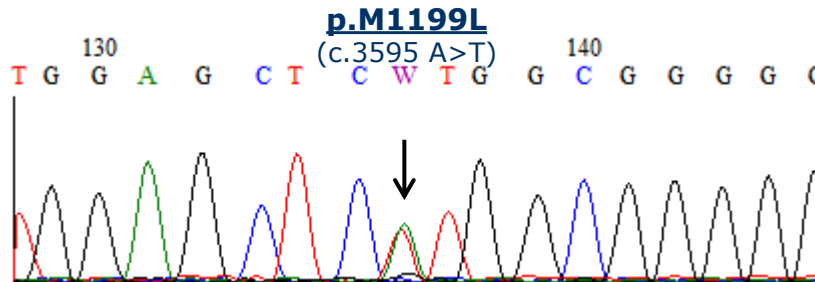
Hierbei wurde die Punktmutation p.M1199L mit einer Allelfrequenz von 50 % bei einer Amplikonabdeckung (Coverage) von 1012 im Exon 23 von ALK nachgewiesen.

Diese Missense-Mutation ist bisher nicht beschrieben worden. Somit liegen keine spezifischen Informationen zum ALK-Aktivierungsstatus bzw. zur TKI-Responsivität vor.

In den untersuchten Sequenzbereichen von EGFR konnte keine Mutationen detektiert werden.

Der Status aller anderen untersuchten Genabschnitte ist in unserer Datenbank hinterlegt und kann bei Bedarf (z.B. Studienkontext) angefordert werden.

Validierung der p.M1199L Mutation (Sanger-Sequenzierung ALK Exon 23)



RT-PCR Analyse der EML4-ALK Fusionstranskripten (Varianten 1 - 3)

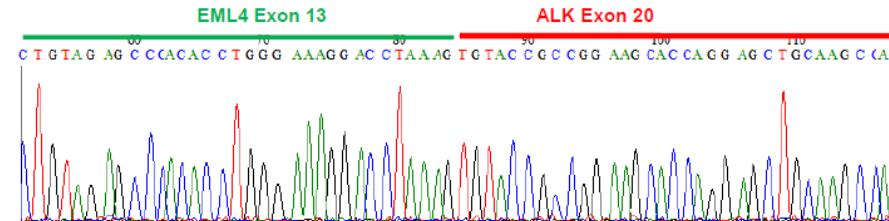
Produktgrößen
3'ALK RT-PCR: 150 bp
V1 (E13:A20) RT-PCR: 150 bp
V2 (E20:A20) RT-PCR: 138 bp
V3 (E6a/b:A20) RT-PCR: 128/161 bp
Extraktionskontrolle GAPDH: 135 bp

Nr. 1: Marker		
Nr. 2: R-4775/13	Extraktionskontrolle GAPDH	Positiv
Nr. 3: R-4775/13	3'ALK RT-PCR	Positiv
Nr. 4: R-4775/13	V 3 (E6a/b:A20) RT-PCR	Negativ
Nr. 5: R-4775/13	V 1 (E13:A20) RT-PCR	Positiv
Nr. 6: R-4775/13	V 2 (E20:A20) RT-PCR	Negativ
Nr. 7: NTC	Mix GAPDH	Negativ
Nr. 8: NTC	Mix 3'Alk	Negativ
Nr. 9: NTC	Mix E6a/b:A20	Negativ
Nr. 10: NTC	Mix E13:A20	Negativ
Nr. 11: NTC	Mix E20:A20	Negativ

*NTC = „no template control“



Sequenz des V 1 (E13:A20) RT-PCR-Produktes (Nr. 5)



Beurteilung:

Nachweis einer EML4-ALK Translokation mittels FISH sowie translokationsspezifischer RT-PCR (E13:A20) mit Bruchpunktsequenzierung (Sanger) und Nachweis der ALK-Überexpression mittels RT-PCR und Immunhistologie (D5F3, Ventana). Zusätzlich Nachweis einer M1199L Punktmutation in Exon 23 des ALK-Gens mittels NGS (PGM, Ion Torrent) und Sanger-Sequenzierung (Allelfrequenz 50%, Coverage 1012). Die Voraussetzungen für eine Crizotinib-Therapie sind gegeben.

Clinical Improvement by NGS

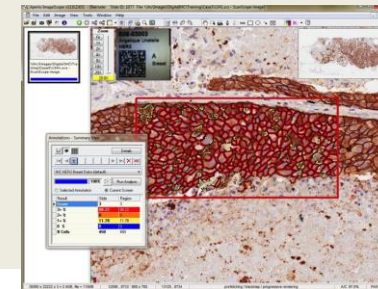
- Provides oncologists with all necessary information for upfront therapy planning (clinical wish)
 - Patient information
 - Modifies therapy planning in first line
- Relevant additional information:
 - E.g. BRAF-mutations in CRC (not otherwise tested but invalidates EGFR inhibition)
 - Therapy planning in diagnostically unclear tumors (CUP)
 - Potential for targeted trials

Relevant additional Innovations by Panel-NGS

- Provides patients with access clinical trials
- Essential component of CCCs (Umbrella-concepts)
- Basis for registries (monitoring; improvement of diagnostics and therapy, comparison of centers, epidemiology etc.)
- Basis for bedside-bench research improving diagnostic output

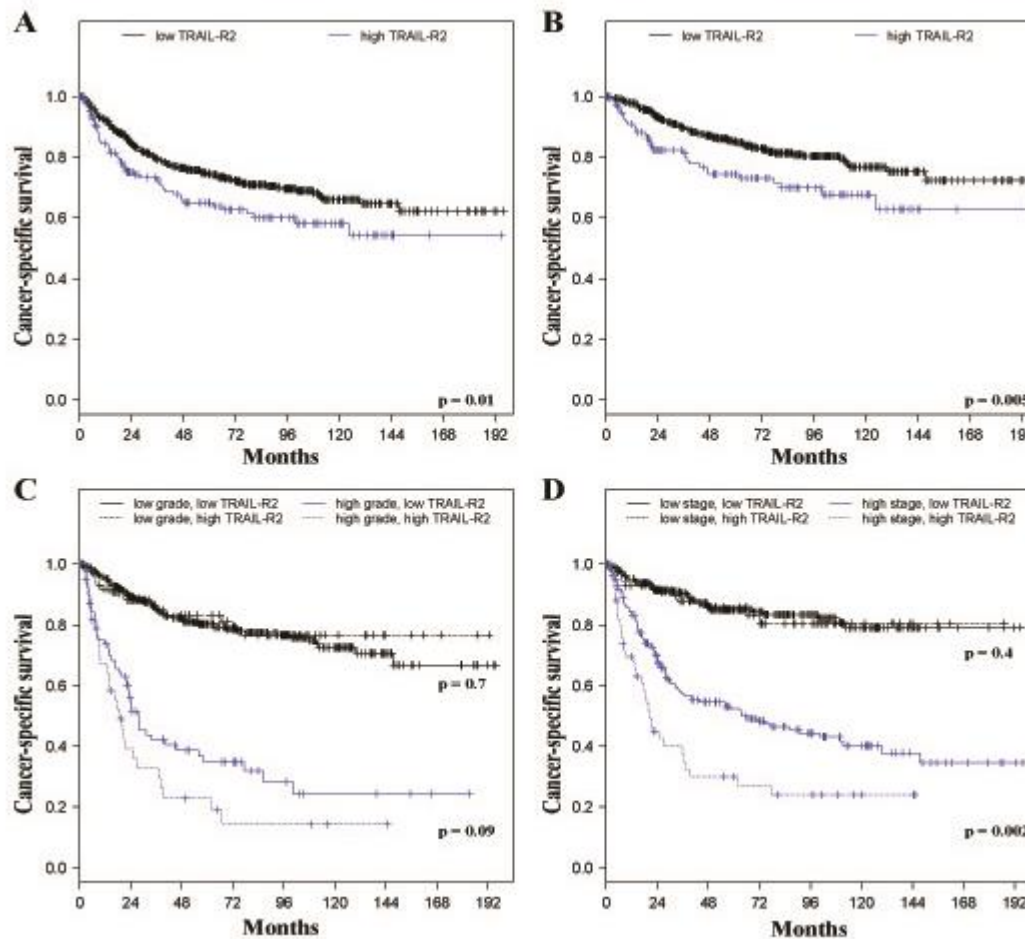
IHC/ISH automated Assessment

- Specified technology, work flow, and collective
- Work flows are up to it
- High pressure to provide quantitative data
- Reliable quantitative data can be produced
- Marriage of VM and image analysis
- Parallel processing
- Requires highly elaborate segmentation programs
- Needs tedious adjustment to every single test
- Additional standard incubation
- Only stepwise (testwise) implementation possible



Nevertheless, this is the proof of principle!

Correlative Data Analyses



Macher-Goeppinger et al., Clin Cancer Res 15 (2009) 650-9

Why Panel-Sequencing in Molecular Pathology Diagnostics?

- **Methodical Reasons**
- **Clinical Reasons**
- **Necessary Innovation**

Some Open Questions

Which cellular source is responsible for ctDNA?

necrotic, apoptotic, or vital cells?

Which tumor compartment is represented by ctDNA and CTCs? To which extent?

Primary? metastases? Cancer stem cells? Or none?

How is the result influenced by real world parameters

time of blood draw, source of blood draw, decay processes, interference by medications etc.

How can the results be attributed to a given tumor?

pre-malignant condition/carcinogenic field/secondary malignancy

How can technical/methodical questions be solved

*distinguish unreliable results from true wt cases? Insufficient sensitivity?
Contamination? Short $t_{1/2}$?*

How can the isolation procedure be standardized?

CTC-definition and isolation; ctDNA isolation