

# Phase II activity of the HSP90 inhibitor AUY922 in patients with ALK-rearranged (ALK+) or EGFR-mutated advanced non-small cell lung cancer (NSCLC)

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12. Novartis Pharmaceuticals Corporation, East Hanover, NJ; 13. David Geffen School of Medicine at UCLA, Los Angeles, CA.

# Disclosures

- B Besse, E Carcereny, E Felip, L Gandhi, D-W Kim, S-W Kim, and E Smit have no disclosures to declare
- L Sequist is a paid consultant for Celgene, Clovis, and GSK, and an unpaid consultant for Daiichi Sankyo and Merrimack
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- M Akimov, E Avsar, S Pain, and W Ofosu-Appiah are employees of Novartis and have ownership interests in Novartis

# Background

- HSP90 is a chaperone of client proteins relevant in NSCLC pathogenesis, including ALK and EGFR
- Oncogenic fusion genes giving constitutive ALK activity occur in 5–7% of unselected NSCLCs,<sup>1</sup> and *EGFR* gene mutation occurs in 10–17% of cases<sup>1</sup>
- AUY922 is a highly potent, non-geldanamycin HSP90 inhibitor, which competitively inhibits the ATPase activity of HSP90
- AUY922 is effective against most NSCLC cell lines *in vitro*,<sup>2,3</sup> and against NSCLC xenograft models with either *T790M* mutation or *EML4-ALK* translocation<sup>4</sup>

ALK, anaplastic lymphoma kinase; EGFR, epidermal growth factor receptor; HSP90, heat-shock protein 90; NSCLC, non-small cell lung cancer.

1. Janku F, *et al. Nat Rev Clin Oncol* 2010;7:401–414; 2. Ueno T, *et al. Lung Cancer* 2011;76:26–31; 3. Eccles SA, *et al. Cancer Res* 2008;68:2850–2860; 4. Novartis data on file.

# Study Design

NCT01124864

## Phase II Study Population

- ▶ Previously treated stage IIIb or IV NSCLC
  - ▶  $\geq 2$  lines of chemotherapy
- ▶ Prior EGFR TKI therapy if *EGFR* is mutated
  - ▶ WHO PS  $\leq 2$

AUY922 70 mg/m<sup>2</sup>  
**KRAS-activating  
mutation**  
n=28

AUY922 70 mg/m<sup>2</sup>  
**EGFR-activating  
mutation**  
n=35

AUY922 70 mg/m<sup>2</sup>  
**EGFR/KRAS/ALK  
wild type**  
n=33

AUY922 70 mg/m<sup>2</sup>  
**ALK+**  
n=22

### Bayesian design:

**Primary endpoint – efficacy classified as 3 categories (mutually exclusive):**

1. Response (CR or PR) or 2. SD at 18 weeks or 3. No clinical benefit (NCB)

**Secondary endpoints – efficacy (OS, or PFS) and PK, safety/tolerability**

Null hypothesis (no efficacy): response  $\leq 5\%$ , and NCB  $\geq 85\%$

Alternative hypothesis (efficacious): response  $\geq 10\%$  ( $\geq 20\%$  for ALK+ arm), or NCB  $\leq 60\%$  ( $\leq 40\%$  for ALK+ arm)

CR, complete response; OS, overall survival; PFS, progression-free survival; PK, pharmacokinetics; PR, partial response; SD, stable disease; TKI, tyrosine kinase inhibitor; WHO PS, World Health Organization performance status.

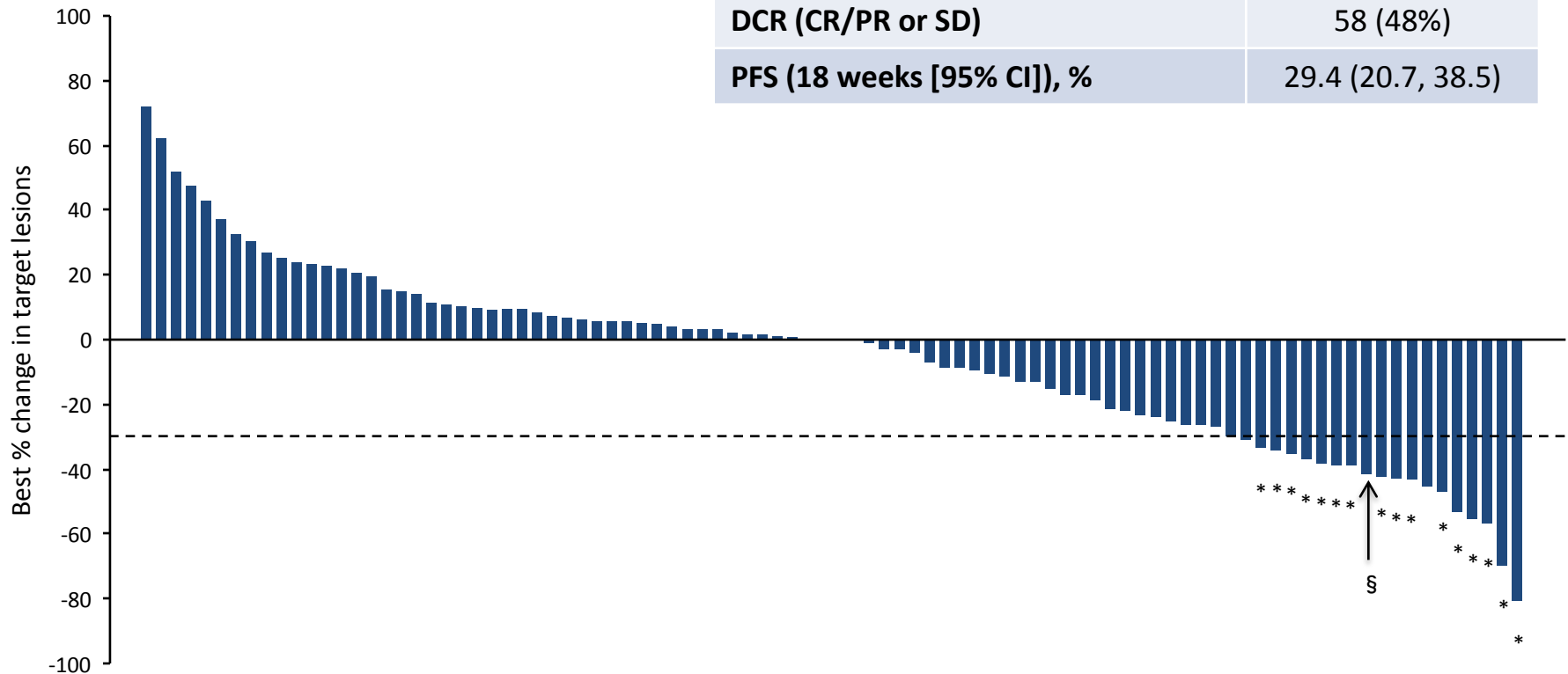
# Results: Patient Demographics and Disease Characteristics

Demographic or characteristic	<i>KRAS</i> -mut (n=28)	<i>EGFR</i> -mut (n=35)	<i>EGFR/KRAS/</i> <i>ALK</i> wt (n=33)	<i>ALK</i> + (n=22)	All* (N=121)
Age (median), years	60	63	63	53	60
Sex (male), %	17 (61)	10 (29)	15 (45)	7 (32)	52 (43)
<b>WHO PS</b>					
0	10 (36)	13 (37)	10 (30)	9 (41)	43 (36)
1	18 (64)	19 (54)	20 (61)	11 (50)	70 (58)
2	0 (0)	3 (9)	3 (9)	2 (9)	8 (7)
<b>Histology</b>					
Adenocarcinoma	23 (82)	32 (91)	27 (82)	20 (91)	105 (87)
Squamous cell carcinoma	1 (4)	0 (0)	2 (6)	0 (0)	3 (2)
Other	4 (14)	3 (9)	4 (12)	2 (9)	13 (11)
<b>Prior regimens</b>					
1	1 (4)	3 (9)	0 (0)	1 (5)	5 (4)
2	8 (29)	13 (37)	16 (48)	5 (23)	42 (35)
3	14 (50)	11 (31)	4 (12)	7 (32)	38 (31)
≥4	5 (18)	8 (23)	13 (39)	9 (41)	36 (30)
<b>Prior <i>ALK</i> inhibitor (crizotinib)</b>	NA	NA	NA	14 (64)	NA
<b>Prior <i>EGFR</i> TKI</b>	NA	34 (97)	NA	NA	NA
Erlotinib		30 (86)			
Gefitinib		4 (11)			
None		1 (3)			

\*Includes unknown genotype patients (n=3; stratum not listed); NA, not applicable; wt, wild type.

# Best CT Response: All Patients (n=92<sup>†</sup>/121)

All patients (N=121)	
ORR (any PR)	19 (16%) <sup>‡</sup>
DCR (CR/PR or SD)	58 (48%)
PFS (18 weeks [95% CI]), %	29.4 (20.7, 38.5)



\*Confirmed responses; <sup>†</sup>Patients with at least one post-baseline scan;

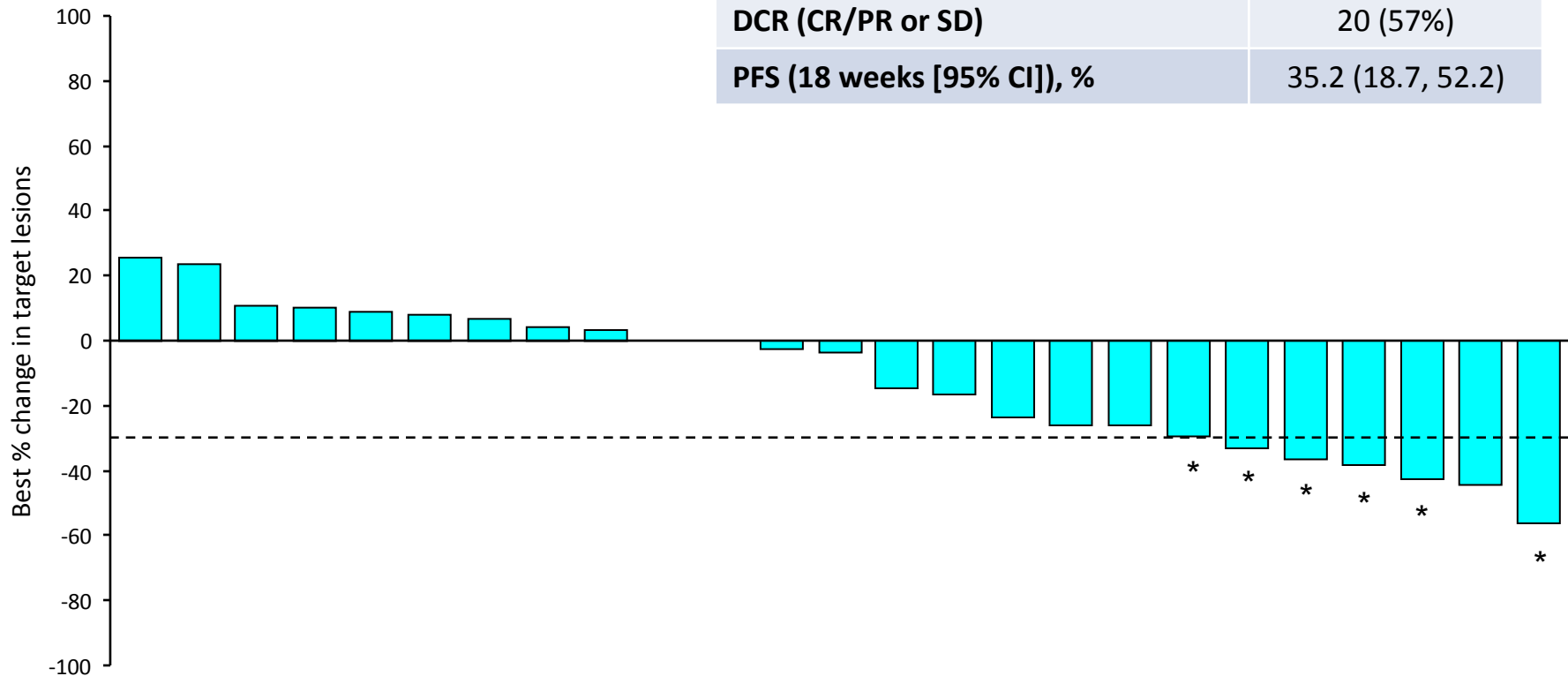
<sup>‡</sup>Including two PRs not confirmed; <sup>§</sup> PD due to new lesion (brain metastases).

CT, computed tomography; DCR, disease control rate;  
ORR, overall response rate.

# Best CT Response:

## *EGFR*-mutant Patients (n=25<sup>†</sup>/35)

<i>EGFR</i> -mutant (n=35)	
ORR (any PR)	7 (20%) <sup>‡</sup>
DCR (CR/PR or SD)	20 (57%)
PFS (18 weeks [95% CI]), %	35.2 (18.7, 52.2)

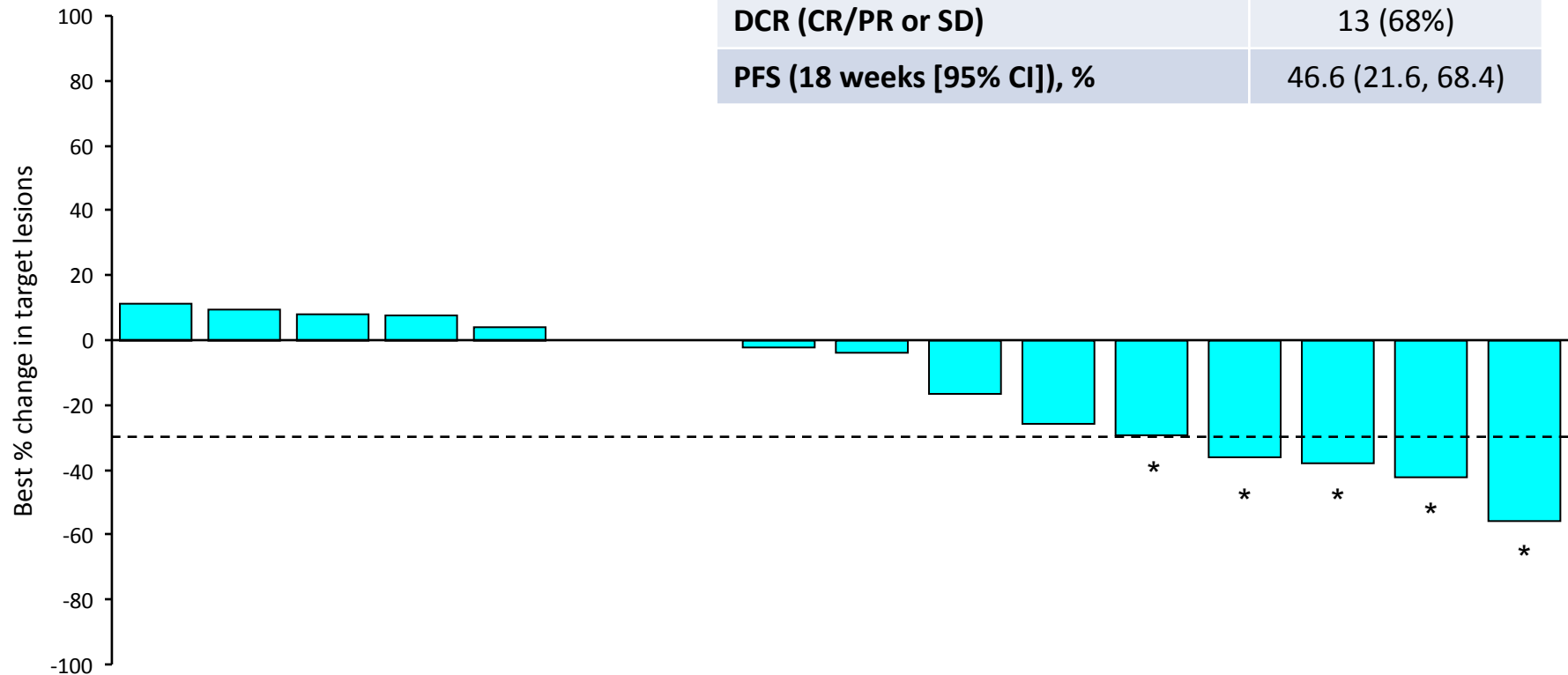


\*Confirmed responses; <sup>†</sup>Patients with at least one post-baseline scan;

<sup>‡</sup>Including one PR not confirmed.

# Best CT Response: *EGFR*-mutant Patients with EGFR TKI as Part of Their Last Regimen (n= 16<sup>†</sup>/19)

<i>EGFR</i> -mutant with TKI as part of last regimen (n=19)	
ORR (any PR)	5 (26%)
DCR (CR/PR or SD)	13 (68%)
PFS (18 weeks [95% CI]), %	46.6 (21.6, 68.4)

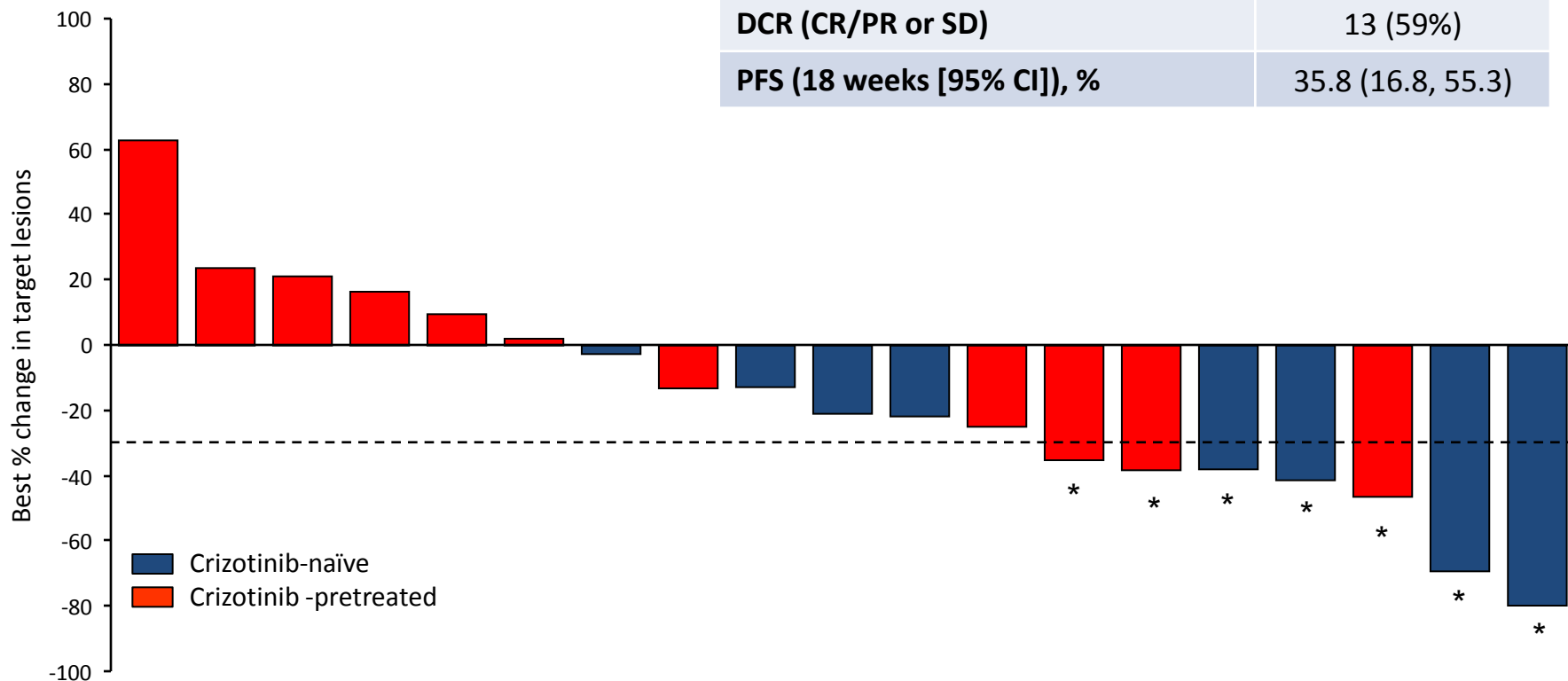


\*Confirmed responses; <sup>†</sup>Patients with at least one post-baseline scan.



# Best CT Response: ALK+ Stratum Patients (n=19<sup>†</sup>/22)

ALK+ (n=22)	
ORR (any PR)	7 (32%)
DCR (CR/PR or SD)	13 (59%)
PFS (18 weeks [95% CI]), %	35.8 (16.8, 55.3)

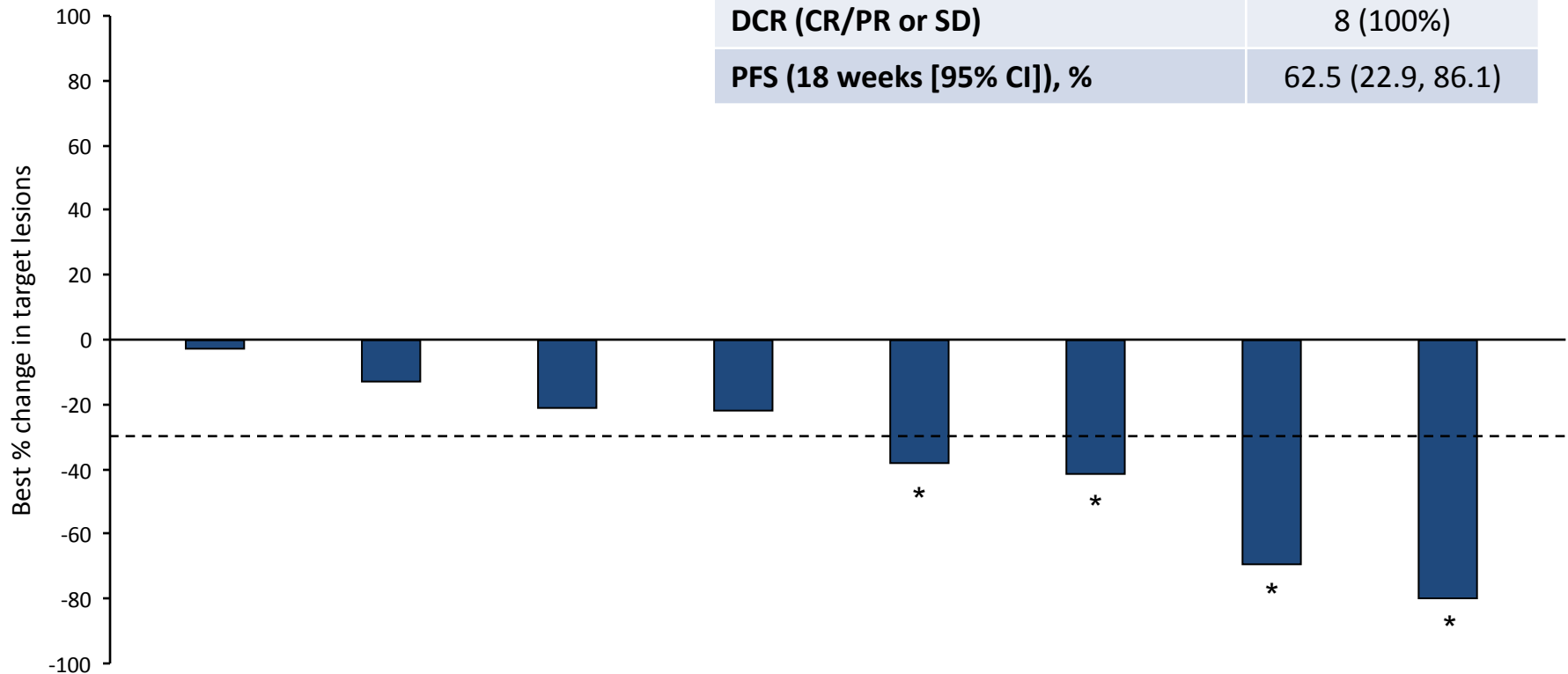


\*Confirmed responses; <sup>†</sup>Patients with at least one post-baseline scan.

# Best CT Response: ALK+ Patients

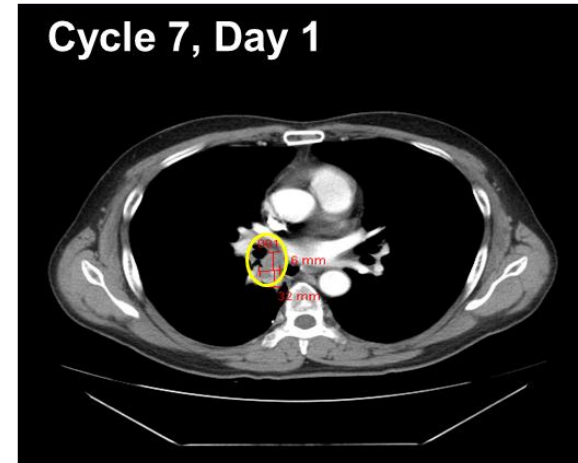
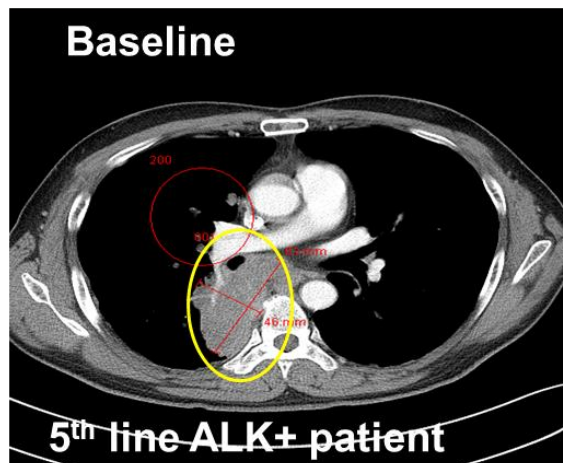
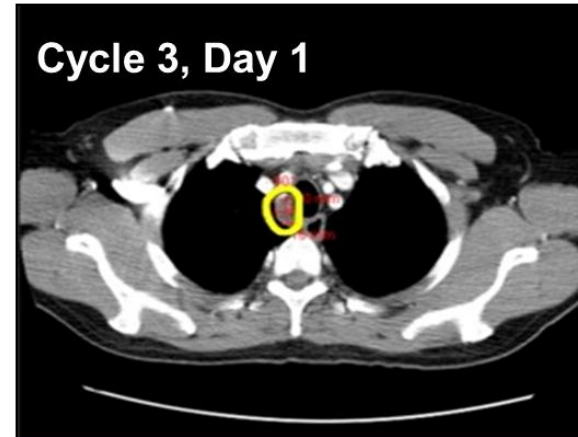
## Crizotinib-naïve n=8<sup>†</sup>/8

ALK+ (crizotinib-naïve, n=8)	
ORR (any PR)	4 (50%)
DCR (CR/PR or SD)	8 (100%)
PFS (18 weeks [95% CI]), %	62.5 (22.9, 86.1)



\*Confirmed responses; <sup>†</sup>Patients with at least one post-baseline scan.

# Responses in *EGFR*-mutant and ALK+ NSCLC



# Adverse Events (All Grades, >10% and Grade 3/4) Suspected as Study Drug-Related

Adverse event (AE, all grades)	All grades (N=121)	Grade 3 and 4 (N=121)
Eye disorders*	89 (74)	8 (7)
Diarrhea	82 (68)	7 (6)
Nausea	47 (39)	0 (0)
Asthenia	35 (29)	4 (3)
Vomiting	31 (26)	2 (2)
Fatigue	25 (21)	5 (4)

AEs by preferred term unless otherwise indicated

\*System organ class

- Most AEs were Grade 1 or 2
  - Reversible mainly Grade 1 and 2 eye disorders were most commonly photopsia and visual impairment (both 20%)

# Conclusions

- AUY922 70 mg/m<sup>2</sup> IV once weekly exhibited an acceptable safety profile
  - No new safety signals were observed
- AUY922 showed strong clinical activity as a single-agent therapy in patients with *EGFR*-mutated NSCLC who progressed following treatment with EGFR TKIs
- AUY922 also showed strong clinical activity as a single-agent therapy in patients with ALK+ NSCLC, both in crizotinib-treated and crizotinib-naïve patients
- Data support further AUY922 development in NSCLC
  - Expansion *EGFR* mutation stratum is ongoing and further studies are planned to confirm these efficacy signals

# Acknowledgment

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# Back-Up Slides

# Patients and Methods: Trial Design

- The trial followed a Phase II Bayesian, partially exchangeable, multinomial model for the statistical analysis of the study, allowing sharing of information between strata based on assessing similarity in observed response data
- Observed outcomes for each strata are assumed to be derived from multinomial distributions whose parameters are dependent on a regression structure with additional random effects
- The regression structure exploits the fact that a number of study strata are considered a priori to be exchangeable
- Primary endpoint comprises 3 categories (complete and mutually exclusive)
  1. Response versus non-response
  2. Non-response: prolonged stable disease (18 weeks)
  3. Non-response: no clinical benefit
- Secondary endpoints include overall survival (OS), progression-free survival (PFS), PK, and safety/tolerability



# Summary of Responses in Other Strata

	<i>KRAS</i> -mut (n=28)	<i>KRAS/EGFR/ALK</i> wt (n=33)
ORR (any PR)	0 (0)	4 (12)
DCR	10 (36)	14 (42)
PFS (18 weeks [95% CI]), %	8.2 (0.7, 28.4)	30.7 (15.1, 47.8)