

Final overall survival (OS) analysis from the CLEOPATRA study of first-line (1L) pertuzumab (Ptz), trastuzumab (T), and docetaxel (D) in patients with HER2-positive metastatic breast cancer (MBC)

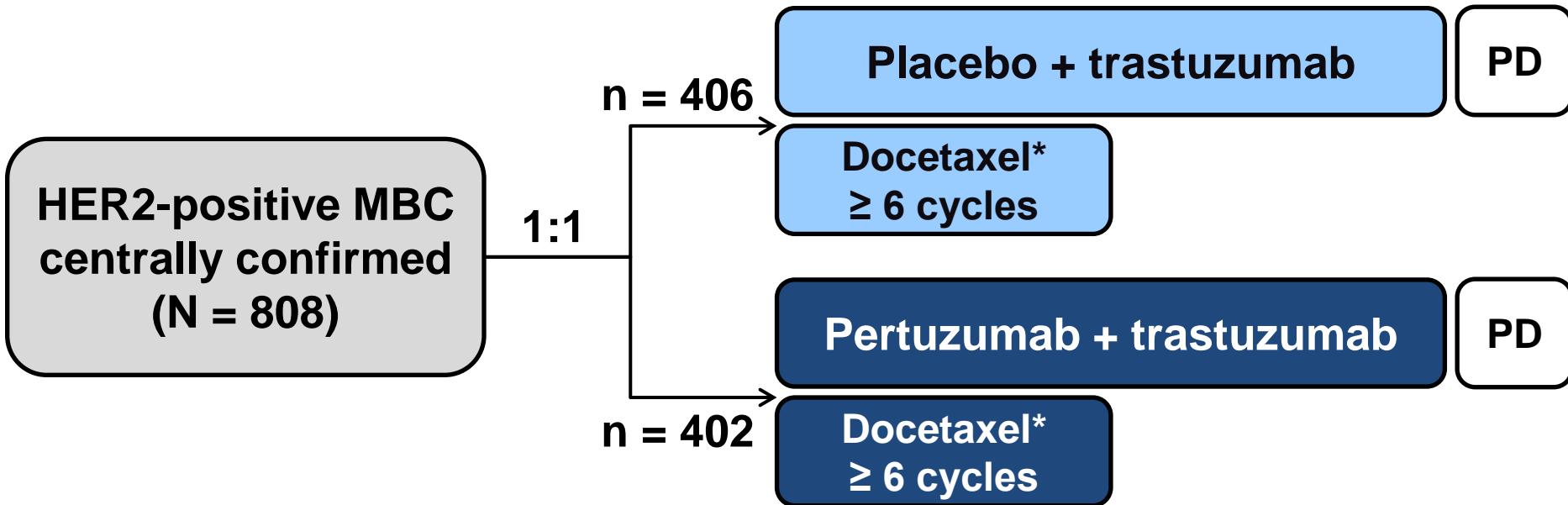
Sandra M. Swain,¹ Sung-Bae Kim,² Javier Cortés,³
Jungsil Ro,⁴ Vladimir Semiglazov,⁵ Mario Campone,⁶
Eva Ciruelos,⁷ Jean-Marc Ferrero,⁸ Andreas Schneeweiss,⁹
Sarah Heeson,¹⁰ Emma Clark,¹⁰ Graham Ross,¹⁰
Mark C. Benyunes,¹¹ and José Baselga¹²

¹Washington Cancer Institute, MedStar Washington Hospital Center, Washington, DC, USA; ²Department of Oncology, Asan Medical Center, University of Ulsan College of Medicine, Seoul, South Korea; ³Vall d'Hebron University Hospital, Vall d'Hebron Institute of Oncology (VHIO), Barcelona, Spain; ⁴Center for Breast Cancer, National Cancer Center, Goyang, South Korea; ⁵NN Petrov Research Institute of Oncology, St Petersburg, Russia; ⁶Centre René Gauducheau, Saint-Herblain (Nantes), France; ⁷12 de Octubre University Hospital, Medical Oncology Department, Madrid, Spain; ⁸Centre Antoine Lacassagne, Nice, France; ⁹National Center for Tumor Diseases, University Hospital, Heidelberg, Germany; ¹⁰Roche Products Limited, Welwyn, United Kingdom; ¹¹Genentech, South San Francisco, CA, USA; ¹²Memorial Sloan-Kettering Cancer Center, Memorial Hospital, New York, NY, USA

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- GR: Roche employee; owns Roche shares
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CLEOPATRA Study Design



- Randomization stratified by geographic region and neo/adjuvant chemotherapy
- Study dosing q3w:
 - Pertuzumab/placebo: 840 mg loading → 420 mg maintenance
 - Trastuzumab: 8 mg/kg loading → 6 mg/kg maintenance
 - Docetaxel: 75 mg/m² → 100 mg/m² escalation if tolerated

* < 6 cycles allowed for unacceptable toxicity or PD; > 6 cycles allowed at investigator discretion.

HER2, human epidermal growth factor receptor 2;

MBC, metastatic breast cancer;

PD, progressive disease.

Baselga J, et al. *N Engl J Med* 2012; 366:109–119.

Eligibility Criteria

- **HER2-positive (centrally confirmed)**
- **Metastatic, locally recurrent, or unresectable BC**
- **Measurable or non-measurable disease**
- **≤ 1 hormonal regimen for MBC prior to randomization**
- **Disease-free interval ≥ 12 months since prior neo/adjuvant treatment**
- **LVEF $\geq 50\%$ at baseline**

Statistical Considerations

- Primary endpoint
 - Independently assessed PFS
 - At 381 events
- Secondary endpoints
 - Investigator-assessed PFS
 - Objective response rate
 - Safety
 - OS
 - Final analysis planned at 385 deaths, with two interim analyses

OS, overall survival;
PFS, progression-free survival.

Baselga J, et al. *N Engl J Med* 2012; **366**:109–119;
Swain SM, et al. *Lancet Oncol* 2013; **14**:461–471.

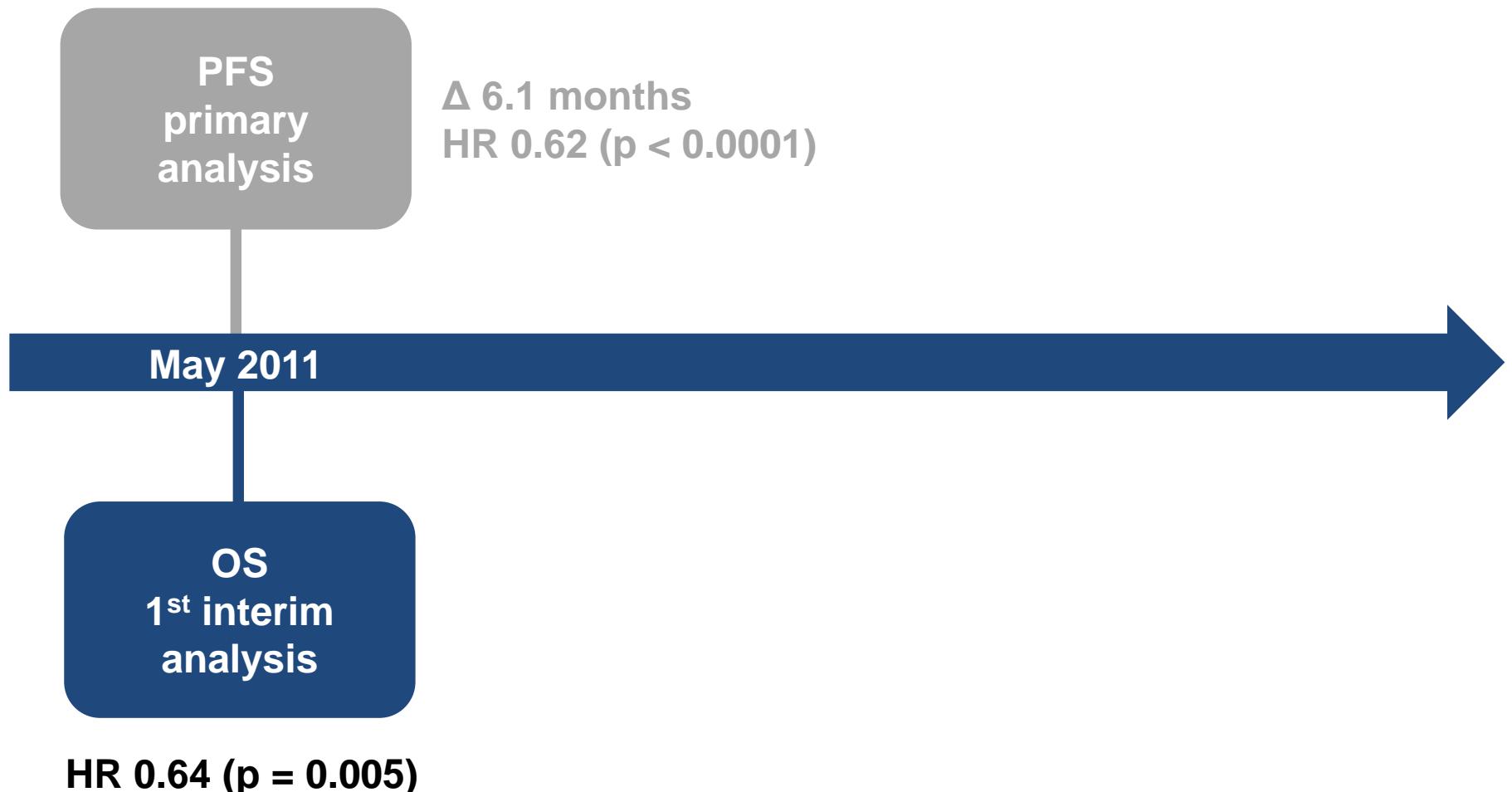
Efficacy Analysis Milestones

PFS
primary
analysis

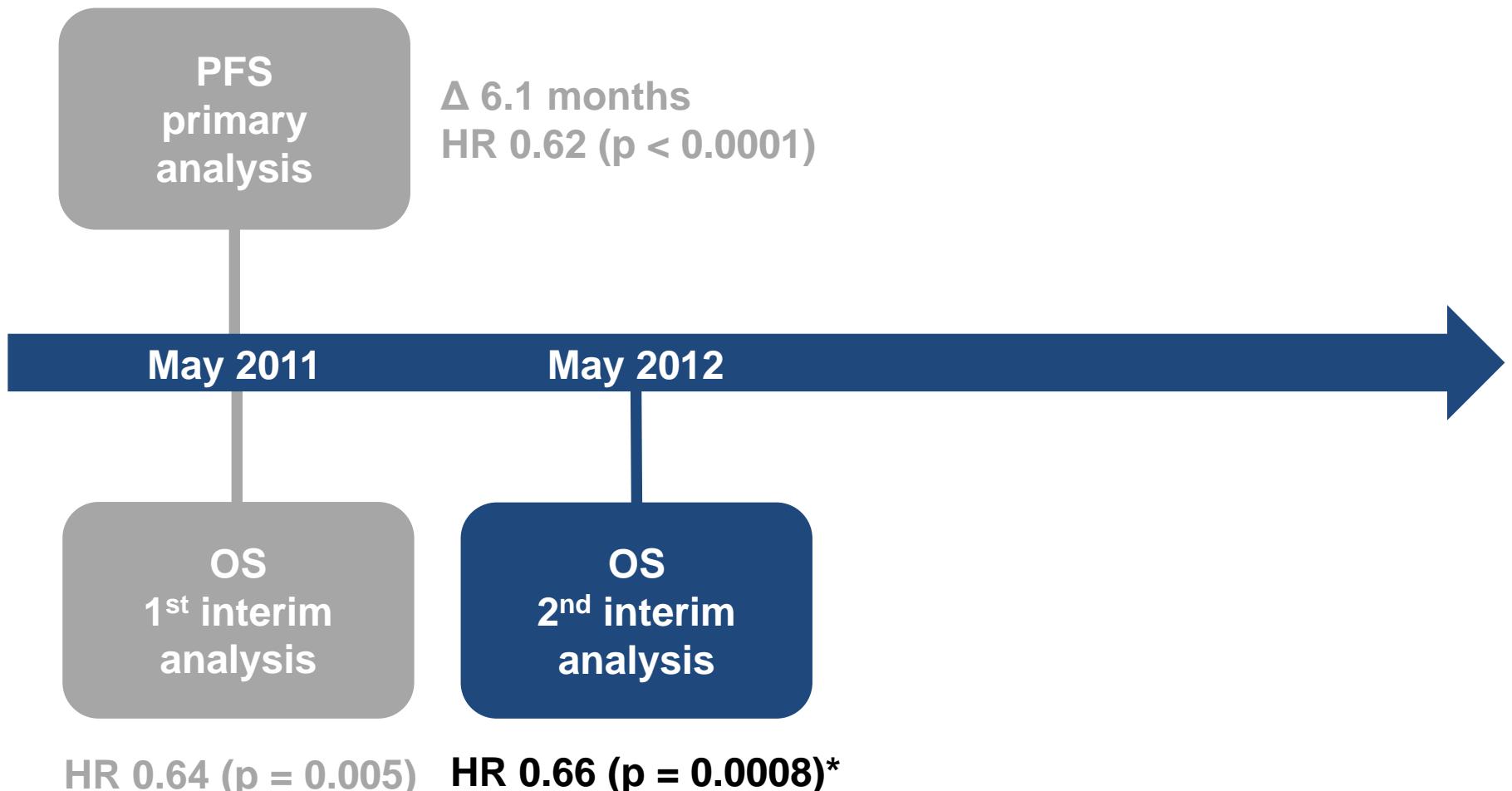
Δ 6.1 months
HR 0.62 ($p < 0.0001$)

May 2011

Efficacy Analysis Milestones



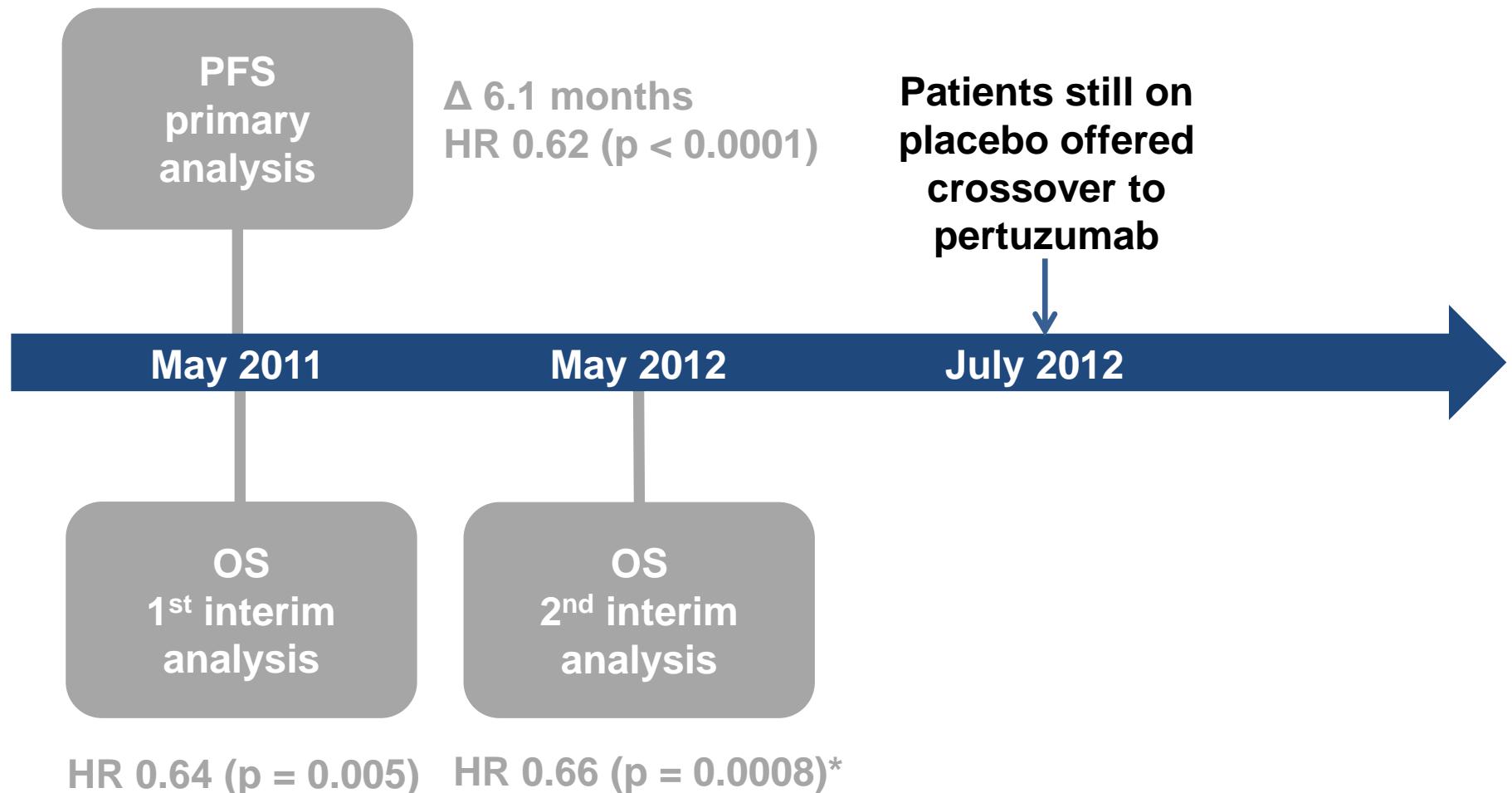
Efficacy Analysis Milestones



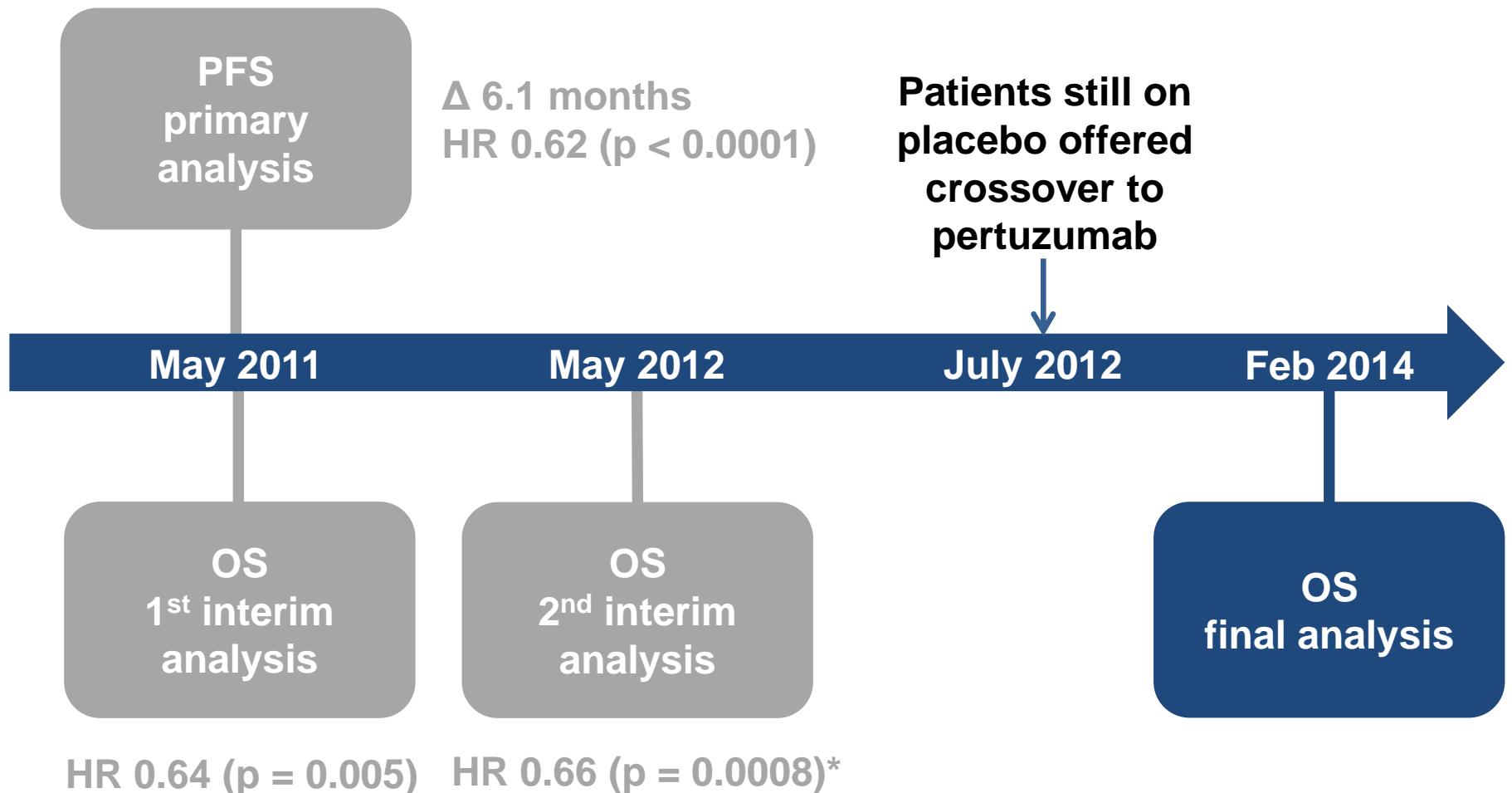
* Crossed the prespecified O'Brien-Fleming stopping boundary (HR ≤ 0.739; p ≤ 0.0138)

Swain SM, et al. *Lancet Oncol* 2013; 14:461–471.

Efficacy Analysis Milestones



Efficacy Analysis Milestones



Baseline Characteristics

ITT population	Placebo + T + D (n = 406)		Pertuzumab + T + D (n = 402)	
Median age, years (range)	54.0	(27–89)	54.0	(22–82)
Region, n (%)				
Asia	128	(31.5)	125	(31.1)
Europe	152	(37.4)	154	(38.3)
North America	68	(16.7)	67	(16.7)
South America	58	(14.3)	56	(13.9)
Hormone receptor status, n (%)				
ER- and/or PgR-positive	199	(49.0)	189	(47.0)
ER- and PgR-negative	196	(48.3)	212	(52.7)
Unknown	11	(2.7)	1	(0.2)
Disease type at screening, n (%)				
Nonvisceral	90	(22.2)	88	(21.9)
Visceral	316	(77.8)	314	(78.1)

D, docetaxel; ER, estrogen receptor;
PgR, progesterone receptor; T, trastuzumab.

Baselga J, et al. *N Engl J Med* 2012; **366**:109–119.

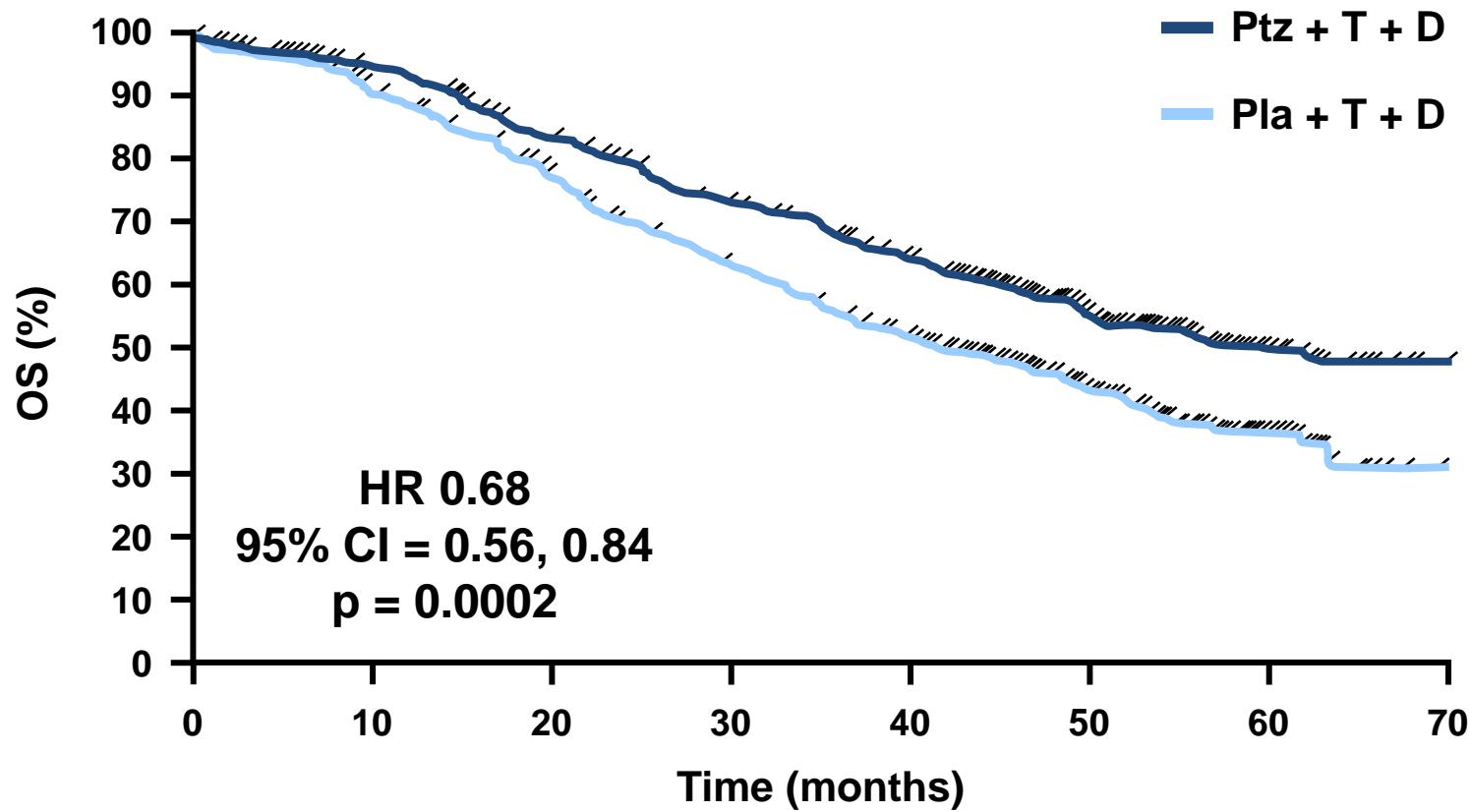
Prior Therapy for Breast Cancer

ITT population	Placebo + T + D (n = 406)	Pertuzumab + T + D (n = 402)	
Prior neo/adjuvant chemotherapy, n (%)			
Yes	192 (47.3)	184 (45.8)	
No	214 (52.7)	218 (54.2)	
Components of neo/adjuvant therapy*, n (%)			
Anthracyclines	164 (40.4)	150 (37.3)	
Taxanes	94 (23.2)	91 (22.6)	
Hormonal treatments	97 (23.9)	106 (26.4)	
Trastuzumab	41 (10.1)	47 (11.7)	

* Patients could have received more than one therapy.

Final OS Analysis

Median follow-up 50 months (range 0–70 months)

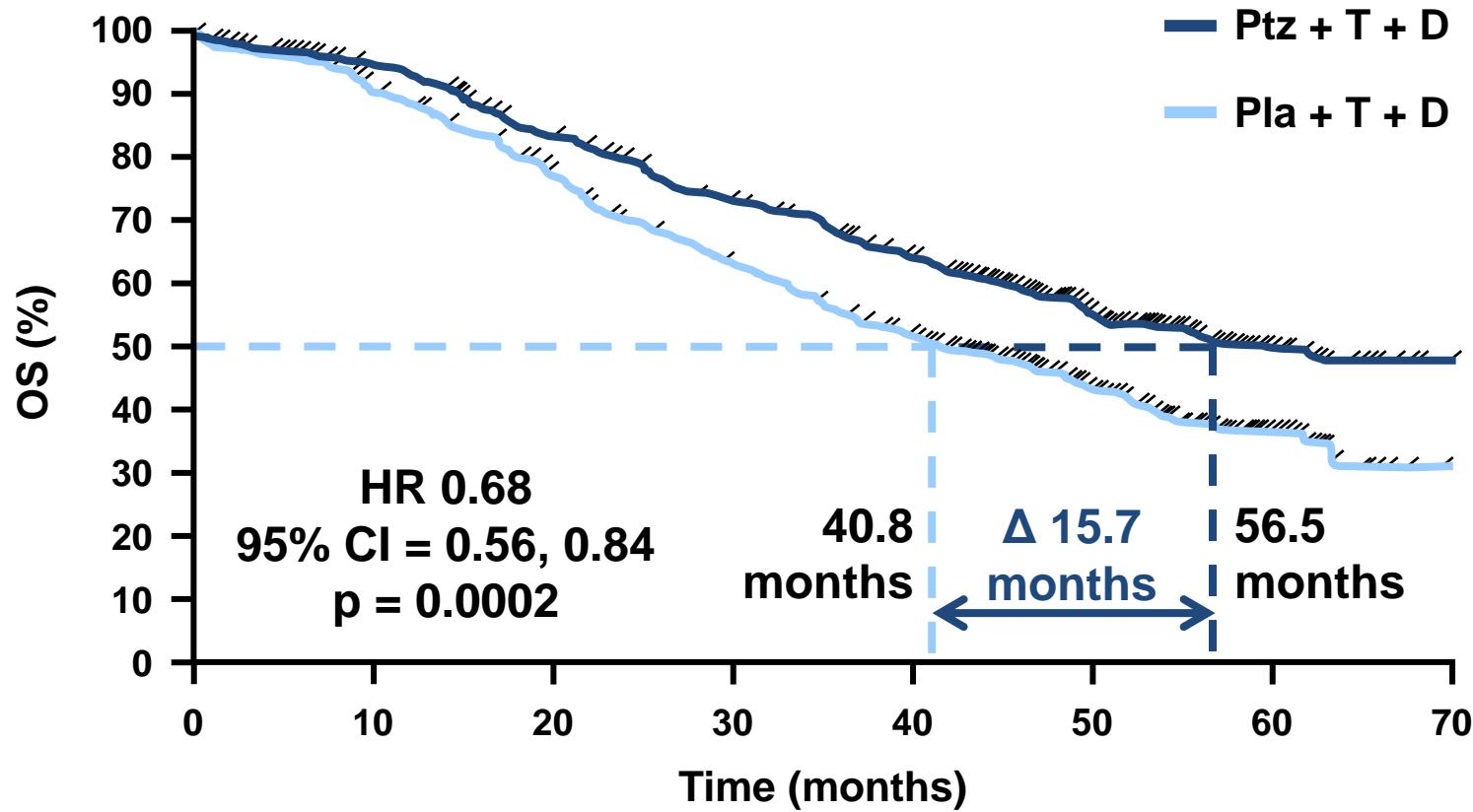


ITT population. Stratified by geographic region and neo/adjuvant chemotherapy.

CI, confidence interval; Pla, placebo; Ptz, pertuzumab.

Final OS Analysis

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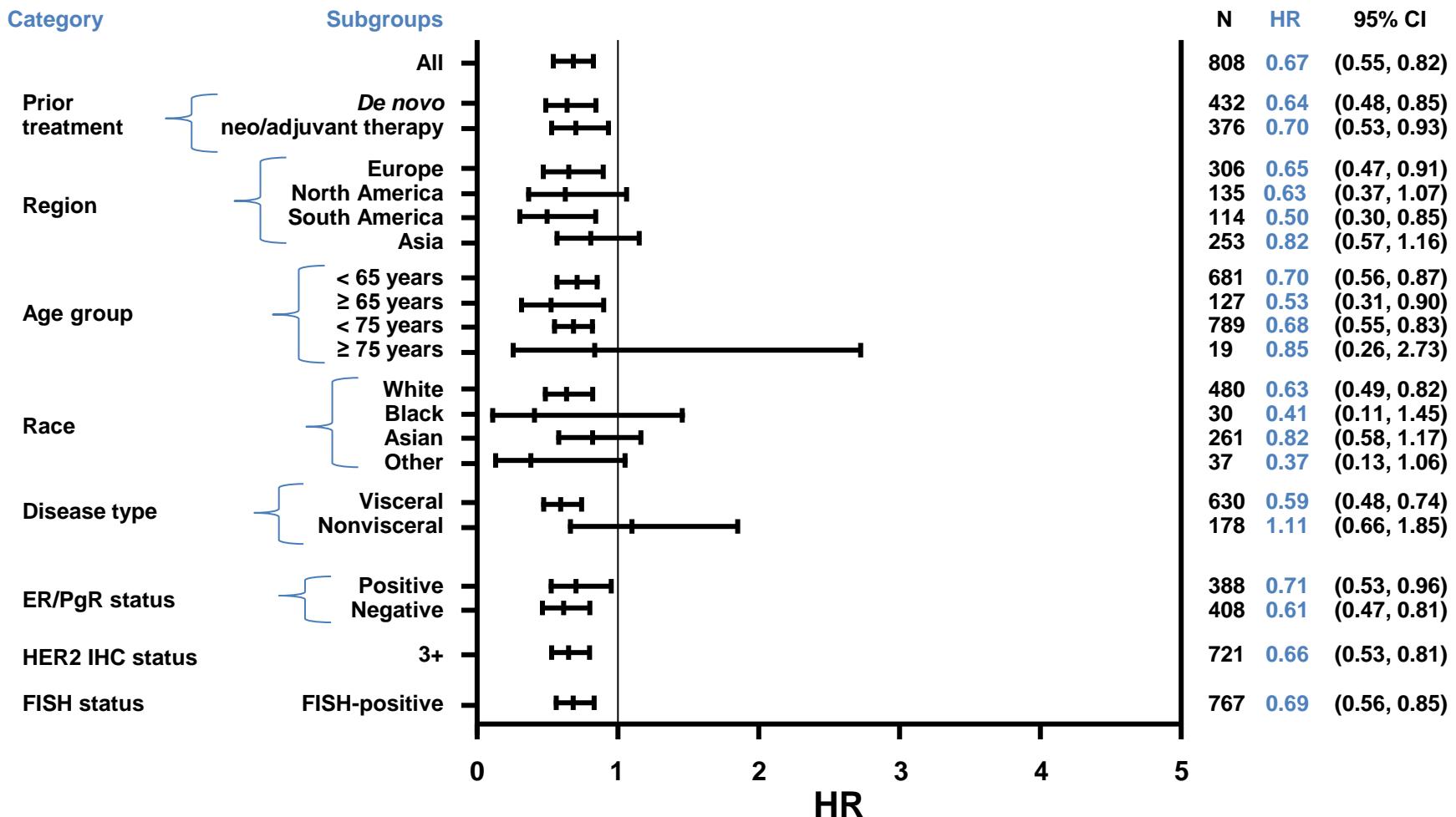
n at risk

—	Ptz + T + D	402	371	318	268	226	104	28	1
—	Pla + T + D	406	350	289	230	179	91	23	0

ITT population. Stratified by geographic region and neo/adjuvant chemotherapy.

CI, confidence interval; Pla, placebo; Ptz, pertuzumab.

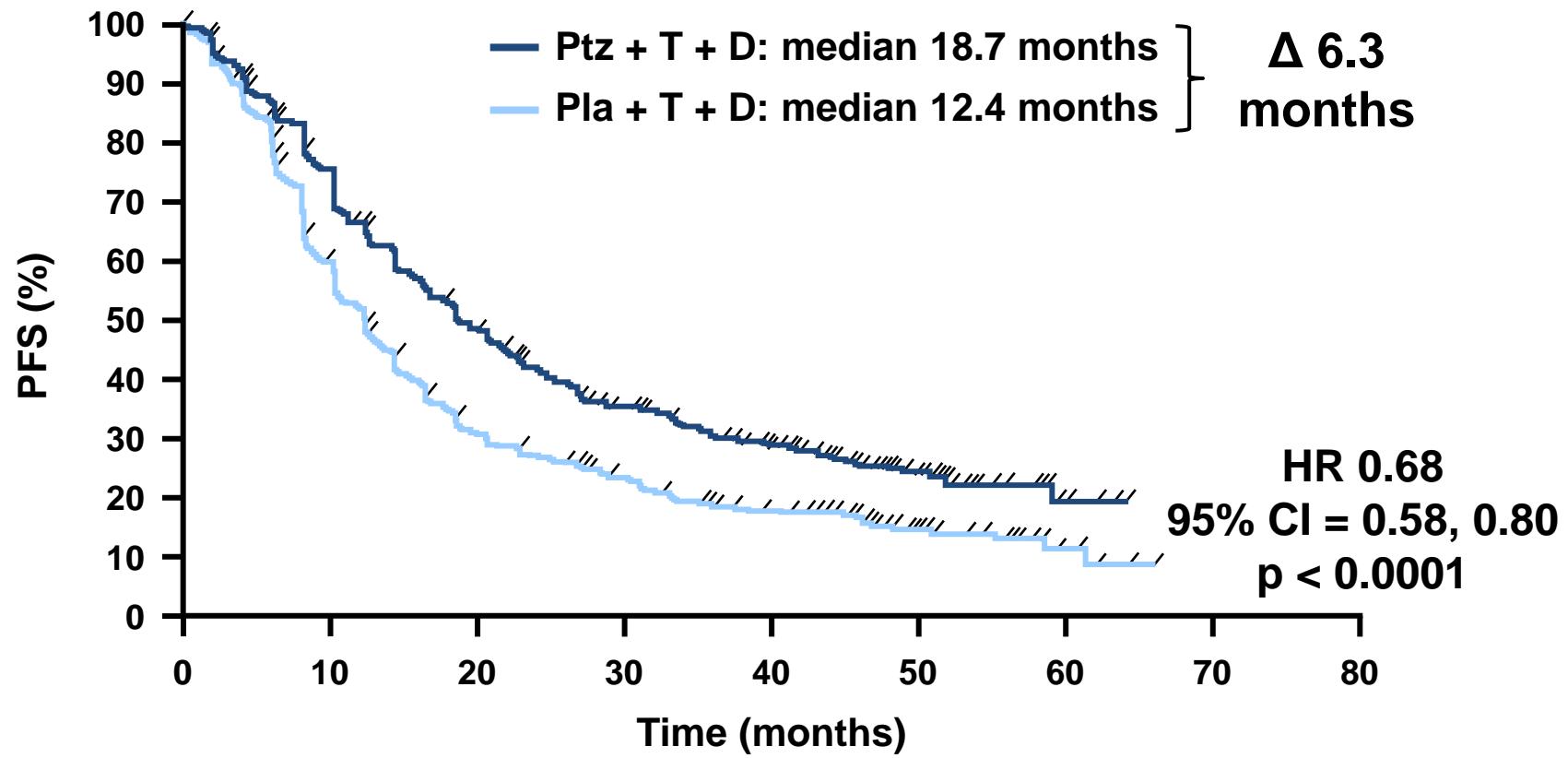
OS: Predefined Subgroups



ITT population. Nonstratified.

Updated PFS

Investigator-Assessed



n at risk

— Ptz + T + D	402	284	179	121	87	37	6	0	0
— Pla + T + D	406	223	110	75	51	21	6	0	0

ITT population. Stratified by geographic region and neo/adjuvant chemotherapy.

Exposure to Study Treatment

Safety population*	Placebo + T + D (n = 396)	Pertuzumab + T + D (n = 408)
Median time on study treatment, months (range)	11.4 (0.1–66.3)	17.4 (0.1–67.7)
Median number of docetaxel cycles (range)	8 (1–42)	8 (1–52)

* All patients who received any amount of study medication (pertuzumab/placebo, T, and/or D).

Adverse Events (All Grades) with $\geq 25\%$ Incidence or $\geq 5\%$ Difference between Groups Overall

Safety population	Placebo + T + D (n = 396), %	Pertuzumab + T + D (n = 408), %
Alopecia	60.6	60.8
Diarrhea	48.7	68.4
Neutropenia	50.0	53.4
Nausea	42.4	44.9
Fatigue	37.4	38.0
Rash	24.0	37.5
Asthenia	30.8	27.7
Decreased appetite	26.8	29.7
Peripheral edema	28.0	24.0
Vomiting	24.5	26.0
Myalgia	25.0	24.3
Mucosal inflammation	19.9	27.2
Headache	19.2	25.7
Constipation	25.5	15.9
Upper respiratory tract infection	14.4	20.8
Pruritus	10.1	17.6
Febrile neutropenia	7.6	13.7
Dry skin	6.1	11.3
Muscle spasms	5.1	10.3

Grade ≥ 3 Adverse Events

Incidence $\geq 5\%$

Safety population	Placebo + T + D (n = 396), %	Pertuzumab + T + D (n = 408), %
Neutropenia	46.2	49.0
Leukopenia	14.9	12.3
Febrile neutropenia	7.6	13.7
Diarrhea	5.1	9.3

- No cumulative toxicities

Cardiac Safety

Safety population	Placebo + T + D (n = 396), %	Pertuzumab + T + D (n = 408), %
sLVD	1.8	1.5
LVEF decline to < 50% and by ≥ 10% points from baseline*	7.4	6.1

- One new sLVD event in the pertuzumab group after 40 months (resolved)
- LVEF declines reversed in 88% of pertuzumab patients

* In patients with post-baseline assessment; n = 378 in the placebo group and 394 in the pertuzumab group.
sLVD, symptomatic left ventricular dysfunction.

Causes of Death

Safety population	Placebo + T + D (n = 396), %	Pertuzumab + T + D (n = 408), %
PD	49.5	36.8
Febrile neutropenia or infection	1.5	1.7
Other/unknown	3.8	2.9

Treatment after Study Discontinuation

ITT population	Placebo + T + D (n = 369 withdrawn), %	Pertuzumab + T + D (n = 335 withdrawn), %
Any	78.9	77.0
	n = 291	n = 258
Any HER2-targeted treatment	71.5	72.9
Trastuzumab	41.6	45.3
Pertuzumab	1.0	0.8
Lapatinib	48.8	48.1
Trastuzumab emtansine	11.7	12.4
Capecitabine	58.4	55.0
Vinorelbine	30.2	26.0
Doxorubicin	19.2	15.9
Cyclophosphamide	16.8	15.9
Taxanes	19.2	15.1
Hormonal treatments	19.2	26.7

CLEOPATRA Conclusions

- The addition of pertuzumab to standard 1L therapy significantly improved median OS by **15.7 months**
 - Benefit consistent across subgroups
- Investigator-assessed PFS benefit maintained
- No new safety concerns
 - Long-term cardiac safety maintained

CLEOPATRA Conclusions

- The addition of pertuzumab to standard 1L therapy significantly improved median OS by 15.7 months
 - Benefit consistent across subgroups
- Investigator-assessed PFS benefit maintained
- No new safety concerns
 - Long-term cardiac safety maintained

The 56.5-month median OS is unprecedented in this indication and confirms the pertuzumab regimen as first-line standard of care for patients with HER2-positive MBC

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