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Proliferation in lung cancer: Worth measuring?

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- No disclosures
- No conflicts of interest

Measurement of Proliferation

Mitosis



Proliferation-associated antigens (Ki-67, PHH3, others)



<u>Ki-67</u>

- generated by immunizing mice with nuclei of the Hodgkin lymphoma cell line L428 (Gerdes et al., 1983).
- Ki = Kiel (city in northern Germany), 67 = number of the original clone in the 96-well plate.
- Ki-67 protein is present during all active phases of the cell cycle (G1, S, G2, and mitosis), but is absent from resting cells (G0).
- associated with ribosomal RNA transcription.
- Ki-67 and MIB-1 monoclonal antibodies are directed against different epitopes of the same proliferation-related antigen, MIB-1 is generally considered the most specific antibody.

Gerdes J, Schwab U, Lemke H, Stein H (1983). "Production of a mouse monoclonal antibody reactive with a human nuclear antigen associated with cell proliferation". Int. J. Cancer 31 (1): 13–20.

Proliferation Assessment in Routine Diagnostics

- Mitotic count established for breast cancer grading, FNCLCC grading etc.
- Ki-67 currently only established for neuroendocrine tumors (NETs) of the GI tract.
- Ki-67 is frequently used for breast cancer in many institutions.

Proliferation in Cancer

PubMed Search Results (April 2015)

- "Cancer" AND "Proliferation" = 164513 hits
- "Lung Cancer" AND "Proliferation" = 13300 hits
- "Lung Cancer" AND "Ki-67" = 983 hits
- "Lung Cancer" AND "MIB-1" = 196 hits

www.bjcancer.com

- 37 studies of NSCLC, SCLC (n=1) and carcinoids (n=2) published between 1991 and 2002
- 3983 patients total

Ki-67 expression and patients survival in lung cancer: systematic review of the literature with meta-analysis

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there was no statistical difference in quality scores between the significant and nonsignificant studies evaluable for the meta-analysis, we were allowed to aggregate the survival results. The combined hazard ratio for NSCLC, calculated using a random-effects model was 1.56 (95% CI: 1.30–1.87), showing a worse survival when Ki-67 expression is increased. In conclusion, our meta-analysis shows that the expression of Ki-67 is a factor of poor prognosis for survival in NSCLC. *British Journal of Cancer* (2004) **91**, 2018–2025. doi:10.1038/sj.bjc.6602233 www.bjcancer.com Published online 16 November 2004

• Ki-67 range: 10-78%

• Ki-67 cut-off: 1-60%

Table I Main characteristics and results of the eligible studies

					N	SCLC								
	All st	All studies Any stage (I-IV)		Locoregional Surgical tr (I-II) (I-I		treatment -III) SCLO		Carc CLC tum		noid ours	Any histology any stage			
·	Total	\$	Total	s	Total	s	Total	s	Total	s	Total	s	Total	s
Number of studies	37 (20)	15 (10)	10 (5)	4 (4)	8 (5)	3 (2)	11 (6)	3 (2)	I (I)	l (l)	2 (1)	2 (I)	5 (2)	2 (0)

NSCLC = non-small-cell lung cancer; SCLC = small-cell lung cancer; S = number of studies identifying Ki-67 positivity as a statistically significant prognostic factor; () = number of studies evaluable for meta-analysis.

 \rightarrow Overall 15/37 studies (40.5%) demonstrated a negative prognostic effect for Ki-67.

→ Only 5 NSCLC studies identifying Ki-67 as a statistically significant prognostic factor were available for meta-analysis

Table 3 HR value for NSCLC subgroups according to histology subtypes and stages

Subgroup	Studies	Patients	Fixed effect HR (95% CI)	Heterogeneity test	Ramdom effect HR (95% CI)
Adenocarcinoma	n=4	258	2.45 (1.66-3.64)	P = 0.26	
Nonsquamous carcinoma	n = 3	158	2.47 (1.32-4.57)	P = 0.90	
Stage I	n = 4	783	1.56 (1.26-1.93)	P = 0.17	
Stage I-II	n = 4	437	1.16 (0.82-1.66)	P = 0.21	
Stage I-III	n = 6	533	1.79 (1.40-2.28)	P = 0.04	1.82 (1.26-2.64)

→ Hazard ratios for histological NSCLC subtypes could only be analyzed for 258 adenocarcinomas and 158 non-squamous carcinomas



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Review

Clinical impact of ki-67 labeling index in non-small cell lung cancer

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- Literature review from 2000 2012 (April).
- Exclusion of articles in which data on NSCLC histology could not be extracted.
- A total of 28 articles investigating Ki-67 in NSCLC were included.
- 25 articles reported solely on surgically treated stage I-III patients.

Author/year	Histology (%)	No. pts.	Stage	Cutoff level % (no. pts.)	End-point	Median survival months	Зу	5у	Univariate analysis	Multivariate analysis
Yamashita et al. (2011) [46]	ADC: 23 SQC: 16 BAC: 52 Oth: 9	44	T1	<5% (31) ≥5 (13)	PFS	-	96 77	96 30	=0.04	=0.04
Inoue et al. (2007) [53]	ADC 100	97	IA-IIIA	<5 (67) >5 (30)	DFS		-	99 76	<0.001	0.12
Haga et al. (2003) [31]	ADC: 63 SQC: 36	187	I	<10 (31) ≥10 (27)	OS	-	95 80	90 70	=0.0014 ^a	-
Woo et al. (2008) [47]	ADC: 100	184	I	<10 (105) ≥10 (79)	PFS	_	95 72	93.2 68.6	<0.001	-
Carbognani et al. (2002) [54]	ADC: 22 SQC: 63 LCC: 15	78	I–IIIA	<10 (50) >10 (28)	OS	-	- -	-	0.76	0.94
Tsubochi et al. (2006) [32]	ADC: 54 SQC: 44 AdSq: 2 LCC: <1	219	I–111	<20 (na) ≥20 (na)	OS	-	-	-	=0.0008 ^b	=0.026 ^b
Minami et al. (2002) [34]	ADC:100	47	I	<20 (25) ≥20 (25)	OS		92.0 77.3	88.0 53.1	=0.004	Not sign
Shiba et al. (2000) [35]	ADC: 59 SQC: 38 AdSq: 1 LCC: 1	156	I–III	<20 (75) ≥20 (78)	OS			67.7 39.6	=0.0043	=0.00879
Rigau et al. (2002) [49]	ADC: 37 SQC: 49 LCC: 14	86	I–IV	≤20 (75) ≥20 (11)	OS	-	-	-	-	=0.73
David et al. (2004) [57]	ADC: 46 SQC: 30 NOS: 25	61	I–IV	≤20 (na) >20 (na)	OS	-	-	-	=0.92	-
Cagini et al. (2000) [33]	ADC: 28 SQC: 44 LCC: 22 BAC: 5	99	I–1I	<20 (48) ≥20 (37)	OS	-	-	45 67	=0.4	-
Demarchi et al. (2000) [36]	ADC:100	64	I–IIIB	<22.22 (32) >22.22 (32)	OS	-	70 35	26 25	<0.01	=0.44

Table 1 Studies reporting on prognostic information of ki-67 labeling index in NSCLC (cut-off 5–25%).

^a Multivariate analysis included only adenocarcinoma histology and smokers.

^b Worse prognosis with high ki-67.

^c Multivariate analysis included only Non-squamous carcinoma histology.

Overall cut-off levels ranged from 5% - 30%

- 13 papers did not explain how the cut-off was decided.
- 3 papers used the median Ki-67 labeling index, 1 study the H-score median.
- 7 studies refered to cut-off values from previous studies.
- only 1 study used the best discriminatory value.
- 8 studies did not mention the number of analyzed tumor cells.

Author/year	Histology (%)	No. pts.	Stage	Cut-off level % (no.	End-point	Median survival	Зу	5у	Univariate analysis	Multivariate analysis
				pts.)		months				
Huang et al. (2005) [37]	ADC: 58 SQC: 34 LCC: 8	173	I–III	<25 (na) ≥25 (na)	OS	-	90 80	67 44.1	=0.01	-
Ngyuen et al. (2007) [48]	ADC: 57 SQC: 36 AdSq: 6 LCC: 2	53	I–III	<25 (na) ≥25 (na)	DFS	-	_	-	=0.047	=0.216
Imai et al. (2009) [38]	ADC: 66 SQC: 31 LCC: 3	282	Ι	<25 (na) ≥25 (na)	OS	-	96 80	96 69	=0.0001	=0.2762
Kaira et al. (2008) [39]	ADC: 62 SQC: 31 LCC: 7	321	I–III	<25 (135) ≥25 (186)	OS	-	92 75	90 65	<0.001	=0.38
Yang et al. (2006) [40]	ADC: 60 SQC: 39 LCC: 4 Oth: 6	128	I–IIIA	<25 (28) ≥25 (100)	OS	50 42.7	62 62	55 35	=0.47	=0.30
Takahashi et al. (2002) [41]	ADC: 58 SQC: 42	62	NO	<25 (40) ≥25 (22)	DFS	-	80 60	80 55	=0.023	=0.97
Maddau et al. (2006) [51]	ADC: 38 SQC: 42 AdSq:18 LCC: 3	180	I–III	<25 (77) ≥25 (103)	OS		58 48		=0.003	
Carvalho et al. (2000) [58]	ADC:100	45	I–IV	<27.8 (30) ≥27.8 (15)	OS	-	-	-	=0.53	-
Yoo et al. (2007) [42]	ADC: 46 SQC: 54	219	I–IIIA	<30 (202) ≥30 (17)	OS	-	-	47 49	=0.837	=0.696
Hommura et al. (2000) [43]	ADC: 49 SQC: 42 AdSq: 4 LCC: 5	109	I–II	<30 (52) ≥30 (57)	OS	-	85 55	78 48	=0.01	=0.004
Ngyuen et al. (2000) [55]	ADC: 57 SQC: 38 LCC: 5 BAC:1	89	I–IV	<30 (na) ≥30 (na)	OS	-	38 35	-	>0.05	-

Table 2Studies reporting on prognostic information of ki-67 labeling index in NSCLC (cut-off 25–30%).

Predictive Value of Ki-67 in NSCLC

Table 4

Studies on predictive information of ki-67 on chemotherapy in NSCLC.

Author/year	Histology (%)	No. pts.	Stage	Treatment	Cut-off level % (no. pts.)	RR%	p-Value	Survival	p-Value
Mohamed et al. (2008) [60]	ADC: 53 SQC: 47	28	pN2	Platinum based	<20 (13) >20 (23)	45.5 47.0 ^a	=0.937	-	-
Filipits et al. (2007) [52]	ADC: 32 SQC: 56 Oth: 12	401	I–111	Cisplatin based adjuvant	<85 (196/182) >85 (205/195) ^b / ^c	-	_	48/45 49/50 d	=0.45
Yan et al. (2010) [61]	ADC: 39 Oth: 61	151	I–III	Various adjuvant	<50 (96) ≥50 (55)	-		22.24 29.37 ^e	=0.517
Dingemans et al. (2001) [62]	ADC: 63 SQC: 13 LCC: 24	36	III–IV	Platinum based	<30 (20) 31–60 (11) >60 (5)	39 (7*) 80	=0.085	13 9 11 ^f	=0.51
Van de Vaart et al. (2000) [63]	ADC: 22 SQC: 41 LCC: 33 Oth: 4	27	III	Concomitant RT and cisplatin	<60 (13) >60 (14)	-	-	8.8 12.4 ^f	=0.13

^a CR + PR.

^b H-score (0–300).

^c Chemotherapy group/control group.

^d % alive at 5 years.

^e DFS months.

^f OS months 7* including 0–60%.

- → Significant heterogeneity with respect to histology, case numbers, treatment, and cut-off levels
- \rightarrow No study could provide evidence for a predictive value of Ki-67



Tumour cell proliferation (Ki-67) in non-small cell lung cancer: a critical reappraisal of its prognostic role

A Warth^{*,1}, J Cortis¹, A Soltermann², M Meister^{3,8}, J Budczies⁴, A Stenzinger¹, B Goeppert¹, M Thomas^{5,8}, F J F Herth^{6,8}, P Schirmacher¹, P A Schnabel^{1,8}, H Hoffmann⁷, H Dienemann^{7,8}, T Muley^{3,8,9} and W Weichert^{*,1,9}

- Test cohort: 1065 NSCLCs including 482 adenocarcinomas (TMA Heidelberg)
- Validation cohort: 184 adenocarcinomas (TMA Zurich), 233 squamous cell carcinomas (TMA Heidelberg)
- Antibody: MIB-1 clone
- Evaluation: Counting of Ki-67 positive tumor cells/100 tumor cells



p<0.001 60-40-20lepidic papillary acinat cribitorm solid

Ki-67

ADC = Adenocarcinoma SQCC = Squamous Cell Carcinoma LC = Large Cell Carcinoma LCNEC = Large Cell Neuroendocrine Carcinoma ASC = Adeno-squamous Carcinoma

SC = Sarcomatoid Carcinoma

Warth A, Cortis J, Soltermann A, Meister M, Budczies J, Stenzinger A, Goeppert B, Thomas M, Herth FJ, Schirmacher P, Schnabel PA, Hoffmann H, Dienemann H, Muley T, Weichert W.: Tumour cell proliferation (Ki-67) in non-small cell lung cancer: a critical reappraisal of its prognostic role. Br J Cancer. 2014 Sep 9;111(6):1222-9.

Warth A, Muley T, Kossakowski C, Stenzinger A, Schirmacher P, Dienemann H, Weichert W.: Prognostic impact and clinicopathological correlations of the cribriform pattern in pulmonary adenocarcinoma. J Thorac Oncol. 2015 Apr;10(4):638-44.

Table 1. Associatio adenocarcinoma s	on of prolife ubgroup (A	erative ac DC), and	tivity with sta the test coho	ging param ort squamo	neters fo us cell c	r the whole tes arcinoma subg	t cohort of no roup (SQCC)	on-small ce	ll lung ca	ncers (NS	CLC), the tes	t cohort
Characteristics	All NSCLC	Ki-67 mean	Ki-67 s.d.	P-value	ADC	Ki-67 mean	Ki-67 s.d.	P-value	socc	Ki-67 mean	Ki-67 s.d.	P-value
All cases												
	1065	40.7	27.5		482	25.8	24.6		437	52.8	24.3	
UICC stage												
 V	389 337 311 28	36.6 44.5 41.4 42.9	28.7 25.7 27.1 28.1	0.001	198 103 165 16	21.5 34.1 31.2 34.7	22.2 25.3 25.0 27.3	<0.001	161 172 98 6	52.6 52.2 53.7 59.0	25.4 23.4 24.2 19.9	0.888
Tumour stage												
рТ1 рТ2 рТ3 рТ4	198 672 174 21	39.5 40.0 46.2 29.5	29.4 26.9 26.7 26.1	0.010	92 306 70 14	23.0 28.9 31.1 23.6	23.0 24.8 25.4 22.2	0.123	84 278 69 6	53.9 51.6 56.8 46.7	26.2 24.1 21.6 29.9	0.374
Nodal status ^a												
pN0 pN1 pN2 pN3	545 261 247 5	38.4 45.5 40.0 38.0	28.1 25.4 27.5 29.4	0.008	258 83 138 3	24.5 34.5 30.5 22.5	23.4 25.4 25.4 16.4	0.005	224 136 71 1	51.9 53.4 54.1 37.5	25.7 21.8 24.6	0.807
Distant metastasis												
M0 M1	1038 27	40.6 41.9	27.5 28.1	0.814	467 15	27.8 32.3	24.5 26.5	0.484	431 6	52.7 59.0	24.3 19.9	0.527
Abbreviations: s.d.= sta ^a For seven cases data of	andard deviati of nodal statu	ion; UICC = s were not	Union Internatio available (limited	nale Contre le resection).	e Cancer.							



- Dichotomization of a continous prognostic parameter means loss of prognostic information.
- A clinically relevant cut-off value should maximize the hazard ratio between the groups.
 - \rightarrow mean/median values are not optimal
- 25% Ki-67 index was found as the optimal cut-off value for adenocarcinomas.

Ki-67 in SQCC





 \rightarrow Ki-67 was found a stage-independend predictor of survival in adenocarcinomas (p=0.004; HR for overall survival 1.56)

npg 1117

A grading system combining architectural features and mitotic count predicts recurrence in stage I lung adenocarcinoma

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Variables	Ν	%	5-year RFP (%)	P-value
Nuclear diameter				0.007
Small	232	48	86	
Intermediate	150	31	82	
Large	103	21	74	
Nuclear atypia				0.006
Mild	231	48	87	
Moderate	139	29	81	
Severe	115	24	75	
Nuclear/cvtoplasmic ratio				0.068
Low/intermediate	391	81	80	
High	94	19	91	
Chromatin pattern				0.092
Homogeneous	85	18	87	
Fine granular	229	47	83	
Coarse granular	171	35	79	
Prominence of nucleoli				0.059
Indistinct	133	27	87	0.000
Distinct	217	45	82	
Large	135	28	87	
Intranuclear inclusion				0.190
Absence	441	91	82	
Presence	44	9	88	
Mitotic count				< 0.001
Low	204	42	91	
Intermediate	106	22	80	
High	175	36	73	
Atypical mitoses				< 0.001
Absence	362	75	86	
Presence	123	25	72	

Table 2 Association between nuclear features and recurrence

Abbreviation: RFP, recurrence-free probability.

Significant P-values are shown in bold: all P-values from log-rank test.



Survival Stratified by Mitotic Index



FIGURE 4. Survival stratified by Mitosis count. Survival stratified by mitosis counts showed clear divergence with statistically poorer survival for tumors with mitosis counts above 10/10 high-power fields (log-rank p < 0.0001).

TABLE 3. Multivariate Analysis						
					95% Confi for Ha	dence Interval zard Ratio
	В	SE	р	Hazard Ratio	Lower	Upper
Sex $(0 = male, 1 = female)$	-0.606	0.359	0.091	0.545	0.270	1.101
Age (0 = below median, 1 = above median)	-0.071	0.322	0.826	0.932	0.495	1.753
Stage (7th edition) $(0 = 1A, 1 = 1B)$	0.181	0.355	0.610	1.199	0.598	2.403
Nuclear grade (0 = grade 2 or 3, 1 = grade 4)	0.354	0.355	0.318	1.425	0.711	2.858
Necrosis $(0 = 0\%, 1 = 1\% \text{ or greater})$	0.333	0.406	0.412	1.395	0.629	3.090
Mitosis count (0 = 0-10 per 10 HPF, 1 ≥ 10 per 10 HPF)	1.523	0.452	0.001	4.585	1.893	11.110
Differentiation (0 = moderate/well, 1 = poor)	-0.027	0.357	0.940	0.973	0.484	1.959

Multivariate Cox regression analysis entering age, sex, and the significant covariates from univariate analyses (all as dichotomous variables). B, regression coefficient; SE, standard error; hazard ratio, risk of death for prognostic variables (category 1 vs. category 0); HPF, high-power field.

Prognostic and Predictive Value of Gene Expression Profiles in Adenocarcinomas

Clin Cancer Res; 19(22); 6261-71.

Clinical Cancer

Research

Imaging, Diagnosis, Prognosis

Validation of a Proliferation-Based Expression Signature as Prognostic Marker in Early Stage Lung Adenocarcinoma

Ignacio I. Wistuba¹, Carmen Behrens², Francesca Lombardi⁶, Susanne Wagner⁵, Junya Fujimoto², M. Gabriela Raso³, Lorenzo Spaggiari⁶, Domenico Galetta⁶, Robyn Riley⁵, Elisha Hughes⁵, Julia Reid⁵, Zaina Sangale⁵, Steven G. Swisher⁴, Neda Kalhor³, Cesar A. Moran³, Alexander Gutin⁵, Jerry S. Lanchbury⁵, Massimo Barberis⁶, and Edward S. Kim²

ORIGINAL ARTICLE

(J Thorac Oncol. 2015;10: 67-73)

OPEN

Validation of a Molecular and Pathological Model for Five-Year Mortality Risk in Patients with Early Stage Lung Adenocarcinoma

Raphael Bueno, MD,* Elisha Hughes, PhD,† Susanne Wagner, PhD,† Alexander S. Gutin, PhD,† Jerry S. Lanchbury, PhD,† Yifan Zheng, MD* Michael A. Archer, DO,* Corinne Gustafson, PhD,* Joshua T. Jones, PhD,‡ Kristen Rushton, MBA,‡ Jennifer Saam, MS, LCGC, PhD,‡ Edward Kim, MD,§ Massimo Barberis, MD, || Ignacio Wistuba, MD,¶ Richard J. Wenstrup, MD,‡ William A. Wallace, PhD, FRCPE, FRCPath,# Anne-Renee Hartman, MD,‡, and David J. Harrison**

- Establishment of a cell cycle progression (CCP) score based on the quantitative mRNA expression of 31 cell cycle-associated genes.
- One CCP unit represents a 2-fold change in expression levels (unweighted).



Establishment of the CCP score based on 2 public microarray datasets (DC, GSE31210) and FFPE samples from 2 institutions (n=381 stage I-II adenocarcinomas) Validation of the CCP score in a series of 650 stage I-II adenocarcinomas

 \rightarrow The CCP score was the dominant prognostic variable in uni- and multivariate analyses

Predictive Value of the CCP Score



Absolute benefit from adjuvant treatment depending on CCP score (derived from the difference in survival ratios between treated and untreated patients; Zhang and Klein method). CCP high and low was based on the median cut-off point.

Interobserver Agreement

DOI:10.1093/jnci/djt306 Advance Access publication November 7, 2013 © The Author 2013. Published by Oxford University Press. All rights reserved. For Permissions, please e-mail: journals.permissions@oup.com.

ARTICLE

J Natl Cancer Inst;2013;105:1897-1906

An International Ki67 Reproducibility Study

Mei-Yin C. Polley, Samuel C. Y. Leung, Lisa M. McShane, Dongxia Gao, Judith C. Hugh, Mauro G. Mastropasqua, Giuseppe Viale, Lila A. Zabaglo, Frédérique Penault-Llorca, John M.S. Bartlett, Allen M. Gown, W. Fraser Symmans, Tammy Piper, Erika Mehl, Rebecca A. Enos, Daniel F. Hayes, Mitch Dowsett, Torsten O. Nielsen, on behalf of the International Ki67 in Breast Cancer Working Group of the Breast International Group and North American Breast Cancer Group

• Participation of 8 experienced laboratories from the US and Europe.

- All participants used the MIB-1 clone but with different local staining protocols and scoring methods.

- Analysis of 100 breast cancer cases based on a TMA.
- Assessment of intra- and interlaboratory agreement based on the intraclass correlation coefficient (ICC) ranging from 0 (lowest) to 1 (highest agreement).

Experiments

Intralaboratory Reproducibility



Interlaboratory Reproducibility

Experiment 2

- A: central staining, local scoring
- B: local staining, local scoring

Results Experiment 1

Intralaboratory Reproducibility



Intraclass Correlation Coefficient (ICC) = 0.94

Results Experiment 2

Interlaboratory Reproducibility



ICC = 0.71 Range of the geometric mean: 10% - 28% **ICC = 0.59** Range of the geometric mean: 6.1% - 30.1%

Conclusions

 Significant lack of standardized methodology of proliferation assessment (time/type of fixation, storage, antibody, staining, evaluation, cut-off definition, ...).

 \rightarrow difficult to compare studies and to draw definitive conclusions.

- There is accumulating evidence that assessment of proliferation is of prognostic value for early stage adenocarcinomas.
 → further studies required (mitosis vs. Ki-67).
- No sufficient evidence to support proliferation assessment in squamous cell carcinomas.
- No sufficient evidence to support microscopic proliferation assessment as a predictive biomarker.

 \rightarrow gene expression profiles are promising.

<u>Perspective:</u> Implementation of Proliferation Assessment into Routine Diagnosis of Adenocarcinoma

- 1. Clinical consequences.
- 2. Integration of staging and morphology.
- 3. International establishment of reliable and reproducible staining/evaluation protocols.
- 4. Integration of morphology and proliferation.



Warth A et al. J Clin Oncol. 2012,30:1438-46.

olid

Cribriform



Thank you...



..... for your attention!