

Predicted postoperative lung function –
How low can we go ?

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- Conventional guidelines
- Principles of lung volume reduction – patient selection
- Revised prediction of ppoFEV1
- Operative risk
- Long term survival
- Surgery vs radiotherapy

Conventional Guidelines

Guidelines on the Radical Management of Patients with Lung Cancer
British Thoracic Society and the Society for Cardiothoracic Surgery in Great Britain and Ireland
Thorax 2010;65(Suppl III):iii1eiii27.

- **42. Measure lung carbon monoxide transfer factor in all patients**
- **regardless of spirometric values. [C]**
- **43. Offer surgical resection to patients with low risk of**
- **postoperative dyspnoea. [C]**
- **44. Offer surgical resection to patients at moderate to high risk**
- **of postoperative dyspnoea if they are aware of and accept the**
- **risks of dyspnoea and associated complications. [D]**
- **45. Consider using ventilation scintigraphy or perfusion**
- **scintigraphy to predict postoperative lung function if a ventilation**
- **or perfusion mismatch is suspected. [C]**
- **46. Consider using quantitative CTorMRI to predict postoperative**
- **lung function if the facility is available. [C]**
- **47. Consider using shuttle walk testing as functional assessment**
- **in patients with moderate to high risk of postoperative**
- **dyspnoea using a distance walked of >400 m as a cut-off for**
- **good function. [C]**
- **48. Consider cardiopulmonary exercise testing to measure peak**
- **oxygen consumption as functional assessment in patients with**
- **moderate to high risk of postoperative dyspnoea using >15 ml/**
- **kg/min as a cut-off for good function. [D]**

- The 2001 BTS guidelines were based on a lower limit of ppoFEV1 of 40%,
- but studies have since reported poor correlation between ppo FEV1 and TLCO with composite quality of life score.

Win T, Chest 2005;127:1159e65

- **Currently there are few data that provide guidance on a lower limit of lung function which predicts an acceptable degree of postoperative dyspnoea and quality of life.**

Lung volume reduction



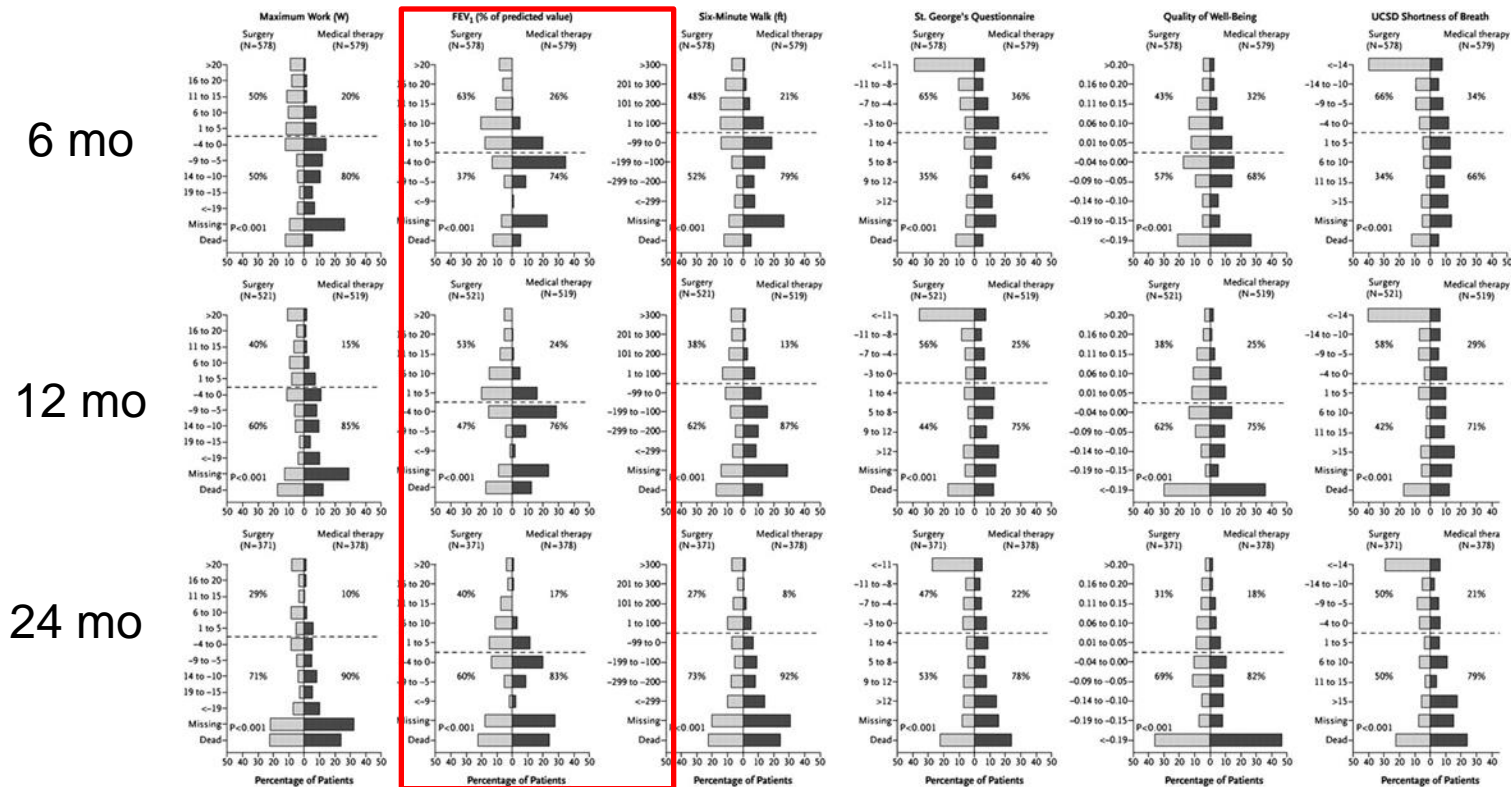
Long-term follow-up

- 1218 randomized patients
overall 5 year survival advantage for LVRS,
RR for death of 0.86 ($p = 0.02$).
- **upper-lobe low exercise capacity**
improved survival (5-year RR, 0.67; $p = 0.003$), exercise
at 3 years ($p < 0.001$), and SGRQ through 5 years ($p < 0.001$ years 1 to 3, $p = 0.01$ year 5).
- **upper-lobe high-exercise-capacity**
no survival advantage but improved exercise capacity
($p < 0.01$ years 1 to 3) and SGRQ ($p < 0.01$ years 1 to 4).

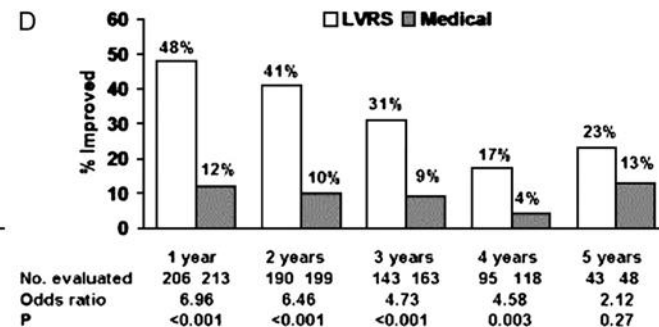
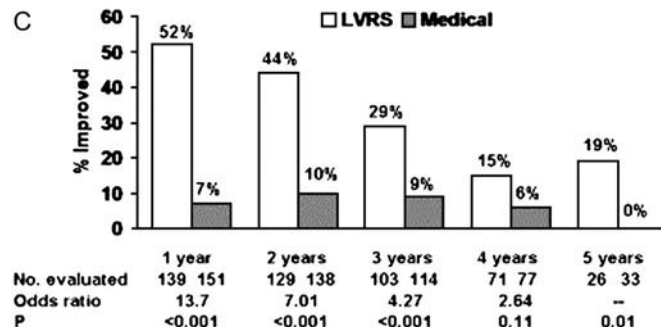
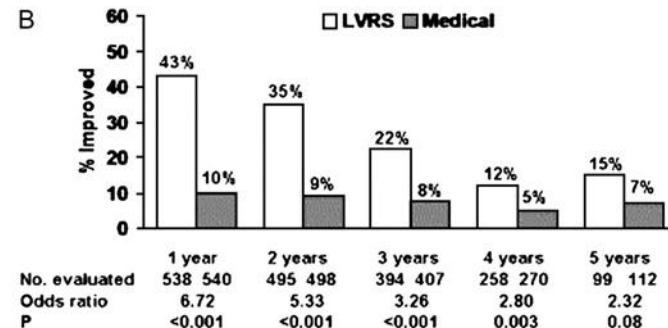
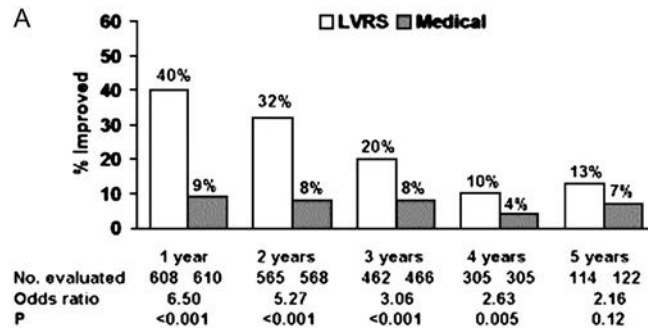
Naunheim KS, Ann Thorac Surg. 2006 Aug;82(2):431-43.

changes from baseline in exercise capacity, **FEV1**, 6 minute walk, SGRQ, quality of life and dyspnea

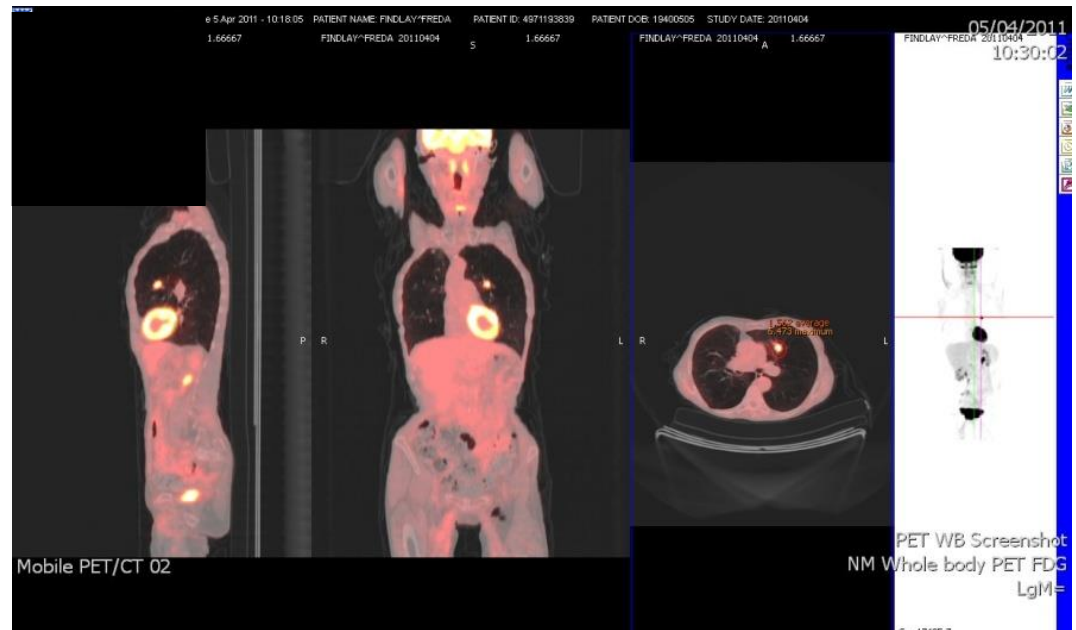
FEV1



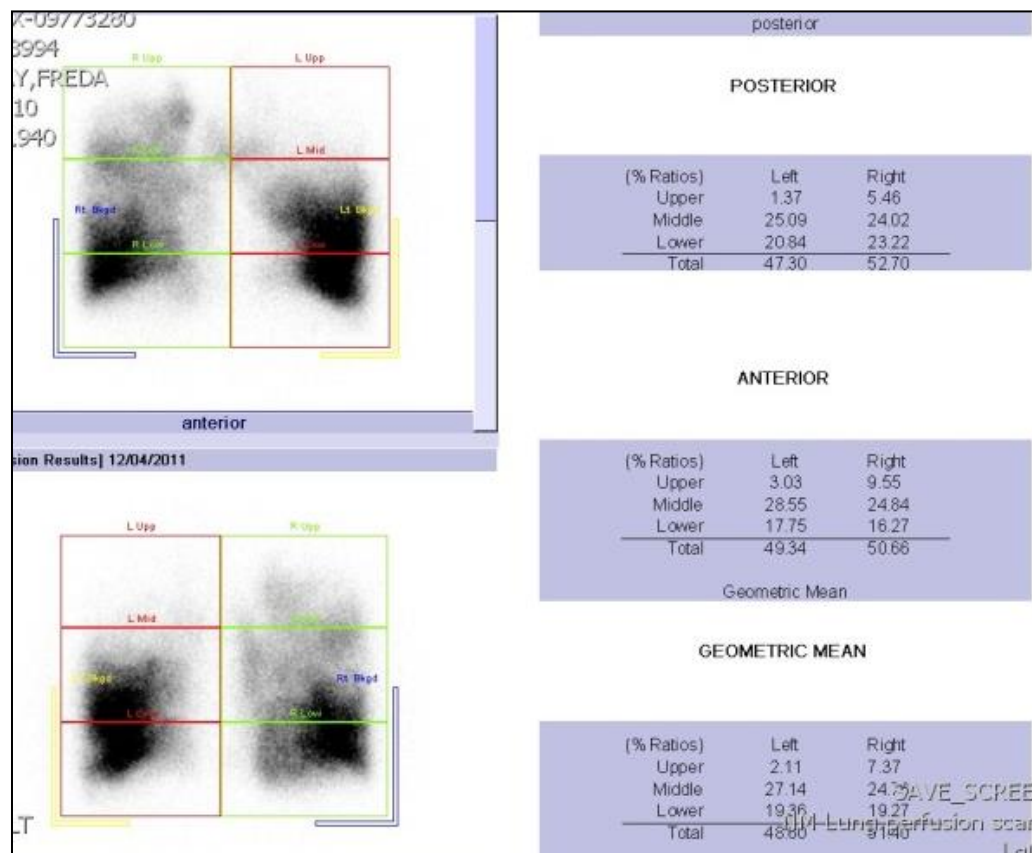
Sustained improvement in health-related **quality of life (St. George's Respiratory Questionnaire) at 1, 2, 3, 4, and 5 years after randomization to LVRS**



- 64 yr old smoker
- LUL SPN
- Severe COPD
- FEV₁ 800ml
(29% pred)
- ppoFEV₁ 22%



| FEV1 | RV | TLC | DLCO | KCO | pO2 | pCO2 |
|------|------|------|------|-----|------------|------------|
| 29% | 220% | 126% | 35% | 54% | 8.2 KPa | 4.3 KPa |



Applying LVRS to lung cancer resection

- **McKenna** RJ Jr, Fischel RJ, Brenner M, Gelb AF. Combined operations for lung volume reduction surgery and lung cancer. *Chest*. 1996;110:885-8
- **DeMeester** SR, Patterson GA, Sundaresan RS, Cooper JD. Lobectomy combined with volume reduction for patients with lung cancer and advanced emphysema. *J Thorac Cardiovasc Surg*. 1998;115:681-8
- **Korst** RJ, Ginsberg RJ, Ailawadi M, Bains MS, Downey RJ Jr, Rusch VW, Stover D. Lobectomy improves ventilatory function in selected patients with severe COPD. *Ann Thorac Surg*. 1998;66:898-902.
- **Carretta** A, Zannini P, Puglisi A, Chiesa G, Vanzulli A, Bianchi A, Fumagalli A, Bianco S. Improvement of pulmonary function after lobectomy for non-small cell lung cancer in emphysematous patients. *Eur J Cardiothorac Surg*. 1999;15:602-7.

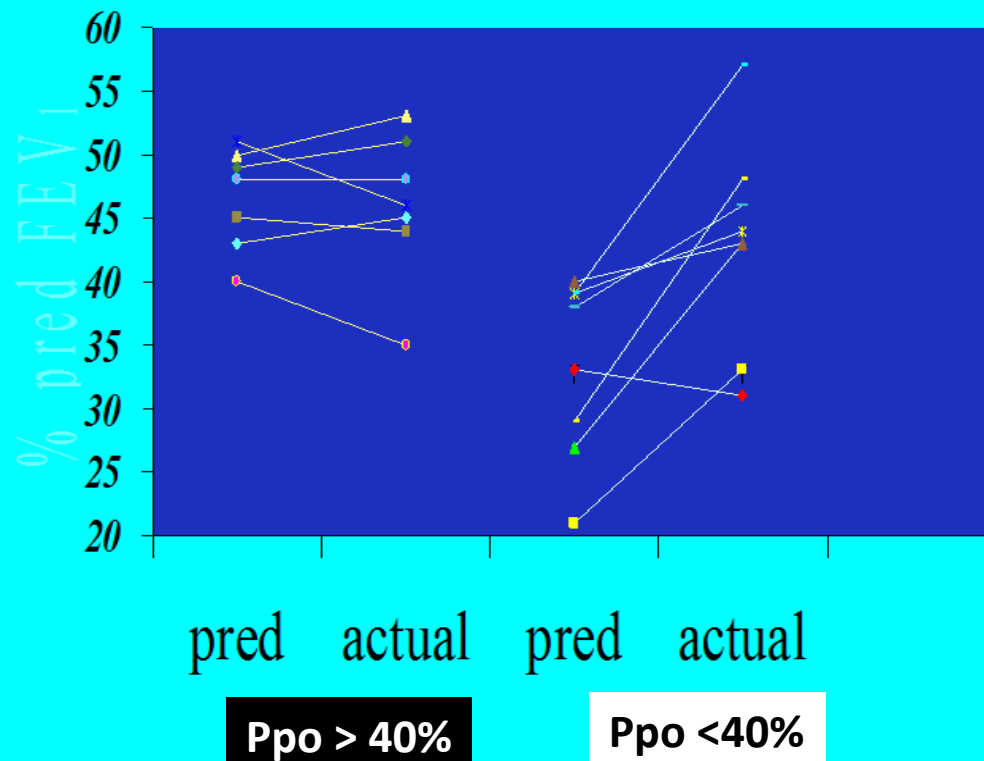
Thorax. 2001 Oct;56(10):791-5.

Lobar volume reduction surgery: a method of increasing the lung cancer resection rate in patients with emphysema.

Edwards JG, Duthie DJ, Waller DA

| | Lobar LVRS | Lobectomy - control | |
|---------------------------------------|-----------------------|---------------------|--------|
| Preop FVC % pred | 71.8 (63–93) | 79.3 (66–97) | 0.06 |
| Postop FVC % pred | 64.4 (40–84) | 65 (46–88) | NS |
| Preop FEV1 (lit) | 1.0 (0.68–1.5) | 1.63 (0.9–2.65) | 0.001 |
| Postop FEV1 (lit) | 1.02 (0.65–1.25) | 1.31 (0.75–2.3) | 0.06 |
| Perioperative change in FEV1 (lit) | 0.06 (–0.37–0.34) | –0.27 (–0.54–0) | 0.001 |
| Predicted postoperative FEV1 (% pred) | 31.4 (16–39) | 47.3 (40–56) | 0.0001 |
| Actual postoperative FEV1 (% pred) | 41.5 (18–57) | 46.6 (30–61) | NS |
| | | | |

Lobar LVRS for cancer



ACCP Evidenced-Based Clinical Practice Guidelines
(2nd Edition)

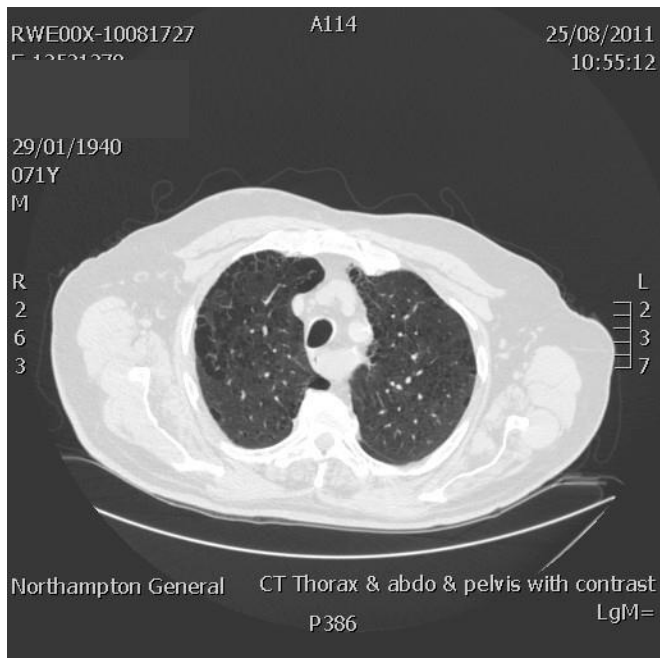
Colice et al, Chest 2007; 132:161S-177

- In patients with very poor lung function and a lung cancer in an area of upper lobe emphysema, it is recommended that combined LVRS and lung cancer resection be considered if both the FEV_1 and the DLCO are > 20% predicted.

- Patient fulfilled selection criteria for LVRS
- Hyperinflation, preserved gas exchange, apical underperfused target areas
- Surgery can be undertaken
- Is an open lobectomy the best operation ?
- In patients with a ppo $FEV_1 < 70\%$, segmentectomy offers no functional advantages over lobectomy.

Kashiwabara K, J Thorac Oncol. 2009;4:1111-6

Relationship between functional preservation after segmentectomy and volume-reduction effects after lobectomy in stage I non-small cell lung cancer patients with emphysema.



- 71yr old male
- **Upper** lobe COPD
- **Lower** lobe tumour
- ppoFEV₁ post lobectomy 32%
- Can't use lobar LVRS effect



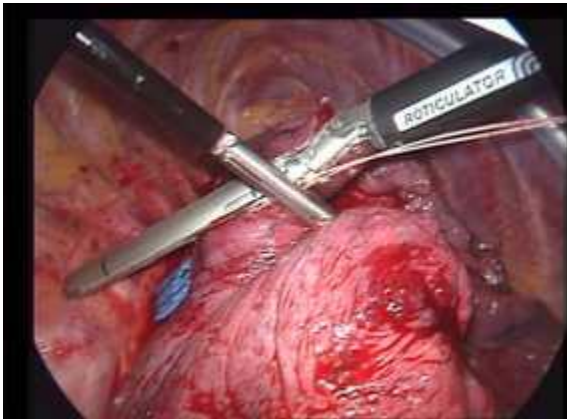
Consider combined upper lobe LVR + lower lobe segmentectomy

Lung cancer surgery in the breathless patient

- 84 patients (56M:28F, age 69 years)
median preop FEV₁ 41%
median ppo FEV₁ 32.8% (14-40%)
- control group :
35 open lobectomy
- study group :
27 open/ 4 VATS segmentectomy,
18 VATS lobectomy

*Lau KK, Martin-Ucar AE, Nakas A, Waller DA.
Eur J Cardiothorac Surg. 2010;38:6-13.*

Lung cancer surgery in the breathless patient



- After segregating surgical approach and the extent of resection,
- ***the VATS approach was identified as the critical factor conferring survival advantage***
- HR 2.78, 95% CI: 1.21-6.37, p=0.016

Operative risk / survival

LVRS Mortality predictors

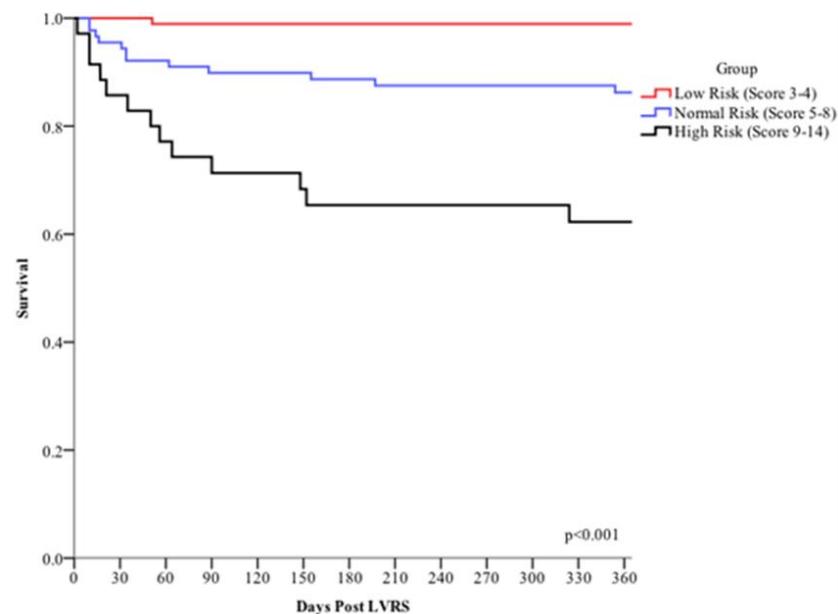
| Variable | Survivors | Died | Total | p value |
|---------------------------------|----------------------|----------------------|--------------|--------------|
| Age | 60.10 (7.01) | 61.76 (8.31) | | 0.310 |
| Gender (% Male) | 60.6% | 66.7% | 61.2% | 0.589 |
| MRC Grade N=68 | 4 (IQR 1) | 4.5 (IQR 3) | 4 (IQR 1) | 0.111 |
| Underweight (% <18.5) | 17.6% | 42.9% | 19.8% | 0.006 |
| Home Oxygen (% Yes) N=160 | 29.1% | 41.7% | 30.0% | 0.359 |
| BMI | 23.45 (4.18) | 21.76 (5.32) | | 0.110 |
| PaO2 | 9.61 (1.31) | 9.39 (1.42) | | 0.484 |
| PaCO2 | 5.28 (0.65) | 5.18 (0.83) | | 0.557 |
| FEV1 (%) | 28.74 (10.30) | 23.52 (5.86) | | 0.023 |
| FEV1 (absol) | 0.81 (0.34) | 0.67 (0.34) | | 0.068 |
| FEV1 (% <0.71) | 46.4% | 85.7% | 50.0% | 0.001 |
| FVC (%) | 72.42 (18.41) | 63.00 (20.18) | | 0.028 |
| FVC (absol) | 2.53 (0.86) | 1.94 (0.47) | | 0.002 |
| | | | | |
| TLC (%) | 142.66 (17.40) | 138.76 (15.82) | | 0.326 |
| TLC (absol) | 8.43 (1.55) | 7.76 (1.43) | | 0.060 |
| RV (%) | 261.12 (53.54) | 259.52 (45.76) | | 0.895 |
| RV/TLC (%) | 66.37 (9.00) | 71.09 (6.49) | | 0.023 |
| DLCO (%) | 39.00 (13.15) | 31.05 (20.24) | | 0.016 |

LVRS – composite risk score

| | | Score |
|-----------------------------------|--------------|--------------|
| BMI (kg/m²) | 18.5 or more | 1 |
| | < 18.5 | 3 |
| DLCO (%pred) | 41% or more | 1 |
| | 20 - 40% | 2 |
| | < 20% | 6 |
| FEV₁ (L) | 0.7 or more | 1 |
| | < 0.7 | 5 |

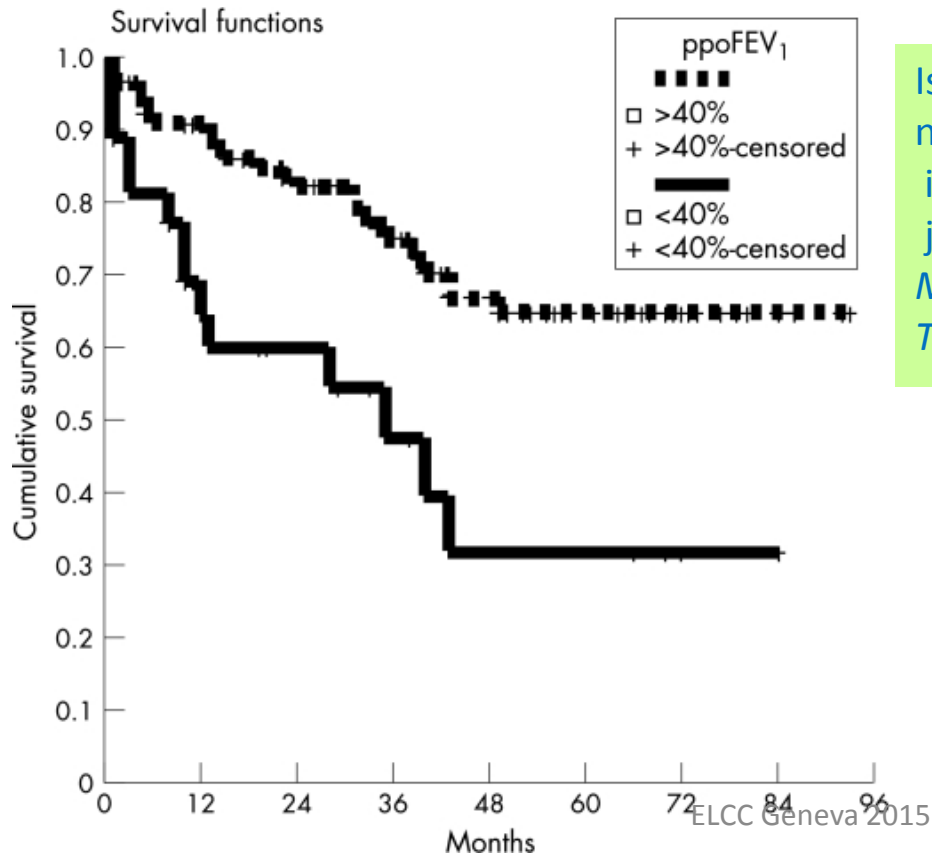
LQRS – differential survival

| | Low n=94 | Medium n=89 | High n=35 |
|--------|-------------|----------------|--------------|
| Score | 3-4 | 5-8 | 9-14 |
| 30 Day | 0 | 4.5% | 14.3% |
| 90 Day | 1.1% | 10.1% | 28.6% |
| 1 Year | 1.1% | 13.5% | 37.1% |



Long-term survival after lobar LVRS for stage I lung cancer is limited by physiological rather than oncological factors

| | Lobar LVRS | Lobectomy | |
|--------------------------------------|------------|-----------|-------|
| Mean (SE) actuarial 3 year survival* | 48 (11)% | 75 (4)% | 0.001 |
| Mean (SE) actuarial 5 year survival* | 35 (11)% | 65 (5)% | 0.001 |



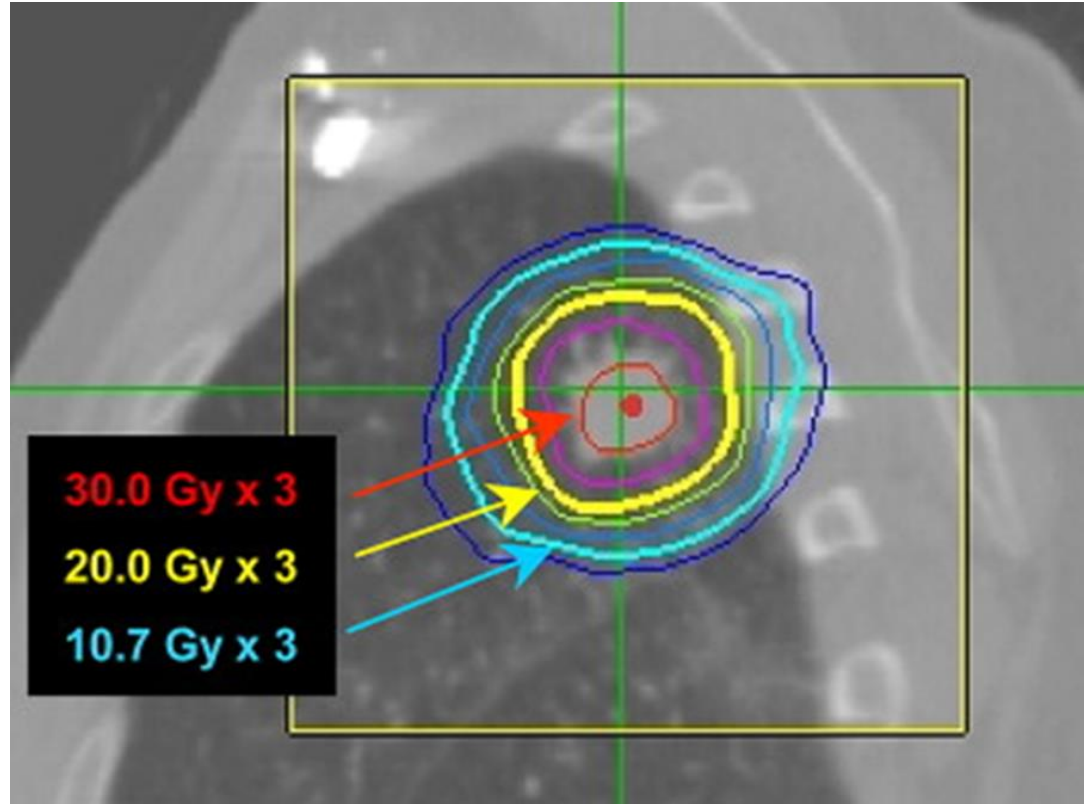
Is the initial feasibility of lobectomy for stage I non-small cell lung cancer in severe heterogeneous emphysema justified by long-term survival?
Martin-Ucar AE, Edwards JG, Waller DA. Thorax. 2007;62:577-80

Alternatives to surgery

Disadvantages of radiotherapy compared with surgery

At best, stereotactic body radiation therapy can only approximate a **wedge resection** if it is assumed that 100% tumour destruction has occurred.

Fernando HC, Schuchert M, Landreneau R, Daly BT. Approaching the high-risk patient: sublobar resection, stereotactic body radiation therapy, or radiofrequency ablation. Ann Thorac Surg. 2010 ;89:S2123-7.



“SABR is a less risky equivalent of wedge resection for patients whose life expectancy is likely to be limited by their co-morbidity rather than lung cancer”

Summary

- Use the principles of **lung volume reduction** surgery to extend the selection criteria for lung cancer resection
- Extensive **preoperative investigation** is imperative
- An appreciation of the differential effects on life expectancy of the **primary tumour vs co-morbidities**