

# ADJUVANT THERAPY IN BREAST CANCER

*Quo vadis?*

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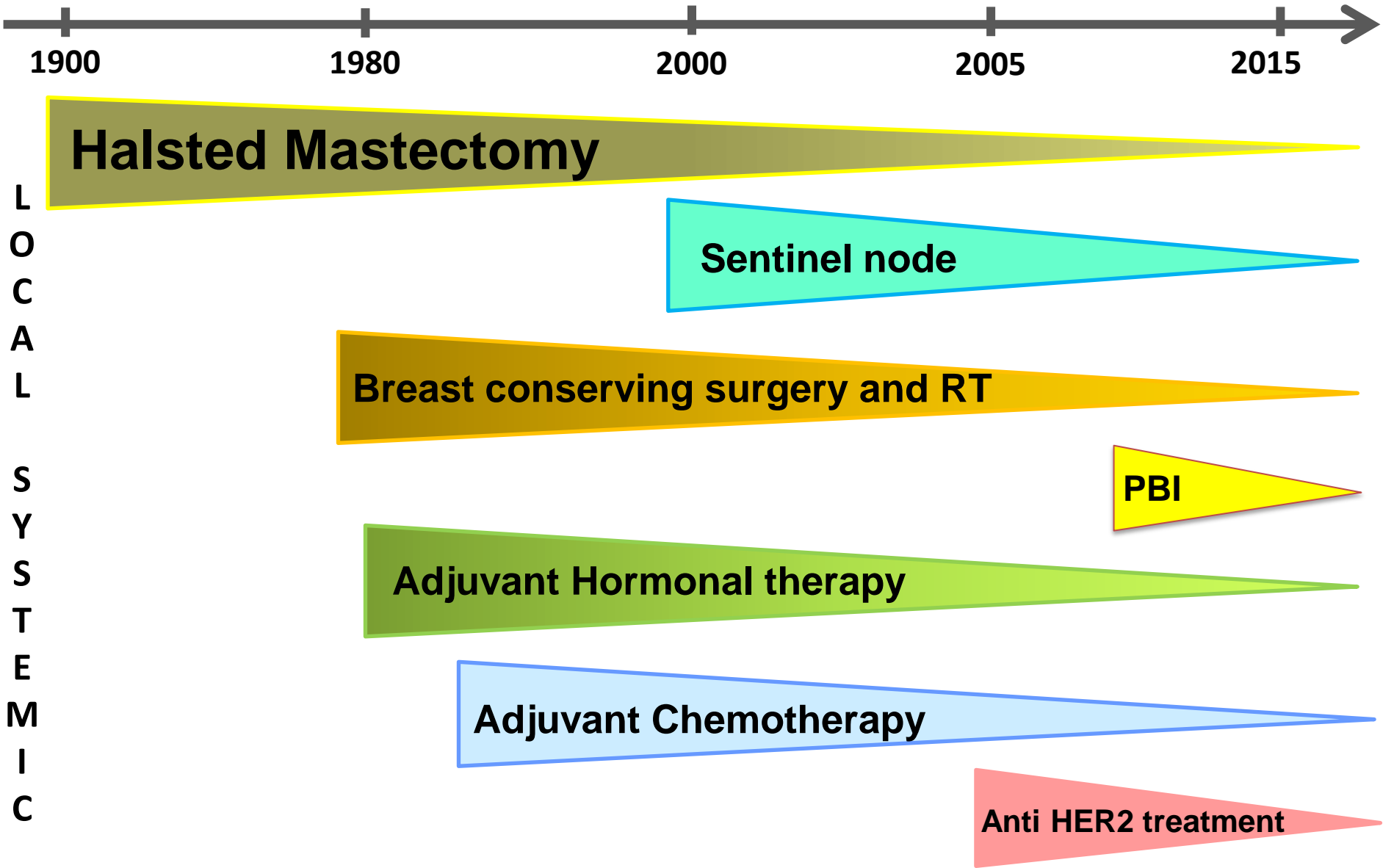
# DISCLOSURES

- **Board member:** PharmaMar
- **Consultant (honoraria):** Amgen, Astellas, AstraZeneca, Bayer, Invivis, Lilly, MSD, Novartis, Pfizer, Roche-Genentech, Sanofi-Aventis, Symphogen, Synthon, Verastem
- **Research grants to Jules Bordet Institute:** most companies
- **Speakers bureau/stock ownership:** none

# Plan of the talk

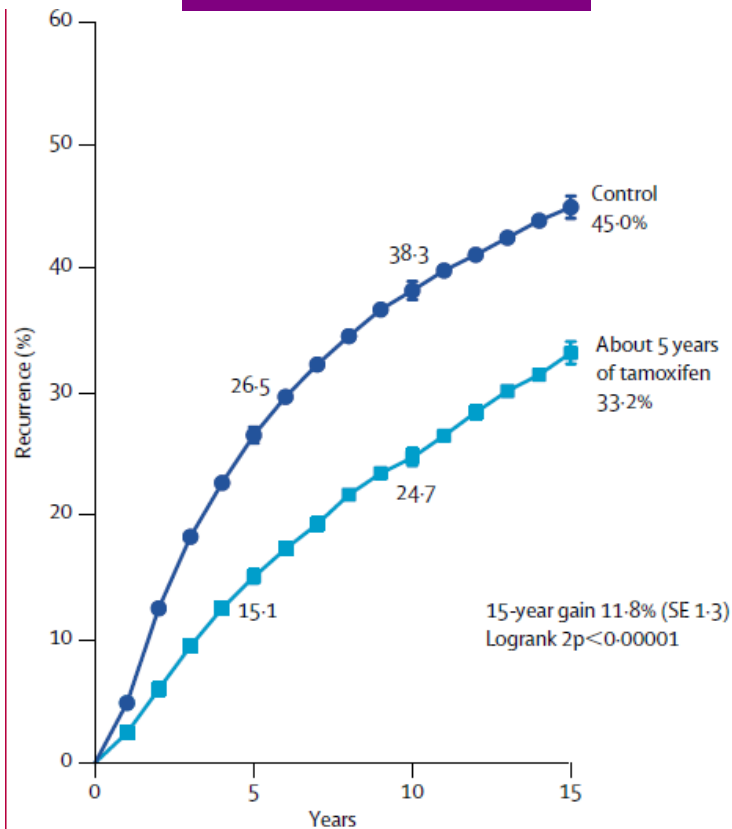
- **Rapid overview of current adjuvant treatment practice**
- **Lessons and questions in :**
  - 1. Luminal BC**
  - 2. Triple Negative BC**
  - 3. HER2 positive BC**

# Changes in clinical practice for early Breast Cancer

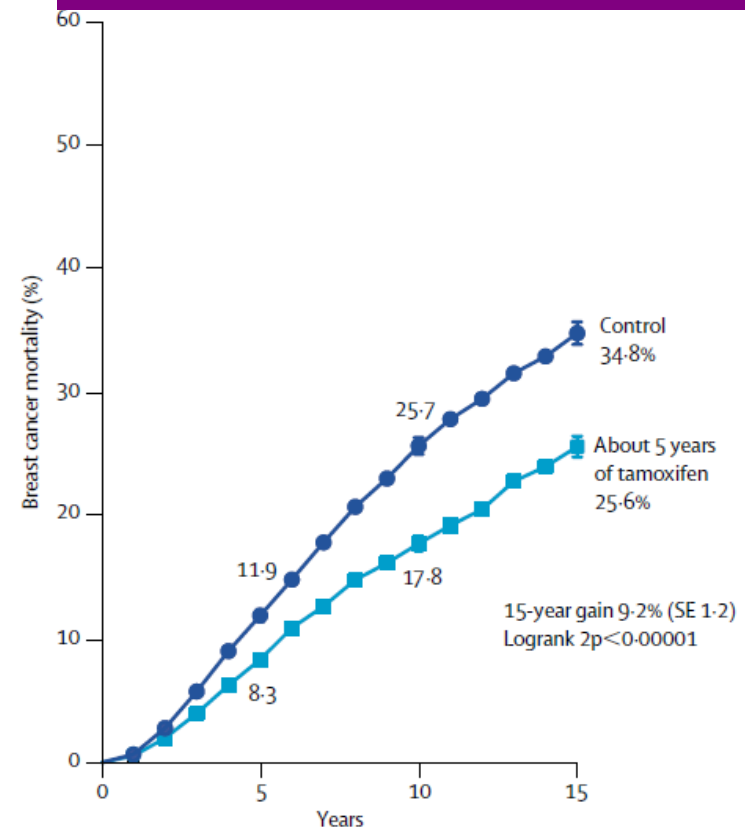


# ADJUVANT HORMONAL THERAPY (Tamoxifen) IMPROVES SURVIVAL

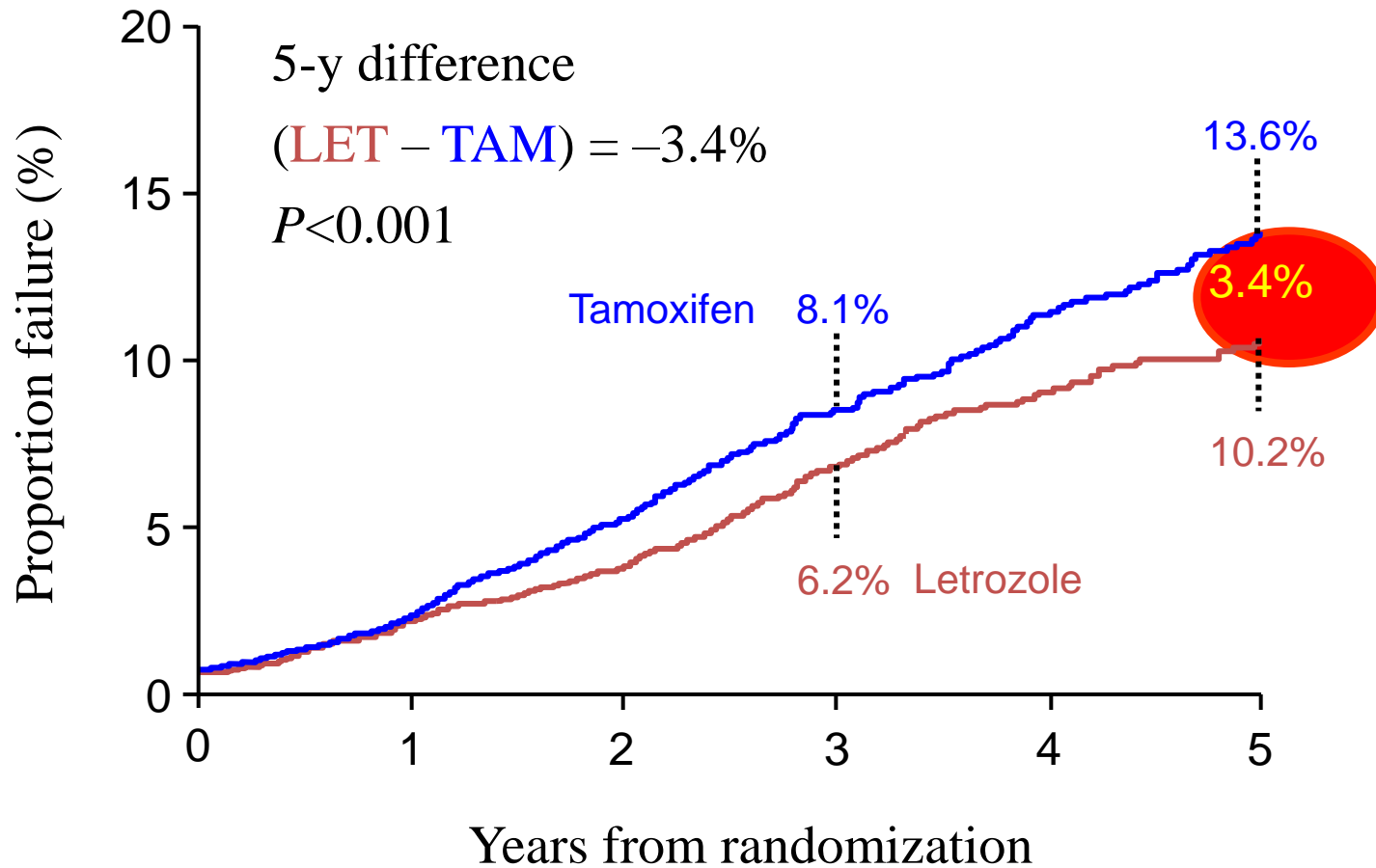
## Recurrence



## Breast cancer mortality

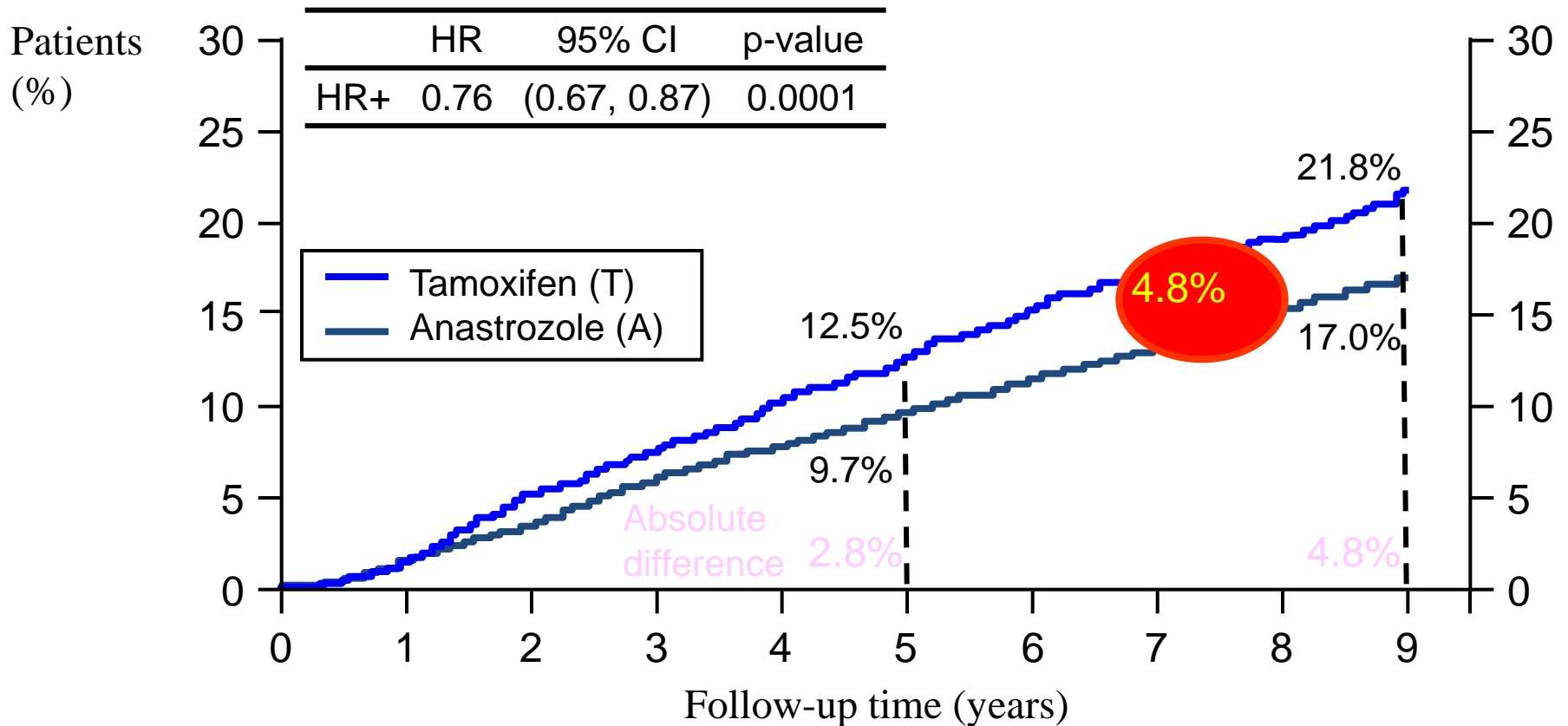


# BIG 1-98: CUMULATIVE INCIDENCE OF BREAST CANCER EVENTS - ABSOLUTE BENEFIT



# ATAC: TIME TO RECURRENCE HR+ PATIENTS

## CARRY OVER EFFECT



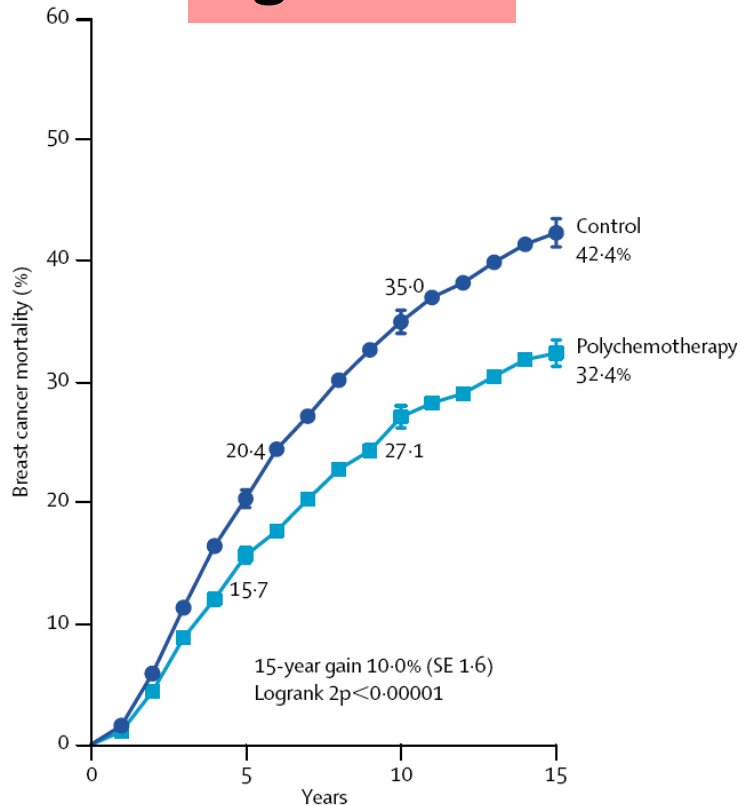
At risk:

A	2618	2541	2453	2361	2278	2159	1995	1801	1492	608
T	2598	2516	2400	2306	2196	2075	1896	1711	1396	547

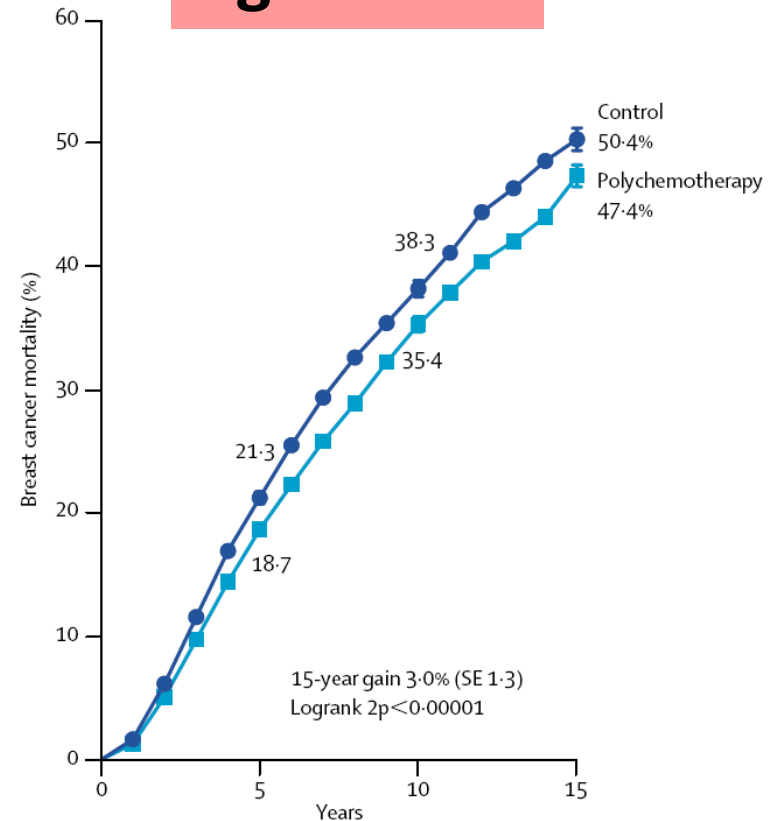
# ADJUVANT CHEMOTHERAPY IMPROVES SURVIVAL

## Breast cancer mortality

Age: < 50

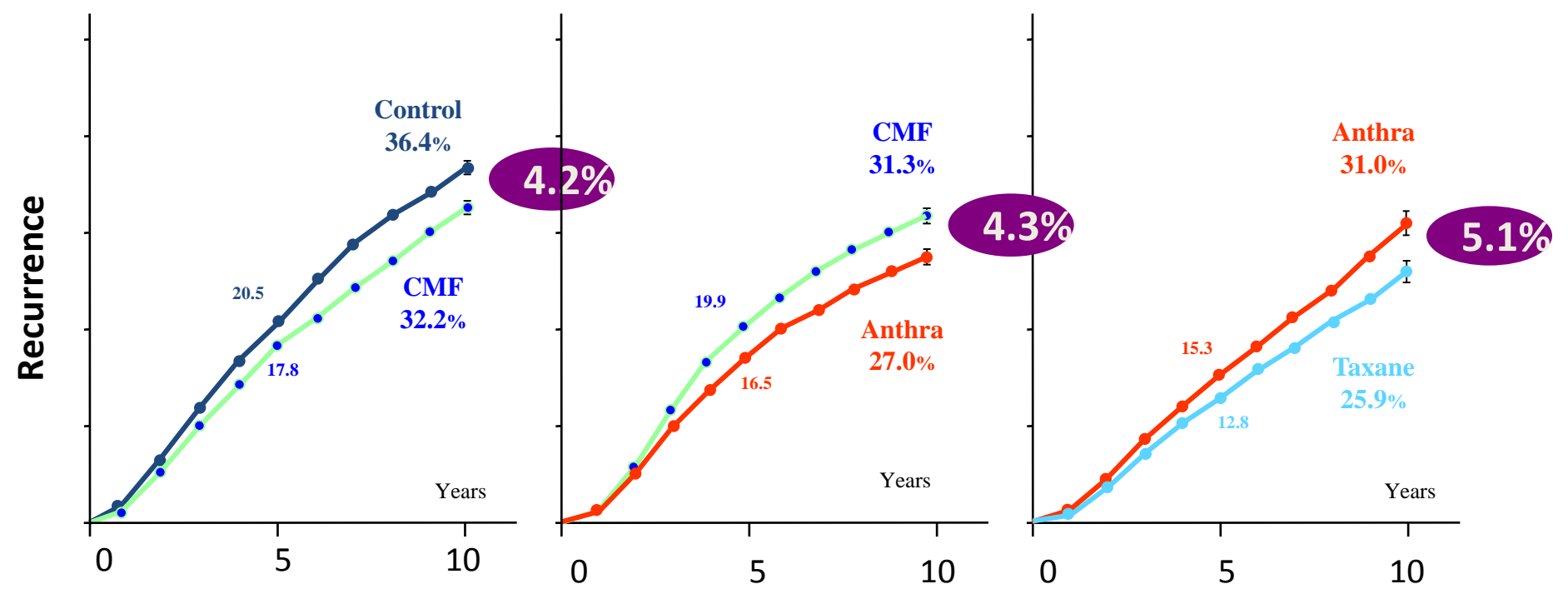


Age: 50-69



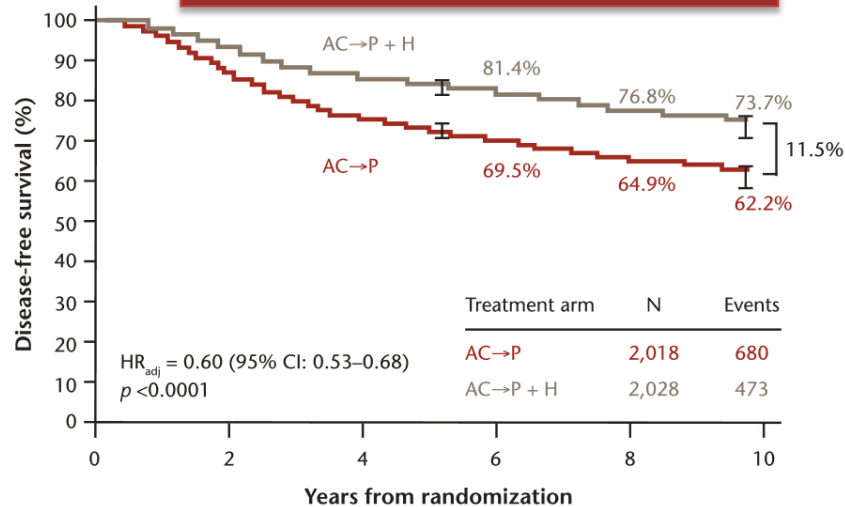


# Taxanes + Anthracyclines > CMF > none

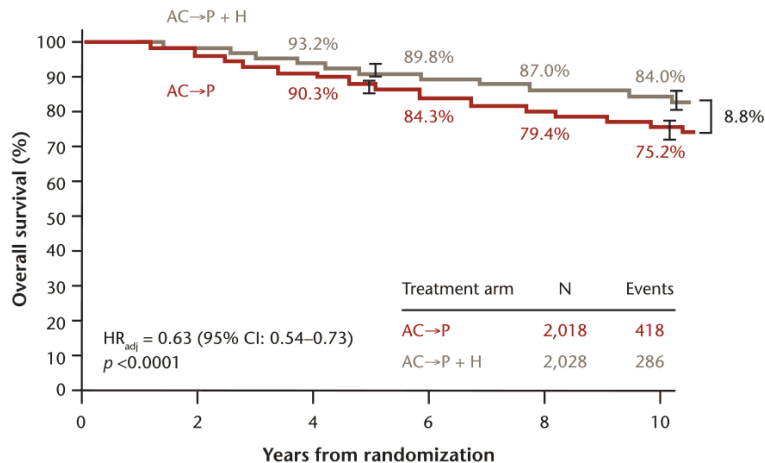


# ADJUVANT TRASTUZUMAB IMPROVES SURVIVAL

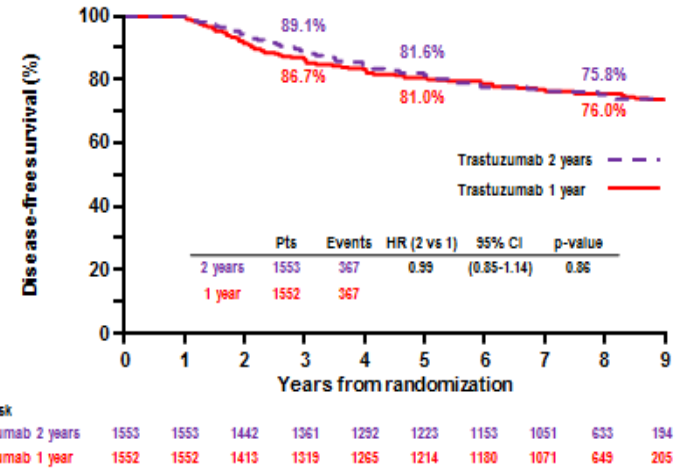
## B-31 and NCCTG N9831 10-year disease free survival



## 10-year overall survival

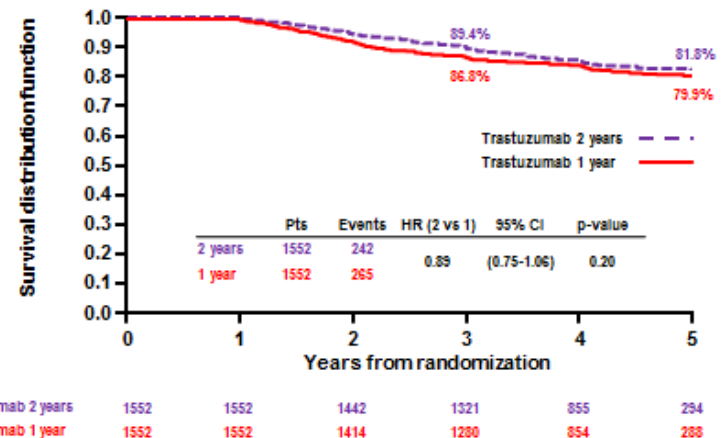


## HERA 8-year disease free survival



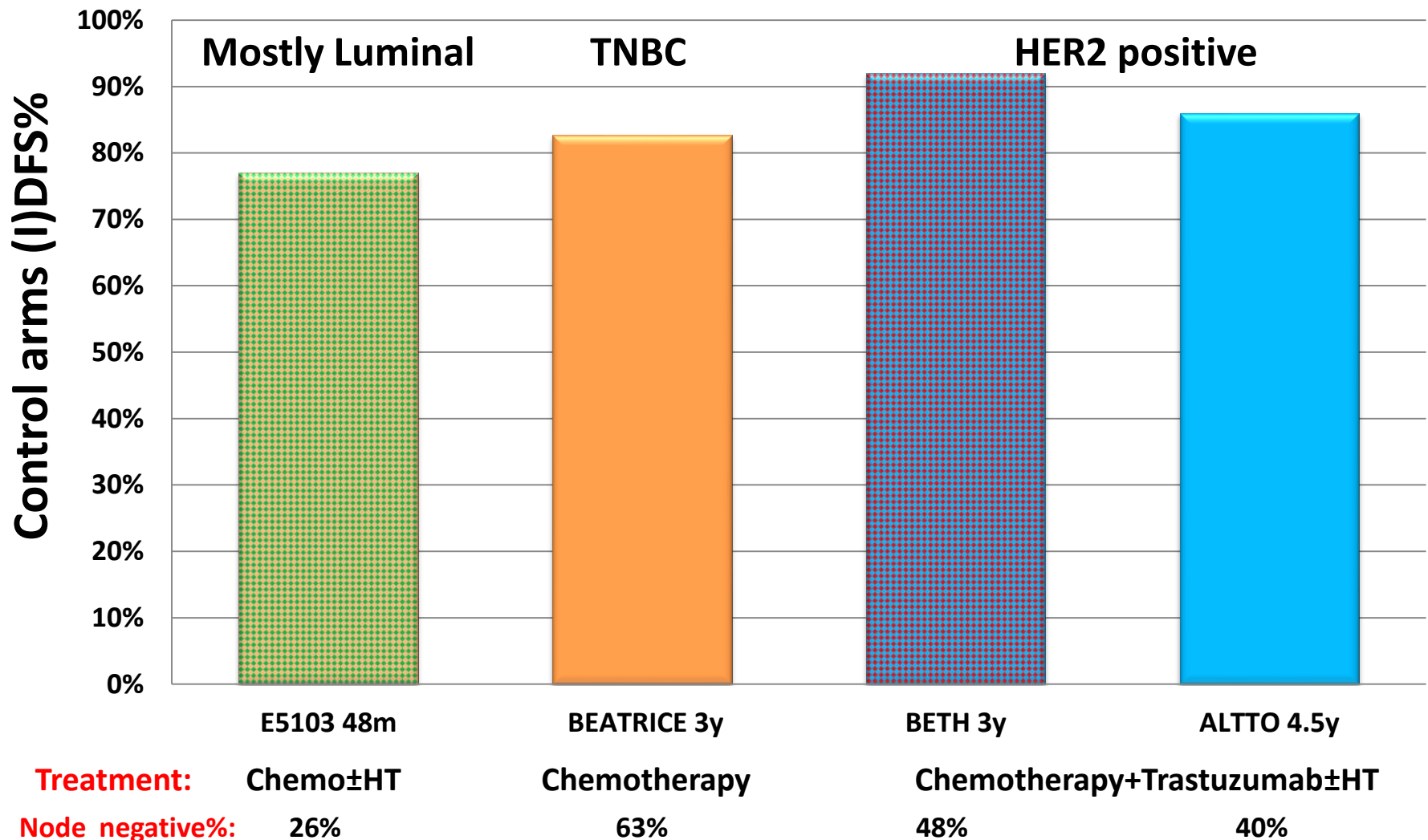
No. at risk	1553	1553	1442	1361	1292	1223	1153	1051	633	194
Trastuzumab 2 years	1553	1553	1442	1361	1292	1223	1153	1051	633	194
Trastuzumab 1 year	1552	1552	1413	1319	1265	1214	1180	1071	649	205

## HERA 8-year over all survival



No. left	1552	1552	1442	1321	855	294
Trastuzumab 2 years	1552	1552	1414	1280	854	288
Trastuzumab 1 year	1552	1552	1414	1280	854	288

# Patients in control arms of recent adjuvant BC trials do very well !



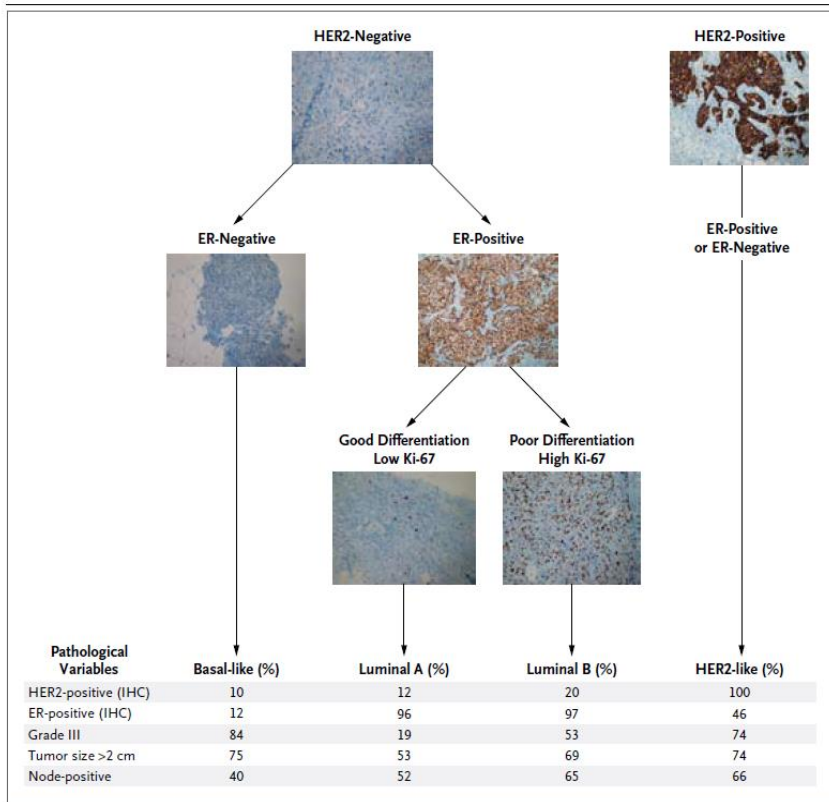
# PROGRESS IN BREAST CANCER TREATMENT



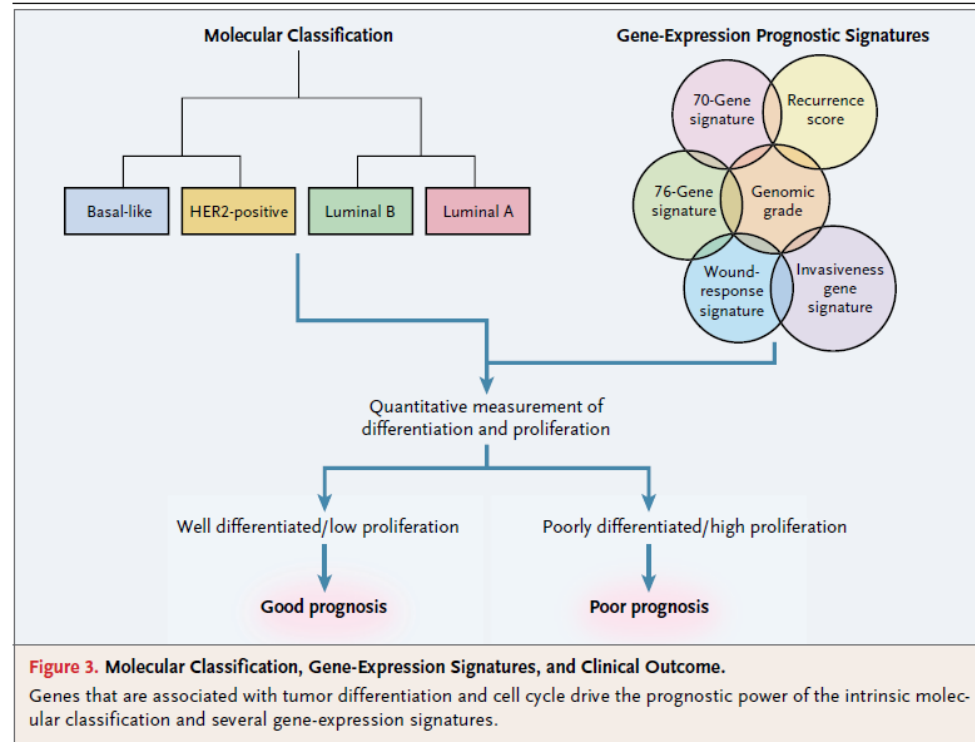
- Breast cancer = 2 diseases (HR+ or -)
- Rough estimation of relapse risk
- « One size fits all » treatment strategy

- Breast cancer = 4 diseases (luminal A/B, HER2+, triple negative)
- Improved estimation of relapse risk
- Improved tailoring of adjuvant treatment

# CLASSIFICATION Surrogates



**Simple tools**



**Complex tools**

THE NEW ENGLAND JOURNAL OF MEDICINE

REVIEW ARTICLE

MOLECULAR ORIGINS OF CANCER

Gene-Expression Signatures  
in Breast Cancer

Christos Sotiropoulos, M.D., D.Phil., and Lajos Pusztai, M.D., D.Phil.

# Systemic treatment recommendations for early breast cancer subtypes

## ESMO Guidelines 2013

Subtype	Recommended therapy	Comments
Luminal A-like	ET alone in the majority of cases.	Consider CT if (i) high tumour burden (four or more positive LN, T3 or higher) (ii) grade 3
Luminal B-like (HER2-negative)	ET + CT for the majority of cases	
Luminal B-like (HER2-positive)	CT + anti-HER2 + ET for all patients	If contraindications for the use of CT, one may consider ET + anti-HER2 therapy, although no randomised data exist.
HER2-positive (non-luminal)	CT + anti-HER2	
Triple-negative (ductal)	CT	

# Focus on Luminal B.C.

- **What did we learn ?**
- **Which questions do we still have to answer ?**

# Adjuvant therapy for Luminal Breast Cancers

## What did we learn?

- ✓ Some patients do not need chemotherapy
- ✓ Consideration for the incorporation of an “AI” in the treatment scheme should be given (in post menopausal women)
- ✓ Some patients benefit from extended (10y) hormonal treatment
- ✓ Exemestane+OFS is an emerging option for premenopausal women
- ✓ Bisphosphonates (mostly zoledronic acid) are to be considered for some women
- ✓ There is no role for adjuvant Bevacizumab
- ✓ BC mortality is increased in high BMI premenopausal women



# MULTIGENE “PROGNOSTIC” SIGNATURES

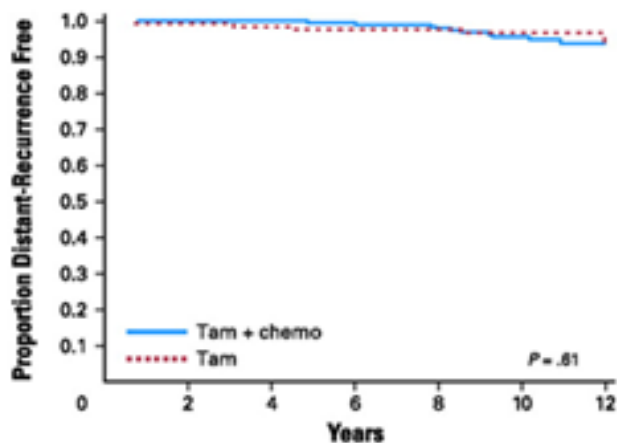
Name	Oncotype DX™	MammaPrint™	GGI	PAM50	Breast Cancer Index	EndoPredict
<b>Provider</b>	Genomic health	Agendia	Ipsogen	nanoString	Biotheranostics	Sividon Diagnostics
<b>Type of Assay</b>	21 gene recurrence score	70 Gene Assay	97 Gene Assay	50 Gene Assay	2 gene ratio HOXB13 to IL17R and molecular grade index	combines RNA score with nodal status and tumor size
<b>Tissue samples</b>	FFPE	From fresh moving to FFPE	From fresh moving to FFPE	FFPE	FFPE	FFPE
<b>Technique</b>	qRT-PCR	Microarray	From Microarray moving to qRT-PCR	qRT-PCR	qRT-PCR	qRT-PCR



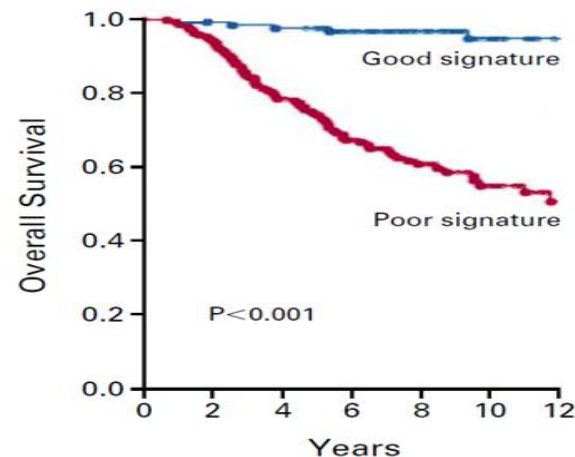
- More than a decade of translational research...
- Rapid uptake of ONCOTYPE DX in the USA (<18 = no chemotherapy)
- Slower uptake of any of the signatures by European oncologists

# Very good RFS in patients with “low-risk” genomic signatures

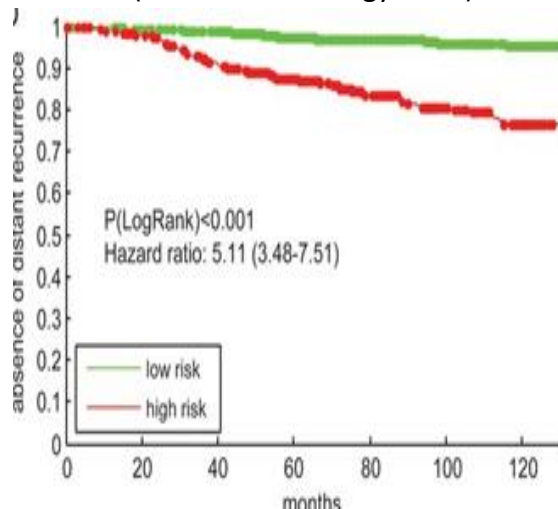
OncotypeDx (JCO 2006)



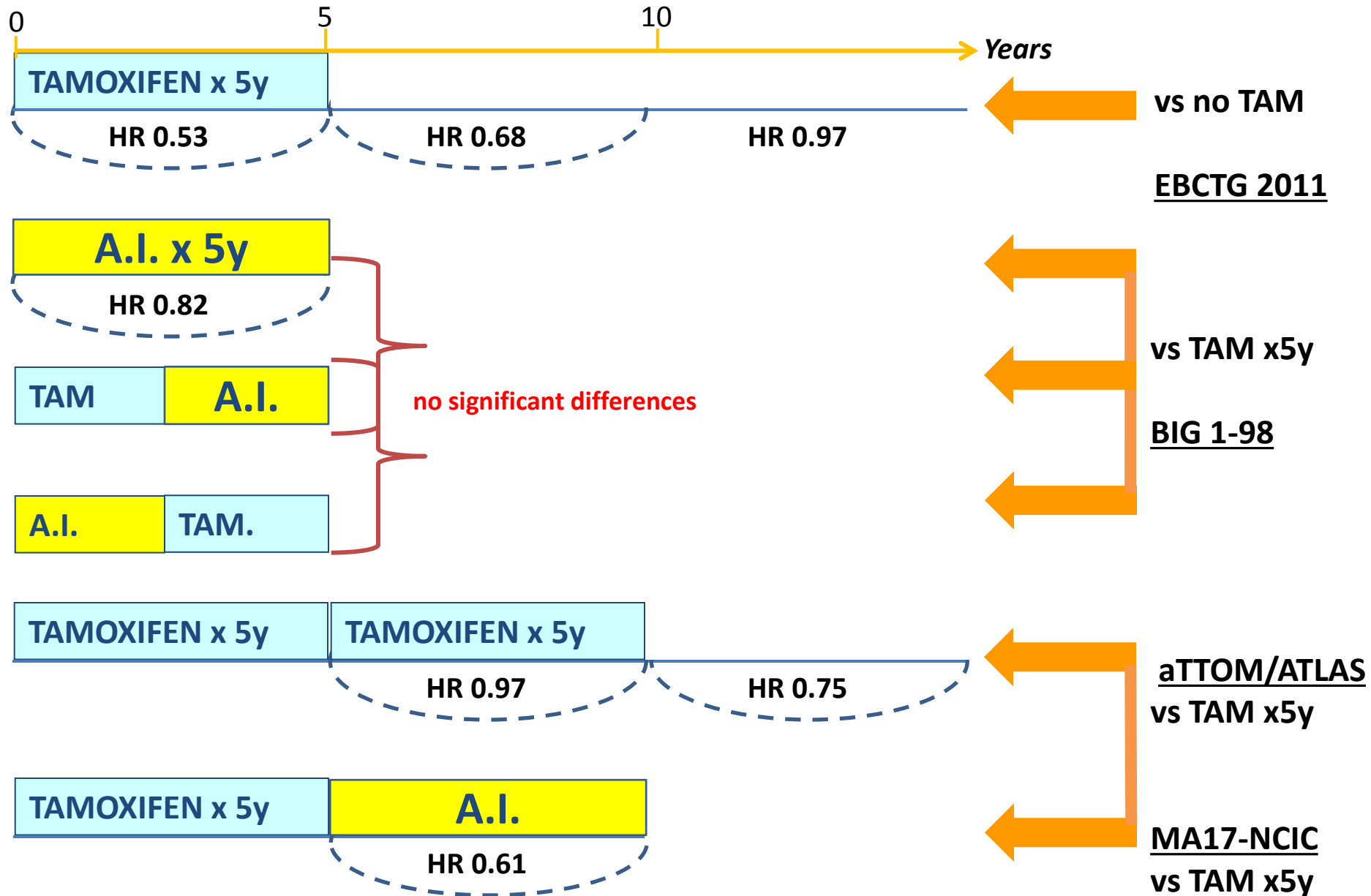
mammaprint (NEJM 2002)



**ENDOPREDICT**  
(Annals of Oncology 2013)



# Impact of adjuvant endocrine treatment strategies on breast cancer mortality



# 10 vs 5-yr BREAST CANCER MORTALITY IN ER+ rate ratio\* by period in aTTom and ATLAS

	10 yrs tam. vs 5: aTTom trial (n=6934 ER+/UK)	10 yrs tam. vs 5: ATLAS trial* (n=10,543 ER+/UK)	10 yrs tam. vs 5: aTTom & ATLAS combined (n=17,477 ER+/UK)
<b>years 5-9</b>	<b>1.08</b> (0.85-1.38 )	<b>0.92</b> (0.77-1.09)	<b>0.97</b> (0.84-1.15)
<b>years 10+</b>	<b>0.75<sup>†</sup></b> (0.63-0.90)	<b>0.75<sup>§</sup></b> (0.63-0.90)	<b>0.75<sup>†</sup></b> (0.65-0.86)
<b>All years</b>	<b>0.88<sup>‡</sup></b> (0.74-1.03)	<b>0.83<sup>‡</sup></b> (0.73-0.94)	<b>0.85<sup>‡</sup></b> (0.77-0.94)

<sup>†</sup>p=0.007

<sup>‡</sup>p=0.1

<sup>§</sup>p=0.002

<sup>‡</sup>p=0.004

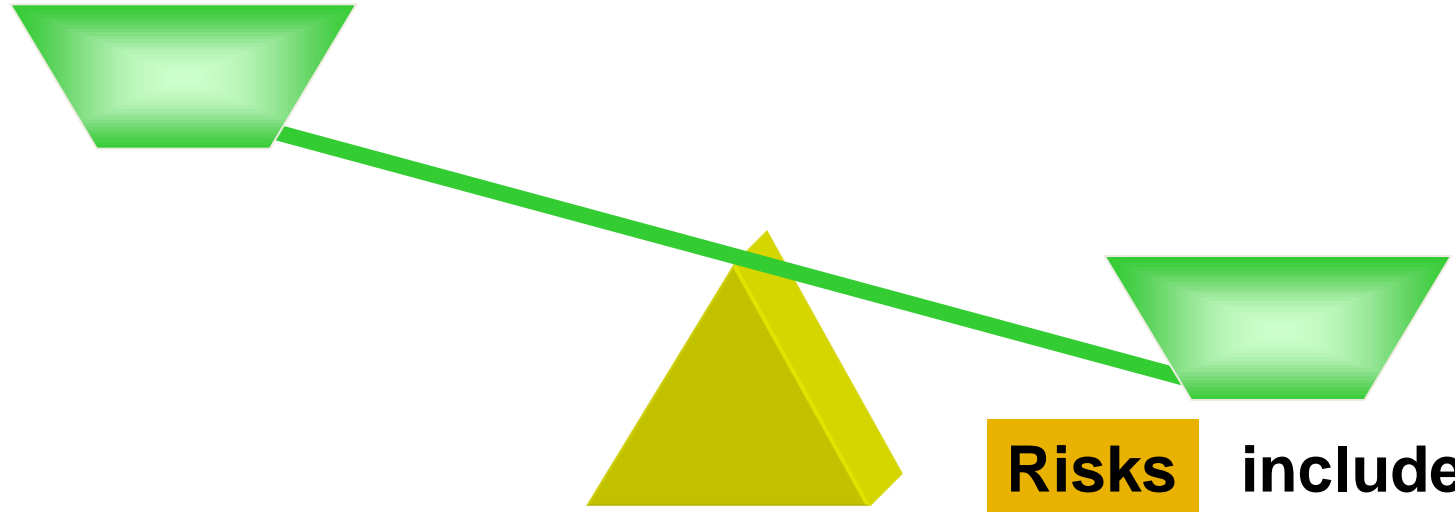
<sup>†</sup>p=0.00004

<sup>‡</sup>p=0.001

\*Inverse-variance-weighted estimate of the effect in ER+.([ATLAS](#), *Lancet* 2013)

*Courtesy of R. Gray*

# Assessing benefits and risks of prolonged tamoxifen



**Benefits** will depend on

- Tumor burden
- Tumor biology
- Comorbidity & age

**Risks** include

- « SAE 's »
  - ↑ end.cancer from 1.6% to 3.1%
  - ↑ pulm embolism  
(but ↓ ischemic heart disease)
- **Quality of life alteration**
  - Vasomotor symptoms
  - Mood alterations
  - Sexual dysfunctions

# Exemestane+OFS is an emerging option for premenopausal women

## TEXT and SOFT trials

Enrolled: Nov03-Apr11

- Premenopausal
- ≤12 wks after surgery
- Planned OFS
- No planned chemo  
OR planned chemo

R  
A  
N  
D  
O  
M  
I  
Z  
E

### TAMOXIFEN AND EXEMESTANE TRIAL (N=2672)

Tamoxifen+OFS x 5y

Exemestane+OFS x 5y

- Premenopausal
- ≤12 wks after surgery
- No chemo

OR

- Remain premenopausal  
≤ 8 mos after chemo

R  
A  
N  
D  
O  
M  
I  
Z  
E

### SUPPRESSION OF OVARIAN FUNCTION TRIAL (N=3066)

Tamoxifen x 5y

Tamoxifen+OFS x 5y

Exemestane+OFS x 5y

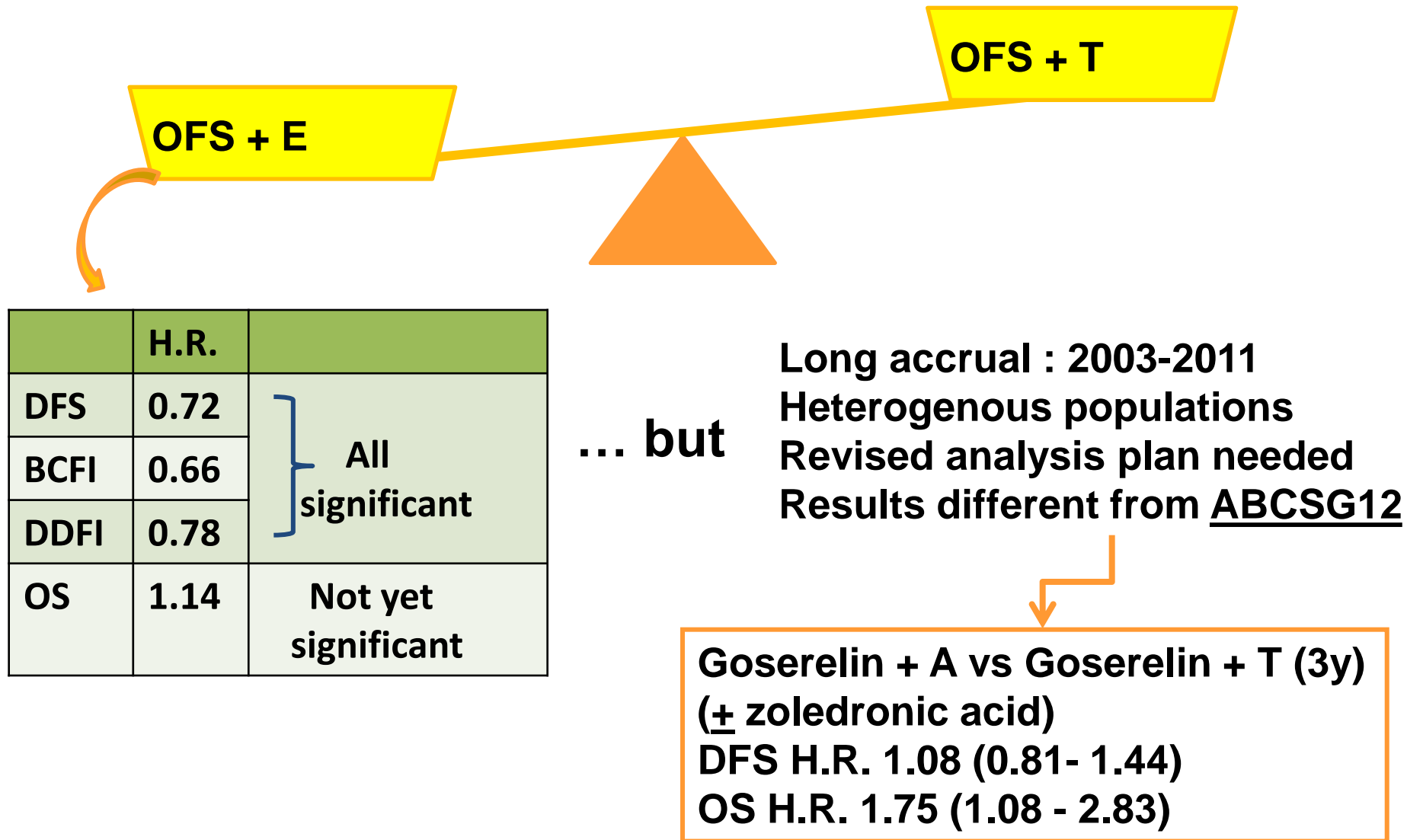
Joint Analysis  
(N=4690)

Tamoxifen+OFS x 5y  
Exemestane+OFS x 5y

Median follow-up 5.7 years

OFS=ovarian function suppression

# TEXT/SOFT



# First results of TEXT/SOFT combined

**Absolute gain in 5y DFS of 3.8% to be balanced against grade 3 or 4 side effects**

**E > T**

<b>Musculo-skeletal</b>	<b>11% &gt; 5%</b>
<b>Fractures</b>	<b>1.3% &gt; 0.8%</b>
<b>Cardiac ischemia</b>	<b>0.3% &gt; 0.1%</b>
<b>Dyspareunia</b>	<b>2.3% &gt; 1.4%</b>
<b>Discontinuation of therapy</b>	<b>16% &gt; 11%</b>

**T > E**

<b>Thromboembolic events</b>	<b>1.9% &gt; 0.8%</b>
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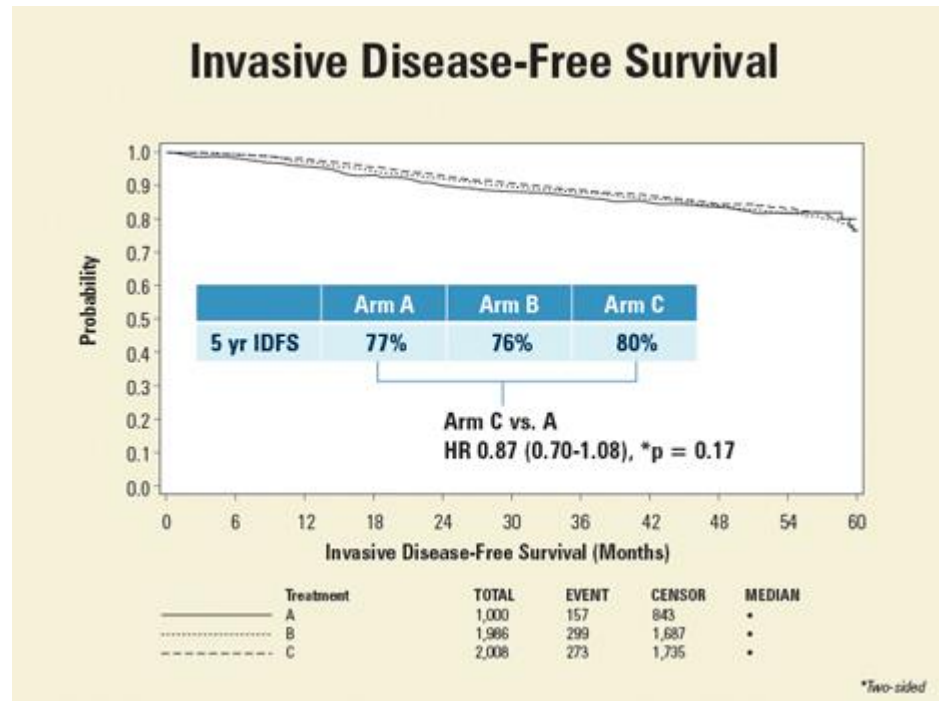
# Effects of bisphosphonate treatment on recurrence in women with early breast cancer: a meta-analysis

- 41 randomised trials, 17,751 women
- There were no improvements in recurrence for premenopausal women
- **In Post menopausal: 3.1% decrease in breast cancer mortality**

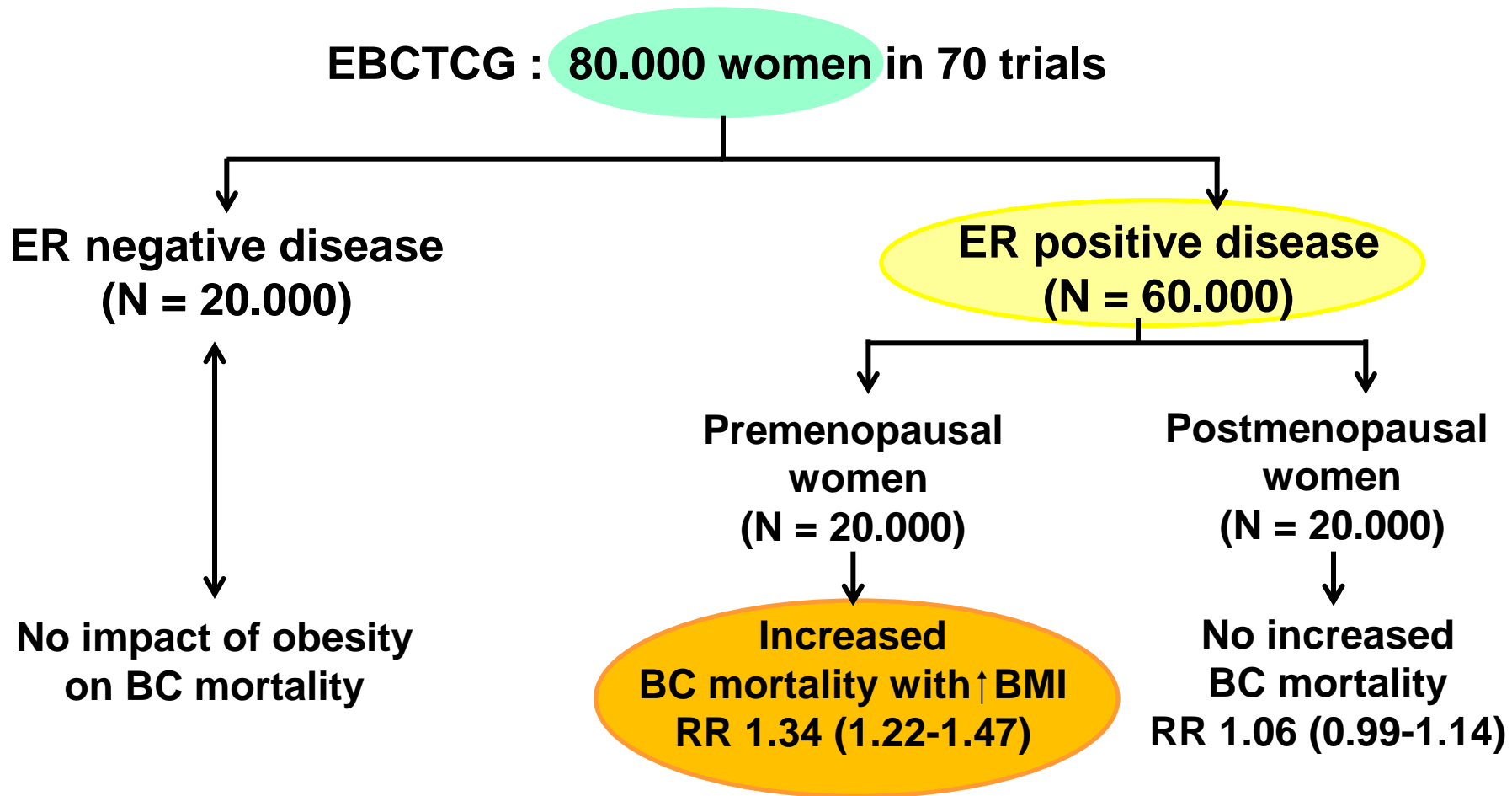
	No. events	HR	10 year gain	p value
Postmenopausal women (n = 10,540)				
Breast cancer mortality	1,107	0.83 (0.06)	3.1%	0.004
Breast cancer recurrence	1,809	0.86 (0.05)	3.0%	0.002
Distant recurrence	1,503	0.83 (0.05)	3.3%	0.0007
Bone recurrence	445	0.65 (0.08)	2.9%	0.00001
Other distant recurrence	1,058	0.93 (0.06)	0.7%	0.26

# E5103 Adjuvant Bevacizumab (64% ER+)

A large, well powered  
adjuvant trial – E5103 – fails  
to show any benefit from  
the incorporation of bevacizumab  
into adjuvant chemotherapy  
regimens !



# The negative impact of obesity in early BC



- CTX dose reductions or biology ?
- No information on subsequent weight gain

# Adjuvant therapy for Luminal Breast Cancers

## Interesting questions for the future

- ✓ Can patients with intermediate genomic risk or discordant risk (low genomic risk/high clinical risk) be treated safely with endocrine therapy only ?
- ✓ Will manipulation of endocrine resistance further improve outcome ? (CDK4-6 inhibitors/Everolimus)

# Should patients with intermediate risk or Discordant risk be treated with chemotherapy

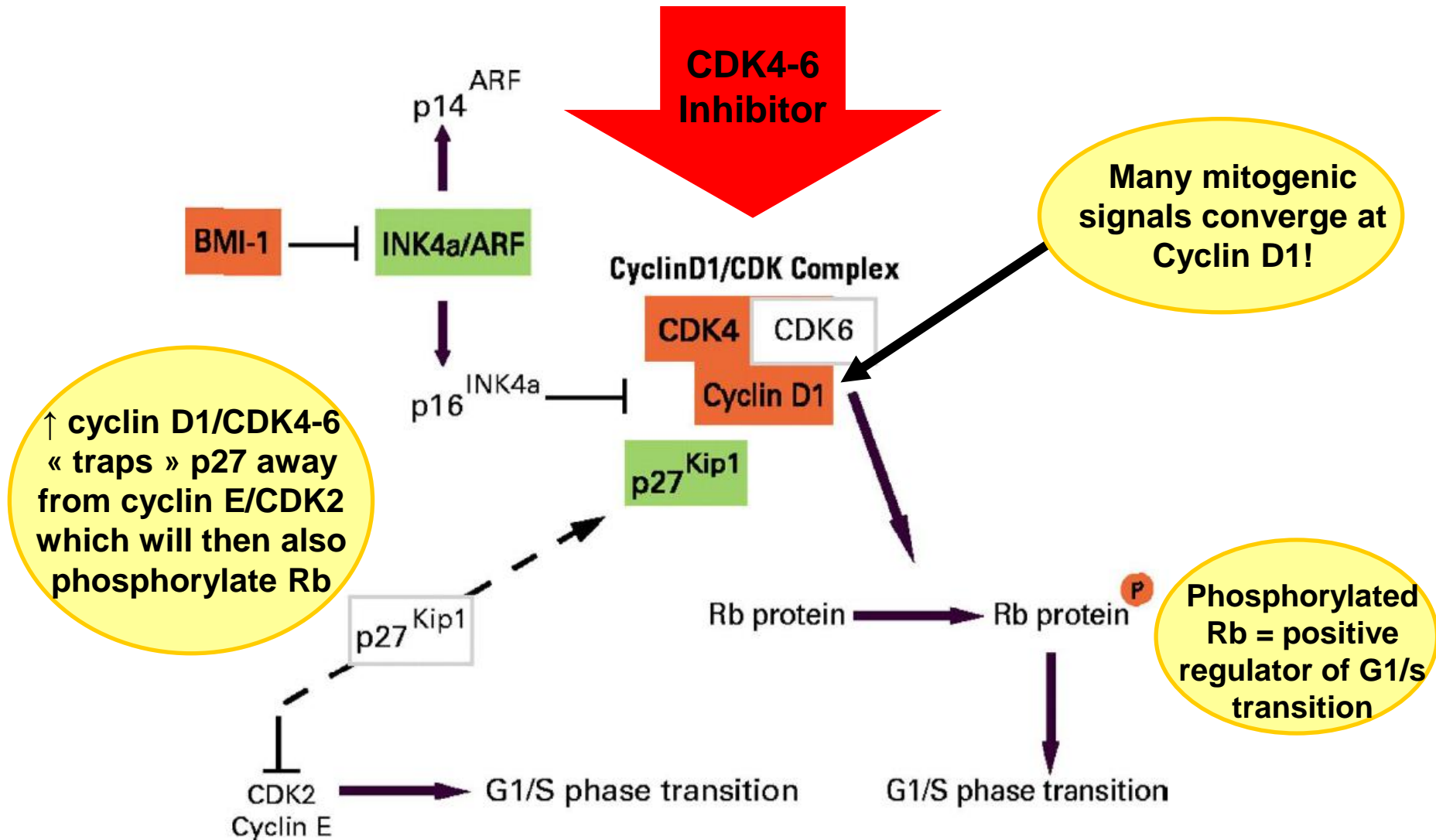
## Ongoing trials TAILORX AND MINDACT

	<u>TAILORx</u>	<u>MINDACT</u>
Groups	TBCI	BIG/EORTC
Population	Node-neg, ER+	N0-N1 ER+/-
Assay	21 gene ODX™	Mammaprint®
Utility Scale & Level of Evidence	+ or ++ II	
Tissue	FPET	Fresh Frozen
No.		6,700
No. randomized		2,142
Randomized group	RS 11-25 (40%)	Discordant risk (32%)
Randomization	<i>Treat with hormones +/- chemotherapy</i>	<i>Treat by clinical vs genomic risk</i>
Non-randomized groups	RS<11: Hormones RS> 25: Chemo+ hormones	Both low risk (41%): Hormones Both high risk (27%): Chemo +/- hormones

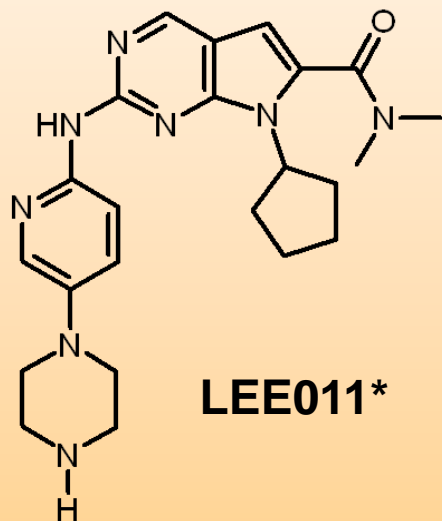
**Results in 2015-2016**

# Circumventing endocrine resistance

## Blocking CDK's

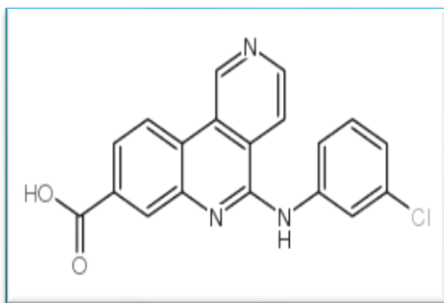


# CDK4-6 inhibitors in clinical trials for advanced BC

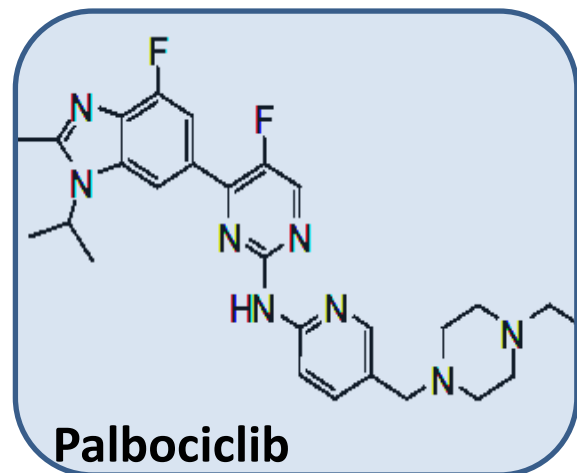


**LEE011\***

7-Cyclopentyl-2-(5-piperazin-1-yl-pyridin-2-ylamino)-7H-pyrrolo[2,3-d]pyrimidine-6-carboxylic acid dimethylamide



**Abemaciclib**



**Palbociclib**

**Started Phase 3**

- **Mild GI toxicity**
- **Reversible Neutropenia  $\pm$  thrombocytopenia**

# Ongoing Phase 3 Studies assessing CDK4/6 inhibition

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**PALOMA-2**

**Palbociclib + Letrozole vs. Letrozole For 1st Line Treatment Of Postmenopausal Women (NCT01740427)**

**PALOMA-3**

**Palbociclib + Fulvestrant vs. Fulvestrant + Placebo After Endocrine Failure (NCT01942135)**

**PEARL**

**Palbociclib + Exemestane vs. Capecitabine in Resistance to NSAI (NCT02028507)**

**MONARCH2**

**Fulvestrant With or Without Abemaciclib (LY2835219) (NCT02107703)**

**MONALEESA2**

**LEE011 in Combination With Letrozole (NCT01958021)**



# The Alliance – ABCSG – BIG

## *“Pallas” adjuvant trial*

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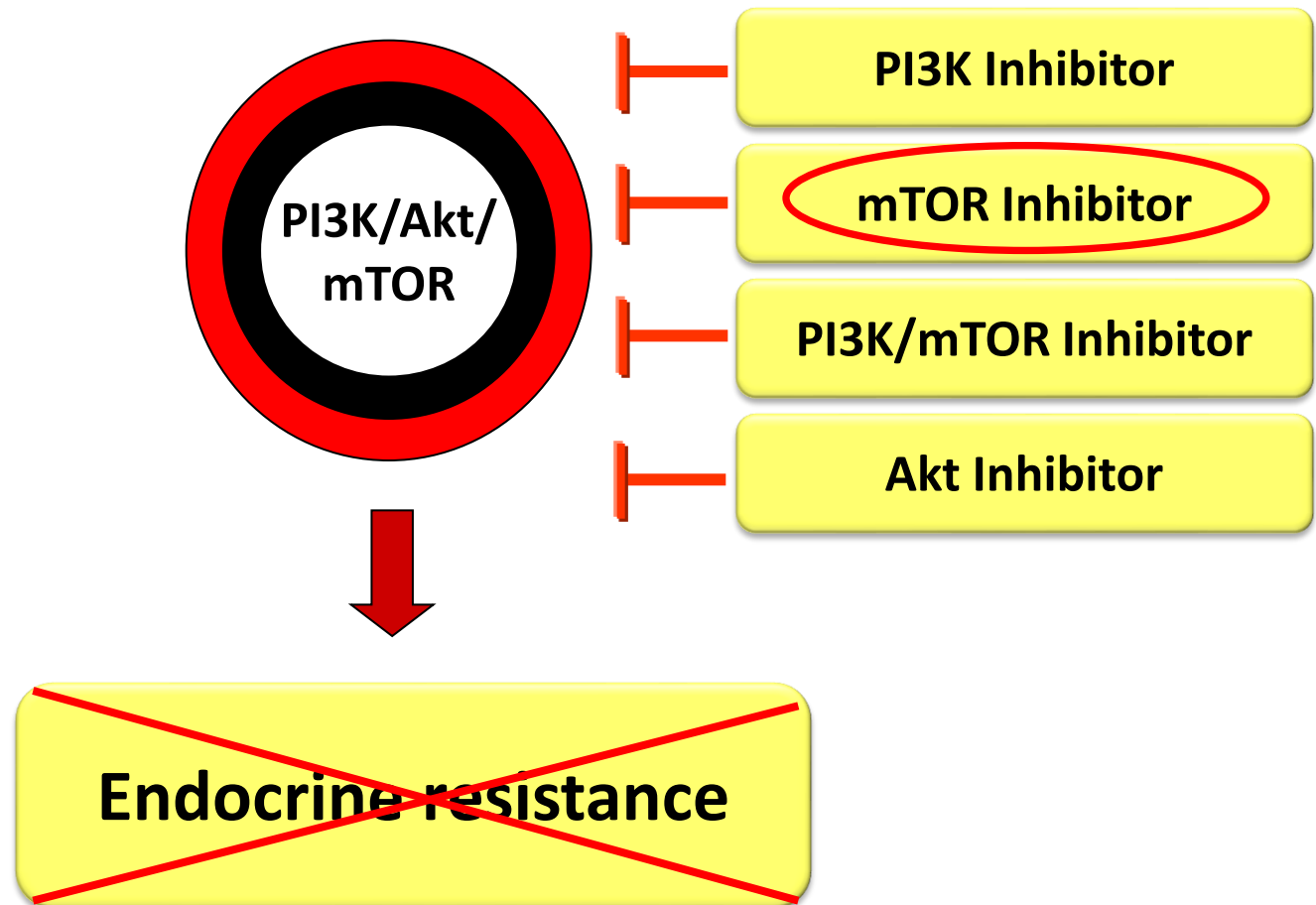
... under construction!



# Fueling endocrine resistance

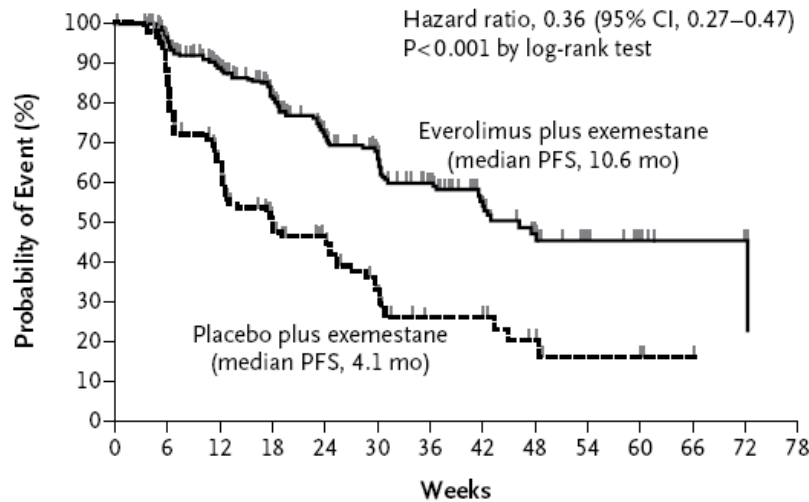
## Circumventing endocrine resistance

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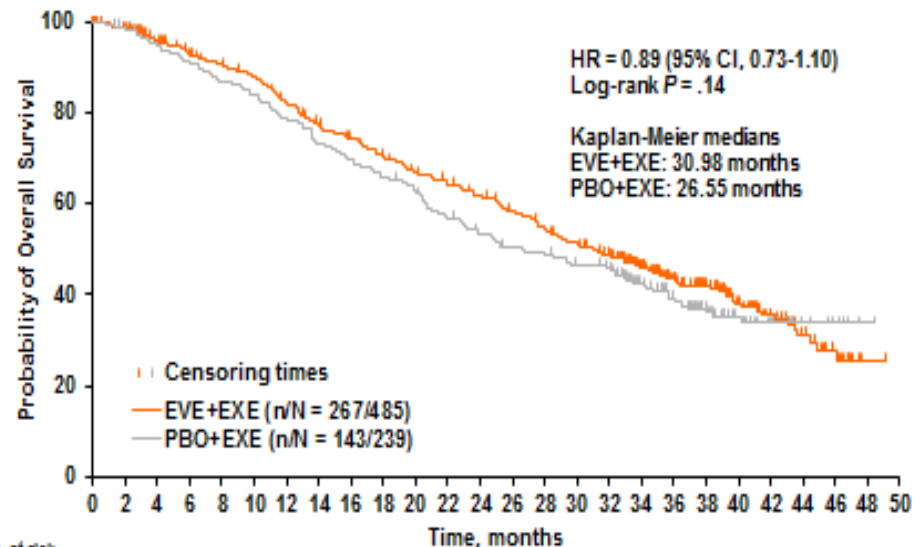
# BOLERO-2 Study in advanced luminal BC with secondary resistance to non-steroidal AI

## PFS Central Assessment



No. at Risk																	
		0	6	12	18	24	30	36	42	48	54	60	66	72	78		
		485	385	281	201	132	102	67	43	28	18	9	3	2	0		
Everolimus																	
Placebo		239	168	94	55	33	20	11	11	6	3	3	1	0	0		

## OS



No. at risk																											
		0	2	4	6	8	10	12	14	16	18	20	22	24	26	28	30	32	34	36	38	40	42	44	46	48	50
		485	471	448	429	414	399	373	347	330	311	292	279	268	248	232	218	198	164	113	81	68	39	23	11	1	0
EVE+EXE																											
PBO+EXE		239	232	220	211	201	194	182	170	162	163	146	130	120	113	109	102	98	77	68	41	28	18	8	6	1	0

**PFS benefit but no OS benefit**

Baselga, J. et al. *N. Engl. J. Med.* 366, 520–529 (2012)

Piccart M.J. et al., *Annals of Oncology*, in press.

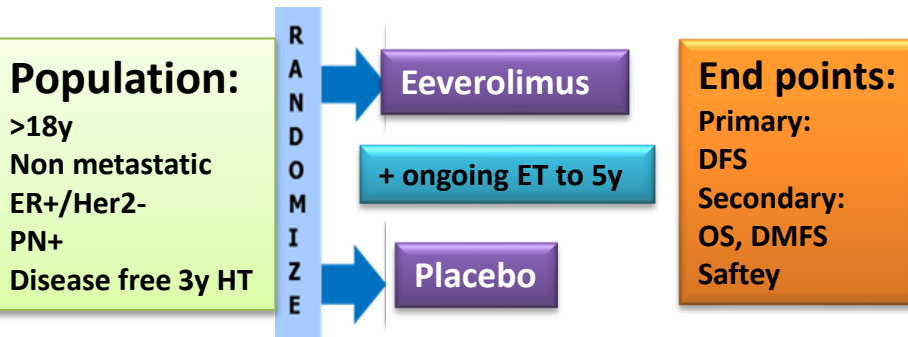
# S1207/SWOG and UNIRAD studies :

## Adjuvant endocrine therapy +/- Everolimus

Phase III randomized double-blind trial adding everolimus to adjuvant endocrine therapy who are disease-free following 3y of adjuvant ET for a total adjuvant therapy duration of 5y

### UNIRAD

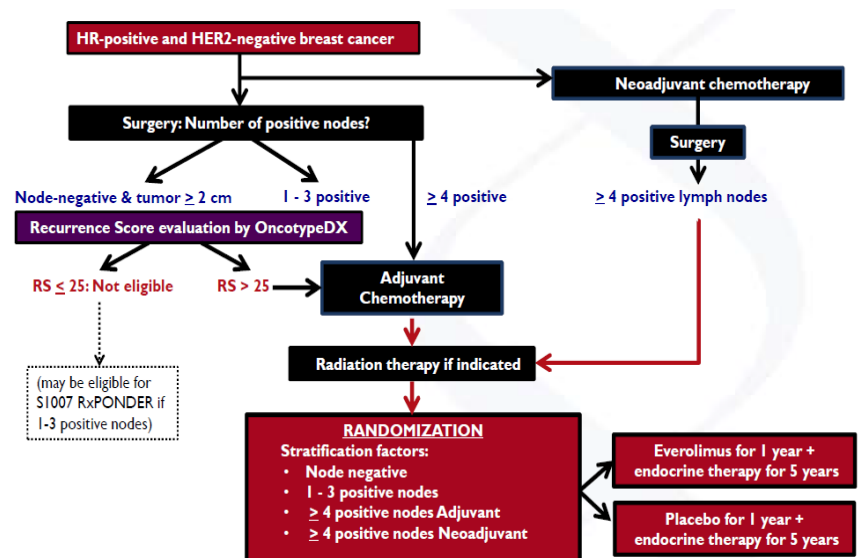
Planned Number = 1984



Phase III randomized, placebo-controlled trial adding 1 year of everolimus to adjuvant endocrine therapy for patients with high-risk, HR+, HER2- breast cancer.

### SWOG-S1207

Planned Number = 3,500 an effective hazard ratio of 0.75 for everolimus versus placebo corresponding to a gain in DFS of approximately 4.3% at 5 years



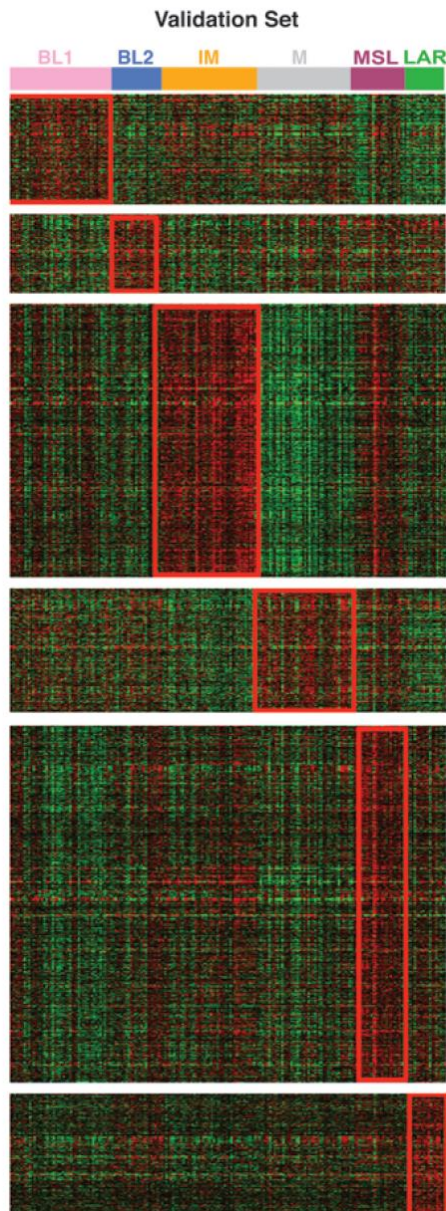
# Focus on Triple negative B.C.

- **What did we learn ?**
- **Which questions do we still have to answer ?**

# Adjuvant Therapy for triple negative BC

## What did we learn?

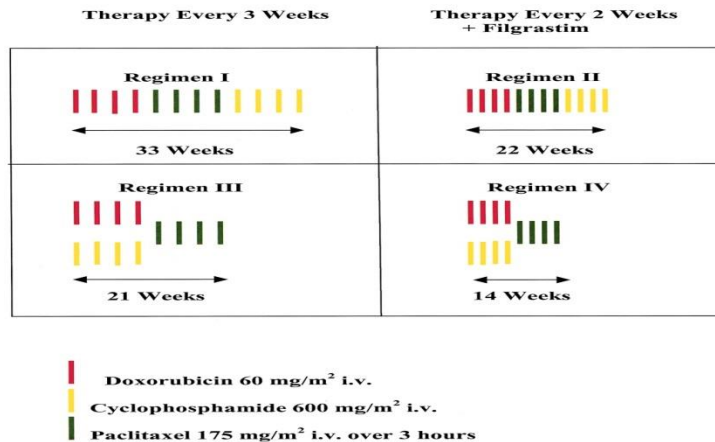
- ✓ TNBC is a heterogeneous disease
- ✓ There is a potential role for chemotherapy dose intensity
- ✓ There is no role for adjuvant bevacizumab
- ✓ There is a potential role for Platinum based therapy (confined to BRCA mutations carriers ?)



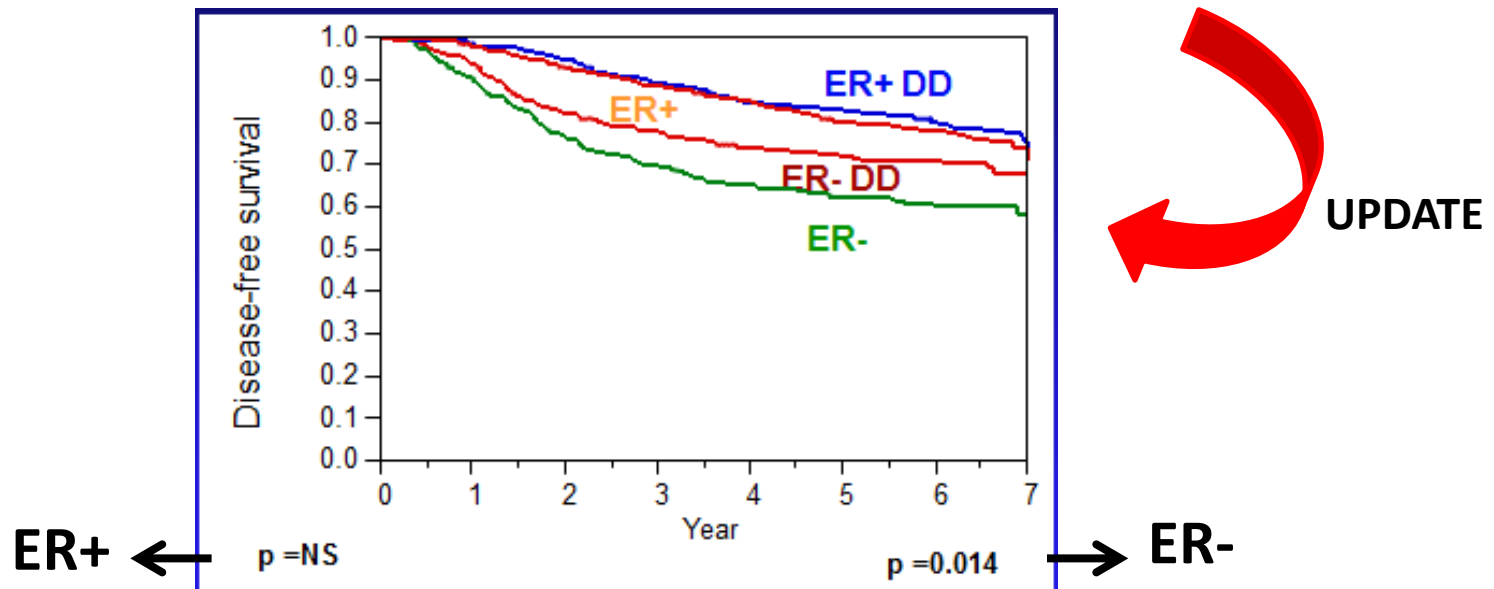
## Subtyping of TNBC reveals marked heterogeneity in probabilities of pCR to neoadjuvant CT (anthracycline + docetaxel)

		pCR
→	Basal-like 1	++
→	Basal-like 2	-
→	Immunomodulatory	+(+)
→	Mesenchymal-like	+(+)
→	Mesenchymal stem-like	±
→	Luminal androgen-receptor	±
→	Unclassified	+(+)

# DOSE-DENSE (DD) CHEMOTHERAPY IS AN OPTION FOR TNBC –CALGB 6y update



- Number: 2,005
- Population: LN +
- Dose dense > conventional
  - DFS [RR: 0.80; p=0.018]
  - OS [RR: 0.85; p=0.07]
- ER- DFS [RR: 0.75; p=0.03]

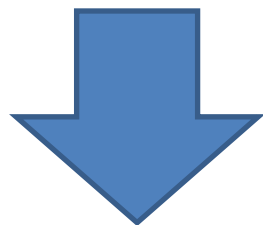




# Adjuvant CTX for TNBC

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**2009 - 2014**



**Renewed interest in  
Platinum compounds**

# PLATINUM SALTS & TNBC

## Data from neo-adjuvant studies

Study	Year	N	Regimen	Efficacy
Gronwald et al	2009	25	Cisplatin x 4 (Q3w)	pCR: 72%
Garber et al	2006	28	Cisplatin x 4 (Q3w)	pCR: 22%
Torrise et al	2008	30	Cisplatin + Epi + 5Fu – Pac x 3	ORR: 86% pCR: 40%
Frasci et al	2009	74	Cisplatin + Epi + Pac x 8 (Q1w) + GCSF	pCR: 62% 5y DFS •90% (pCR) •56% (no pCR)

# Randomized neoadjuvant trials in TNBC suggest a benefit from the addition of carboplatin to chemotherapy

	Sikov et al.	Von Minckwitz et al.
<b>Pt population</b>	N = 443 ♀ with TNBC	N = 595 ♀ with HER2+ and TNBC
<b>Chemo backbone</b>	Weekly paclitaxel (80mg/sqm)	Weekly paclitaxel (80mg/sqm) + Weekly pegylated doxo (20mg/sqm)
<b>Carboplatin</b>	AUC 6 q3wks	AUC 1.5 weekly
<b>Bevacizumab</b>	By randomization (2x2)	Added automatically for TNBC (15mg/kg q3wks)
<b>Incremental pCR gain</b>	41% → 54% (13%)	38% → 58% (20%)
<b>Who benefits?</b>	Ongoing analyses may lead to the identification of clinically relevant subsets	BRCA+ or strong familial Hx or TILs +++

# **Optimal adjuvant chemotherapy for TNBC**

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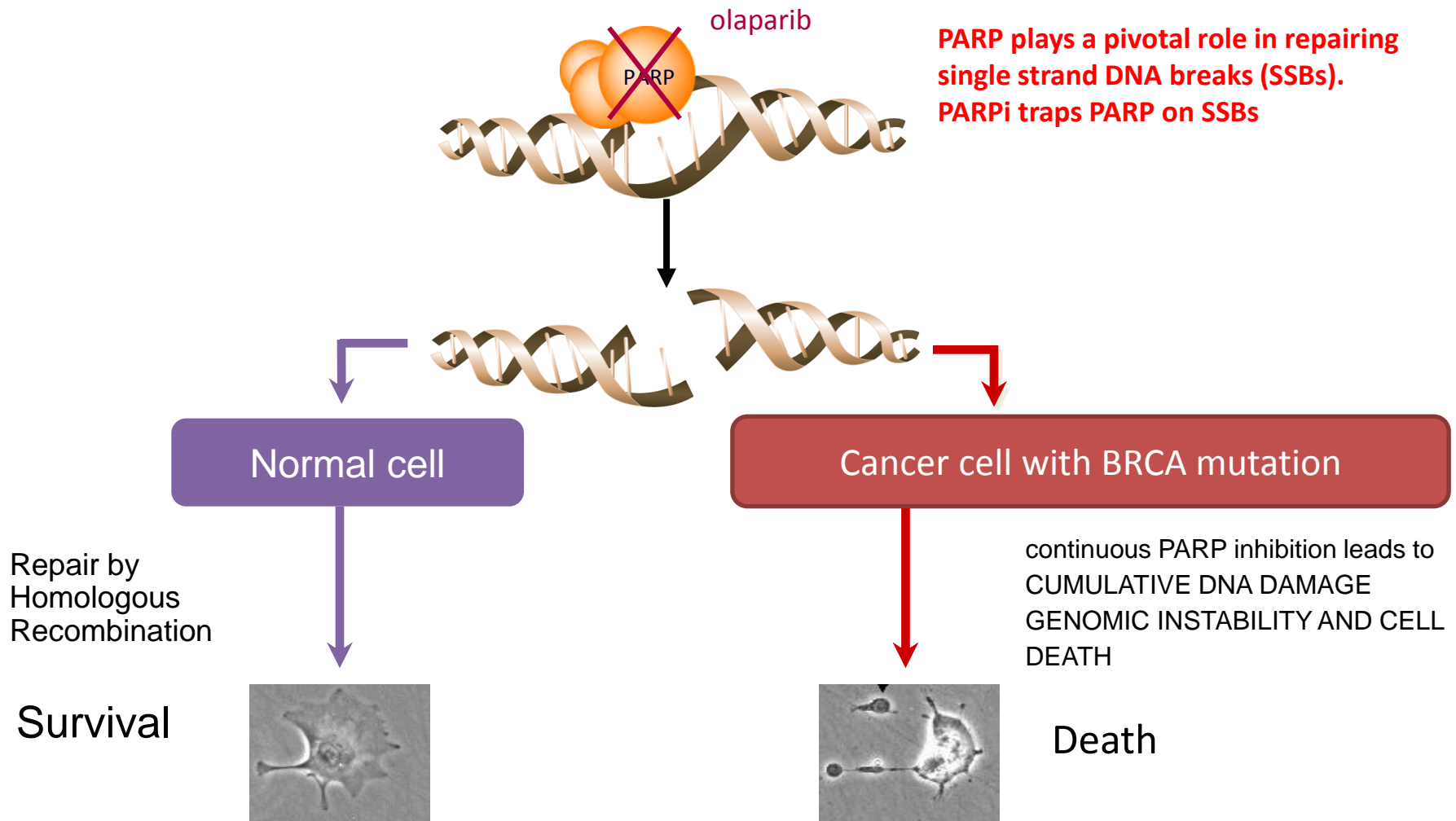
**No consensus – as of today – on the role  
of Platinum compounds !  
(will the incremental 20% gain in pCR  
translate into improved DFS, OS ? )**

# **Adjuvant Therapy for triple negative BC**

**Interesting questions for the future**

- ✓ **The role of PARP inhibitors in BRCA mutation carriers**
- ✓ **The role of metronomic chemotherapy**

# Exploiting DNA Damage Repair Deficits to Kill Cancer Cells



# Flow Chart- Olympia study design (a collaboration between BIG and NSABP)

**BRCA mutation carriers with  
« high risk » TNBC**

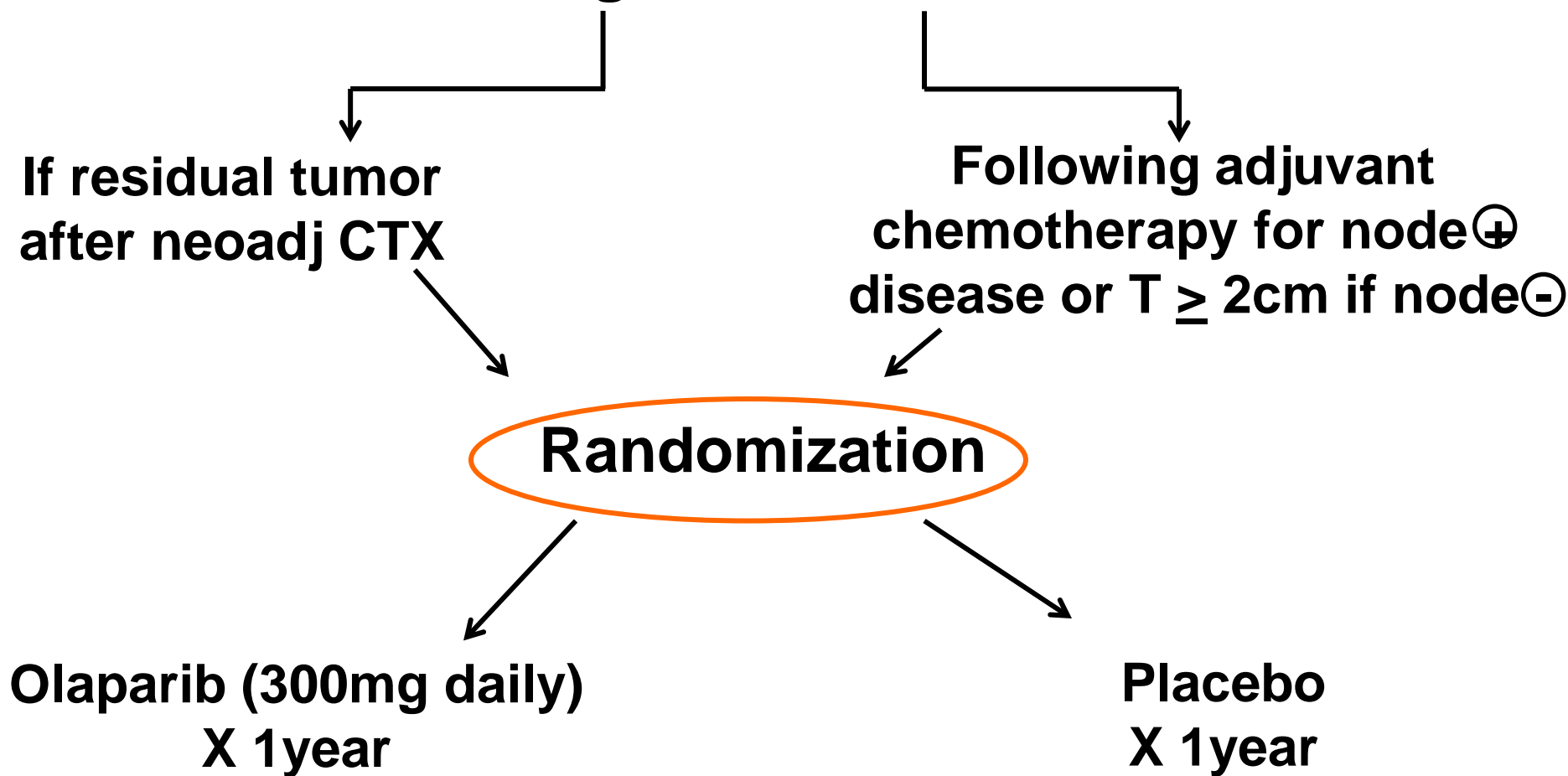
**If residual tumor  
after neoadj CTX**

**Following adjuvant  
chemotherapy for node<sup>⊕</sup>  
disease or T  $\geq$  2cm if node<sup>⊖</sup>**

**Randomization**

**Olaparib (300mg daily)  
X 1year**

**Placebo  
X 1year**



# Metronomic Chemotherapy International Breast Cancer Study Group (IBCSG Trial 22-00)

ER/PgR-Negative Breast Cancer  
following breast cancer  
induction

**Trial completed many years ago.  
Results in San Antonio 2014!**

Randomization

12 months of CM  
Maintenance chemotherapy (CMM)

No CMM

**CMM**- Cyclophosphamide 50/mg/day orally continuously;  
Methotrexate 2.5 mg/twice a day orally days 1 and 2 of every week for 1 year



# Efficacy of Capecitabine Metronomic Chemotherapy in Triple-negative Breast Cancer (SYSUCC-001)

**TNBC, « node positive or  $\geq 0.5\text{cm}$  »**



**Randomization**

standard chemotherapy  
To all

**1 year of metronomic  
Capecitabine (650mg/m<sup>2</sup>,  
twice every day)**

**No metronomic Treatment**

*This study is currently recruiting participants*

# Focus on HER2+ B.C.

- **What did we learn ?**
- **Which questions do we still have to answer ?**

# Adjuvant Therapy for HER2+ BC

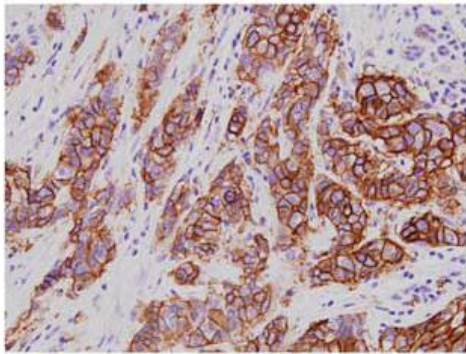
## What did we learn?

- ✓ HER2+ BC is an heterogeneous disease
- ✓ There is no role for dual adjuvant blockade using T+L in the presence of aggressive chemotherapy
- ✓ There is still benefit from delayed adjuvant antiHER2 therapy
- ✓ For T<sub>1</sub>N<sub>0</sub> tumors, the Dana Farber regimen offers a very favourable Benefit/Harm ratio
- ✓ TILs are now accepted as important stratification and prognostic factor in clinical trials for HER2+ BC
- ✓ There is no role for adjuvant bevacizumab

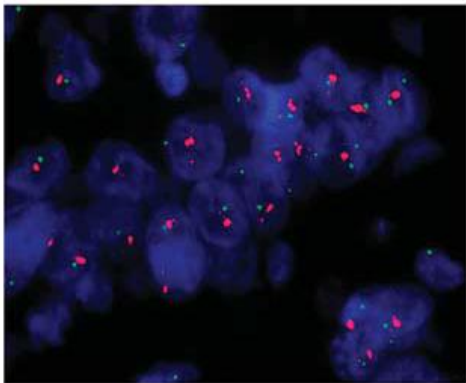
# HER2 positive breast cancer

**HER2+ BC  
by IHC /FISH**

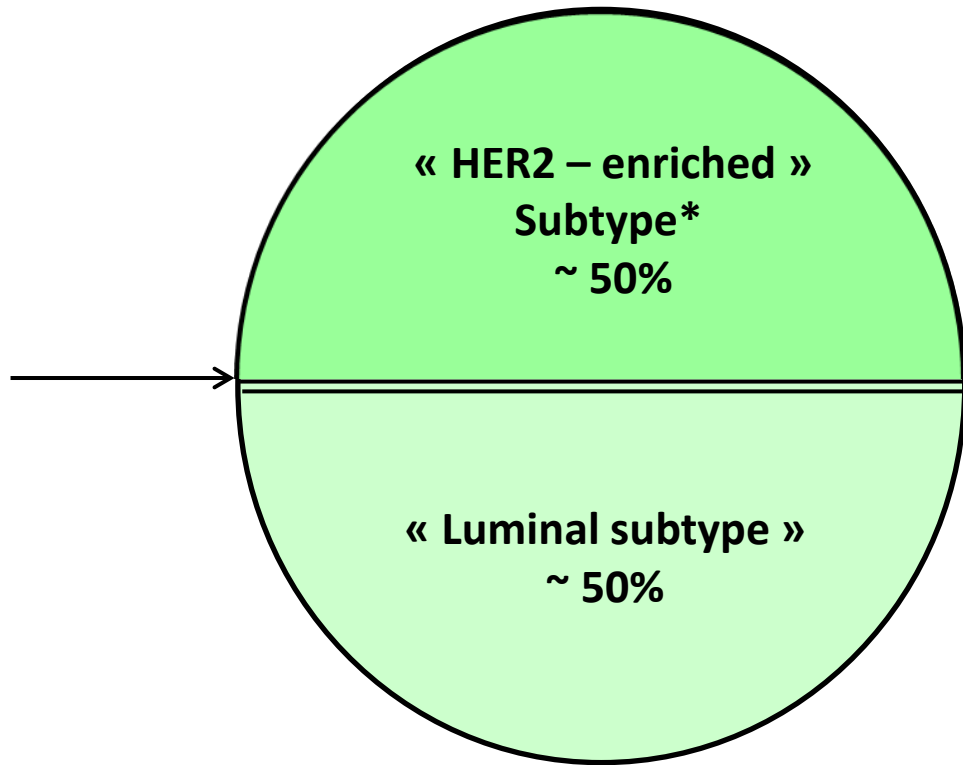
HER2  
IHC



HER2  
FISH



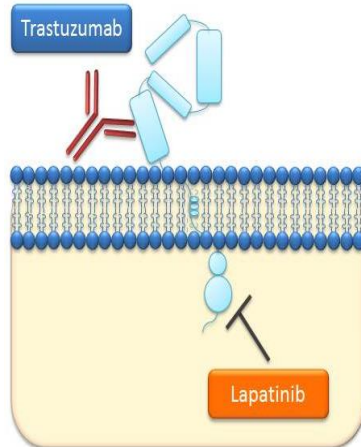
**Gene-expression (PAM<sub>50</sub>) analysis**



**\* Enrichment for proteins encoded by genes in the HER2 amplicon  
(EGFR, FGFR, CDK4, Cyclin D1...)**

# AVAILABLE RESULTS OF DUAL HER2 BLOCKADE PRIOR TO ASCO 2014

## STRATEGY A



EGF104900 (N= 296)

NeoALTTO (N= 455)

Cherlob (N= 121)

LPT 109096 (N= 78)

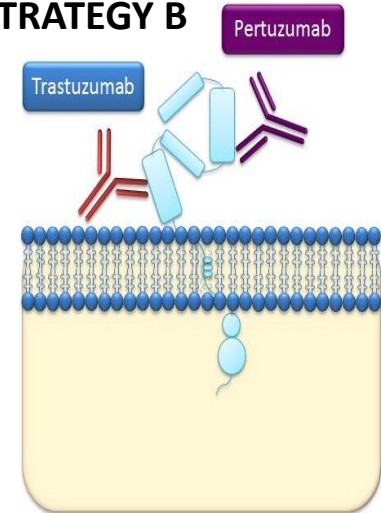
NSABP B-41 (N= 529)

CALGB 40601 (N= 305)



ALTTO (N= 8,381)

## STRATEGY B



Cleopatra (N= 808)

NeoSPHERE (N= 417)

APHINITY (N= 4,805)

### Advanced Disease

↑ PFS and OS  
(2 trials)

### Neoadjuvant setting

Significant ↑ ↑ pCR  
(4 trials)

Non significant ↑ pCR  
(2 trials)

### Adjuvant setting

# ALTT0 STUDY DESIGN

Anti-HER2 therapy: 4 groups assigned by randomization

3 modalities of adjuvant CT administration per physician's choice

Trastuzumab (T) x 52 weeks

Lapatinib (L) x 52 weeks

T x 12 wks ↔ L x 34 weeks  
6 weeks

Trastuzumab x 52 weeks  
and  
Lapatinib x 52 weeks

## Design 1

Chemotherapy

12 to 18 weeks

Anti-HER2 therapy

52 weeks



## Design 2a

Anthracycline

9 to 12 weeks

Taxane

12 weeks



Anti-HER2 therapy

52 weeks

## Design 2b

Docetaxel + Carboplatin

18 weeks

Anti-HER2 therapy

52 weeks



\* R: refers to the timing of randomization

# ASCO 2014

Comparison	Assumptions	Result (HR, 97.5% CI, P-value)
<b>L + T vs. T</b>	Test superiority in intention-to-treat (ITT) population at alpha = <b>0.025</b>	0.84 (0.70, 1.02), p = <b>0.048</b>
<b>T→ L vs. T</b>	Test non-inferiority in per protocol population (PPP) at alpha = <b>0.025</b>	0.93 (0.76, 1.13), p = <b>0.044</b>

# CONCLUSIONS

- **The ALTTO trial did not meet its endpoints (DFS):  
Neither the L + T vs. T comparison nor the T → L vs. T comparison.**
- **The doubling in pCR observed with L + T in NeoALTTO did not translate into improved survival outcomes in ALTTO**



## **LESSONS LEARNED from the ALTTO TRIAL RESULTS**

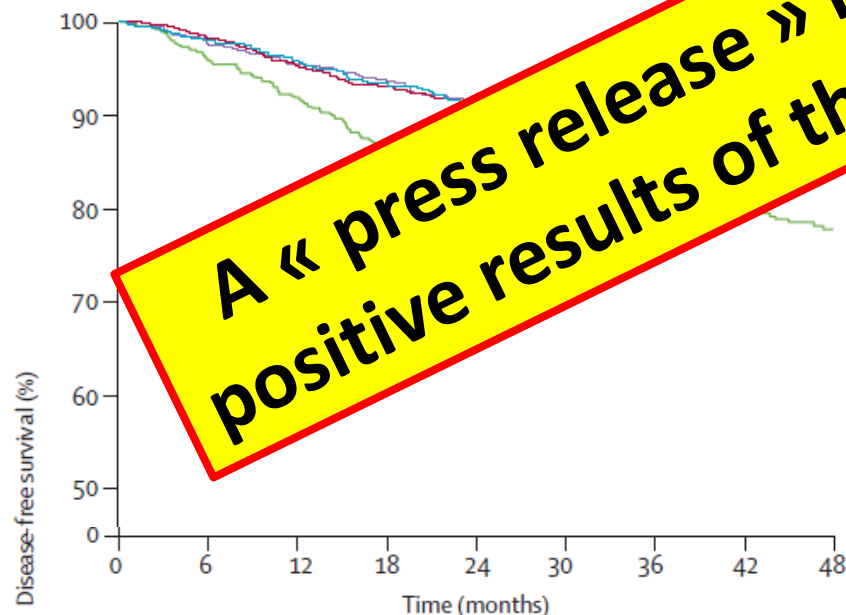
- ✓ **A substantial proportion of women with HER2+ BC are cured by today's adjuvant chemotherapy and trastuzumab**
- ✓ **Moving a new drug (eg: lapatinib) too quickly to the adjuvant setting carries significant risks**
- ✓ **For the neoadjuvant model to have a chance to predict outcome in the adjuvant setting, most « key players » must be given prior to surgery (in NeoALTTO, anthracyclines were given postoperatively)**
- ✓ **The best use of dual HER2 blockade might be in the context of adjuvant chemotherapy de-escalation**

# Does Lapatinib have some activity in the adjuvant setting?

	ITT population				FISH+ group			
	Lapatinib group (n=1571)	Placebo group (n=1576)	HR (95% CI)	p value	Lapatinib group (n=1230)	Placebo group (n=1260)	HR (95% CI)	p value
Disease-free survival	210 (13%)	264 (17%)	0.83 (0.70-1.00)	0.053	157 (13%)	204 (16%)	0.84 (0.67-1.04)	0.11
Overall survival	92 (6%)	97 (6%)	0.99 (0.74-1.31)	0.96	79 (6%)	84 (7%)	0.86 (0.33-1.34)	0.28
Time to first recurrence	172 (11%)	220 (14%)	0.82 (0.67-1.00)	0.051	137 (11%)	183 (15%)	0.84 (0.67-1.04)	0.11
Time to distant recurrence	125 (8%)	156 (10%)	0.84 (0.67-1.06)	0.16	99 (8%)	130 (10%)	0.86 (0.33-1.34)	0.28
CNS recurrence as first recurrence	13 (<1%)	21 (1%)	0.65 (0.33-1.28)	0.28	10 (<1%)	16 (1%)	0.86 (0.33-1.34)	0.28

Data are n (%) unless otherwise stated. HRs are unadjusted. ITT=intention-to-treat. FISH+=HER2-positive as confirmed centrally by fluorescence in-situ hybridisation.

Table 2: Primary and secondary outcomes for the intention-to-treat population and FISH+ group

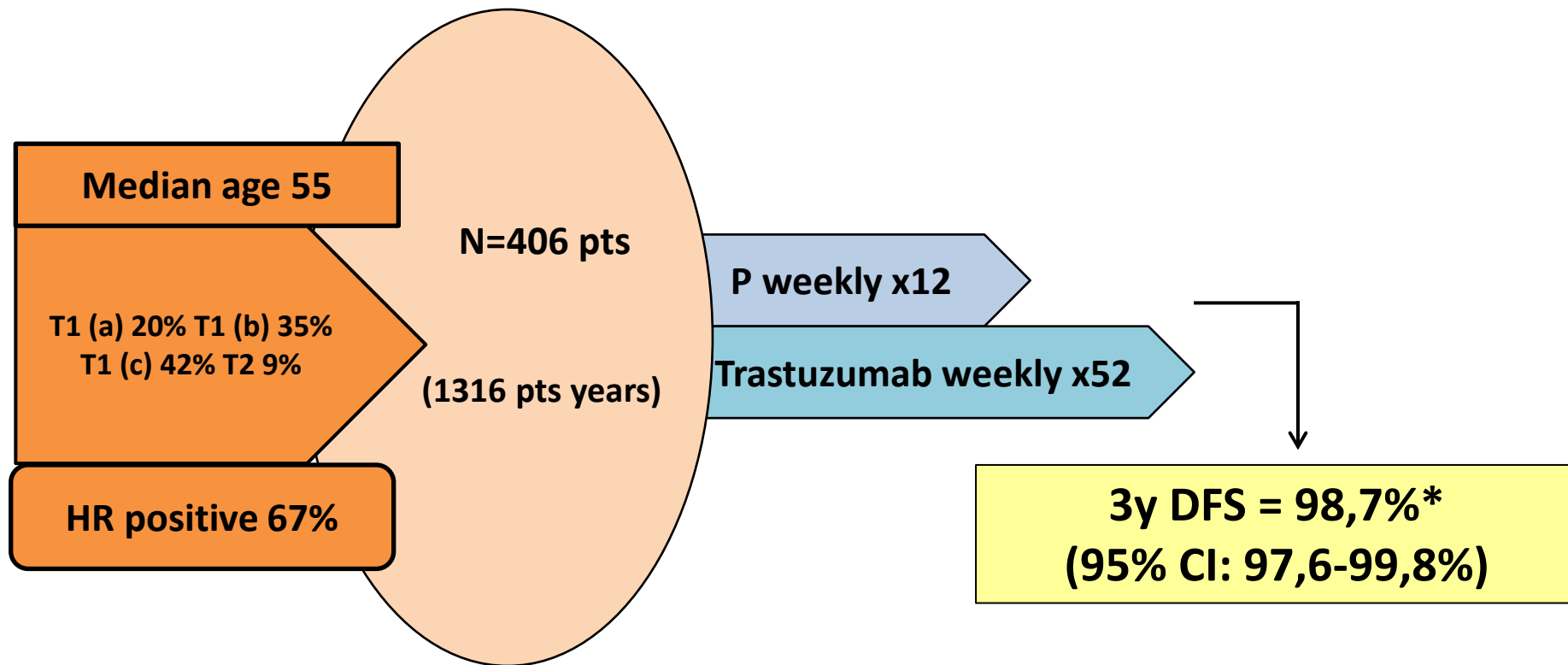


**A « press release » in the US has announced positive results of the Neratinib adjuvant trial !**

**without Trastuzumab  
Lapatinib shows efficacy especially in ER-**

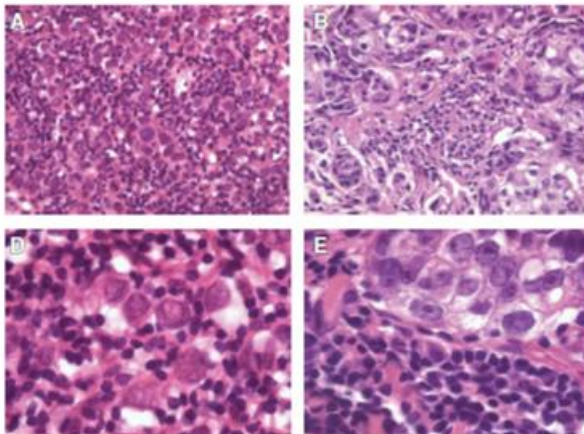
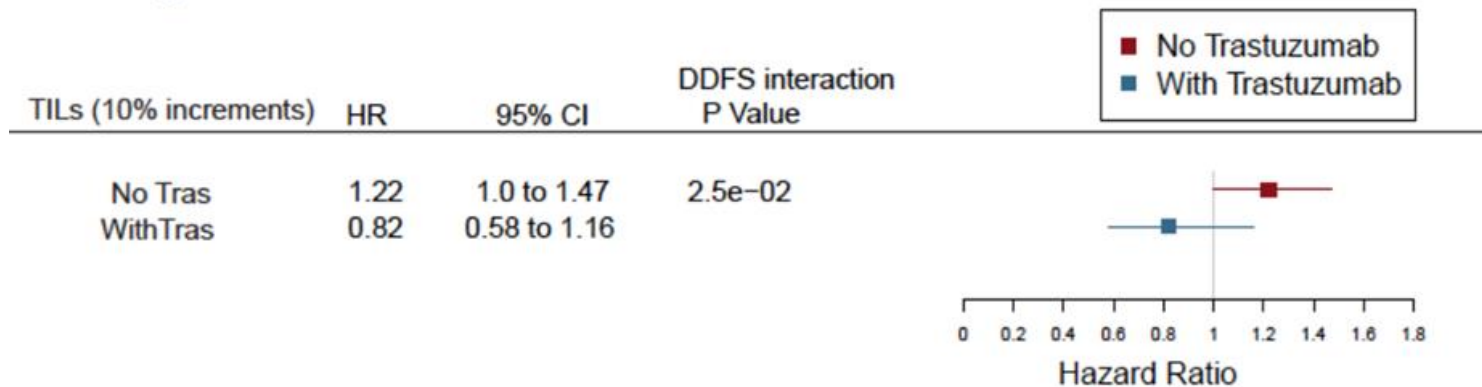
# Small HER2+ BC: the Dana Farber prospective phase II study

**Can aggressive chemotherapy be avoided?**

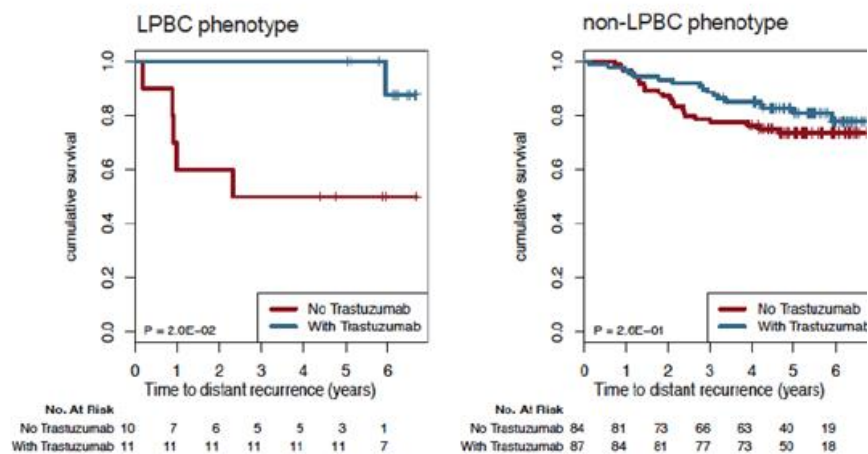


**\*10 « events », only 2 distant metastases**

# LYMPHOCYTIC INFILTRATION PREDICTS FOR TRASTUZUMAB RESPONSE IN THE FINHER TRIAL

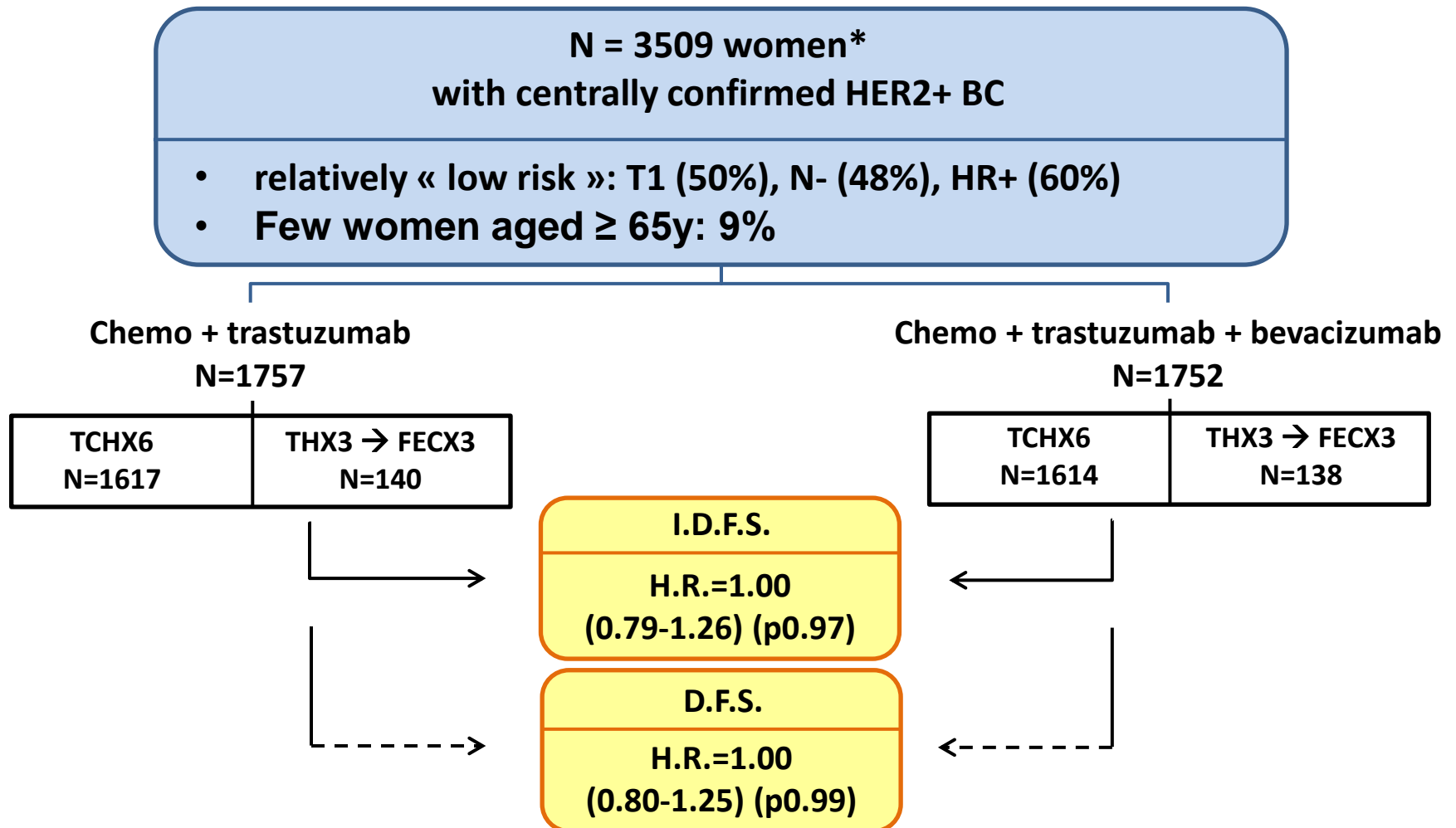


Denkert et al, JCO 2010; Loi et al, JCO 2013  
Loi et al, ASCO 2012



**Lymphocyte Predominant  
Phenotype LPBC >50% infiltration**

# The negative results of the BETH trial (BCRIG + NSABP + independent centers) at a median followup of 38 months



\* Study with 86% power to detect HR0,70 in IDFS

# **Adjuvant Therapy for HER2+ BC**

## **Interesting questions for the future**

- ✓ **Who is cured by current practice?**
- ✓ **Who can be cured with less aggressive chemotherapy ?**  
**TDM1 neoadjuvant trial in preparation!**
- ✓ **Will there be a role for Pik3 CA inhibitors?**  
**Or for anti PD1 / PDL1 drugs?**

# **Possible reasons for failure in incorporating new drugs in the adjuvant treatment scheme**

- **Stage shifting- Improved radiological examination (PET-CT)**
- **Improved local treatments.**
- **Benefit in the metastatic or neoadjuvant settings not large enough (bevacizumab) or not optimally demonstrated (lapatinib)**
- **Lack of imagination or “courage” to move to innovative clinical trial designs**

# ADJUVANT THERAPY IN BREAST CANCER

*Quo vadis?*

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## General conclusions



# « Tailored » adjuvant systemic treatment = ??

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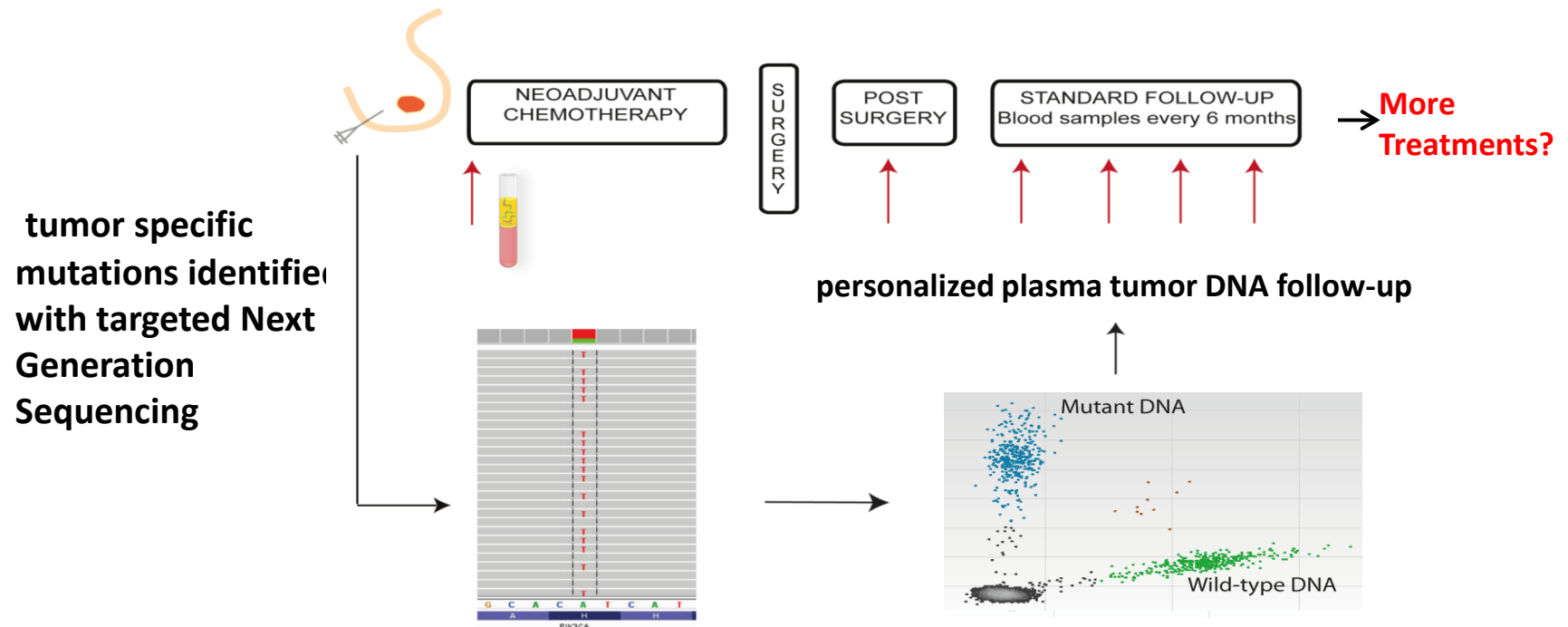
## *The present...*

- Avoiding chemotherapy in case of a genomically-defined low risk luminal cancer

## *The future?*

- Improving the selection of cytotoxic drugs (± PARP inh) in the case of TNBC
- De-escalating chemotherapy in case of exquisit sensitivity to targeted drugs (in the case of HER2+ BC)

# The future of management of early BC could change dramatically !



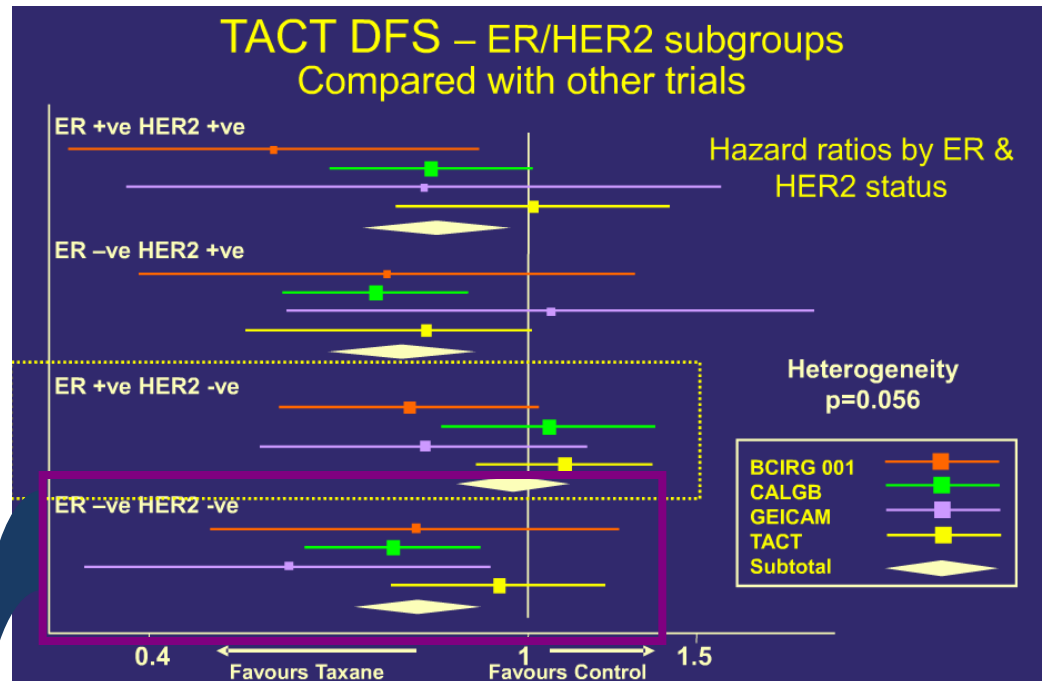
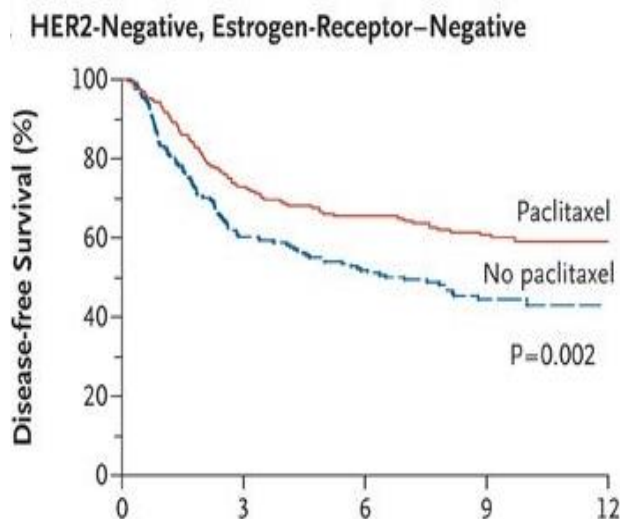
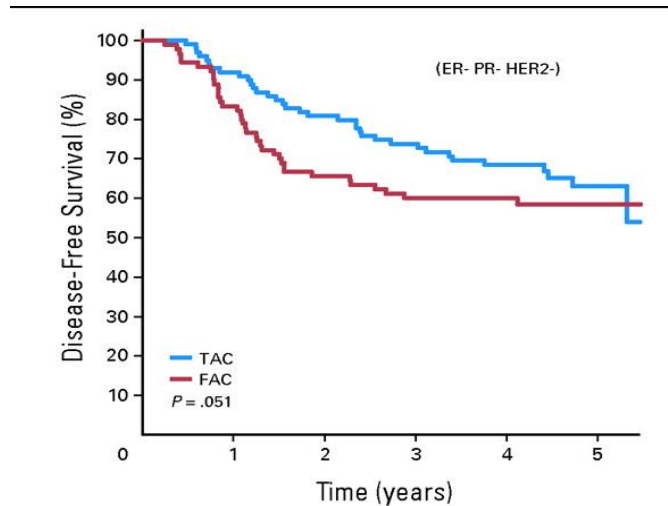


**THANK YOU !**



# Back-up

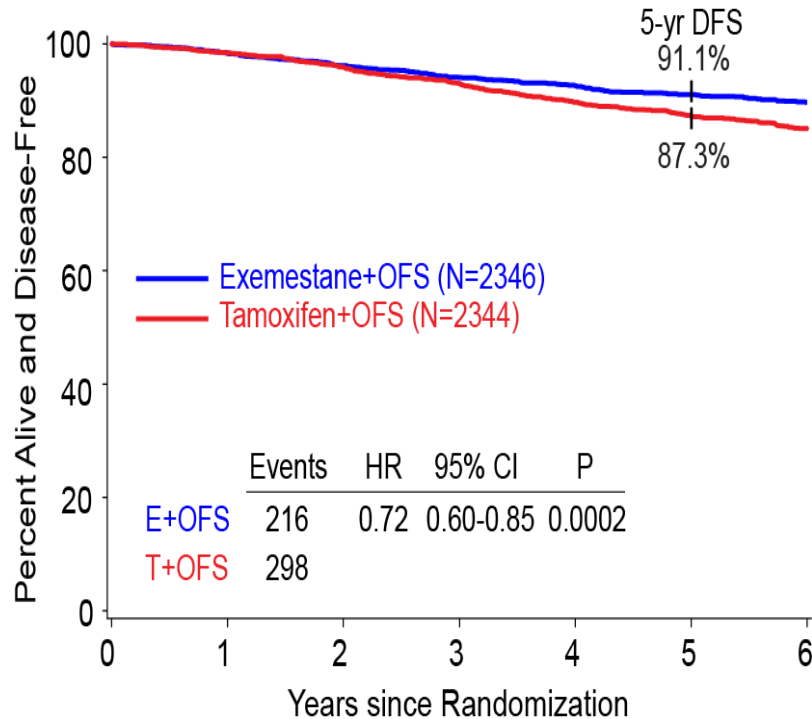
# TAXANES IN TNBC



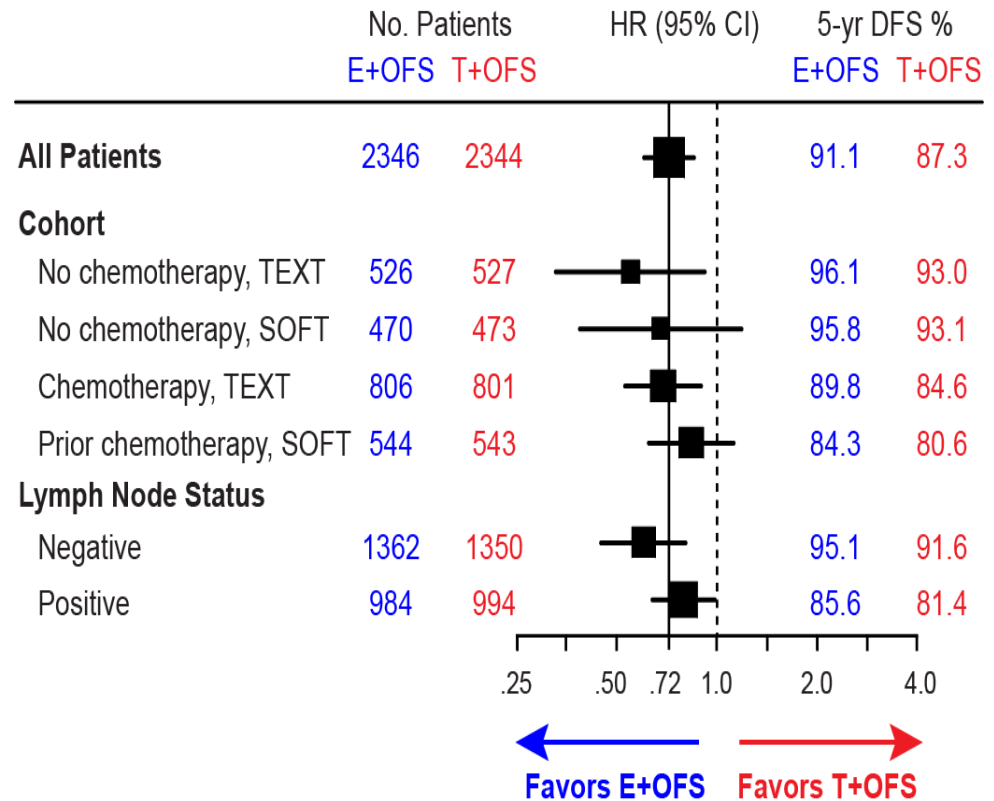
**Triple -ve tumors seem to derive higher benefit when taxanes are added to anthracyclines**

# Exemestane+OFS Improved DFS

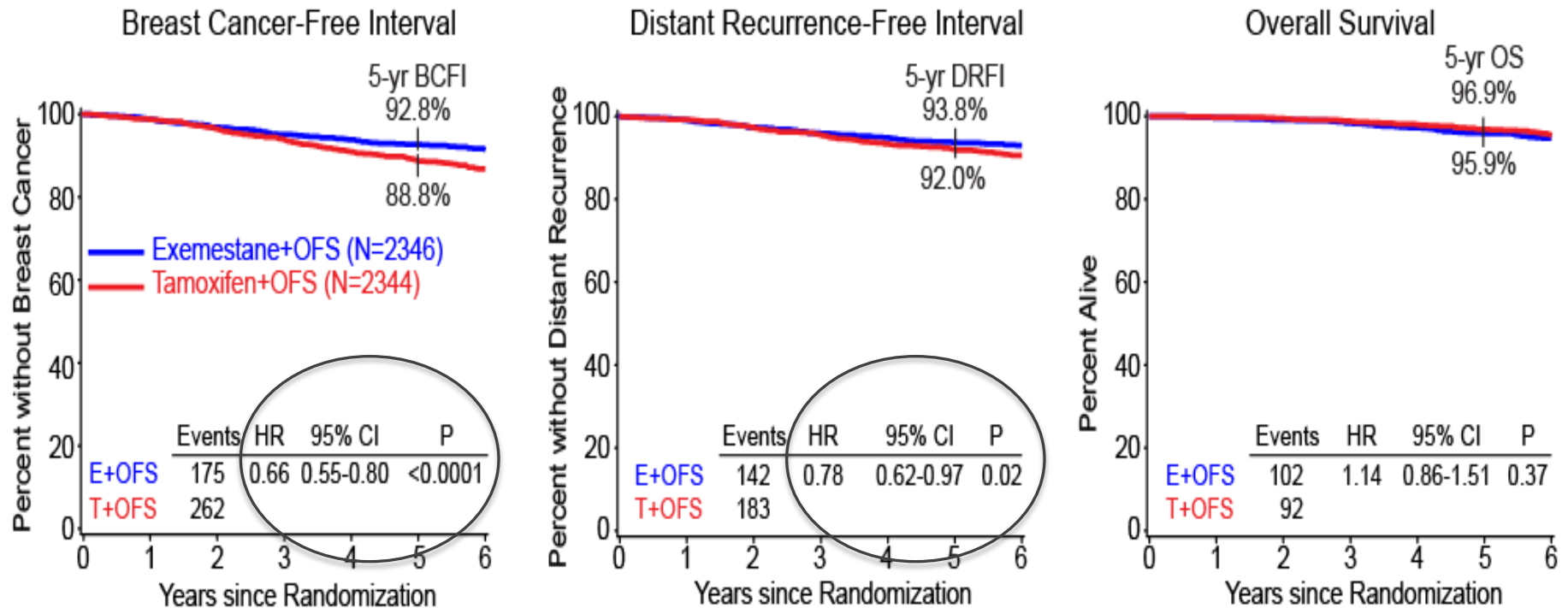
Difference 3.8% at 5 years



5.7 years median follow-up

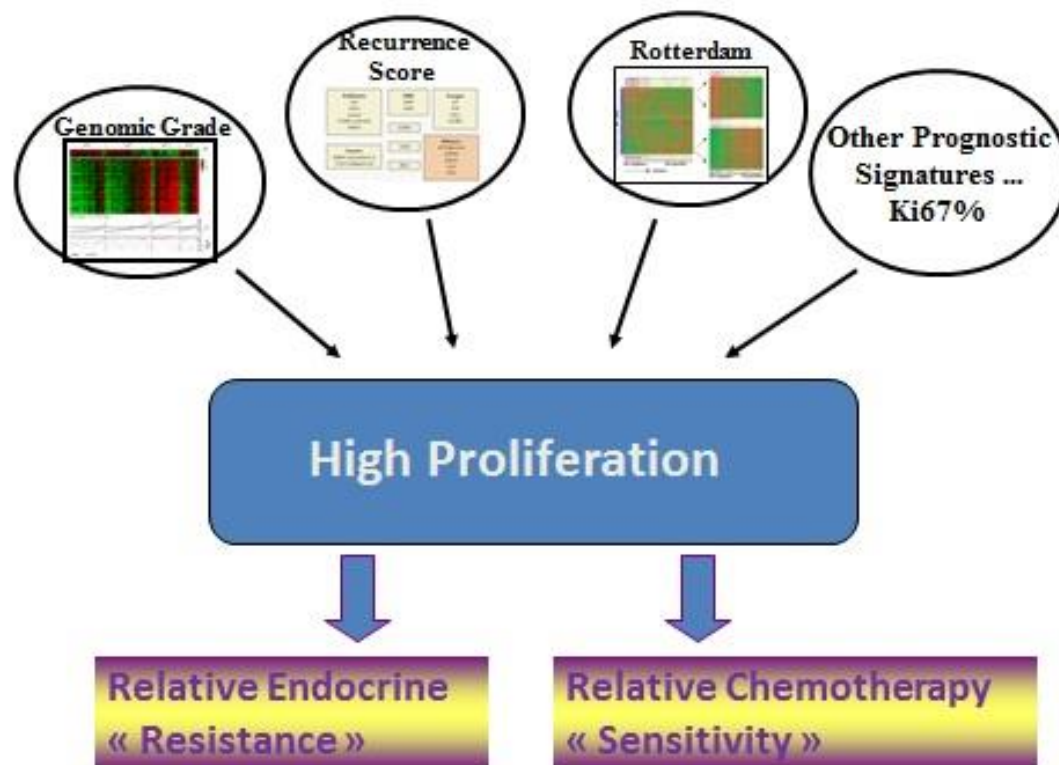


# Exemestane+OFS Reduced Recurrence



No Survival effect

# Luminal ER+ Cancers





# Luminal ER+ Cancers

## What did we learned

- ✓ Increased BC mortality in high BMI premenopausal woman
- ✓ Extending tamoxifen to 10y is preferred over 5y – after risk assessment
- ✓ **Exemestane+OFS** is an emerging option for premenopausal woman
- ✓ Paclitaxel alone not proven equivalent to AC
- ✓ Low proliferating tumours probably can be spared from taxanes
- ✓ No role for adjuvant Bevacizumab

# Adjuvant Chemotherapy trials

## 5 year follow-up

### NSABP-B38 (N+)



All arms equal as far as DFS/OS

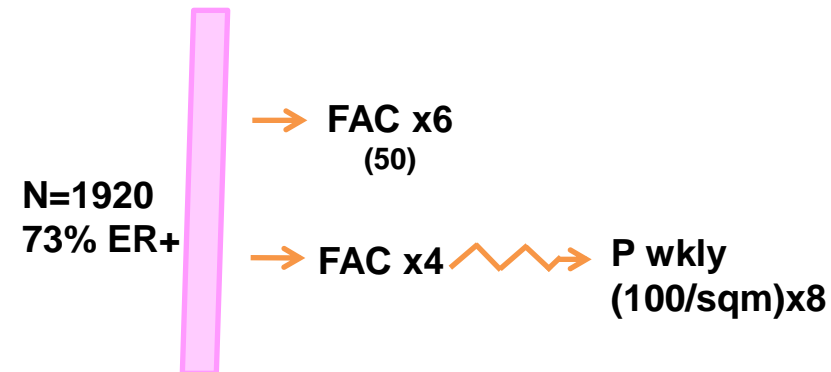
(a): no difference

(b): no difference

Sequential arms : more neuropathy and anemia

Combination arm : more neutropenia, diarrhea

### Geicam 2003-02 (N- high risk)



Sequential arm better

{ H.R. DFS = 0.73 (p0.04) (90%→93%)  
H.R. OS = 0.76 (p0.26)

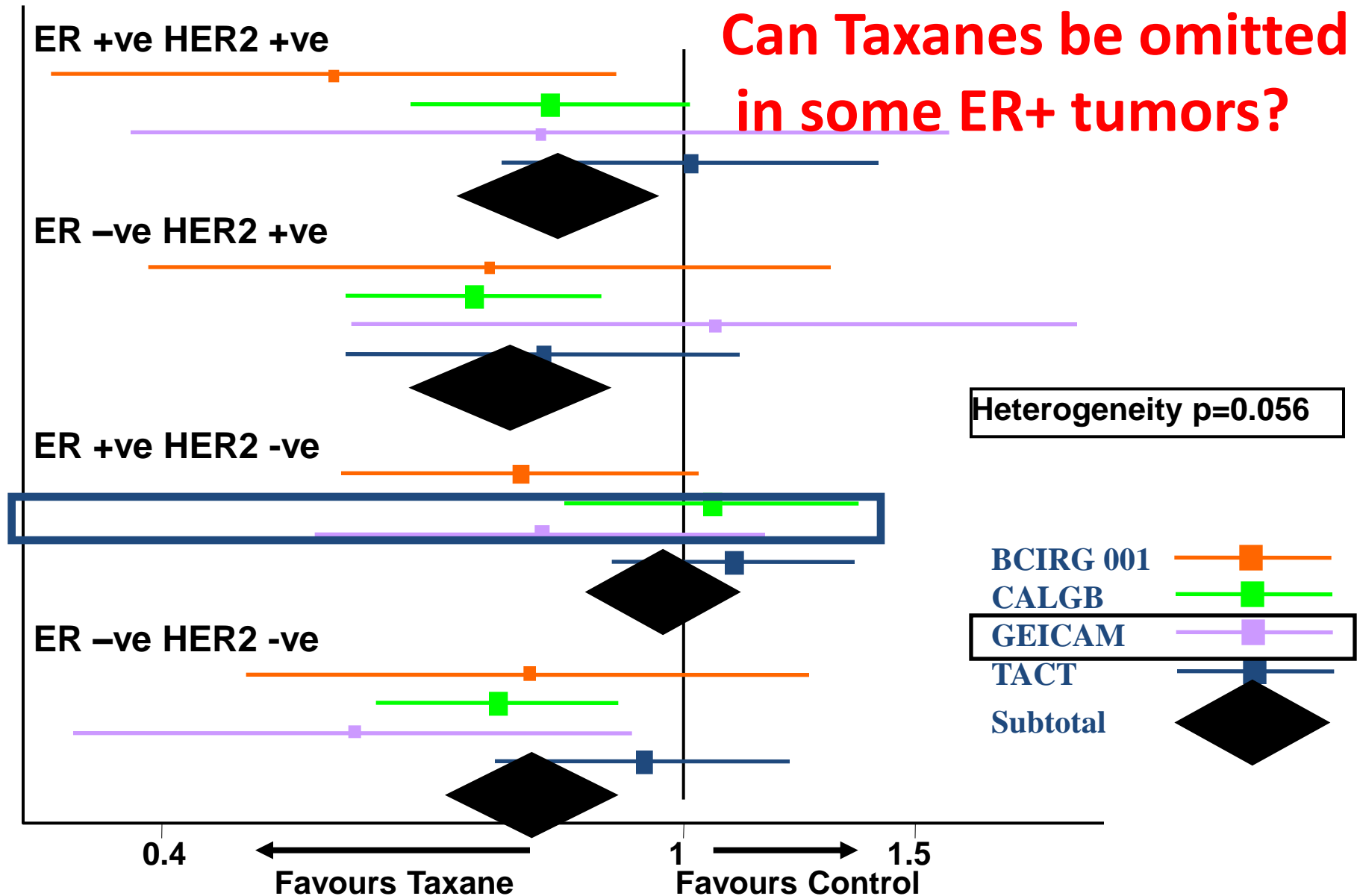
Sequential arm : more short term toxicity (fatigue + neurotoxicity)

Combination arm : 5 late cardiac deaths!

# META-ANALYSIS OF 4 TRIALS

BCIRG 001, CALGB 9344, GEICAM & TACT

**Can Taxanes be omitted  
in some ER+ tumors?**



**TAXANES IN ADDITION TO ANTHRACYCLINES  
ARE NOT OF UNIVERSAL BENEFIT**

**EVIDENCE SUGGESTS LITTLE BENEFIT IN  
ER+ HER-ve TUMOURS WHICH ALSO HAVE  
KI67 OR ARE LUMINAL A**

# Clinical Advances in Adjuvant Triple negative BC

## Take home messages

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- ✓ TNBC is a heterogeneous group
- ✓ There is potential role for dose intensity.
- ✓ There is no role for adjuvant bevacizumab
- ✓ Potential fertility preservation using LHRH agonist Goserelin during adjuvant treatment
- ✓ BRCA germline testing should be encouraged in TNBC especially in Pt<60.
- ✓ Potential role for Platinum
  - Potential enrolment to the Olympia trial(PARP inhibitor)

# Predictive tools in TNBC

Neoadjuvant setting



**Anthracycline benefit**



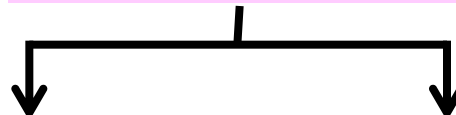
Multidimensional  
**gene signature**  
incorporating  
topo2/apoptosis  
and immune genes :  
**AUC = 0.71**

(N = 147 TNBC receiving  
A but no T; 28 with pCR)

Neoadjuvant setting



**Platinum benefit  
in GeparSixto**



**TILLs**, better than  
immune mRNAs  
predict for ↑ pCR  
and carboplatin  
benefit

(N = 481/595 pts  
pCR overall 53% vs 37  
pCR if TILLs 74% vs 43

**BRCA status and  
family Hx**  
predict carbo  
effect with 25%  
absolute ↑ pCR

(N = 315/41 BRCA)

Metastatic setting



**Platinum benefit  
(single agent)**



Only  
**Homologous  
Recombination  
Deficiency**  
assays predictive !

(N = 86 pts incl.  
BRCA mut carriers)

# Early disease : fertility preservation

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## The « POEM » trial for < 50y women with ER-/PgR- tumors\*



Standard adjuvant CTX  
(with cyclophosphamide)

**Goserelin** started  $\geq 1$ wk prior  
to CTX (q4wk administration)  
Standard adjuvant CTX  
(with cyclophosphamide)

Primary goal : detect an absolute  $\downarrow$  by 15% in « ov failure » at 2y (80% power)

Secondary : pregnancy outcomes

Exploratory : EFS, OS

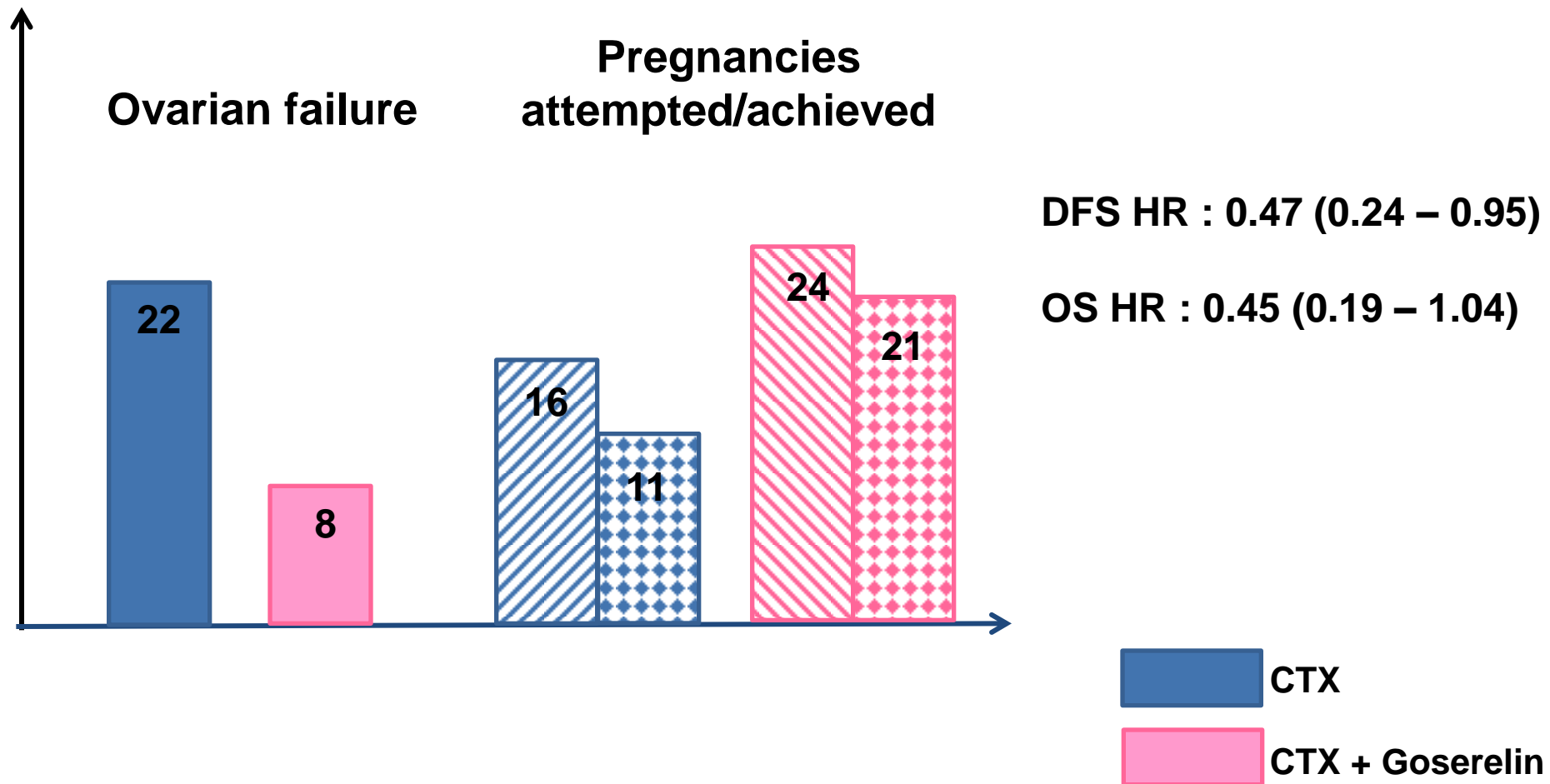
**N = 416 women needed**

\* Cutoff :  $< 10\% \oplus$  cells

## Early disease : fertility preservation

### The « POEM » trial

N = 218 analyzable women among 257 randomized



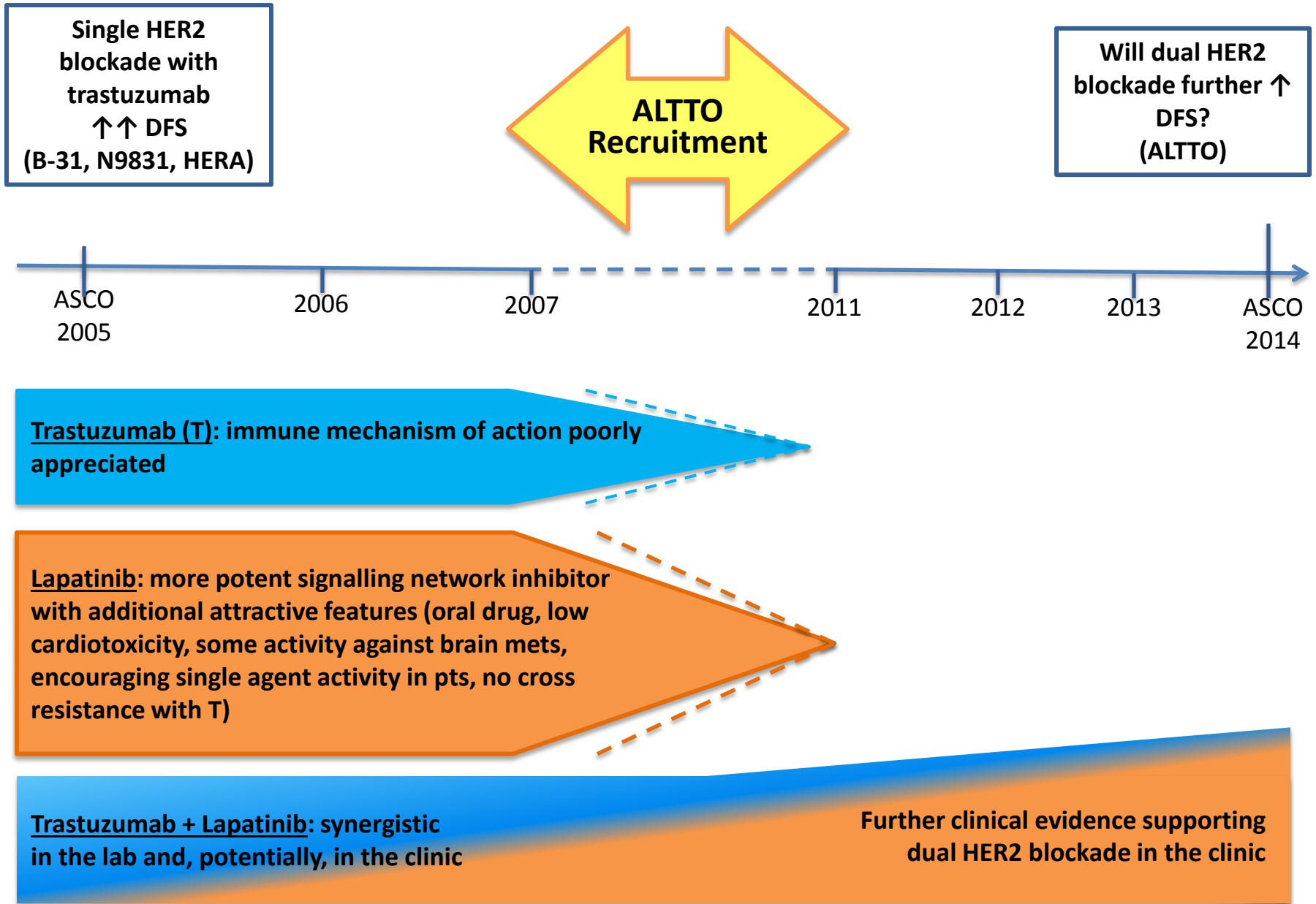


# Clinical Advances in Adjuvant HER2+ BC

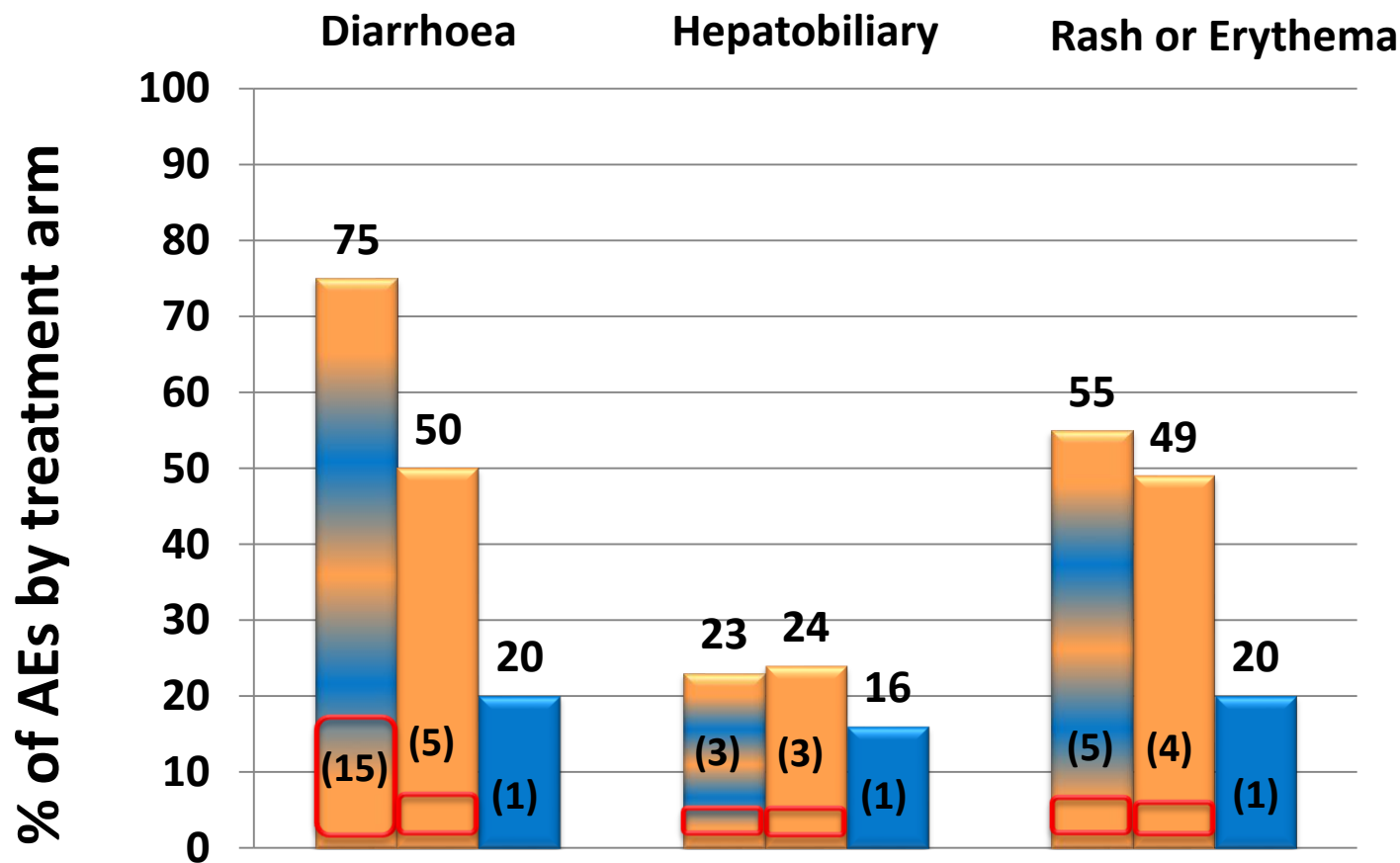
## Take home messages

- ✓ S.C. trastuzumab is likely to « take over » the role of I.V. trastuzumab
- ✓ For T<sub>1</sub>N<sub>0</sub> tumors, the Dana Farber regimen offers a very favourable Benefit/Harm ratio but the f-up is only 3 years
- ✓ Older women (≥ 65y) should not be denied adjuvant CT + trastuzumab in view of a favorable benefit/harm ratio
- ✓ There is no role for adjuvant bevacizumab
- ✓ There is no role for dual adjuvant blockade using T+L
- ✓ TILs are accepted as important stratification and prognostic factor in clinical trials for HER2+ BC and standardization efforts among pathologists are ongoing

# TARGETING HER2 IN BREAST CANCER: EVOLVING CONCEPTS



# MAIN DIFFERENCES IN AEs BY TREATMENT ARM



$p < 0.001$  for  
incidence for all arms  
when compared to T

# Adjuvant trastuzumab (T) benefits/risks in Older women

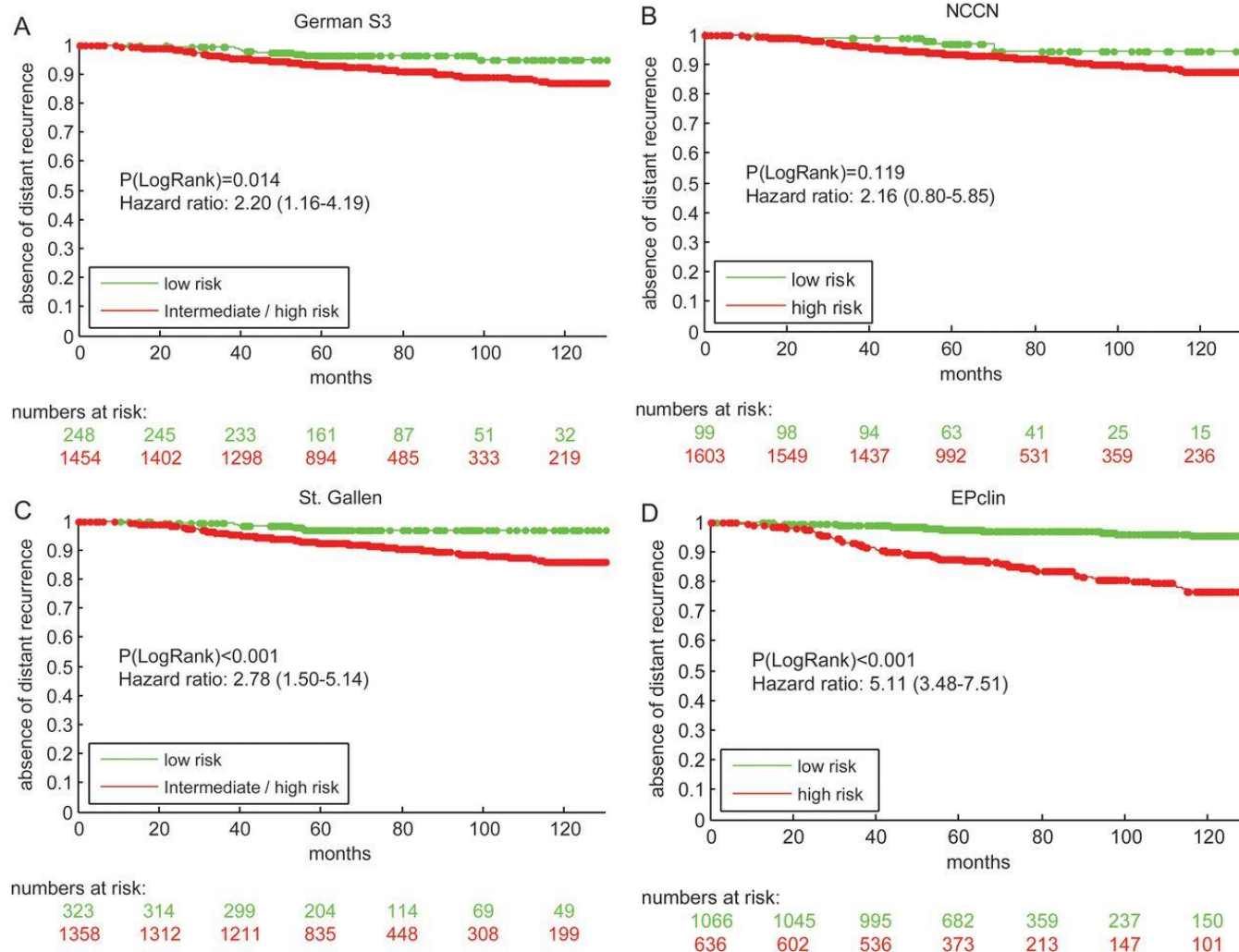
- The prevalence of abnormal baseline LVEF (<50%) in 702 women considered for anthracycline or trastuzumab is low: 2% and unrelated to age, BMI, preexisting cardiac risk factors <sup>(1)</sup>
- A large observational study of adjuvant T use in Germany shows similar 5y recurrence free survival in 2927 women aged < 65y and 1013 women aged ≥ 65y, with only a slight increase in grade 3-4 cardiac function toxicity in the latter (1.6% vs 0.9 %) <sup>(2)</sup>

# Subcutaneous trastuzumab preferred to i.v. trastuzumab

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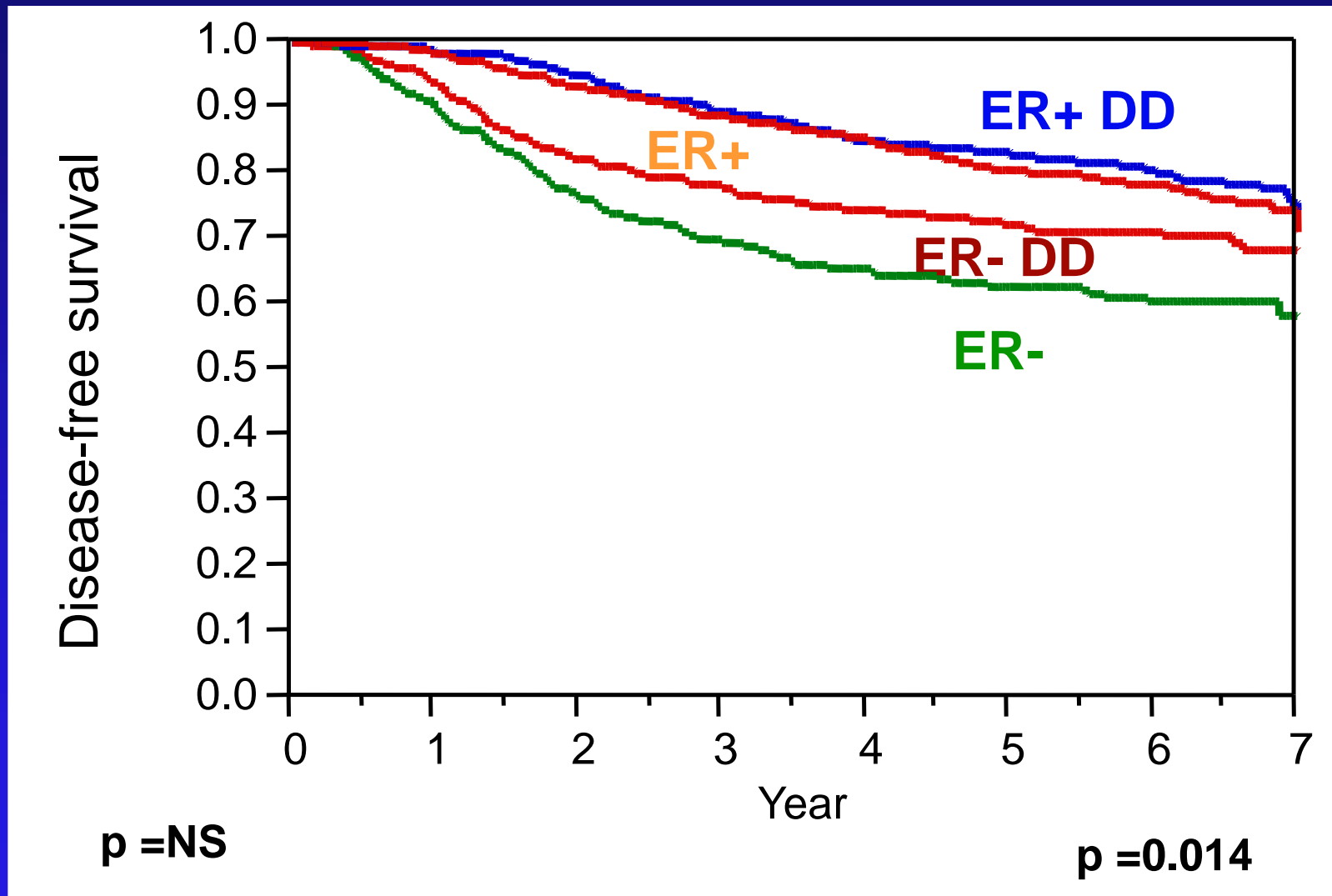
*Results from Cohort 2 of the PrefHER trial (handheld syringe) are consistent with those of cohort 1 (single use injection device) and indicate a clear preference of patients (and health care professionals) for the sc delivery method!*

**Kaplan–Meier plot of distant metastasis-free survival (MFS) by (A) German S3, (B) National Comprehensive Cancer Center Network (NCCN), (C) St Gallen guidelines and (D) EPclin risk groups. 95% confidence intervals (CI) of hazard ratios (HR) are indicated.**



**Dubsky P et al. Ann Oncol 2013;24:640-647**

# C9741: DFS by ER Status & Dose Density



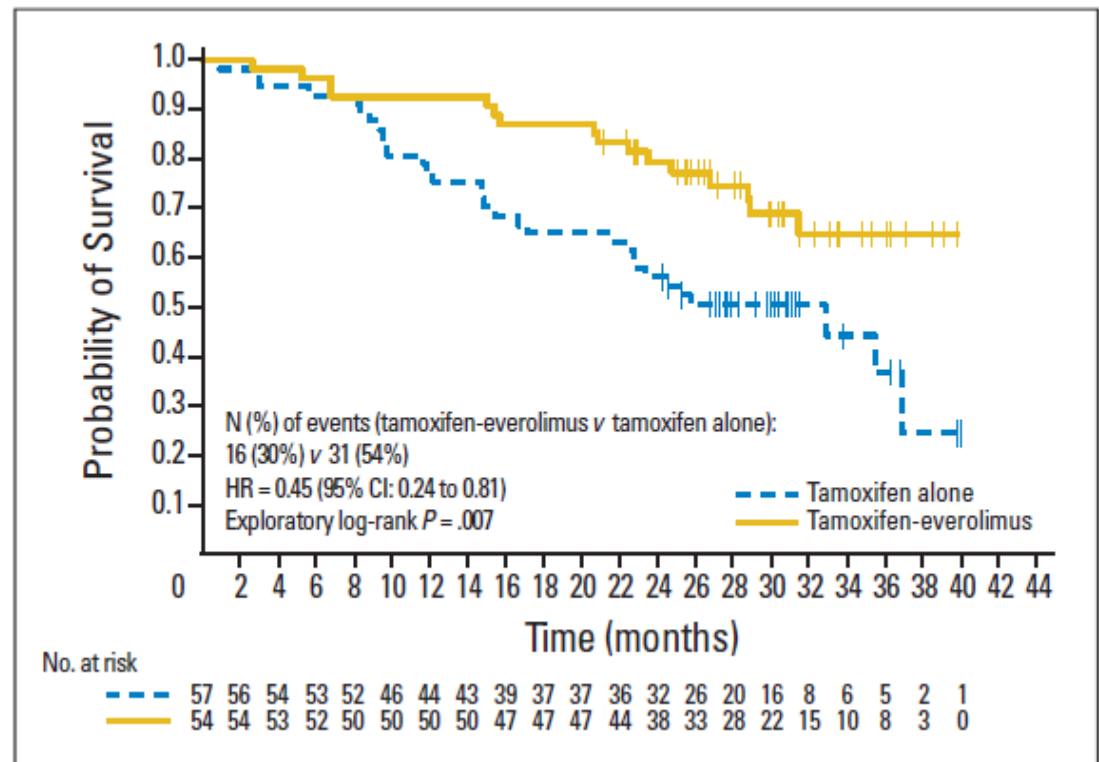
# MAY BE AN OPTION FOR TNBC

	Q 2 wk		Q 3 Wk		HR	P value
	Pts (n)	Failures	Pts (n)	Failures		
Disease Free Survival						
ER-	335	98	327	122	0.75 (0.57 - 0.97)	0.031
ER+	636	113	639	130	0.86 (0.67 - 1.11)	0.26
Total	988	215	984	260	0.80 (0.67 - 0.96)	0.018
Overall Survival						
ER-	335	81	327	100	0.77 (0.57 - 1.03)	0.073
ER+	636	74	639	80	0.92 (0.67 - 1.26)	0.61
Total	988	159	984	185	0.85 (0.68 - 1.05)	0.12



# Randomized Phase II Trial of Everolimus in Combination With Tamoxifen in Patients With ER=/ HER2-, Metastatic Breast Cancer With Prior Exposure to Aromatase Inhibitors

**Overall survival benefit  
in the intention-to-treat  
population**



Thomas Bachelot JCO 2012

# Phase 3 Trials in breast cancer inhibiting CKDs

## PALOMA1-3

palbociclib (PD-0332991)	CDK 4,6 Kinase Inhibitor	1 <sup>st</sup> Line Advanced Breast Cancer, *Cancer	Phase 3
▶ palbociclib (PD-0332991)	CDK 4,6 Kinase Inhibitor	High Risk Early Breast Cancer	Phase 3
palbociclib (PD-0332991)	CDK 4,6 Kinase Inhibitor	Recurrent Advanced Breast Cancer	Phase 3



## MONARCH 2 :

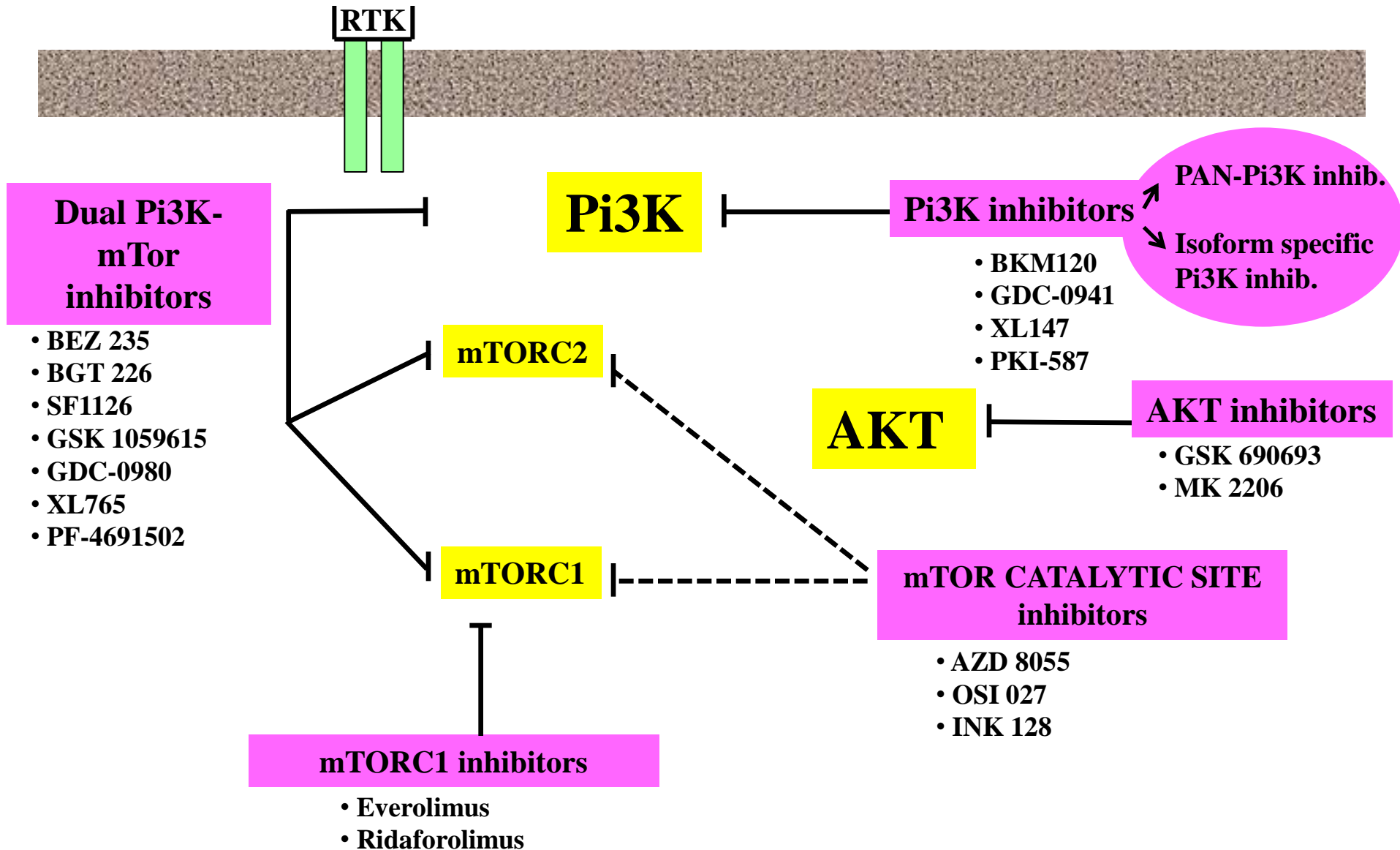
**A Study of Abemaciclib (CDK 4/6 Dual Inhibitor) Combined With Fulvestrant in Women With Hormone Receptor Positive HER2 Negative Breast Cancer**



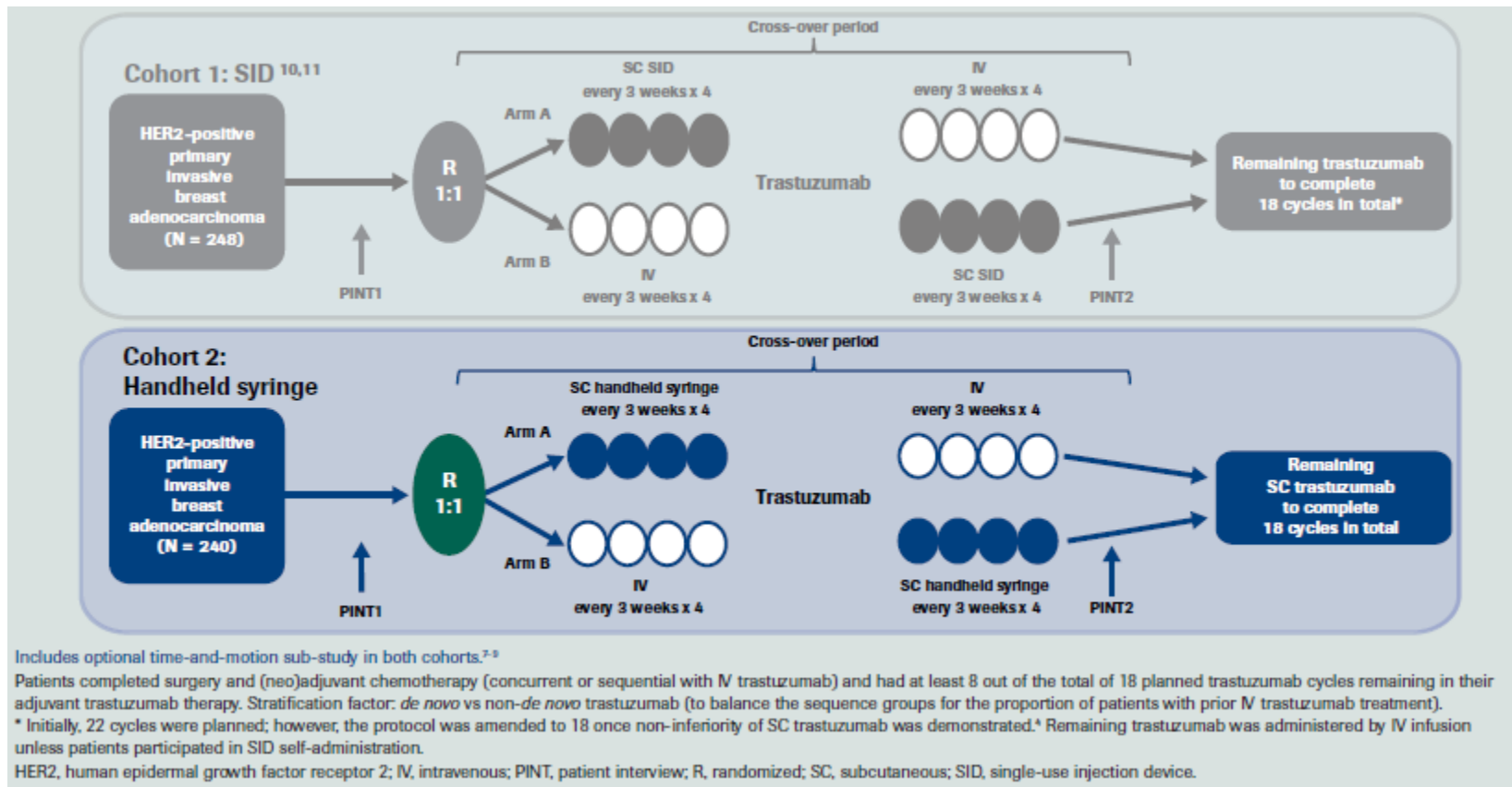
# Phase 3 Trials in breast cancer inhibiting CKDs

Compund	Trial name	Company	design	NCT
<b>LEE011</b>	MONALEESA-2	Novartis	A Randomized Double-blind, Placebo-controlled Study of LEE011 in Combination With Letrozole for the Treatment of Postmenopausal Women With Hormone Receptor Positive, HER2 Negative, Advanced Breast Cancer Who Received no Prior Therapy for Advanced Disease	NCT01958021
<b>Abemaciclib</b>	MONARCH 2	Lilly	Combined With Fulvestrant in Women With Hormone Receptor Positive HER2 Negative Breast Cancer	NCT02107703
<b>Palbociclib</b>	PENELOPE-B	Pfizer	A Study of Palbociclib in Addition to Standard Endocrine Treatment in Hormone Receptor Positive Her2 Normal Patients With Residual Disease After Neoadjuvant Chemotherapy and Surgery	NCT01864746
<b>Palbociclib</b>	PEARL	Pfizer	Phase III Study of Palbociclib in Combination With Exemestane Versus Chemotherapy (Capecitabine) in Hormonal Receptor (HR) Positive/HER2 Negative Metastatic Breast Cancer (MBC) Patients With Resistance to Non-steroidal Aromatase Inhibitors	NCT02028507
<b>Palbociclib</b>	PALOMA-2	Pfizer	A Study of Palbociclib (PD-0332991) + Letrozole vs. Letrozole For 1st Line Treatment Of Postmenopausal Women With ER+/HER2- Advanced Breast Cancer	NCT01740427

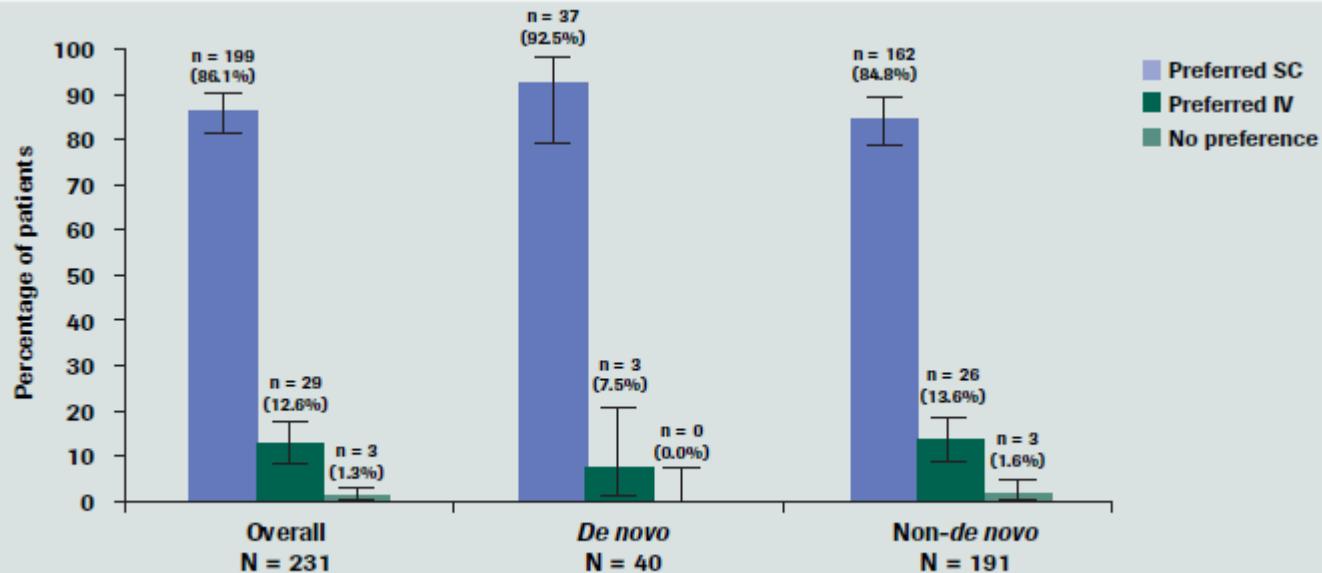
# PiK3CA pathway inhibitors



# Subcutaneous trastuzumab preferred to i.v. trastuzumab



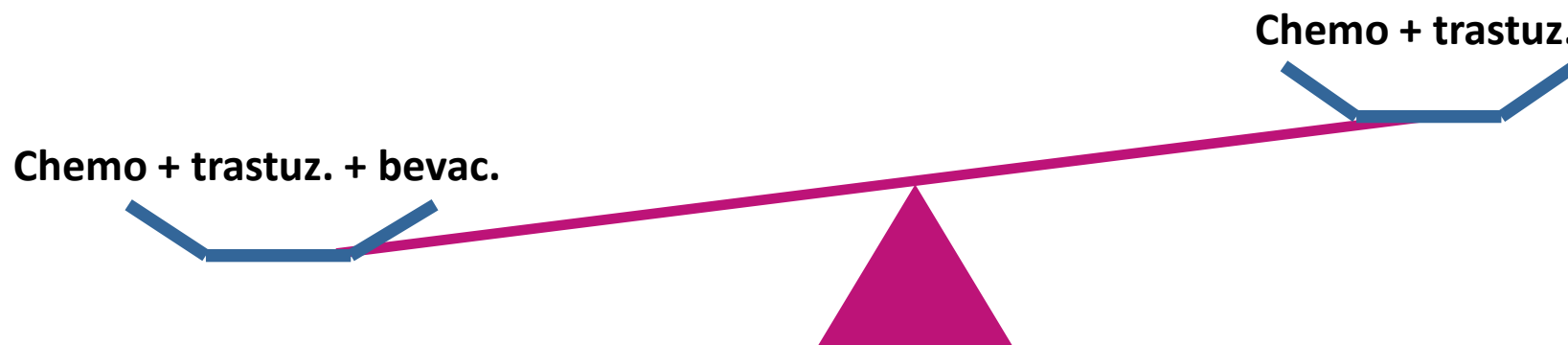
# Results Cohort 2 – Handheld syringe



PINT2, Q53: "All things considered, which method of administration did you prefer?" IV, intravenous; PINT, Patient Interview; SC, subcutaneous.

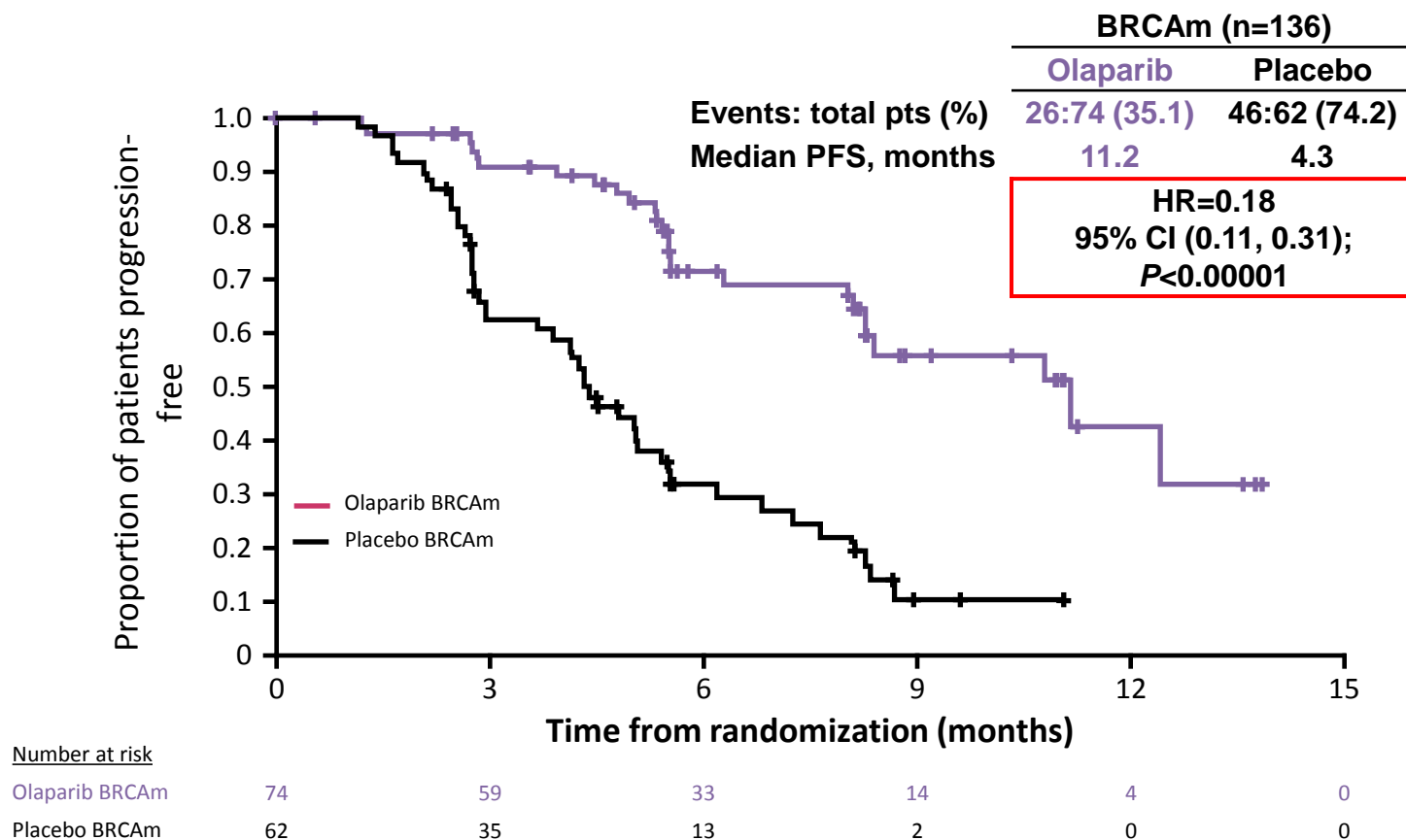
- In total, 199 (86,1%) of 231 patients preferred SC trastuzumab
- Overall preference for subcutaneous trastuzumab was “very strong” in 62,3% of patents, “fairly strong” in 15,6%, and “not very strong” in 8,2%.

# Beth trial: more toxicity in bev. arm



88%	←	Trastuzumab completion	→	92%
70%	←	Bevacizumab completion	→	NA
21%	←	Grade 3-4 A.E.	→	5%
n=3 (2 cardiac)	←	Grade 5 A.E.	→	n=0
9%	←	L.V. systolic dysfunction	→	7%
2,1%	←	CHF	→	< 1%
n=19	←	Cardiac Ischemia	→	n=3

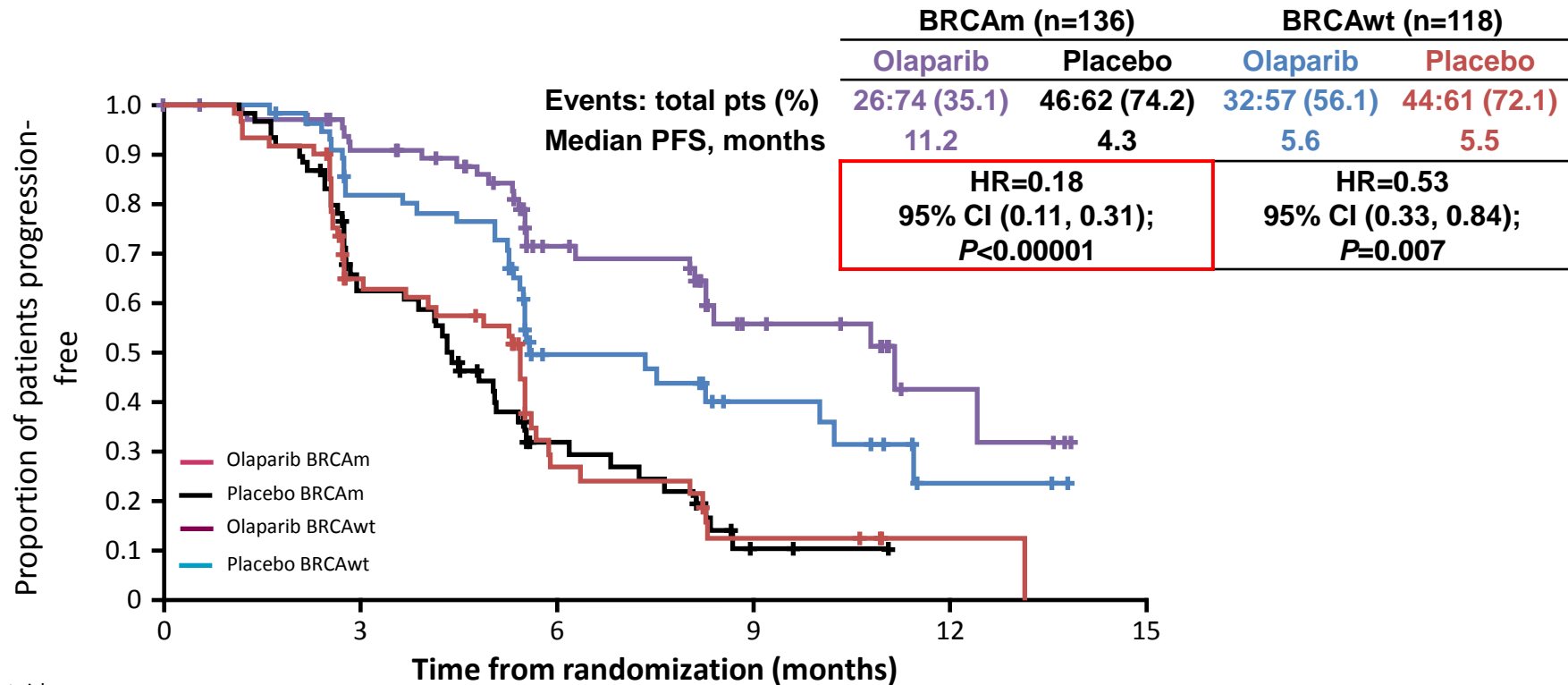
# PFS by BRCAm status



- 82% reduction in risk of disease progression or death with olaparib



# Most compelling evidence in BRCAg



## Number at risk

Olaparib BRCAm	74	59	33	14	4	0
Placebo BRCAm	62	35	13	2	0	0
Olaparib BRCAwt	57	44	17	9	2	0
Placebo BRCAwt	61	35	10	4	1	0

BRCAwt, wild type (includes patients with no known BRCAm or a mutation of unknown significance)

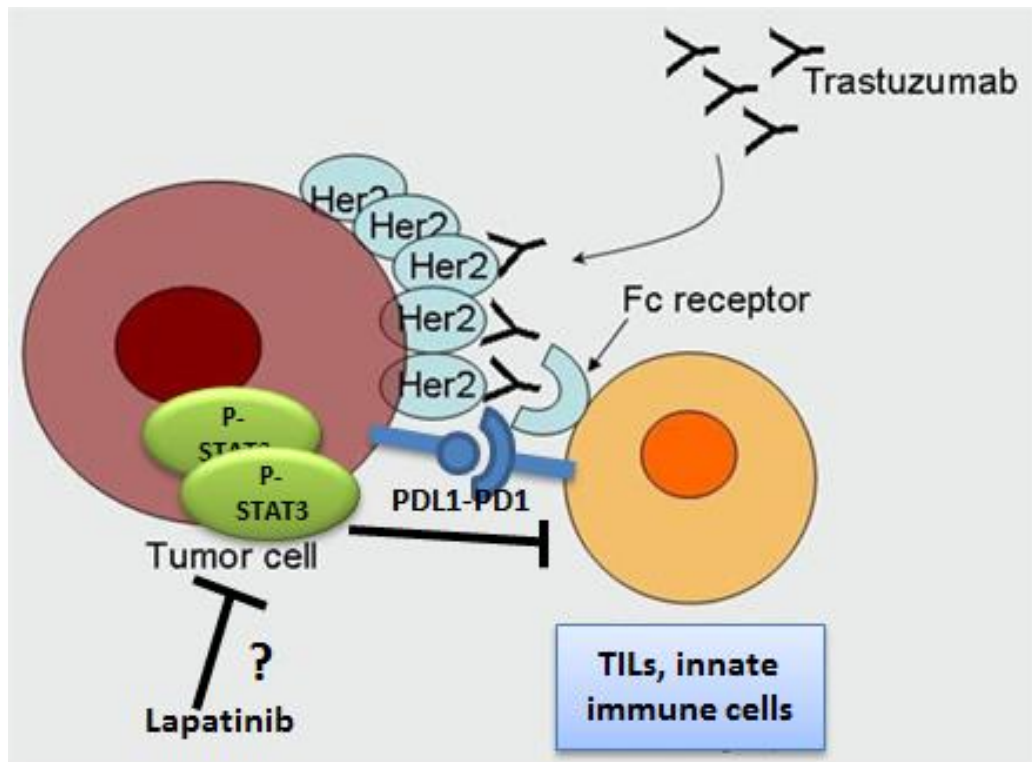
# Can we identify sub-groups that will benefit from dual blockade?

Immune signatures ?

TILs?

P-STAT3 ?

Immune tolerance:  
less benefit from  
Trastuzumab and maybe  
more benefit from  
Lapatinib ?



TIL- Tumor infiltrating lymphocytes

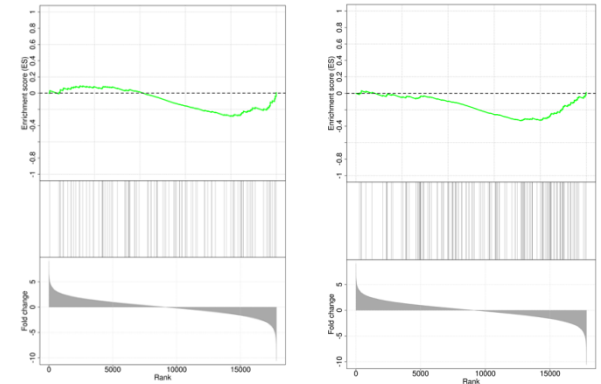
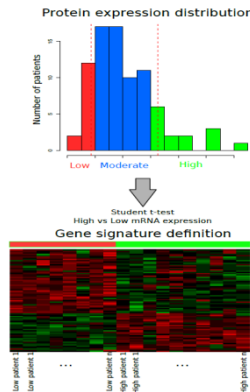
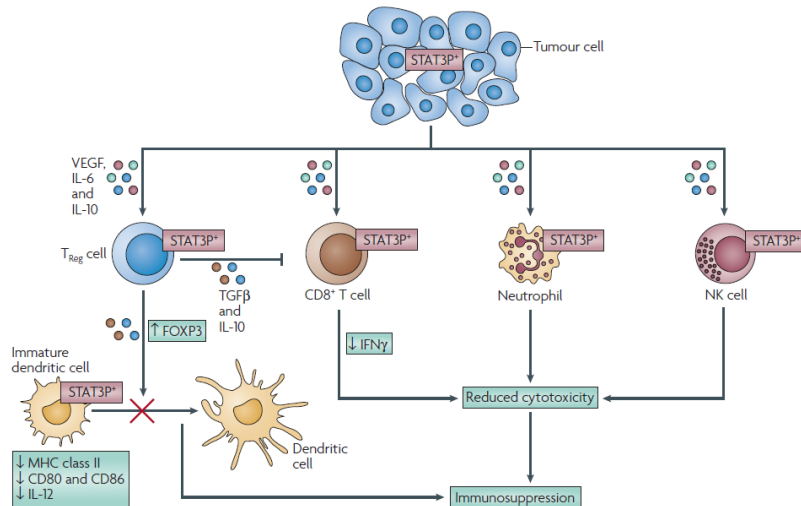
# Rational P-STAT3 : Potential role in Anti-Immunity

Preliminary data evidence for immune inhibition in p-STAT3+ tumors

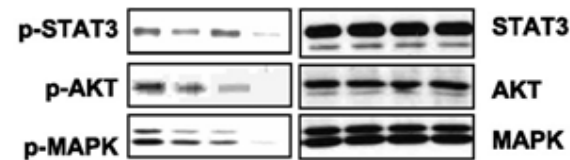
TUMOUR IMMUNOLOGY

Crosstalk between cancer and immune cells: role of STAT3 in the tumour microenvironment

Hua Yu\*, Marcin Kortylewski\* and Drew Pardoll\*



Can P-STAT3 be inhibited by Lapatinib?



DMSO	+	-	-	-	+	-	-	-
Lap (1 μM)	-	+	-	+	-	+	-	+

Molecular Cancer Therapeutics

ACR

Combined lapatinib and cetuximab enhance cytotoxicity against gefitinib-resistant lung cancer cells  
Huang-Pin Kim, Gao-Shan Han, Sung-Hak Kim, et al.

# Examples and suggestions

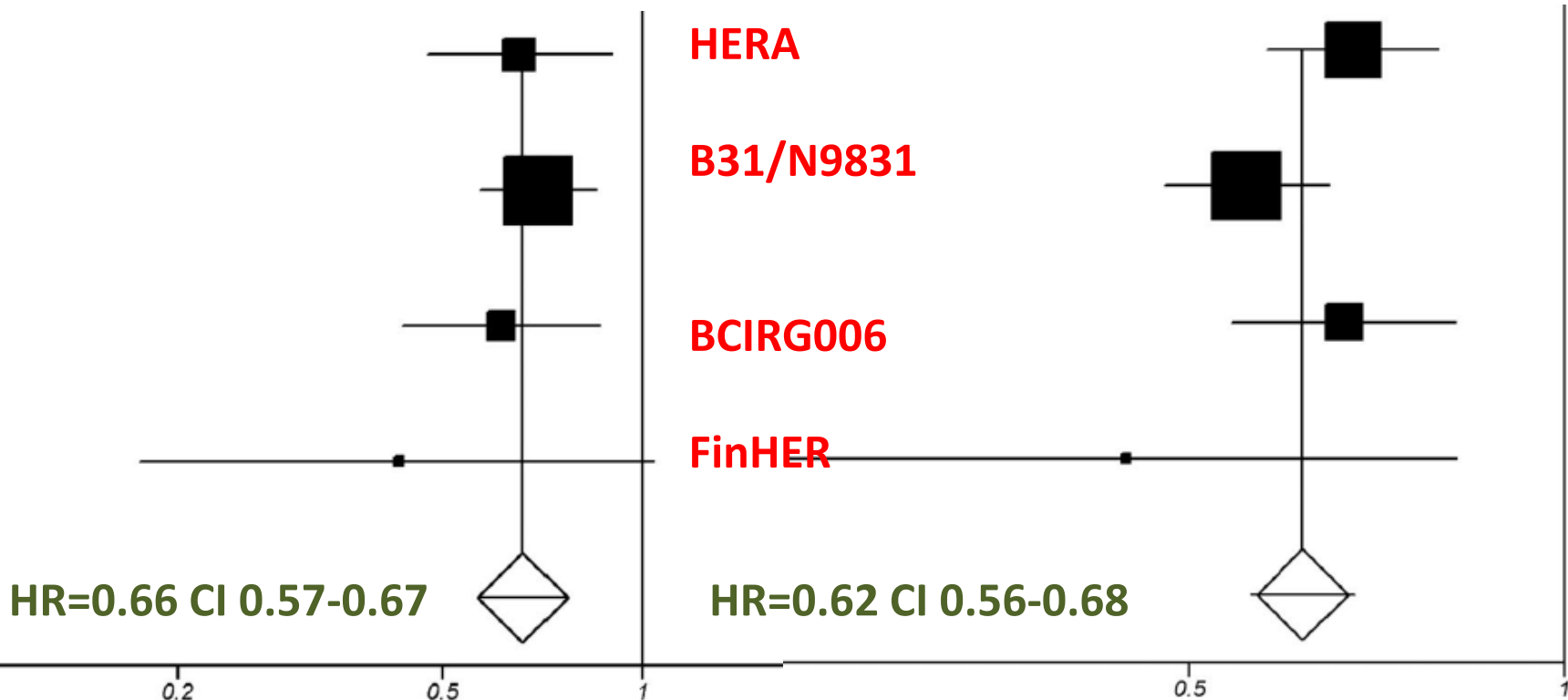
Problems	Suggestion	Good examples	Wrong example
Heterogeneity	Predefine the sub-set of population most likely to benefit from the drug	HER2 -trastuzumab BRCA carriers- PARP inhibitors?	ER and TNBC in the same trial HER2/ER+ and HER2/ER- in the same trial ?
Stage shifting (PET-CT) Improved local and systemic management.	Take action in advance to overcome stage shifting and improved local and systemic treatments	Statistical power considerations based on present practice	Statistical power considerations based on previous studies
Launching adjuvant trials without clear evidence of benefit in the metastatic or neoadjuvant setting	Have clear evidence of benefit in the metastatic a/o neoadjuvant setting	Tamoxifen, Aromatase inhibitors, taxanes, trastuzumab	Controversies about the efficacy of the drug in the metastatic or neoadjuvant setting

# ADJUVANT TRASTUZUMAB IMPROVES SURVIVAL

## Relative Risk Meta-analysis plot

Overall survival

Disease Free survival



# ST. GALLEN 2005

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## **INTRODUCED A FUNDAMENTAL CHANGE IN THE TREATMENT ALLOCATION PARADIGM**

**"First select the target in the tumor  
based on the biology of the tumor...  
then think about risk to « fine-tune »  
adjuvant therapy**

# Adjuvant therapy for Luminal Breast Cancers

## What did we learn?

- ✓ Some patients do not need chemotherapy
- ✓ Consideration for the incorporation of an “AI” in the treatment scheme should be given (in post menopausal women)
- ✓ Some patients benefit from extended (10y) hormonal treatment
- ✓ Exemestane+OFS is an emerging option for premenopausal women
- ✓ Bisphosphonates (mostly zoledronic acid) are to be considered for some women
- ✓ When chemotherapy is indicated, shorter regimens can be considered in certain circumstances
- ✓ There is no role for adjuvant Bevacizumab
- ✓ BC mortality is increased in high BMI premenopausal women

# Adjuvant Therapy for HER2+ BC

## What did we learn?

- ✓ HER2+ BC is an heterogeneous disease
- ✓ There is no role for dual adjuvant blockade using T+L in the presence of aggressive chemotherapy
- ✓ There is still benefit for delayed adjuvant antiHER2 therapy
- ✓ For T<sub>1</sub>N<sub>0</sub> tumors, the Dana Farber regimen offers a very favourable Benefit/Harm ratio
- ✓ TILs are now accepted as important stratification and prognostic factor in clinical trials for HER2+ BC
- ✓ There is no role for adjuvant bevacizumab



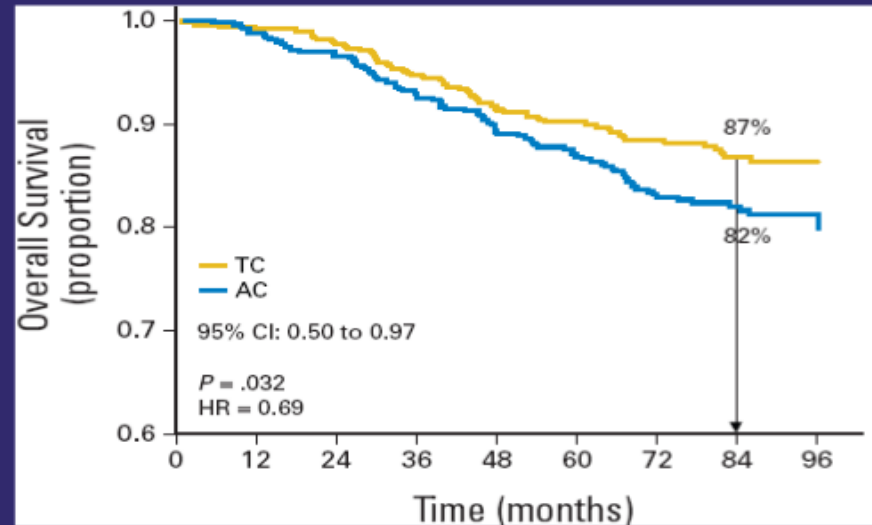
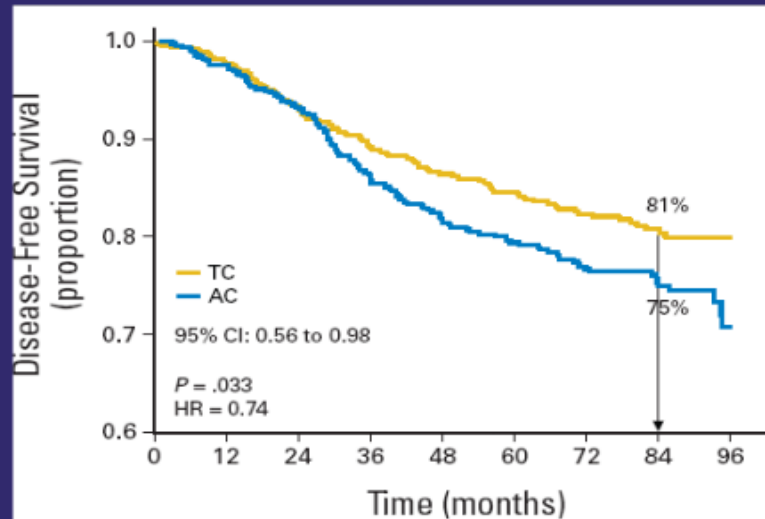
# What chemotherapy should we give (ER+)?

## CAN WE OMIT ANTHRACYCLINES ?

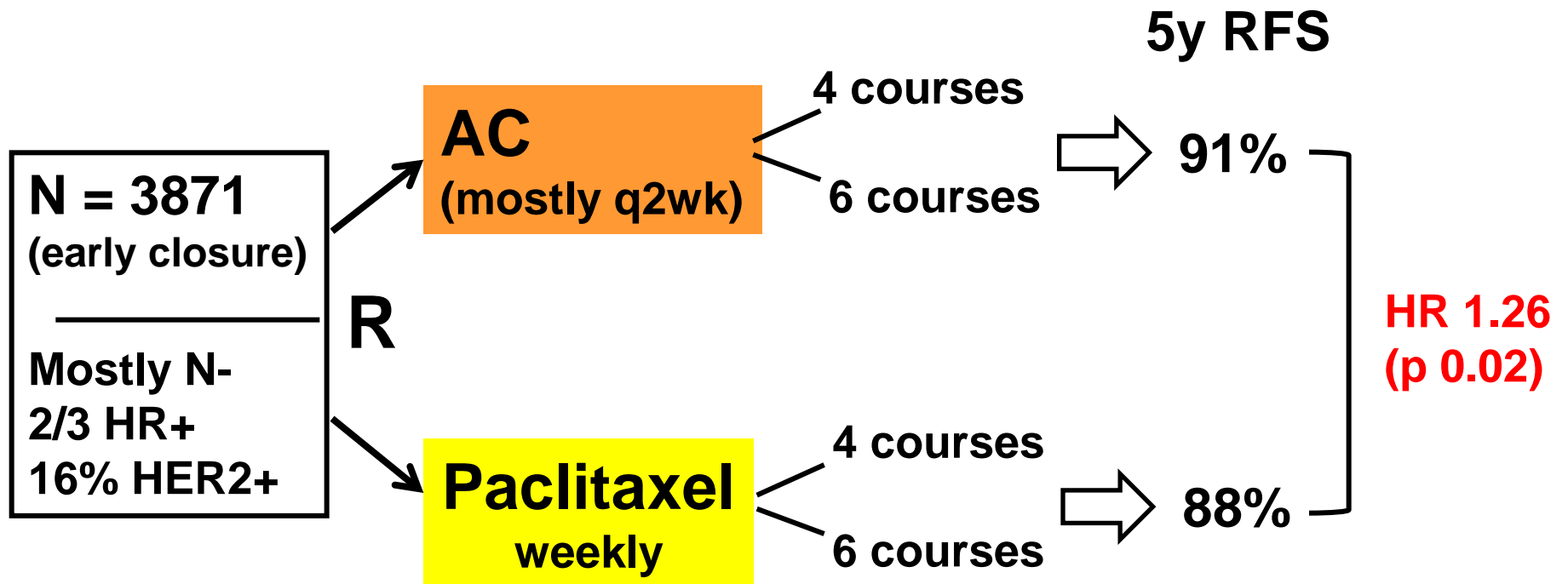
### US Oncology Trial 9735

Docetaxel + Cyclo x4 v Doxorubicin + Cyclo x4:  
Overall Survival Benefit with 7-Year Follow-Up

- 1016 women
- 48% N-ve
- Around 70% ER+ve



# Paclitaxel alone not proven equivalent to AC

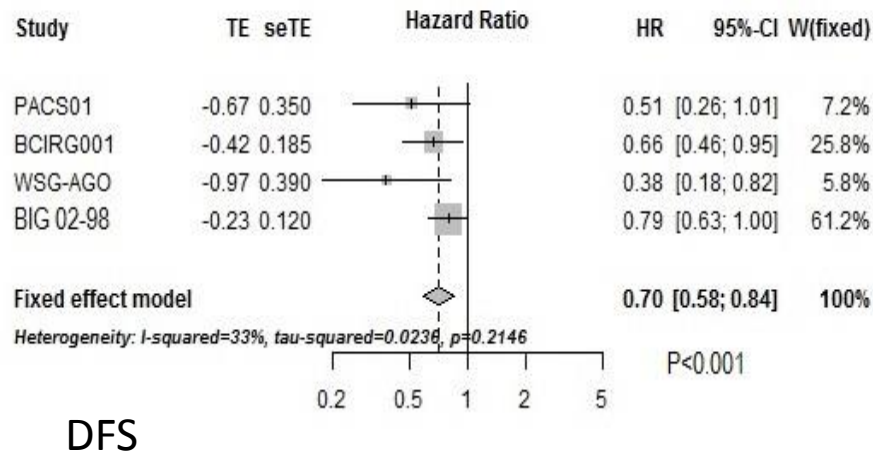


... but more « toxic » deaths on AC (7 AML/MDS, 2 cardiac)

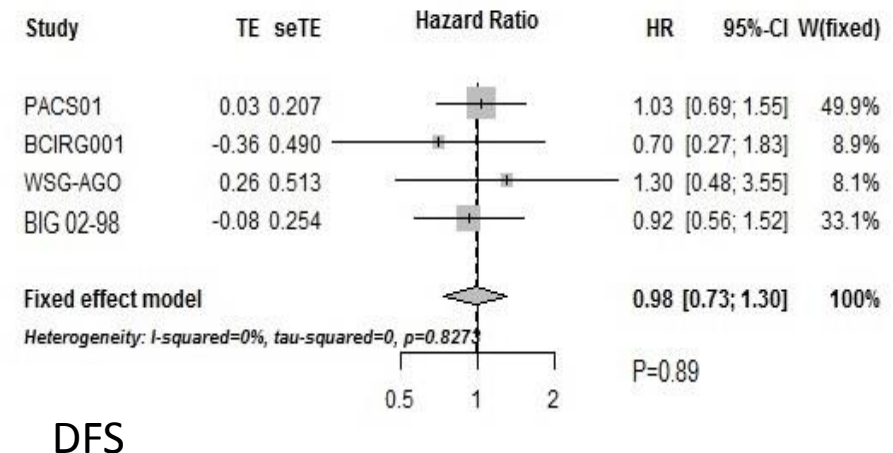
# CAN WE OMIT Taxanes?

## Exploratory pooled analysis (4 trials) on the role of Ki67% in predicting benefit of adjuvant taxanes in ER+ patients

### High Ki67



### Low Ki67



Benefit of taxanes appears to be restricted to highly proliferative tumors

But...

Heterogeneity in the design of these trials and different Ki67% cut-offs

# PALOMA-1 : Progression-Free Survival (ITT)

## Part 1 and Part 2

Part 1	PAL + LET (N=34)	LET (N=32)
Number of Events (%)	15 (44)	25 (78)
Median PFS, months (95% CI)	26.1 (11.2, NR)	5.7 (2.6, 10.5)
Hazard Ratio (95% CI)	0.299 (0.156, 0.572)	
p-value		

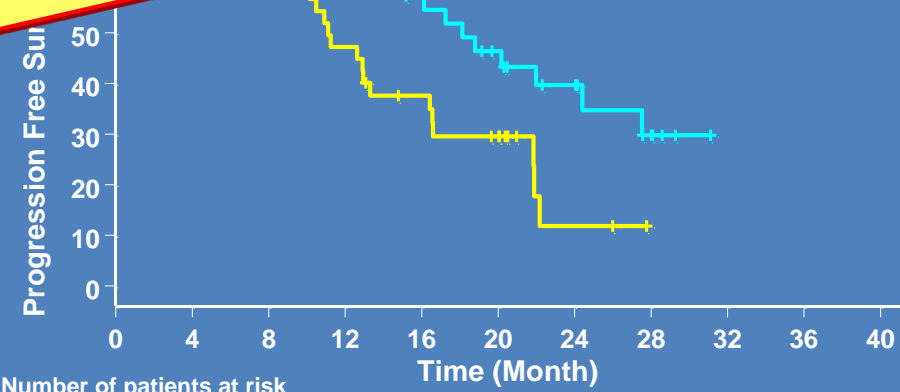
Part 2	PAL + LET (N=50)	LET (N=49)
Number of Events (%)	26 (52)	34 (69)
Median PFS, months (95% CI)	18.1 (13.1, 27.5)	11.1 (7.1, 16.4)
Hazard Ratio (95% CI)	0.508 (0.303, 0.853)	
p-value	0.0046	

**Encouraging results... but  
randomized phase II, not III**



Number of patients at risk

Time (Month)	0	4	8	12	16	20	24	28	32	36	40
PAL+LET	34	26	23	18	15	13	11	8	8	5	1
LET	32	15	10	8	5	4	4	3	3	1	



Number of patients at risk

Time (Month)	0	4	8	12	16	20	24	28	32	36	40
PAL+LET	50	41	37	29	21	15	10	5			
LET	49	33	26	20	14	10	2				

# **Phase 3 trials in breast cancer inhibiting PI3K-Akt**

## **Belle-2**

**buparlisib plus fulvestrant in HR+/HER2– advanced breast cancer (NCT01610284)**

## **Belle-3**

**buparlisib plus fulvestrant in HR+/HER2– advanced breast cancer previously treated with AI and mTOR inhibitor (NCT01633060)**

**Many other drugs are in earlier phases  
GDC-0032 , GSK2636771 , GDC-0941**

# Platinum benefit- in GeparSixto

N=595

centrally confirmed  
TNBC  
or  
HER2-positive  
breast cancer

R

PM

PMCb

Surgery



Paclitaxel 80 mg/m<sup>2</sup> q1w



Non-pegylated liposomal  
doxorubicin  
20 mg/m<sup>2</sup> q1w



Carboplatin AUC 1.5\* q1w



Her2-pos: Trastuzumab 6(8) mg/kg q3w (for 1 year)

+



Lapatinib 750 mg/d 18 wks

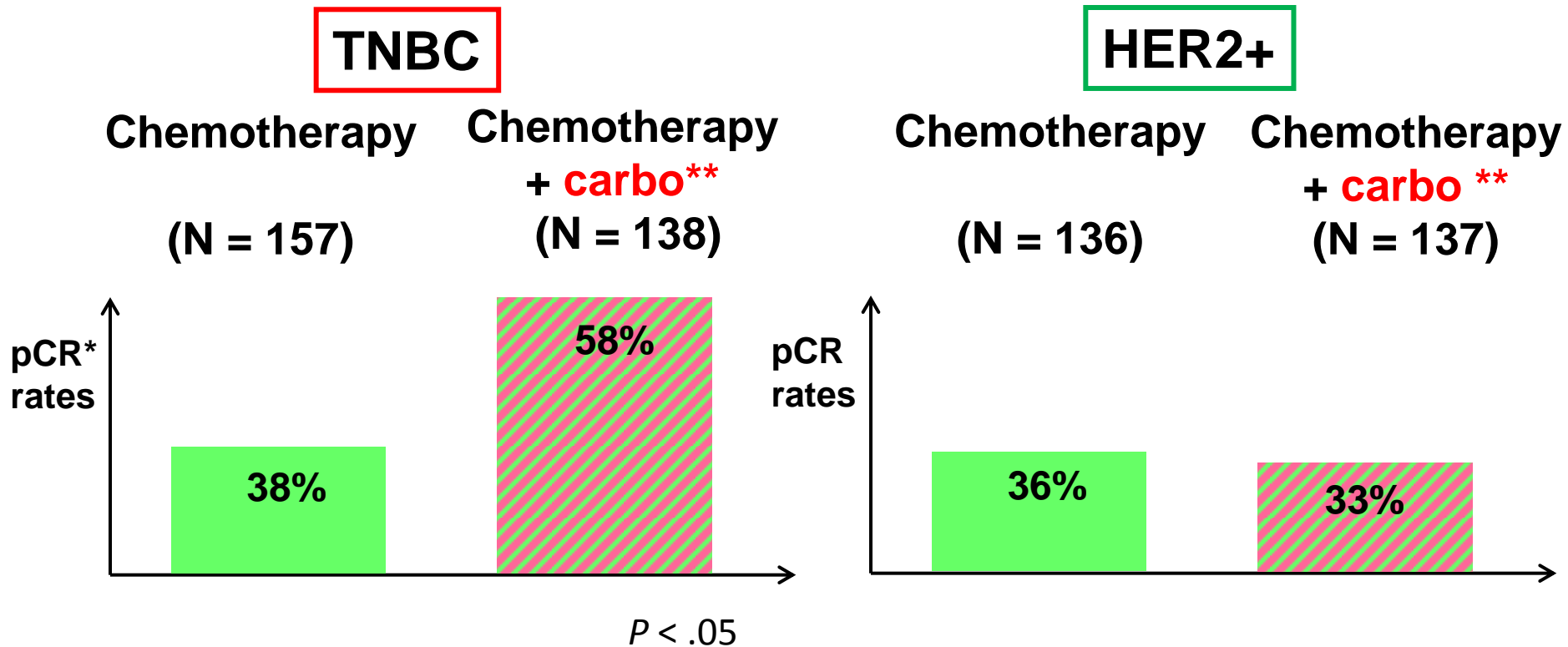


TNBC: Bevacizumab 15 mg/kg q3w

\*reduced from AUC 2 at amendment 1 after enrolment of 330 patients

# Does carboplatin add benefit to neoadjuvant CT with paclitaxel and non-pegylated doxorubin given weekly ?

(+ bevacizumab in TNBC; + trastuzumab and lapatinib in HER2+ BC)



\* Strict definition : *in situ* not allowed

\*\* Carboplatin weekly x 18 at AUC 1.5

# Predictive tools in TNBC

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Neoadjuvant setting



**Platinum benefit  
in GeparSixto**



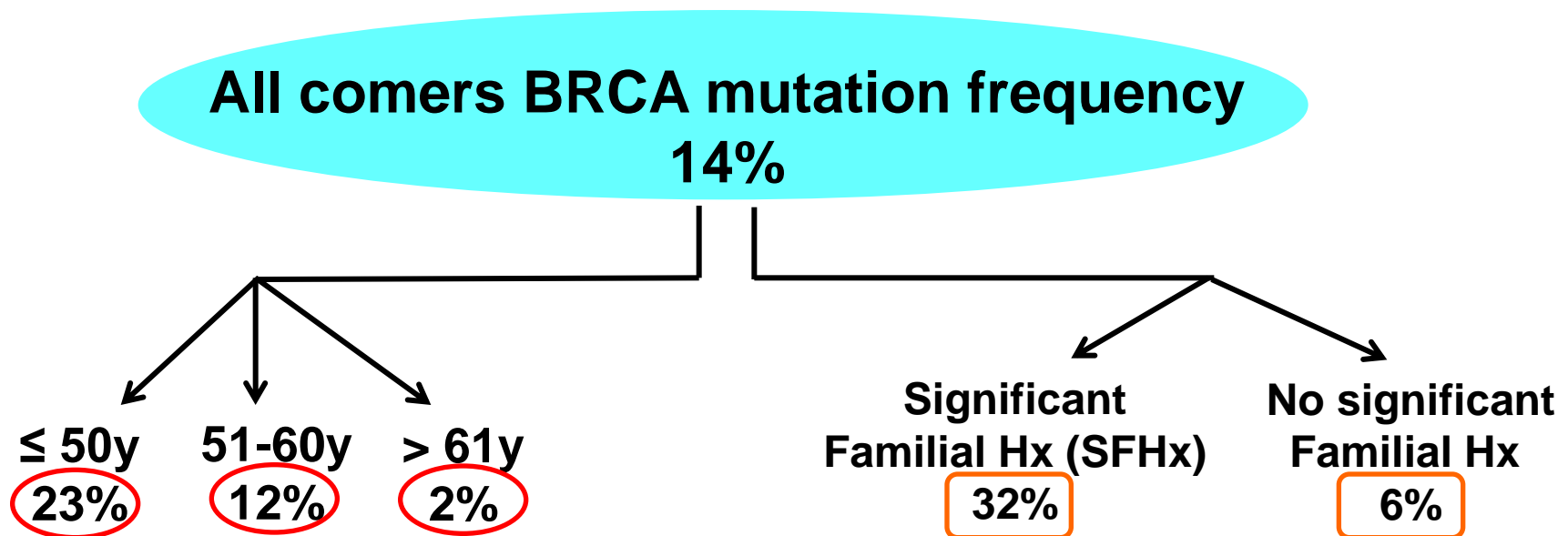
**TILs**, better than  
immune mRNAs,  
predict for  $\uparrow$  pCR  
and carboplatin  
benefit

**BRCA status and  
family history**  
predict carbo  
effect with **25%  
absolute  $\uparrow$  pCR**



# BRCA germline testing : in all TNBC below 60y !

N = 186 unselected women with TNBC in the Kansas City area  
are submitted to BRCA (Myriad) testing



**If SFHx or age < 50y were the only criteria used  
≈ one third of mutation carriers would have been missed !**

# Olaparib data in breast cancer:

➤ **Tutt et al 2010**; Ph II monotherapy olaparib in patients with *BRCA1* or *BRCA2* mutations and with advanced breast cancer (doses; 100mg BD or 400mg BD); Median 3 prior lines of chemotherapy. ORR for 400mg BD 41% (11/27)

➤ **Gelmon et al 2011**; Ph II monotherapy Olaparib in patients with *BRCA1* or *BRCA2* mutations and with advanced breast cancer or ovarian cancer (dose 400mg BD) Median 3 prior lines of chemotherapy overall – breast cancer patient more heavily pretreated. No RECIST responses for breast cancer patients – 38.5% had SD

➤ **Kauffman et al 2013**; Ph II monotherapy olaparib in patients (multiple tumours) with *BRCA1* or *BRCA2* mutations (dose 400mg BD). 62 breast cancer patients with median number of 6 prior lines of chemo. ORR for breast cancer patients = 12.9% (8/62), At 4 mo, disease control in 37% (23/62)

**“Olympia” is currently open in the adjuvant setting:  
Olaparib for BRCAg TNBC**

**Why do we fail  
to incorporate  
new targeted drugs  
in the adjuvant  
setting  
??**



# Recent Negative trials with new targeted drugs in the adjuvant setting

Trial	Drug	BC subtype	N status	End point	Needed Events	Actual Events
BEATRICE	Bevacizumab	TNBC	63% N-	3y IDFS: 82.7 VS 83.7 HR:0.87 p=0.18	388	393/2591
BETH	Bevacizumab	HER2+	48% N-	38m IDFS: <u>92% Vs 92%</u> HR: 1 p=0.9	296	116/3509
E5103	Bevacizumab	ER+ 64%	26% N-	47.5m IDFS 77% Vs 80% HR: 0.87 p=0.17	426	430/3008 (arm A vs C)
ALTTO	Lapatinib	HER2+	40% N-	4.5y IDFS <u>88% Vs 86%</u> HR: 0.84 p=0.048	850	555/6281



HER2 control arms  
did extremely well!

