

# **Is Immunotherapy a 1<sup>st</sup> Line Treatment in NSCLC? Case Against**

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# Disclosures

**Honoraria for advisory board work or speaker bureau activities from Pfizer, Roche, AZD, BI, BMS, Lilly, MSD**

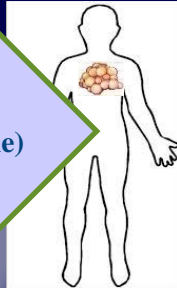
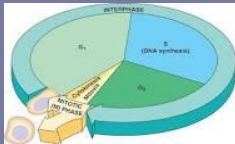
# Key Messages

- **Chemotherapy remains the cornerstone of 1<sup>st</sup> line patient care in advanced NSCLC**
- **Targeted therapies required rigorous evaluation before replacing established first line regimens**
  - **EGFR TKIs and ALK inhibitors of value in ~20% patients with non-squamous NSCLC (higher in East Asia)**
- **The results of Immune Checkpoint therapies show limited, albeit encouraging, activity relative to the enthusiasm surrounding their efficacy**
  - **Phase III data needed to establish their role in 1<sup>st</sup> line therapy of NSCLC**

# Evolution of Approaches to Drug Improvements in NSCLC

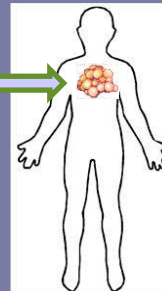
## HISTORICAL

**CYTOTOXIC CHEMOTHERAPY**  
Targets rapidly dividing cells (cell cycle)  
Systemic effects



1990's

**KINASE INHIBITION**  
Targets tumor itself;  
acquired resistance



**Today**

**RATIONAL USE OF  
MULTIPLE  
MODALITIES**

Leverage strengths of each  
approach

Overcome weaknesses

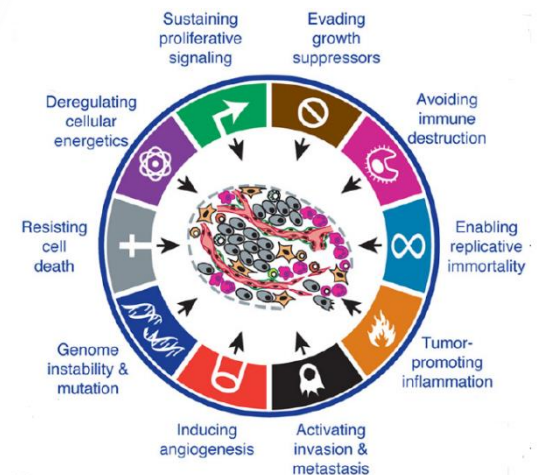


Image adapted with permission from Hanahan D, Weinberg RA. *Cell*. 2011;144(5):646-674.

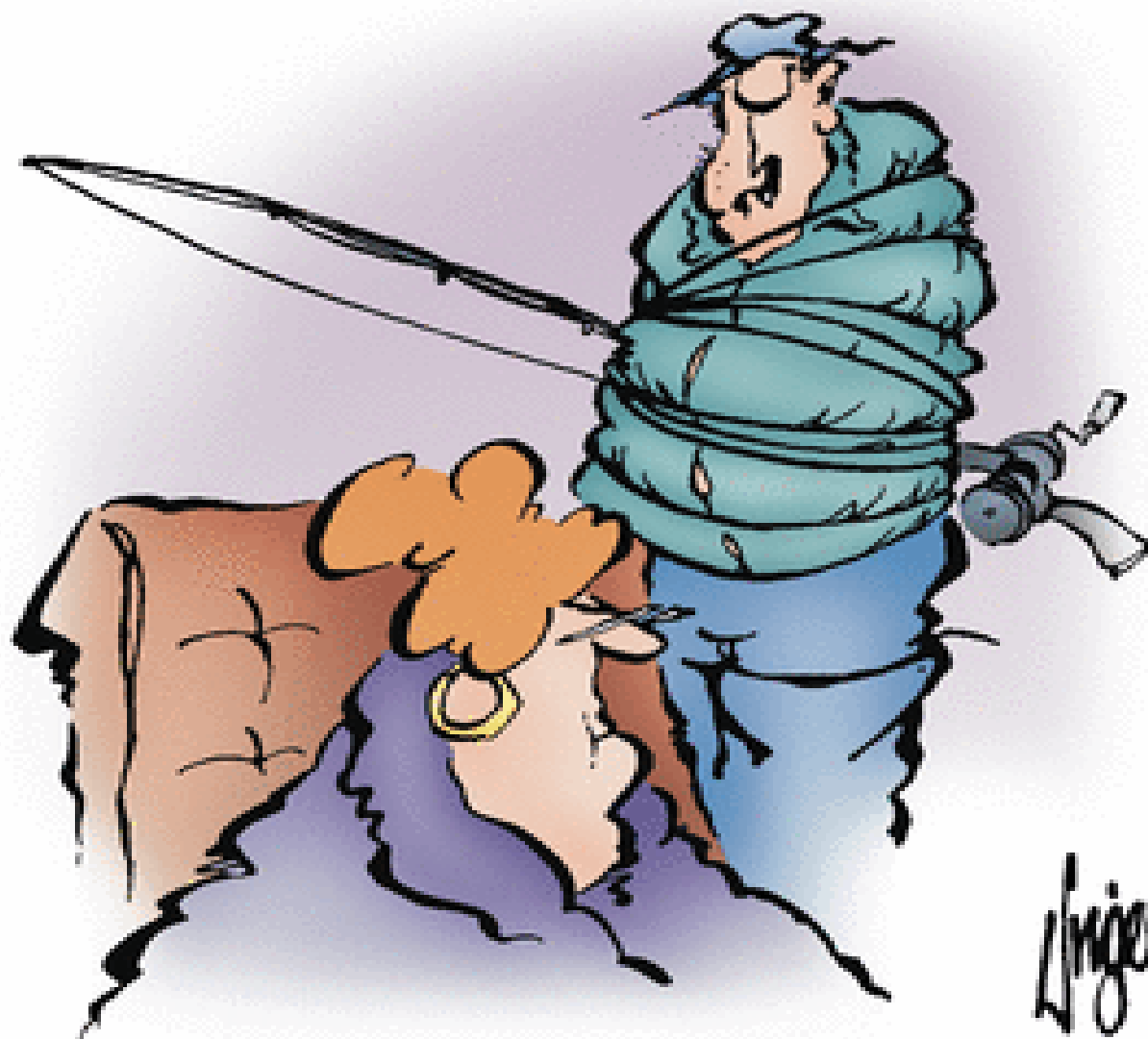
1. Hanahan D, Weinberg RA. *Cell*. 2011;144(5):646-674.

**Immune Therapy**

**Optimism**

**vs**

**Scientific Method,  
Reality**



3-20

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**“I hooked a real big one but it kept swimming around the boat.”**

# Fueling the Optimism

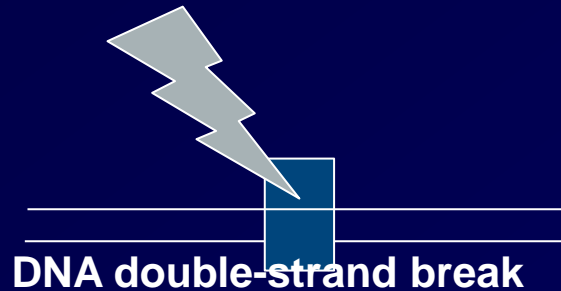
- Academic enthusiasm
- Modern science
  - Increased understanding of immune biology in malignant disease
  - Technology to rapidly interrogate a target: we're learning how to do things better
  - Media links: we have all become immuno-oncologists overnight
- Huge investment by pharma and biotech companies
  - Multiple agents for same target/pathway
  - Multiple targets

**Immune privilege**

**DNA instability**

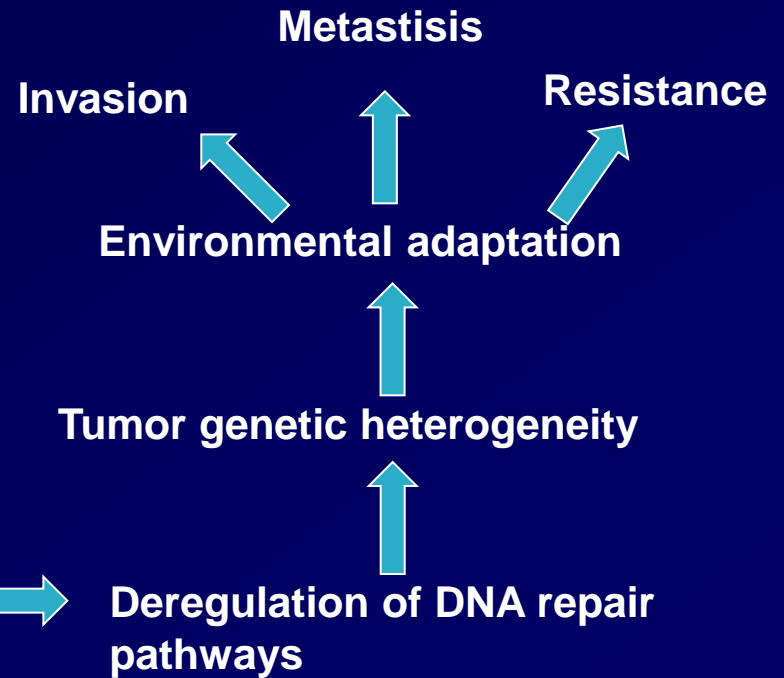


**Ionising irradiation**  
**Chemotherapeutic agents**  
**Products of normal cellular metabolism**



**Repair defect/Age**

**Genomic instability**



# Genome stability and cancer

**BRCA1, BRCA2, Homologous recombination: Breast and ovarian cancers**

**ATM, Homologous recombination: Breast, leukemia and lymphoma**

**NBS1, Homologous recombination: Lymphoid malignancies**

**MRE11, Homologous recombination: Breast cancer**

**BLM, Homologous recombination: Leukemia, lymphomas, colon, breast, skin, tongue, lung, stomache...**

**WRN, Homologous and non homologous recombination: sarcomas, skin, thyroid and pancreatic cancers**

**RECQ4, Homologous recombination: Rothmund-Thomas syndrome, Rapadilino syndrome and Baller Gerold syndrome**

**FANC1, FANCB, FANCC, FANCD1, FANCD2, FANCE, FANCF, FANCG, FANCI, FANCL, FANCM, FANCN, Homologous recombination and translesion synthesis: leukemia, liver and many solid cancers.**

**XPC, XPE, Nucleotide excision repair: skin cancer and melanoma.**

**XPA, XPB, XPD, XPF, XPG, Nucleotide excision repair: skin cancer, melanoma, central nervous system cancers.**

**XPV, translesion synthesis: Skin cancer and melanoma**

**hMSH2, hMSH6, hMLH1, hPMS2, Miss match repair: colorectal, endometrial and ovarian cancers.**

**MUTYH, base excision repair, and miss match repair: colon cancer.**

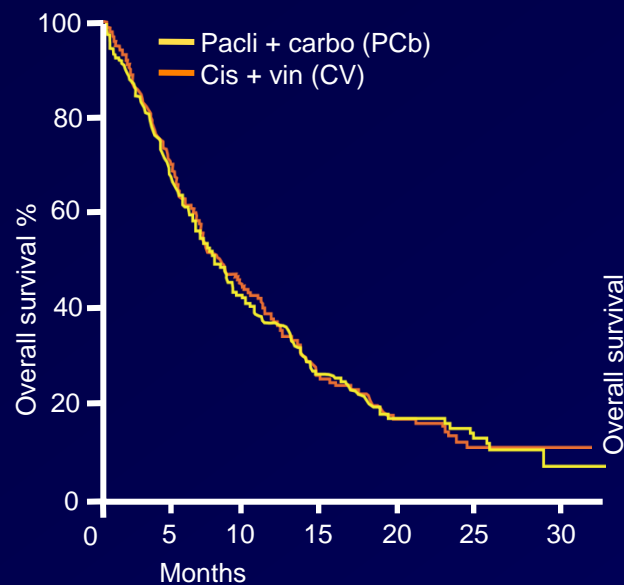
# **Efficacy of Chemotherapy**

## **1<sup>st</sup> Line:**

**What we know**

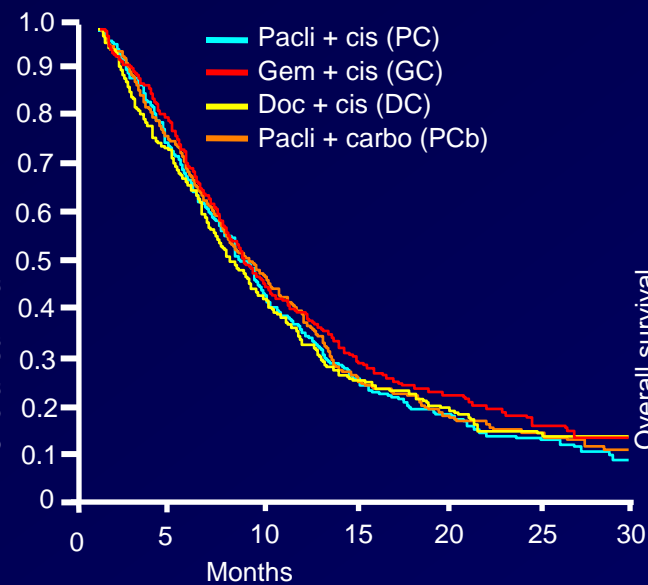
# 1<sup>st</sup>-line platinum-based CT:

## Efficacy plateau

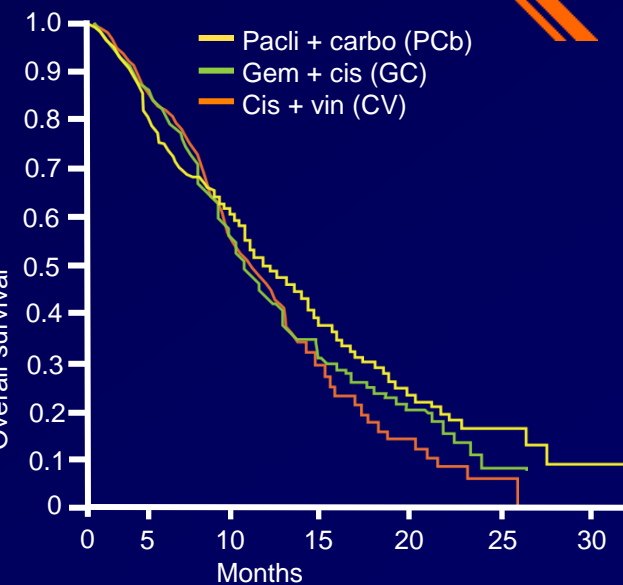


Study arm	OS (mo)	1 year (%)
PCb	8.6	38
CV	8.1	36

OS, overall survival



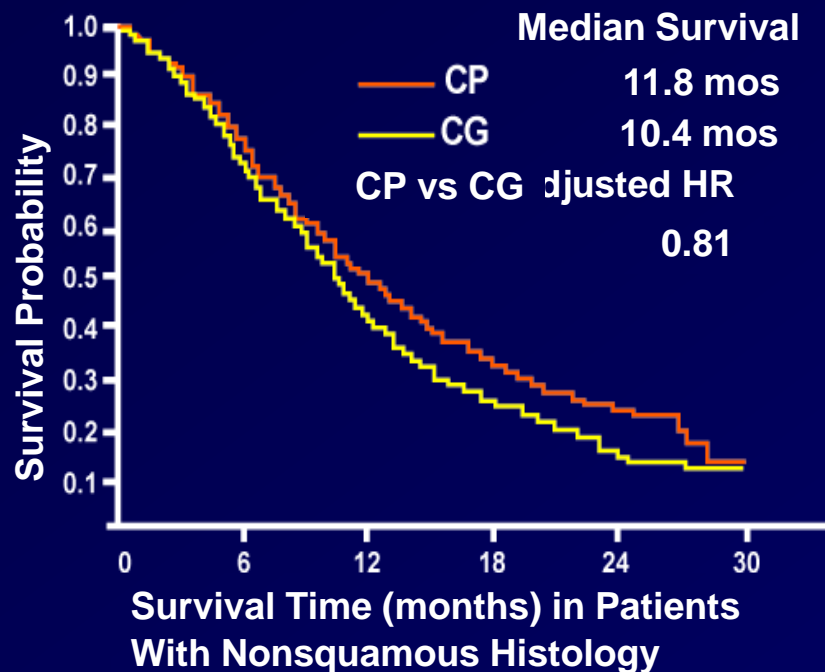
Study arm	OS (mo)	1 year (%)
PC	7.8	31
GC	8.1	36
DC	7.4	31
PCb	8.1	34



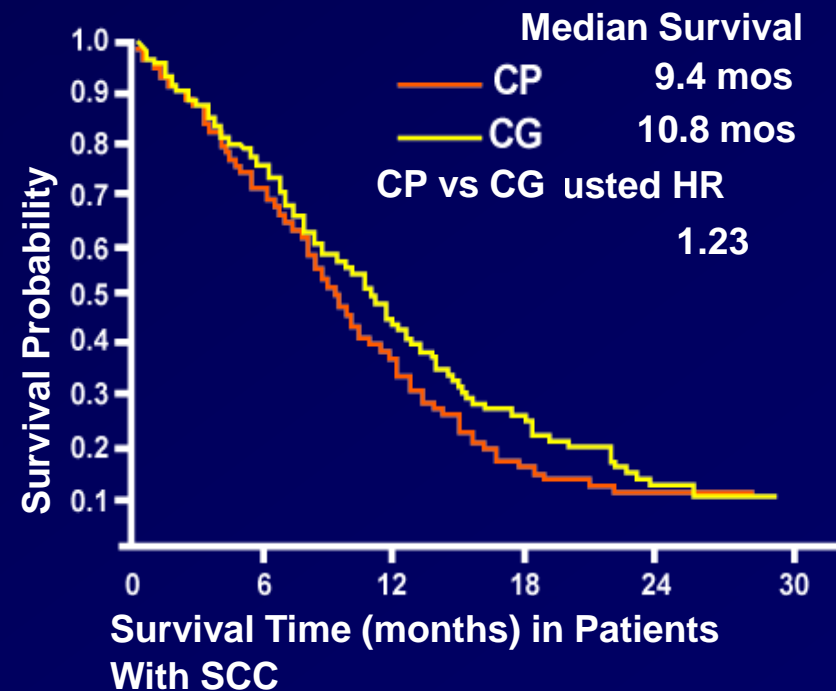
Study arm	OS (mo)	1 year (%)
PCb	9.9	43
GC	9.8	37
CV	9.5	37

# Cisplatin/Pemetrexed vs Cisplatin/ Gemcitabine in Advanced NSCLC: Results

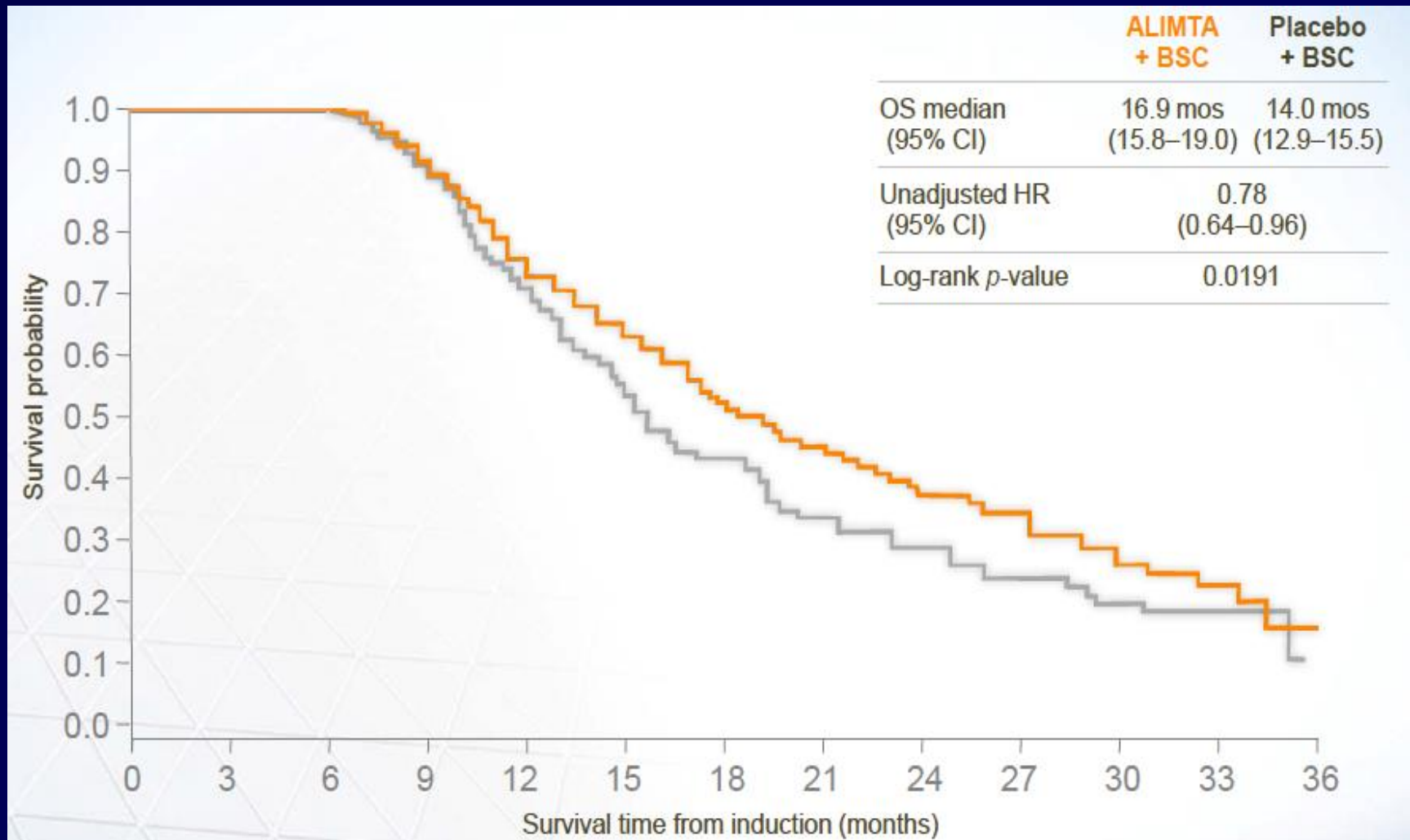
## Nonsquamous



## Squamous



# Maintenance Therapy: Paramount Overall Survival Data

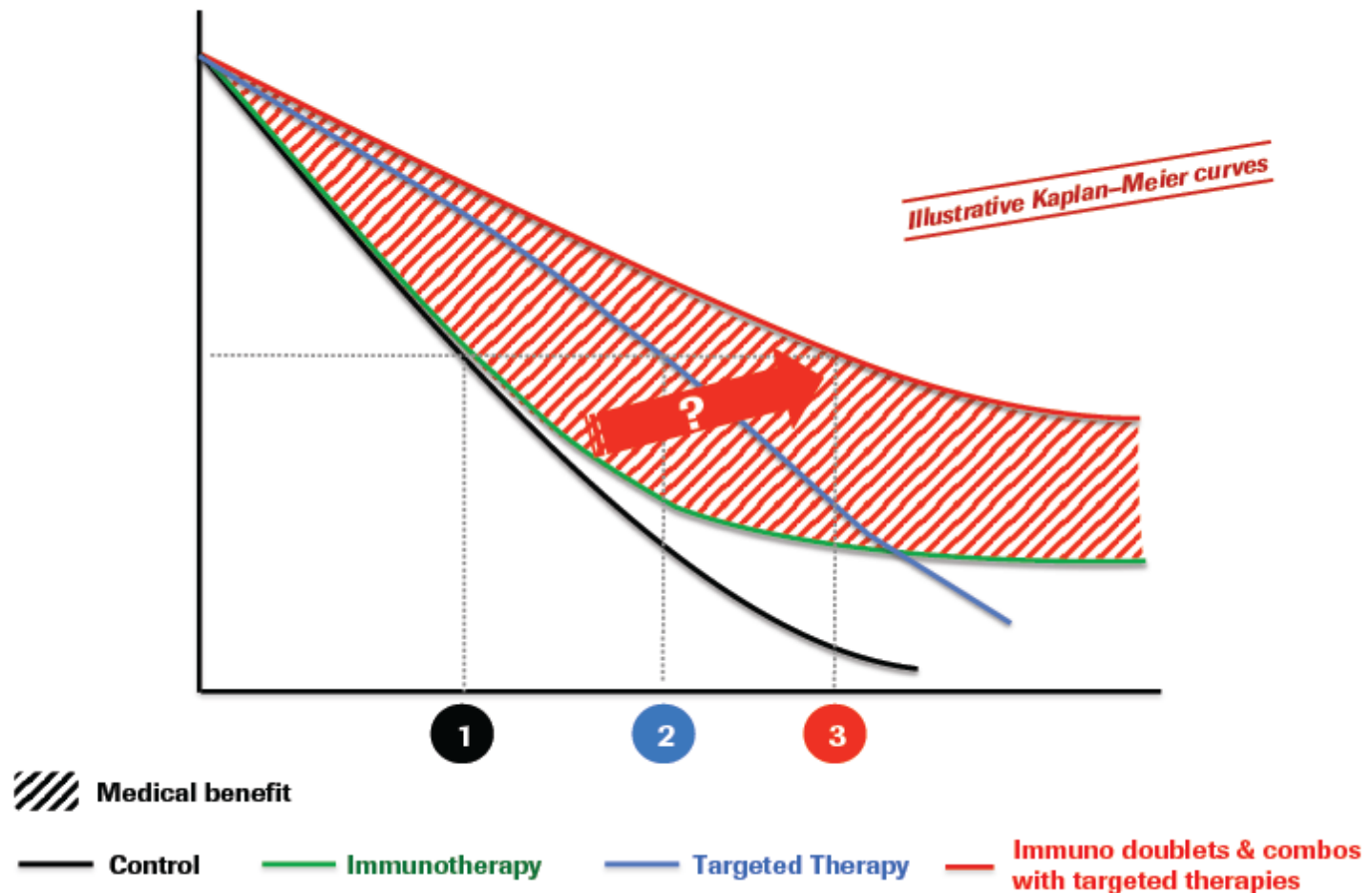


# **Efficacy of Immune Checkpoint chemotherapy 1<sup>st</sup> Line:**

**What we know**

# Cancer immunotherapy in the future

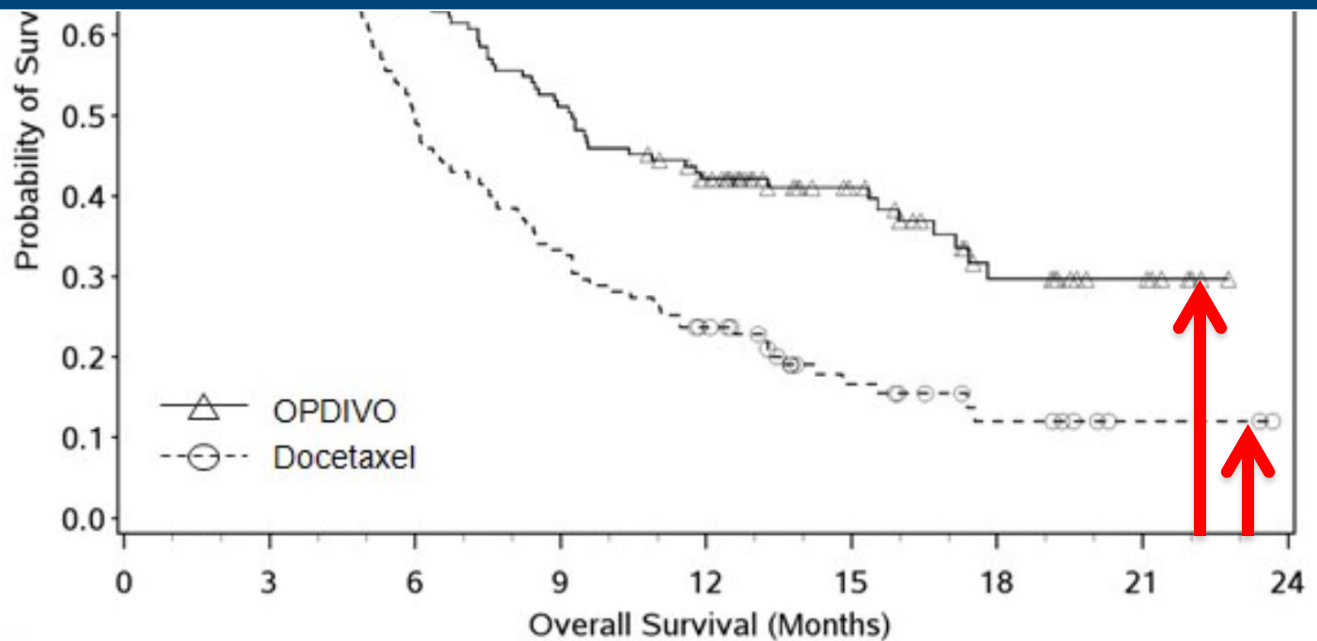
*Better patient selection, combinations, broader use?*





# Survival in patients with previously treated squamous cancer

More than twice as many people alive at ~2 years compared to chemotherapy!!



Number at Risk  
OPDIVO

135	113	86	69	52	31	15	7	0	
Docetaxel	137	103	68	45	30	14	7	2	0

# Nivolumab monotherapy as 1st-line treatment: study design

Chemotherapy-naïve  
patients with stage IIIB or IV NSCLC  
Non-squamous or squamous  
histology

Nivolumab 3 mg/kg IV Q2W until  
disease progression or unacceptable  
toxicity<sup>a</sup>

Primary objective: safety and  
tolerability

Secondary objectives:  
ORR and PFS rate at 24 weeks

## Key eligibility criteria

- $\geq 18$  years of age
- Stage IIIB/IV NSCLC
- ECOG PS  $\leq 1$
- Chemotherapy naïve; prior use of EGFR TKI is acceptable
- No symptomatic brain metastasis, autoimmune disease, grade  $\geq 2$  neuropathy, significant cardiac disease, interstitial lung disease
- Collection of tumour tissue (archival or recent)

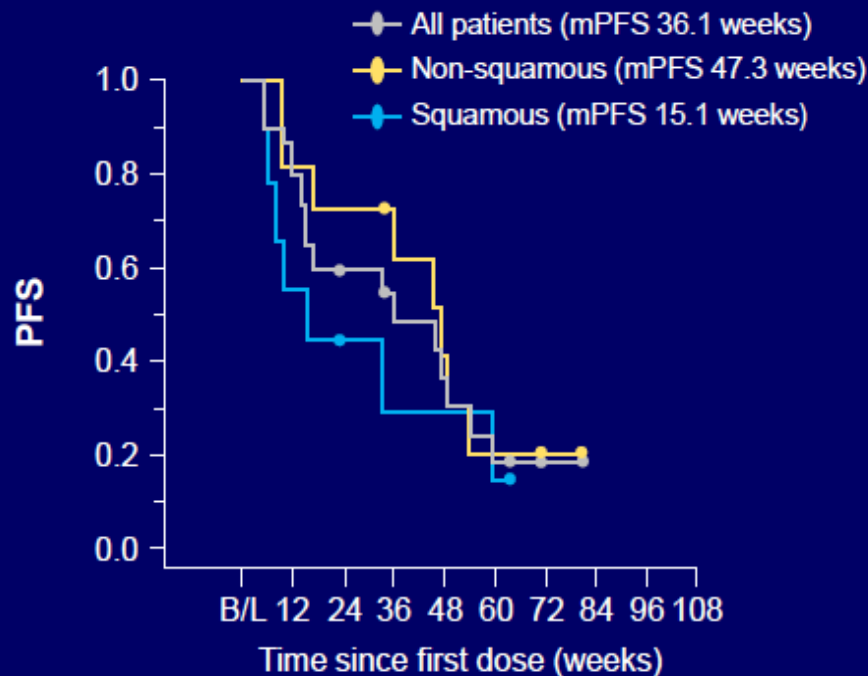
Start date: December 2011

Estimated study completion date: September 2017

Estimated primary completion date: September 2016

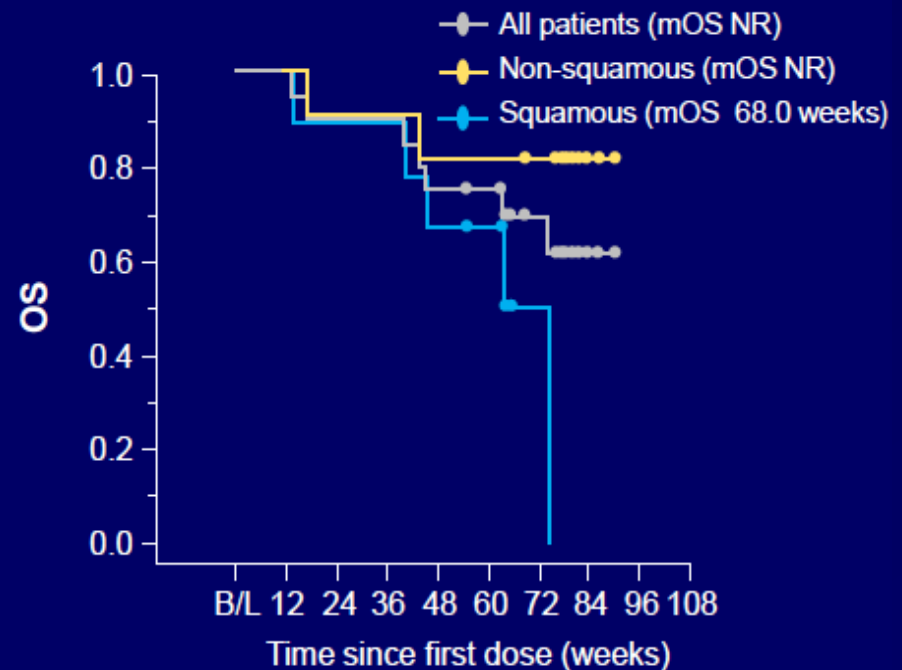
Status: Recruiting

# Nivolumab as 1st-line treatment: PFS and OS



Number of Patients at Risk

All patients	20	14	11	9	6	3	1	0	0	0
Non-squamous	11	9	8	7	4	2	1	0	0	0
Squamous	9	5	3	2	2	1	0	0	0	0



Number of Patients at Risk

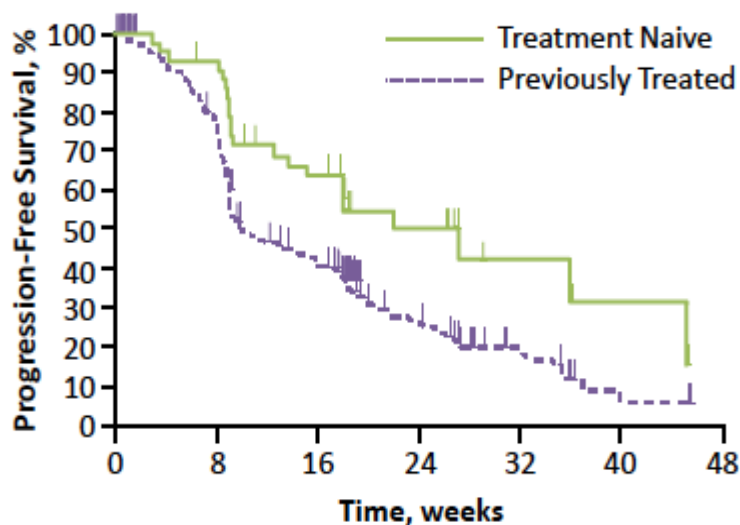
All patients	20	20	18	18	15	14	9	2	0	0
Non-squamous	11	11	10	10	9	9	8	2	0	0
Squamous	9	9	8	8	6	5	1	0	0	0

- PFS rate at 24 weeks was 60% and 1-year OS rate was 75%**

# Pembrolizumab OS Data

## Kaplan-Meier Estimates of Survival

PFS (RECIST v1.1, Central Review)

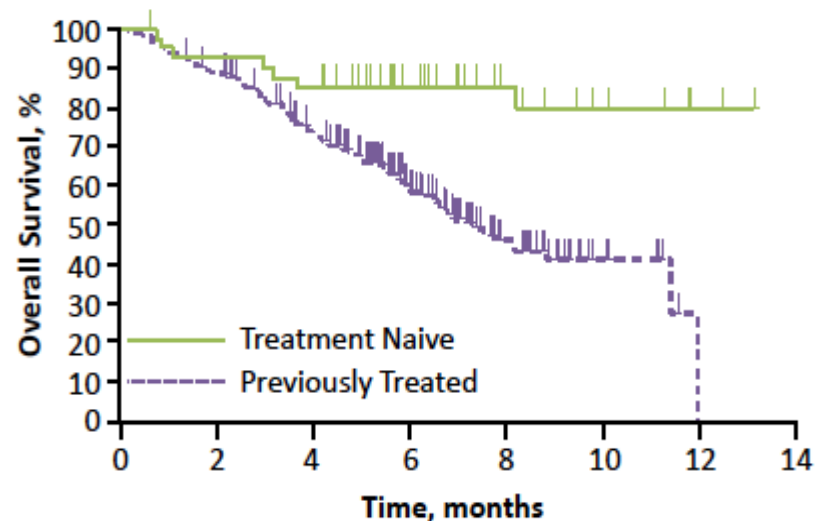


n at risk

Treatment Naive	45	39	25	11	4	2	0
Previously Treated	217	159	81	33	13	2	0

- Treatment naive
  - Median PFS: 27 weeks (95% CI, 14-45)
  - 24-week PFS: 51%
- Previously treated
  - Median PFS: 10 weeks (9.1-15.3)
  - 24-week PFS: 26%

OS



45	41	38	24	13	7	2	0
217	192	146	77	33	8	0	0

- Treatment naive
  - Median OS: NR (95% CI, NE-NE)
  - 6-month OS: 86%
- Previously treated
  - Median OS: 8.2 months (7.3-NR)
  - 6-month OS: 59%

# **Immune Checkpoint Therapy and Chemotherapy**

# Nivolumab plus platinum-based chemotherapy: Study Design

Chemotherapy-naïve patients with stage IIIB or IV NSCLC

**Squamous**

Nivolumab 10 mg/kg  
IV Q3W +  
Gem 1250 mg/m<sup>2</sup>  
+ Cis 75 mg/m<sup>2</sup>  
(four 21-day cycles)

**Non-squamous**

Nivolumab 10 mg/kg  
IV Q3W +  
Pem 500 mg/m<sup>2</sup>  
+ Cis 75 mg/m<sup>2</sup>  
(four 21-day cycles)

**Any histology**

Nivolumab 10 mg/kg  
IV Q3W +  
Pac 200 mg/m<sup>2</sup>  
+ Carb AUC 6  
(four 21-day cycles)

**Any histology**

Nivolumab 5 mg/kg  
IV Q3W +  
Pac 200 mg/m<sup>2</sup>  
+ Carb AUC 6  
(four 21-day cycles)

**Nivolumab 10 mg/kg IV Q3W  
until disease progression or unacceptable toxicity**

**Nivolumab 5 mg/kg  
IV Q3W until disease  
progression or  
unacceptable  
toxicity**

## Primary endpoints

- Safety and tolerability

## Secondary endpoints

- ORR at 24 weeks
- PFS rate at 24 weeks

## Key eligibility criteria

- ≥18 years of age
- Stage IIIB/IV NSCLC
- ECOG PS ≤1
- Chemotherapy naïve; prior use of EGFR TKI is acceptable
- No symptomatic brain metastasis, autoimmune disease, grade ≥2 neuropathy, significant cardiac disease, interstitial lung disease
- Collection of tumour tissue (archival or recent)

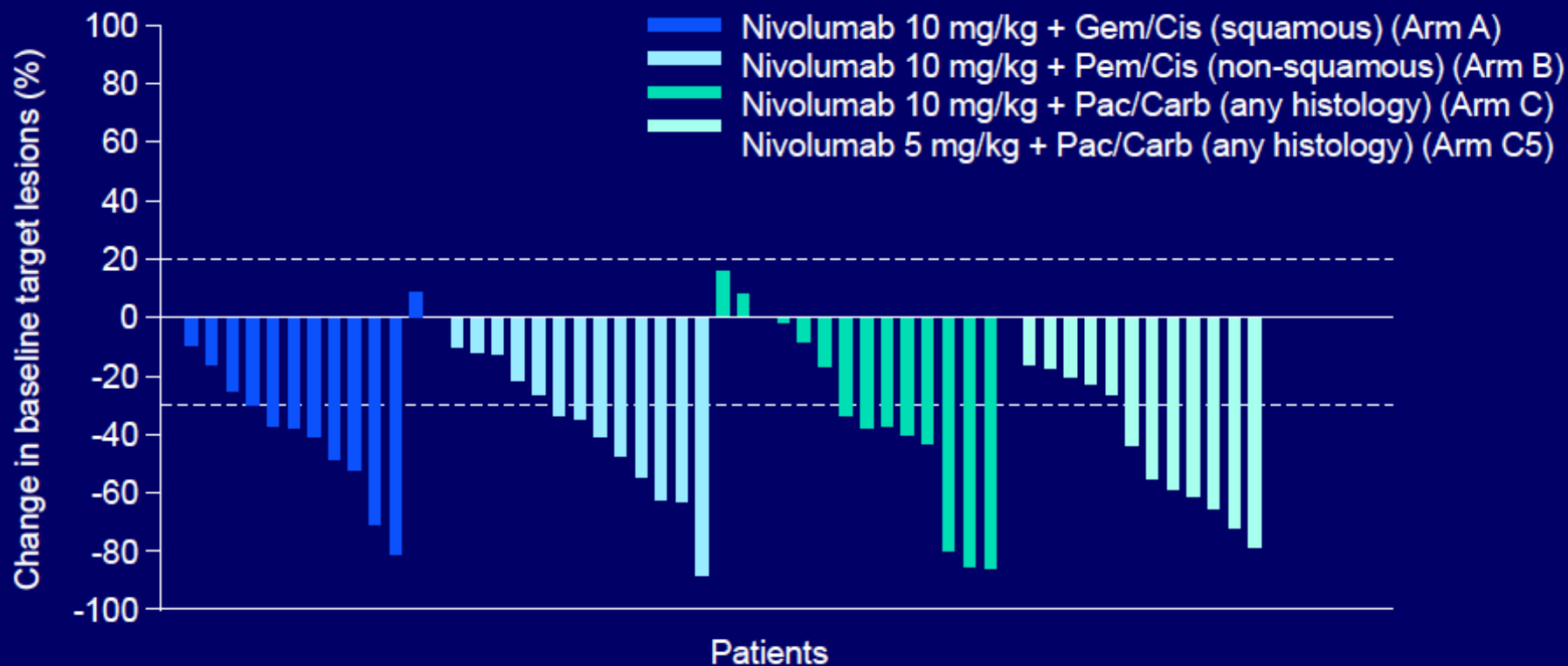
Start date: December 2011

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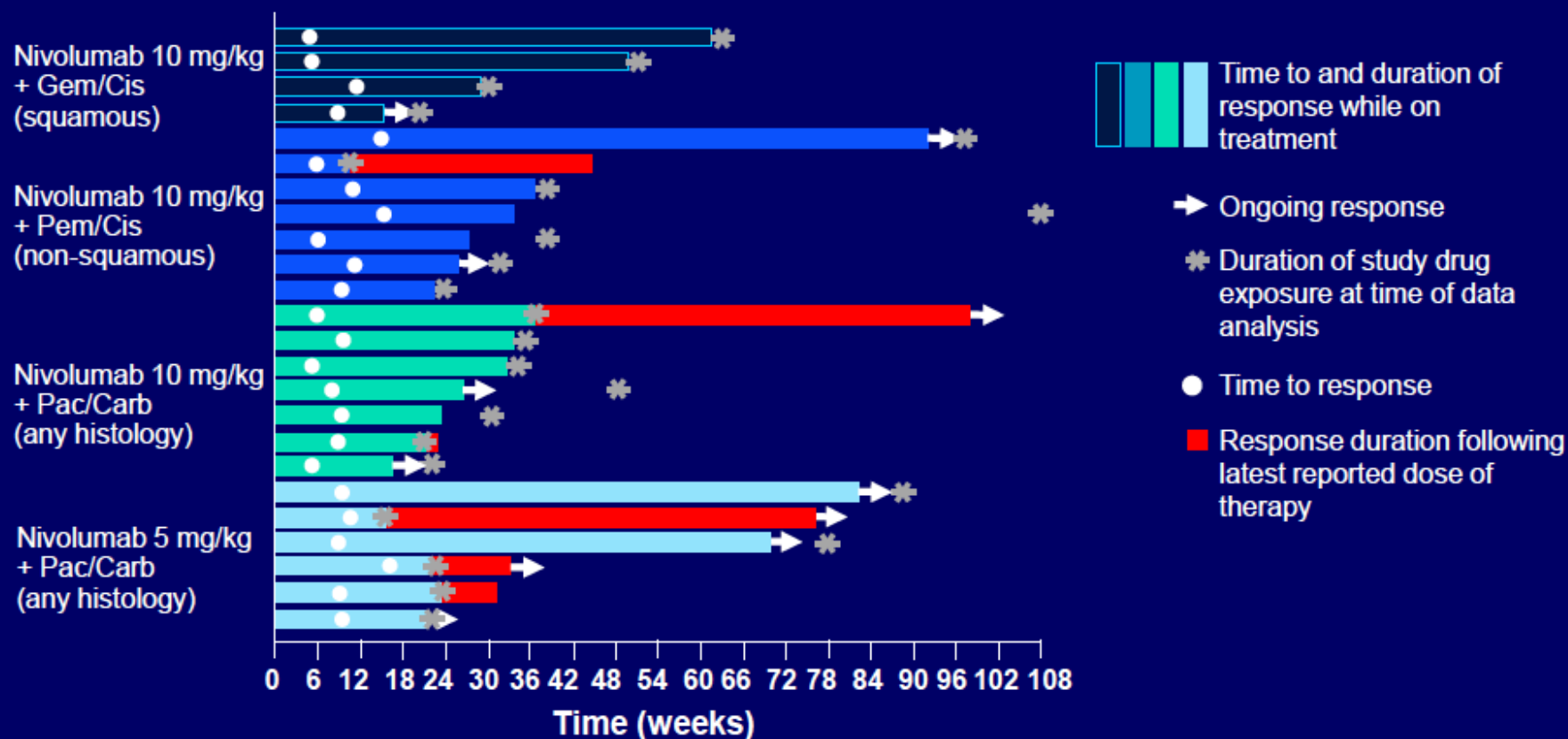
# Nivolumab plus platinum-based chemotherapy: Percentage change in tumour burden from baseline



- The majority of patients across arms experienced a decrease in tumour burden (47/56, 84%)
- By week 18, one patient in the nivolumab 10 mg/kg + Pem/Cis arm and one patient in the nivolumab 10 mg/kg + Pac/Carb arm had a tumour burden reduction of >80%



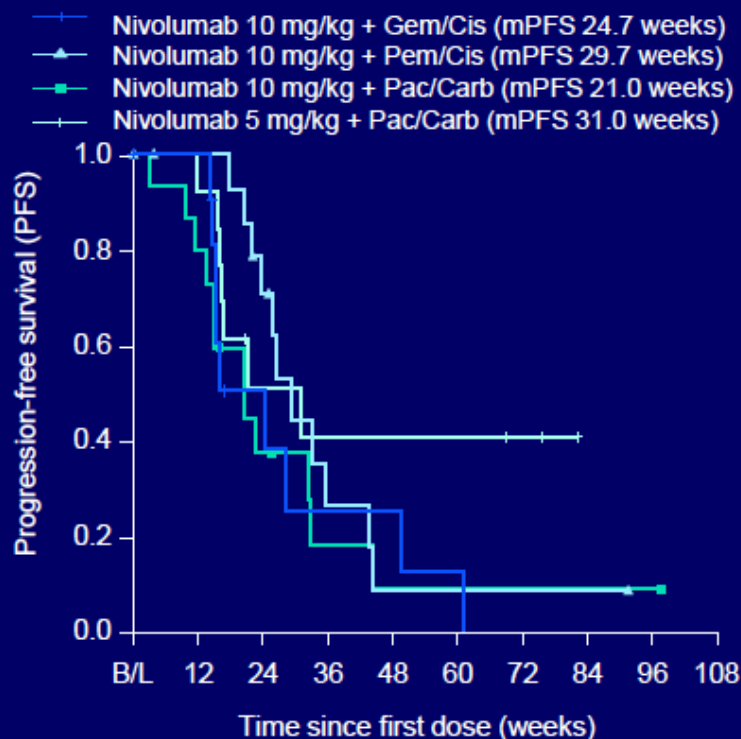
## Nivolumab plus platinum-based chemotherapy: Characteristics of response by treatment arm



- Across arms, responses were ongoing in 11 of 24 responders at the time of analysis
- 5 of the 11 patients with ongoing response were still alive and had not started subsequent therapy at the time of this analysis

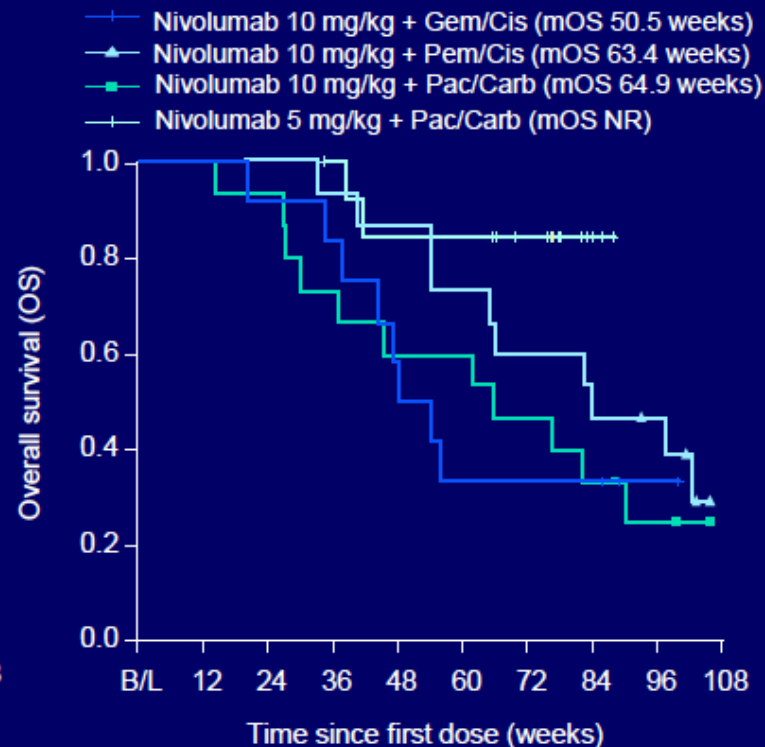


# Nivolumab plus platinum-based chemotherapy: PFS and OS



## Number of Patients at Risk

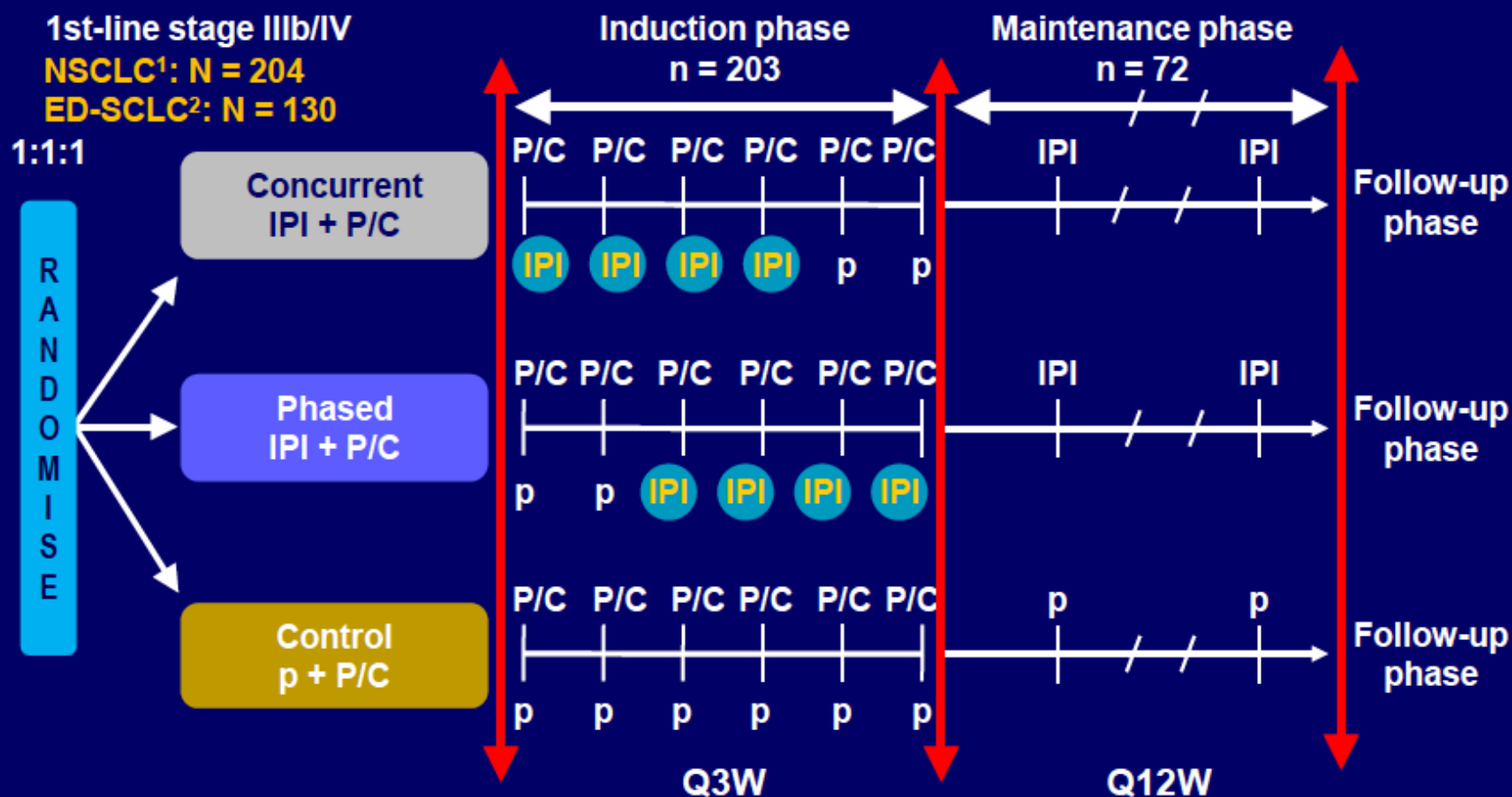
	12	11	4	2	2	1	0	0	0	0
Nivolumab 10 mg/kg + Gem/Cis	12	11	4	2	2	1	0	0	0	0
Nivolumab 10 mg/kg + Pem/Cis	15	14	10	4	1	1	1	1	0	0
Nivolumab 10 mg/kg + Pac/Carb	15	12	5	2	1	1	1	1	1	0
Nivolumab 5 mg/kg + Pac/Carb	14	12	5	3	3	3	2	0	0	0



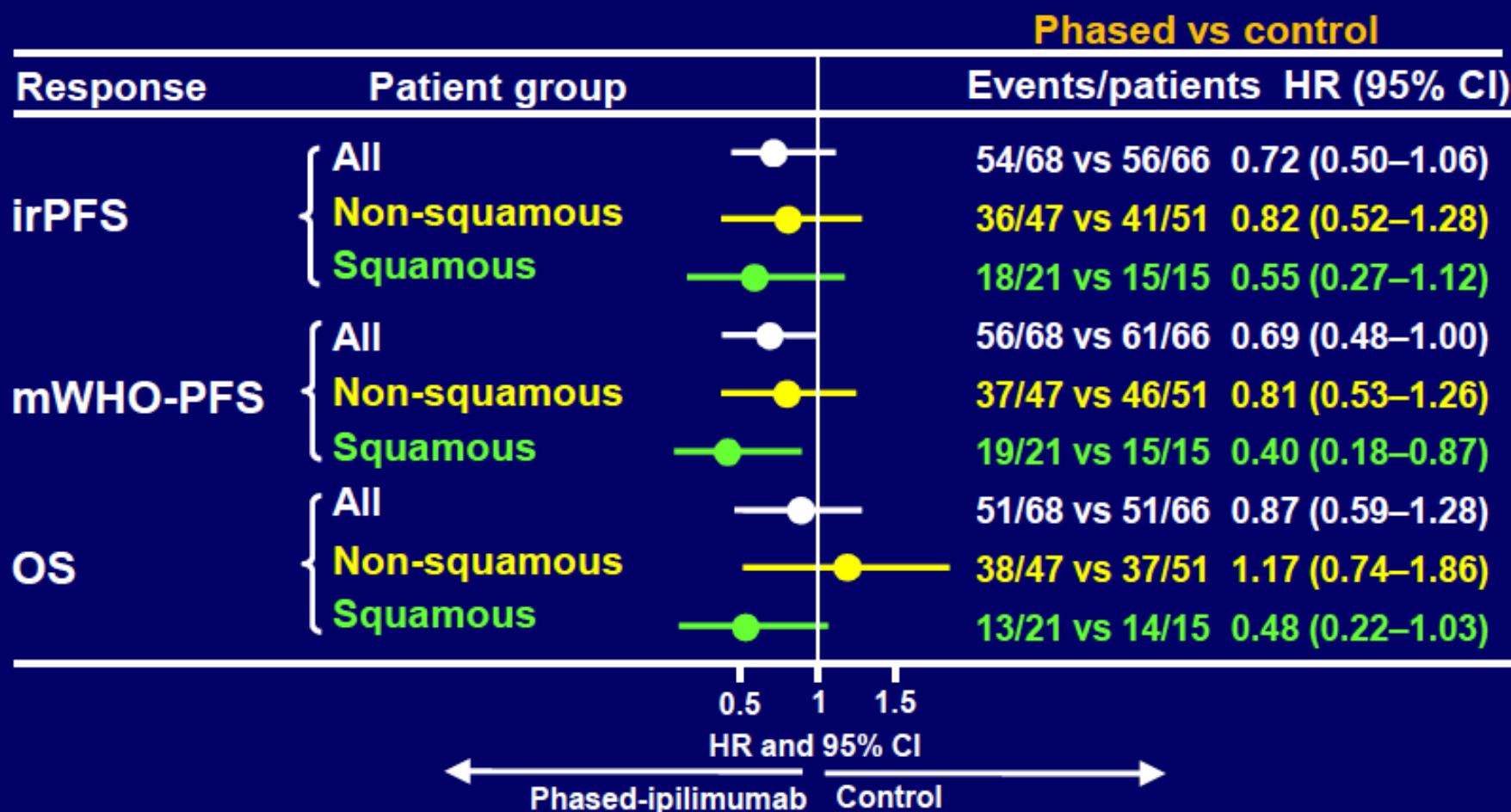
	12	12	11	10	6	4	4	4	1	0
Nivolumab 10 mg/kg + Gem/Cis	12	12	11	10	6	4	4	4	1	0
Nivolumab 10 mg/kg + Pem/Cis	15	15	15	14	13	11	9	7	6	0
Nivolumab 10 mg/kg + Pac/Carb	15	15	14	11	9	9	7	6	3	0
Nivolumab 5 mg/kg + Pac/Carb	14	14	14	13	11	11	9	2	0	0

# Ipilimumab Studies

## Study design: NSCLC and ED-SCLC



# Activity of phased-ipilimumab by baseline histology



Phase III Studies in Squamous and Small Cell Lung Cancer will report this summer

# Caveats

# **Oncology history is paved with failed Phase III trials**

## ● **Negative NSCLC Trials**

- Erlotinib X2
- GefitinibX2
- MMPI x2 AG3340, BMS 275291
- MMPI (Prinomostat AG3340)
- FTI X3 (SCH66336, R115777,BMS)
- PKC Antisense (ISIS 3521) X2
- Bexarotene x2
- Bevacizumab
- Cetuximab
- Sorafanib
- PF Toll9 X2
- Trail agonists
- IGF-1R inhibitors
- ASA404
- Thalidomide
- Multiple vaccines

## **Negative SCLC Trials**

- Pemetrexed
- Picoplatin
- Thalidomide
- GDC-0449
- IMC-A12

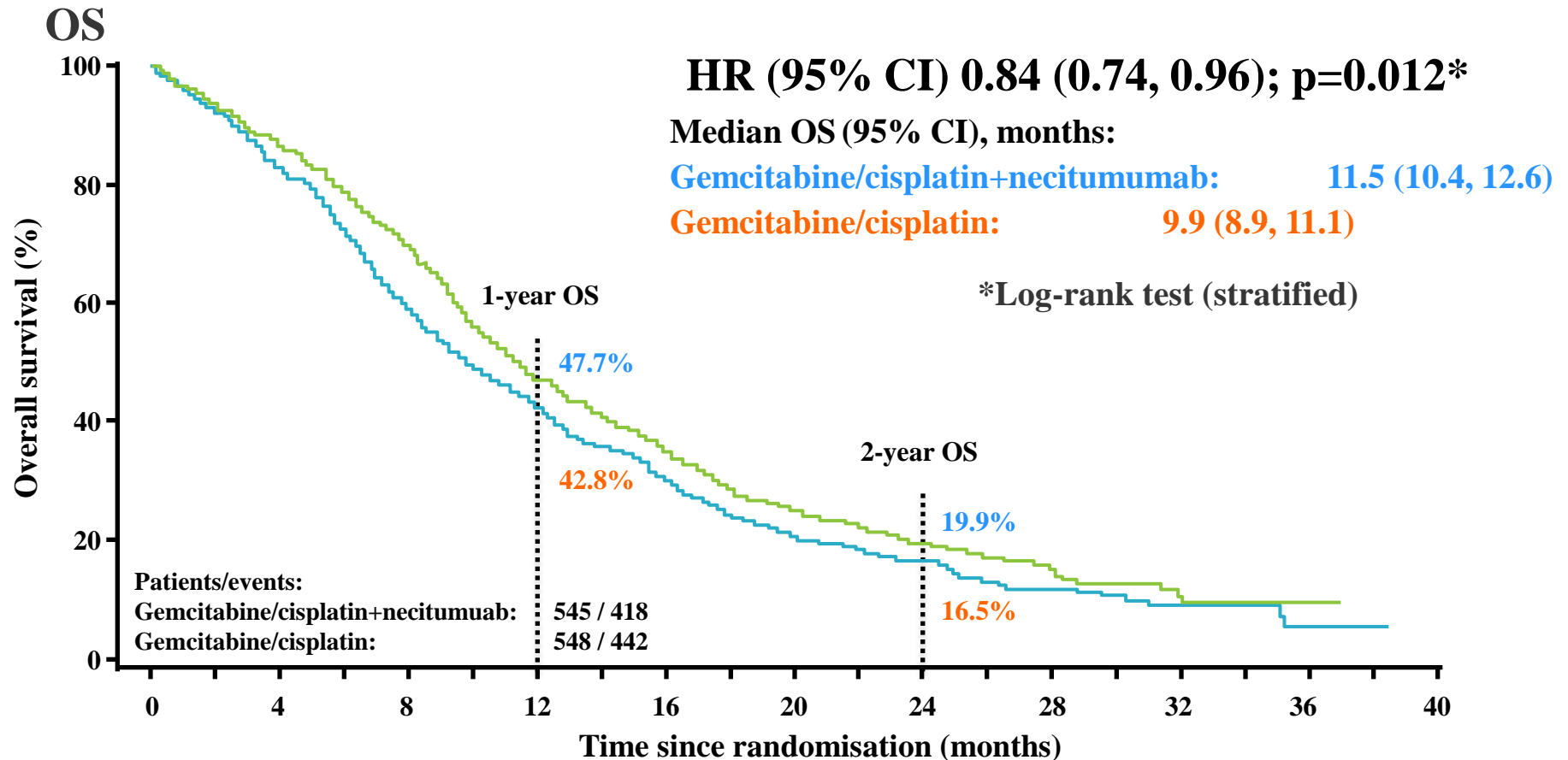


Courtesy David Carbone:  
Modified from Paul Bunn and Solange Peters

**Avg of 1,000 patients each**

# Randomised Phase III trial of Necitumumab in Squamous Cell NSCLC

## ● Key results



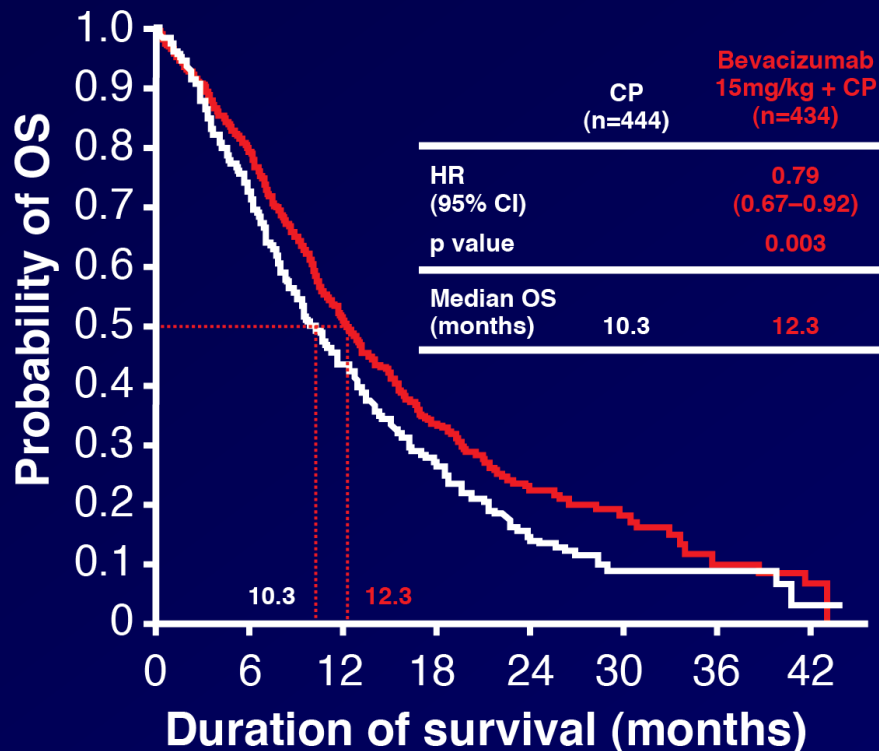
**Follow-up time (median): Gemcitabine/cisplatin+necitumumab: 25.2 months; gemcitabine/cisplatin: 24.8 months**

# Targeting VEGF can improve survival: Phase III trial of Bevacizumab in NSCLC (E4599)

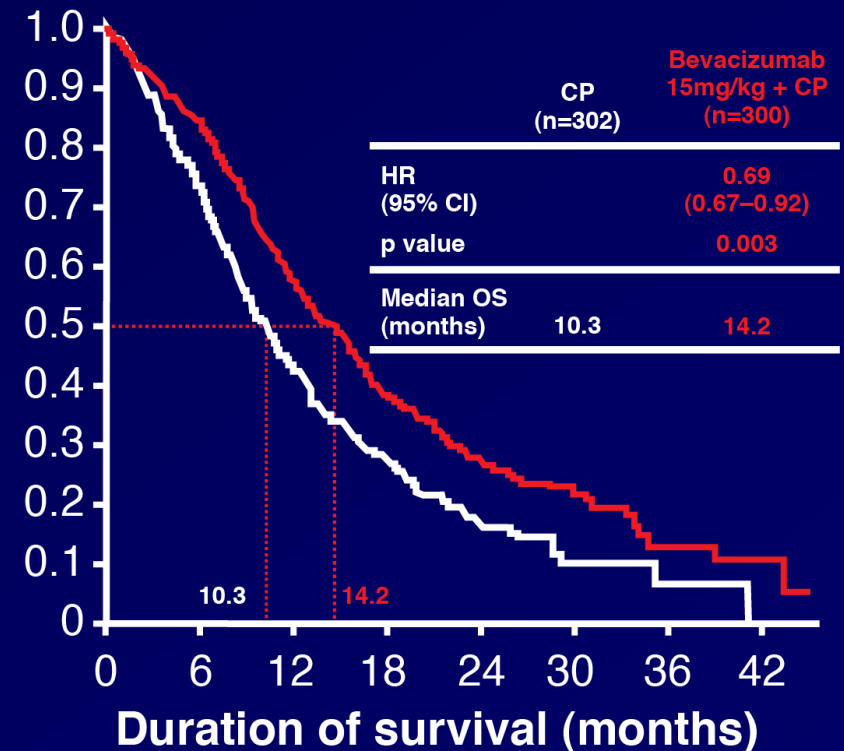
**E4599: 1<sup>st</sup> line paclitaxel/carboplatin  
+/- bevacizumab in nonsquamous**

**E4599: adenocarcinoma subset**

**E4599 overall patient population**



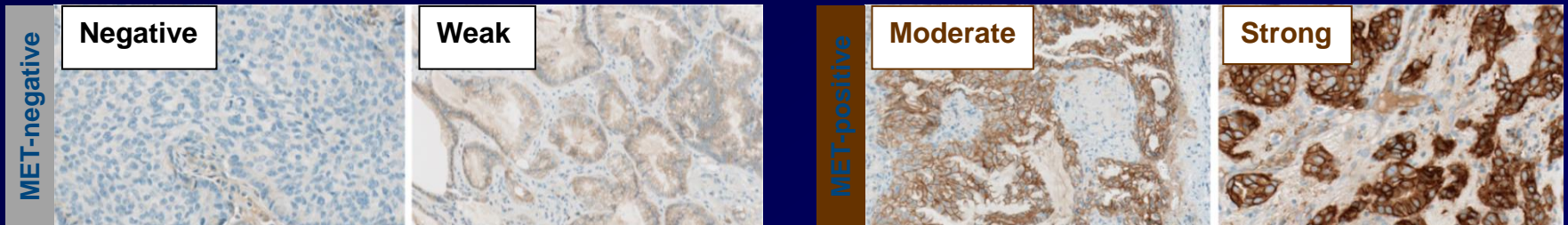
**Adenocarcinoma (n=602)**



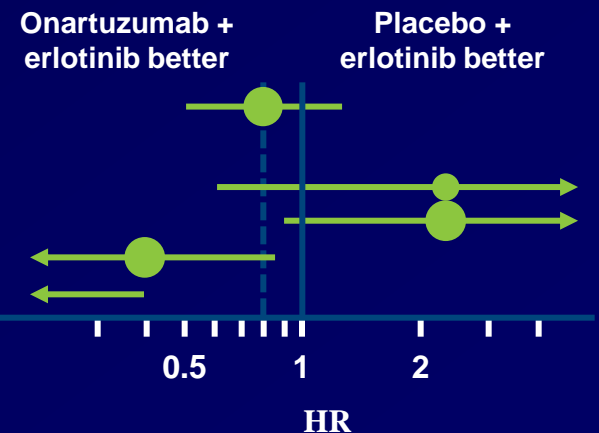


# Phase II trial OAM4558g: OS benefit may be related to MET IHC score

- ‘MET-positive’ was defined as the majority ( $\geq 50\%$ ) of tumour cells with moderate or strong staining intensity

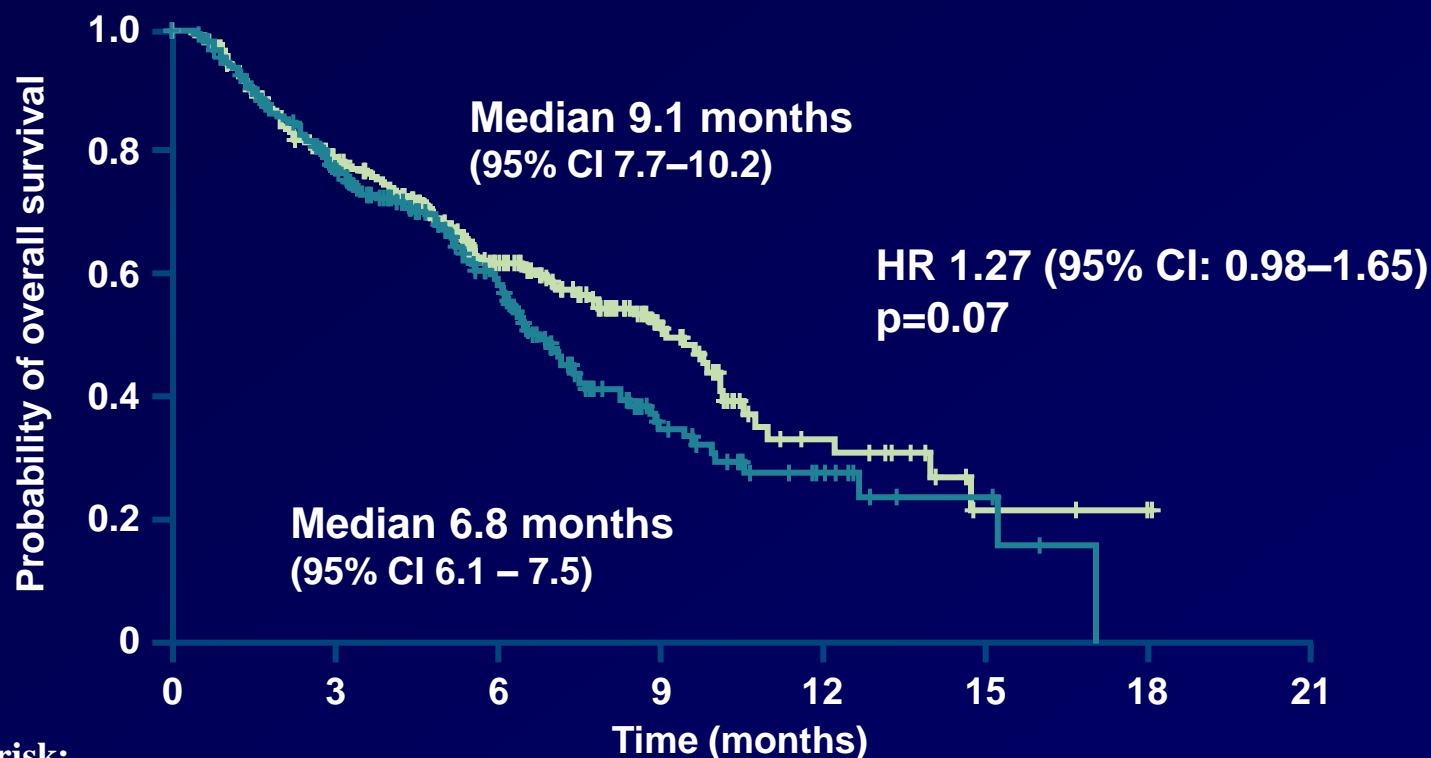


Baseline risk factor	Placebo + erlotinib		Onartuzumab + erlotinib		HR
	n	Median (months)	n	Median (months)	
All patients	68	7.4	69	8.9	0.80
MET IHC status					
0	12		7	5.5	2.31
1+	19	15.3	24	8.6	2.30
2+	25	6.5	26		0.40
3+	6	2.9	9	11.1	0.04





# OAM4971g: Overall Survival Results



Number of patients at risk:

Placebo + erlotinib	249	183	110	43	14	3	1
Onartuzumab + erlotinib	250	177	100	29	12	4	

— Placebo + erlotinib (n=249)  
 — Onartuzumab + erlotinib  
 + (n=250)  
 Censored

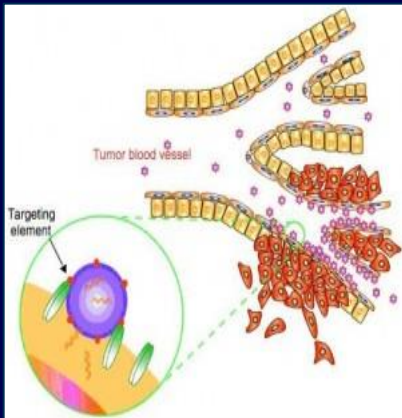
# Summary Treatment Data

- Chemotherapy in unselected NSCLC patients 2 to 3 year survival rates of 10-20%, in adenocarcinomas and squamous cell lung cancer
- Maintenance strategies in non-squamous NSCLC patients have robust median survival rates of 15-17 months
- Immunotherapies, even in highly selected phase I and II studies, have modest response rates of ~20-40%
  - Survival currently based on small datasets!

# **Targeted Therapy**

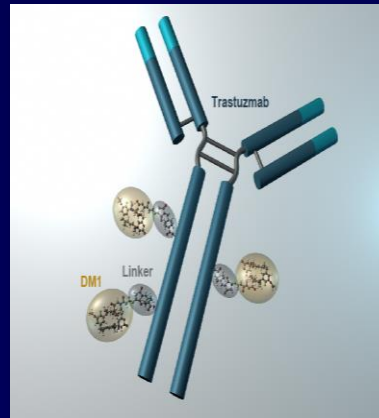
**Real vs Notional**

## ***Right Target***



***Genetic validation;  
Rare phenotypes***

## ***Right Drug (or Combinations)***



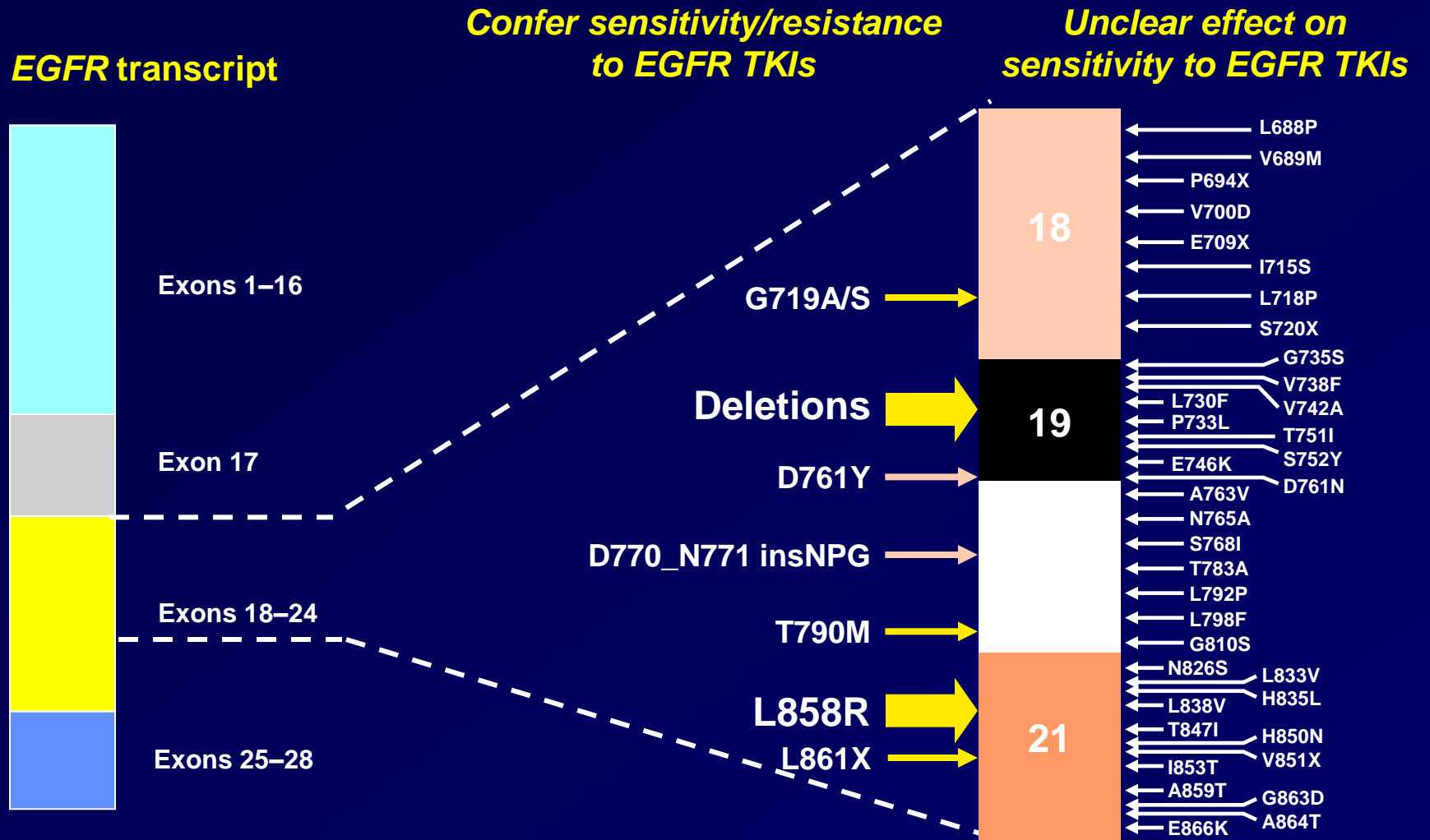
***Selective design and delivery;  
Combinations for complex  
diseases***

## ***Right Patient***



***Phenotyping and  
genotyping***

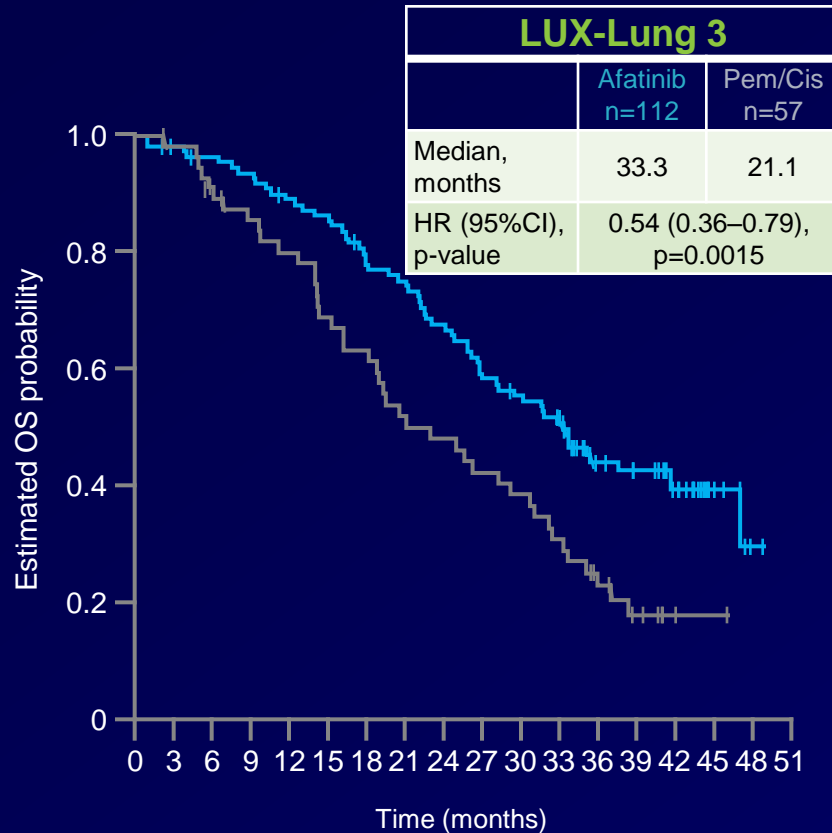
# Mutations identified in *EGFR* gene



Riely, et al. Clin Cancer Res 2006

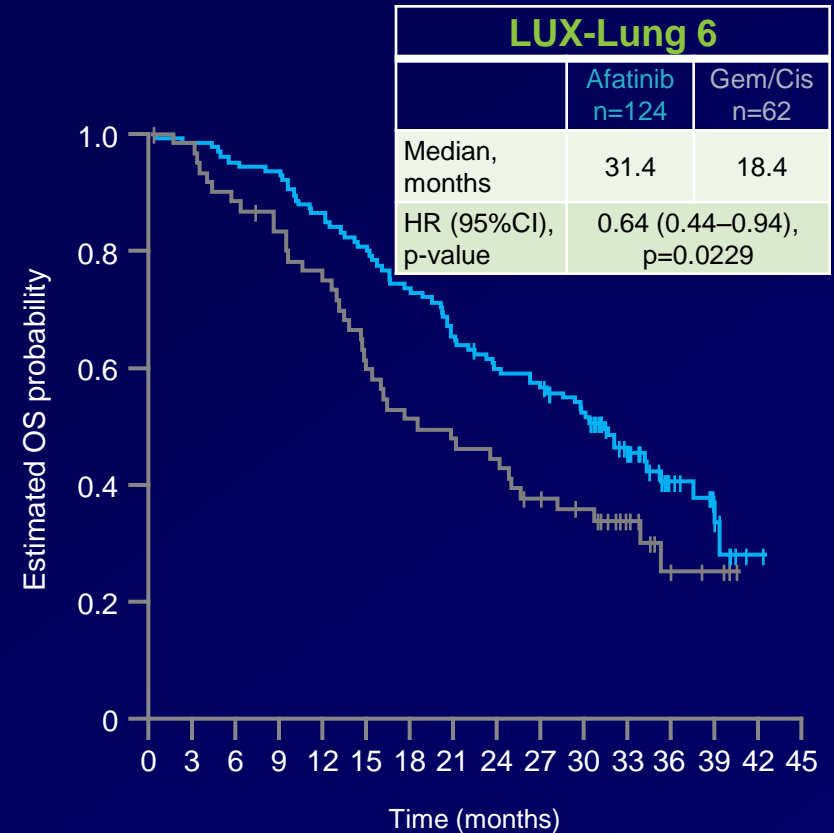
# Afatinib OS in Del19 subgroup

## Mutation categories



No. of patients

Afatinib	112	108	105	102	96	93	83	80	72	62	58	51	34	30	21	6	1	0
Pem/Cis	57	55	50	46	43	37	33	27	25	22	20	16	10	6	1	1	0	0

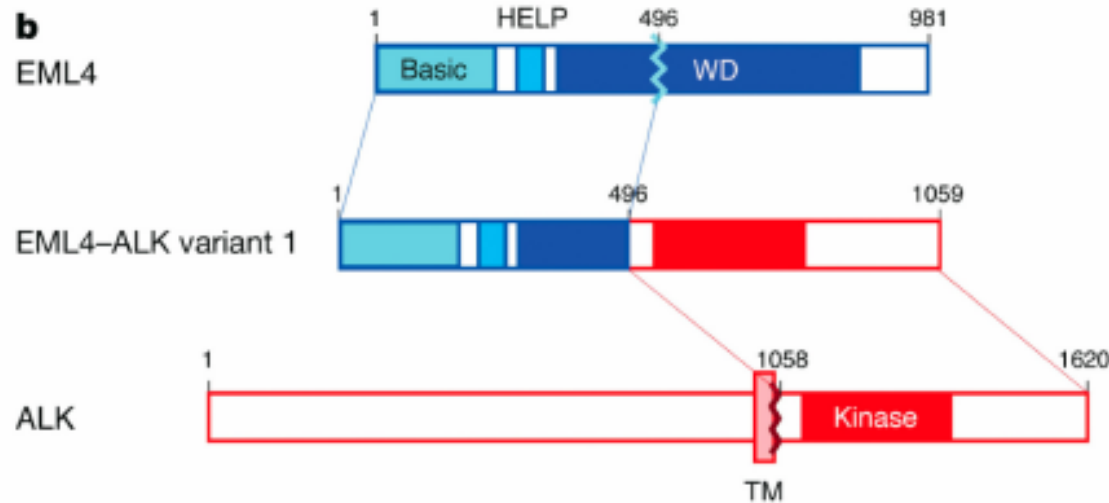


No. of patients

Afatinib	124	122	118	115	106	99	90	80	73	69	59	39	16	8	1	0
Gem/Cis	62	58	53	49	44	35	30	28	26	21	18	11	4	3	0	0

# Identification of the transforming *EML4-ALK* fusion gene in non-small-cell lung cancer

Manabu Soda<sup>1,2</sup>, Young Lim Choi<sup>1</sup>, Munehiro Enomoto<sup>1,2</sup>, Shuji Takada<sup>1</sup>, Yoshihiro Yamashita<sup>1</sup>, Shunpei Ishikawa<sup>5</sup>, Shin-ichiro Fujiwara<sup>1</sup>, Hideki Watanabe<sup>1</sup>, Kentaro Kurashina<sup>1</sup>, Hisashi Hatanaka<sup>1</sup>, Masashi Bando<sup>2</sup>, Shoji Ohno<sup>2</sup>, Yuichi Ishikawa<sup>6</sup>, Hiroyuki Aburatani<sup>5,7</sup>, Toshiro Niki<sup>3</sup>, Yasunori Sohara<sup>4</sup>, Yukihiro Sugiyama<sup>2</sup> & Hiroyuki Mano<sup>1,7</sup>



***EML4-ALK*  
frequency:**

~4% (64/1709)

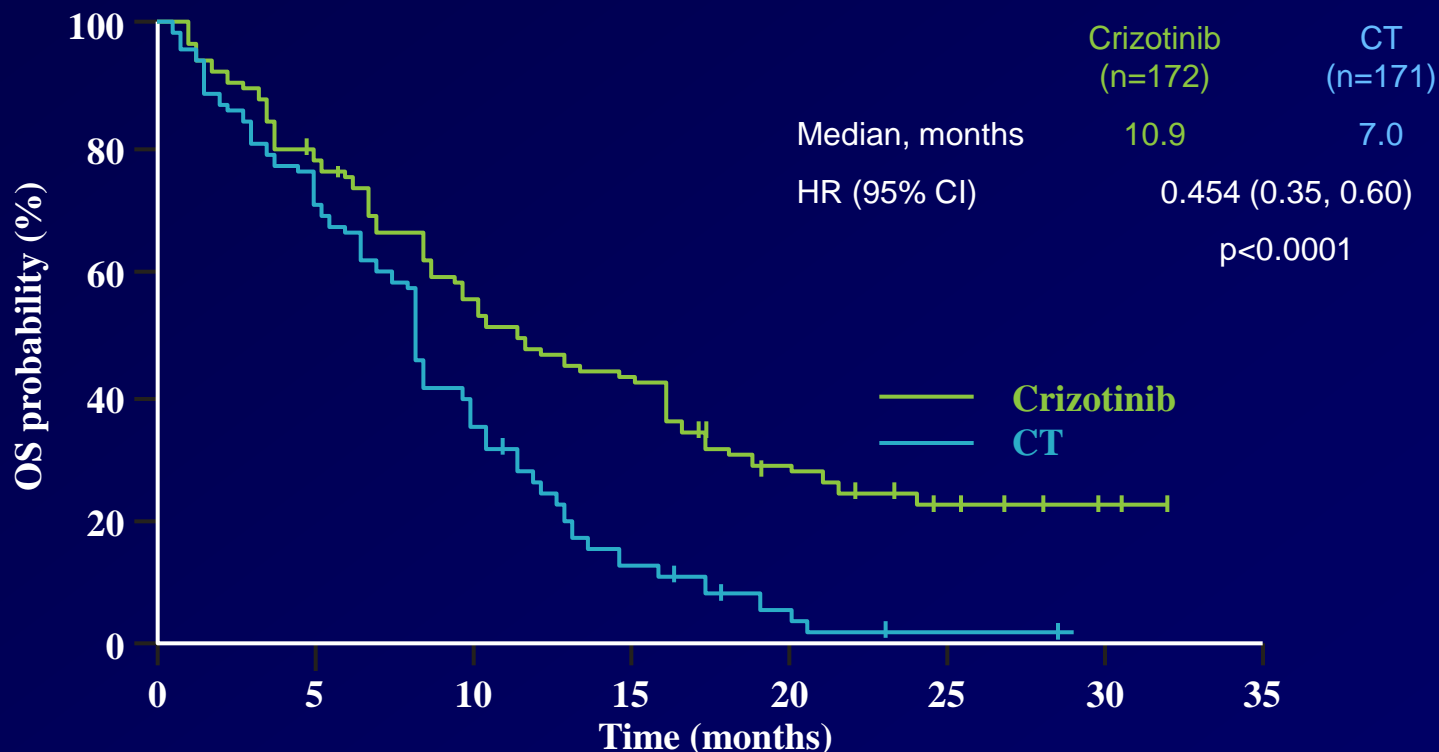
Primarily lung  
adenocarcinoma

# Crizotinib versus pemetrexed-platinum in advanced *ALK*-positive non-squamous NSCLC: results of a phase III study (PROFILE 1014)

- Key results

- Addition of crizotinib significantly improved PFS but not OS compared with CT alone

## PFS



No. at risk

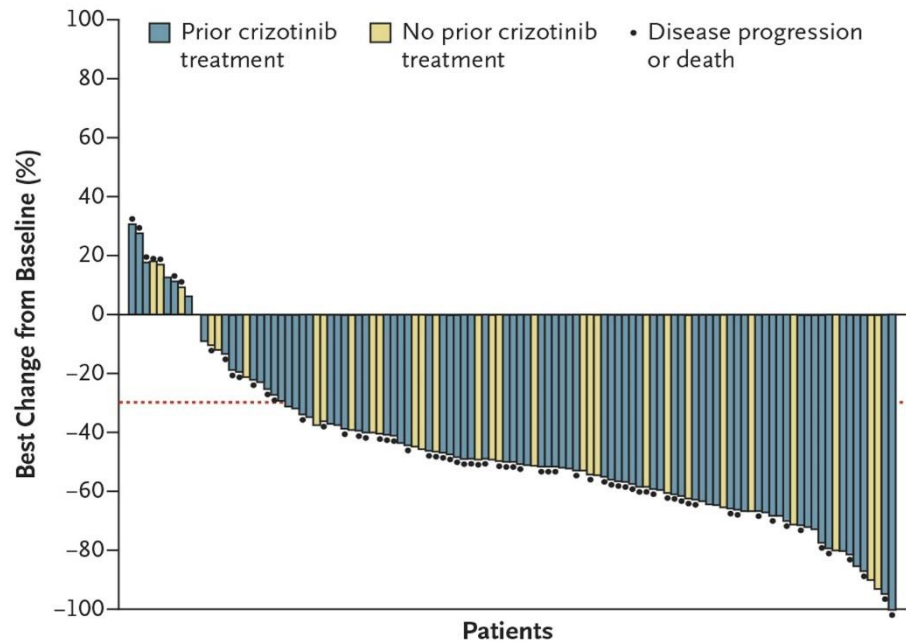
Crizotinib

CT

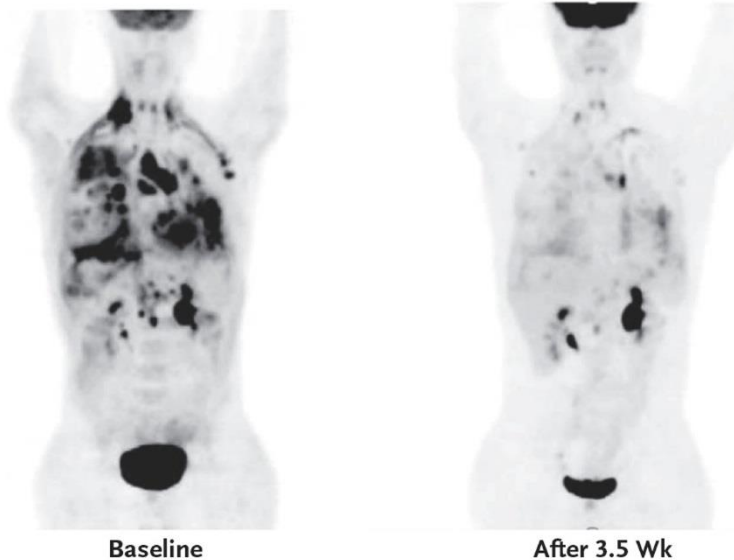
172	120	65	38	19	7	1	0
171	105	36	12	2	1	0	0



### A Tumor Change

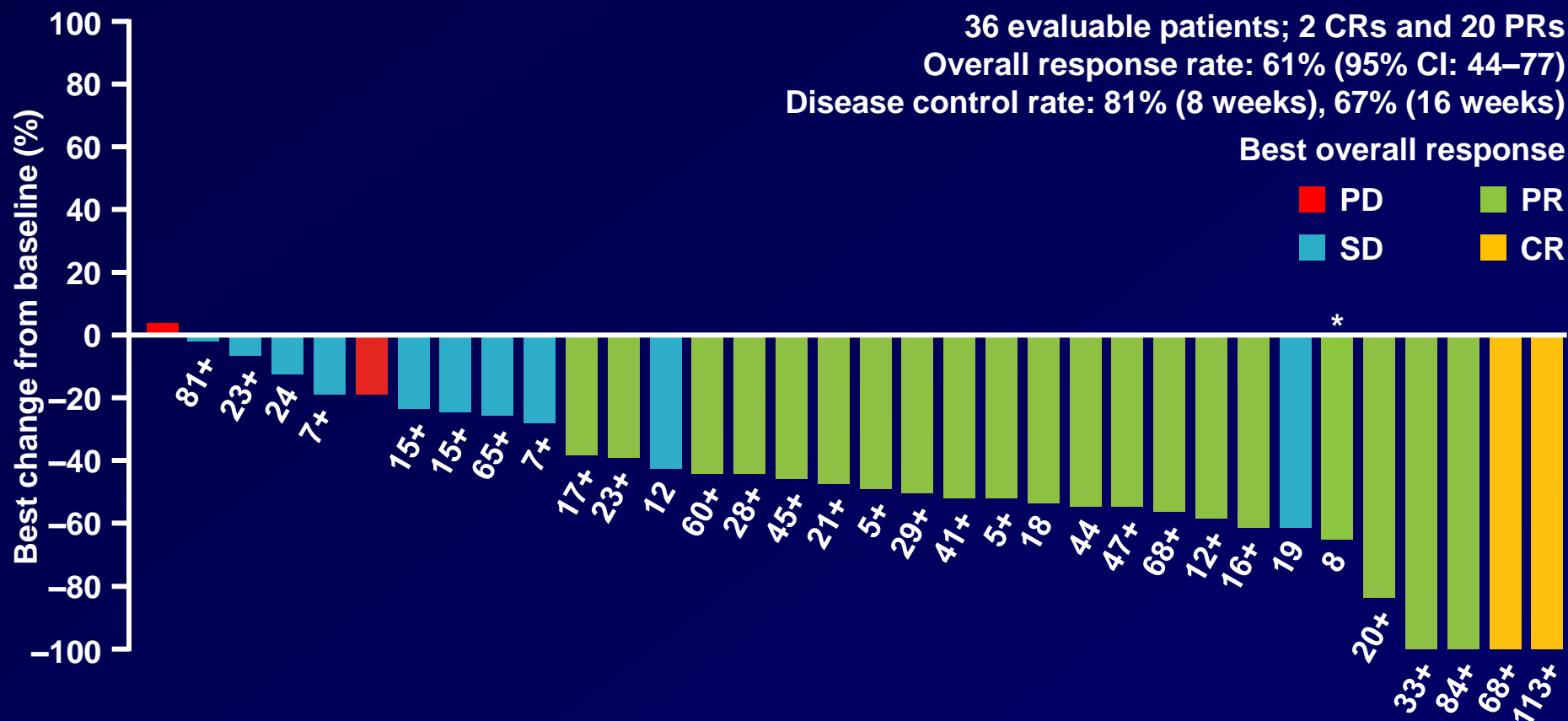


### B Positron-Emission Tomographic Scans



# Response to Ceritinib in *ALK*- Rearranged Non-Small- Cell Lung Cancer (NSCLC)

# Advanced *ROS1*-positive NSCLC: Best Tumor Responses in Evaluable Patients to Crizotinib



+Treatment ongoing; duration of response/SD is from first documentation of tumor response/first dose to the time of PD or death. For ongoing patients, duration of response/SD is from first documentation of tumor response/first dose to last available on-treatment scan. Duration is in weeks.

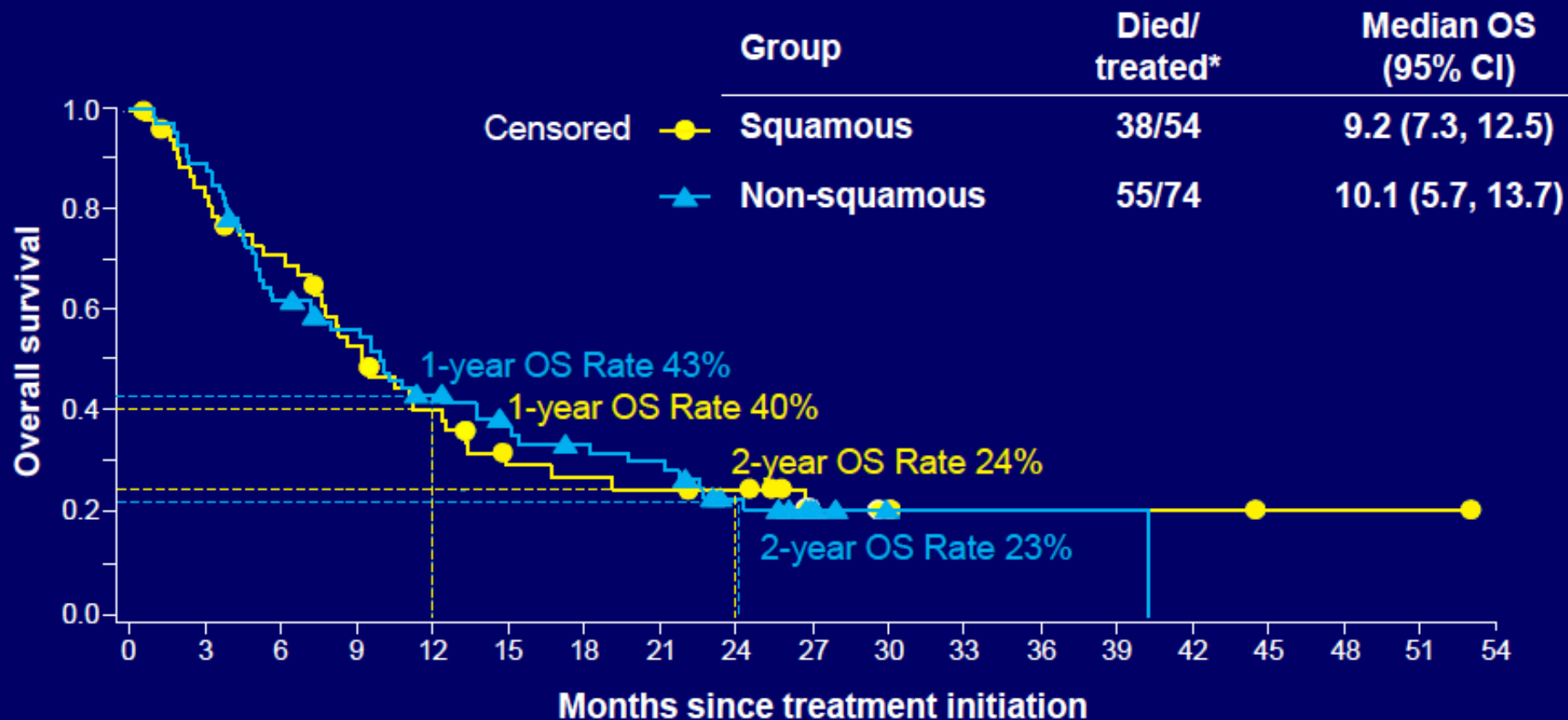
<sup>a</sup>Excludes patients with early death (n=2)

\*This patient *ALK*<sup>+</sup>

Data as of April 24, 2013.

**What Predicts Benefit for  
PDL1 derived therapies?**

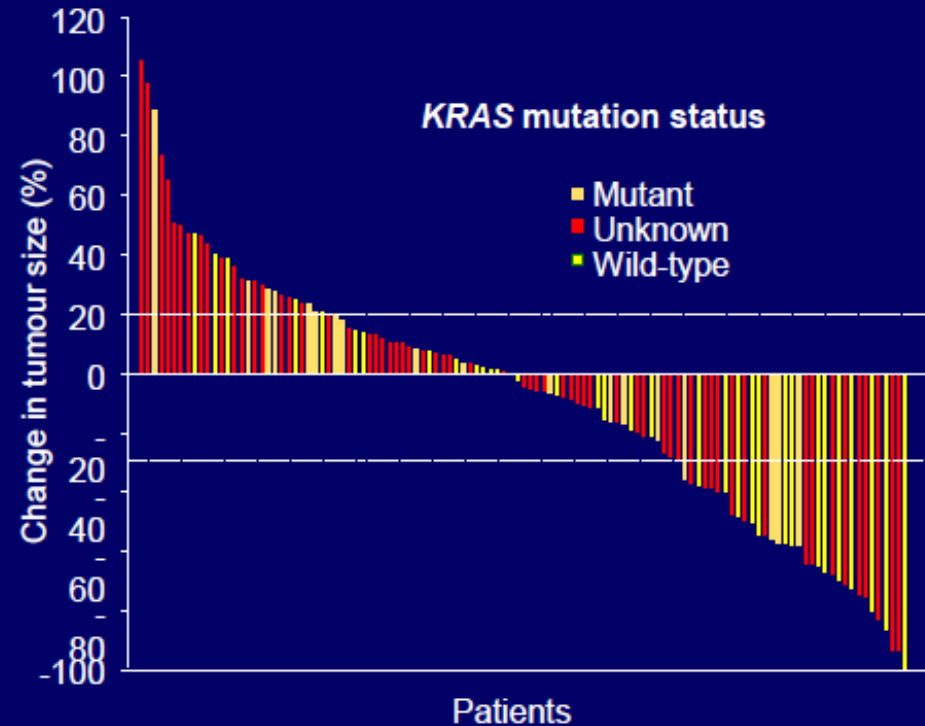
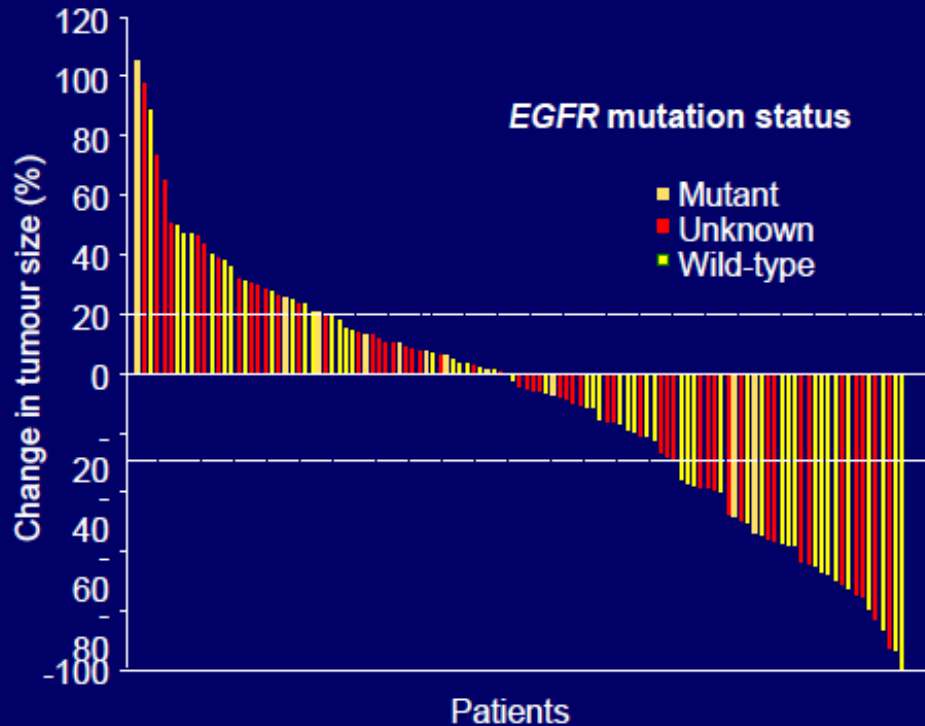
# OS by Histology



- 1- and 2-year OS rates were similar between histologies

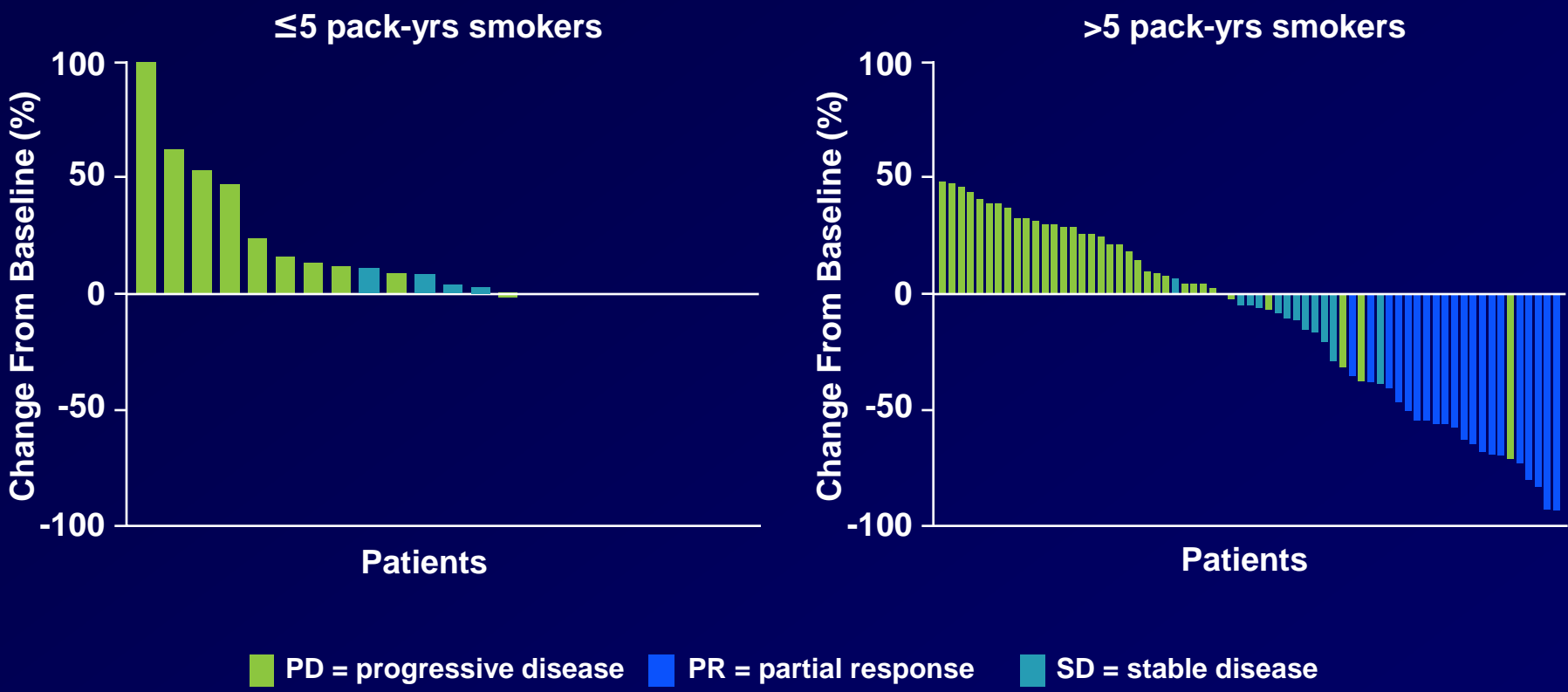
**Histology not  
Predictive!**

# No association between best change in target lesion tumour burden and *EGFR* or *KRAS* mutation status



**Mutation Status  
Not Predictive!**

# Response by smoking exposure and according to RECIST in NSCLC

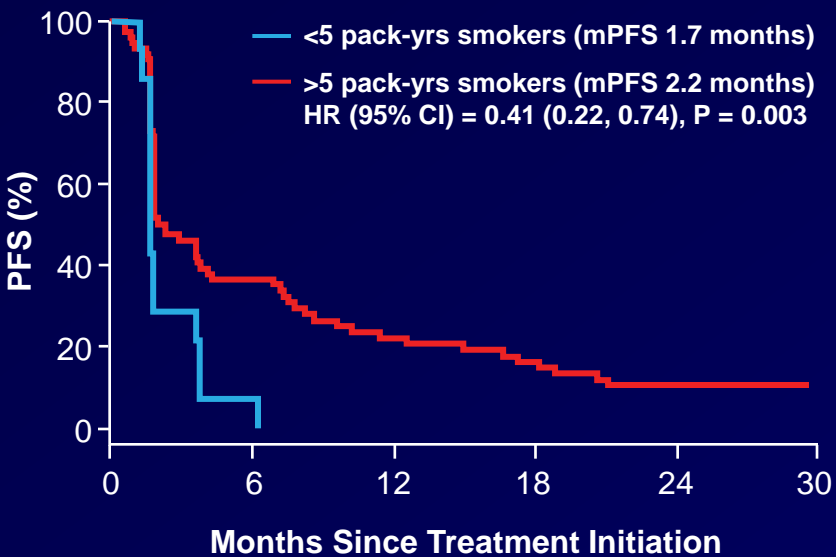


- Response rates were higher in patients with a longer history of smoking exposure

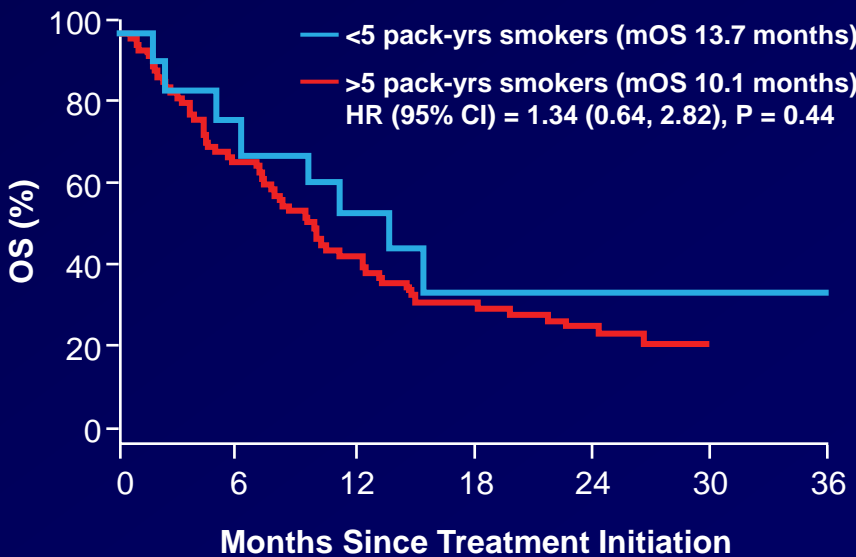
PD = progressive disease; PR = partial response; RECIST = response evaluation criteria in solid tumours; SD = stable disease.  
Hellmann MD, et al. Poster 1229PD presented at ESMO 2014 (Abstract 6111).

# PFS and OS by smoking exposure

PFS by smoking exposure



OS by smoking exposure



- In >5 than <5 pack-yr smokers
  - PFS was significantly longer (2.2 vs 1.7 months, respectively)
  - OS was similar (10.1 vs 13.7 months, respectively)

**Smoking status  
predictive for  
response, not  
survival**

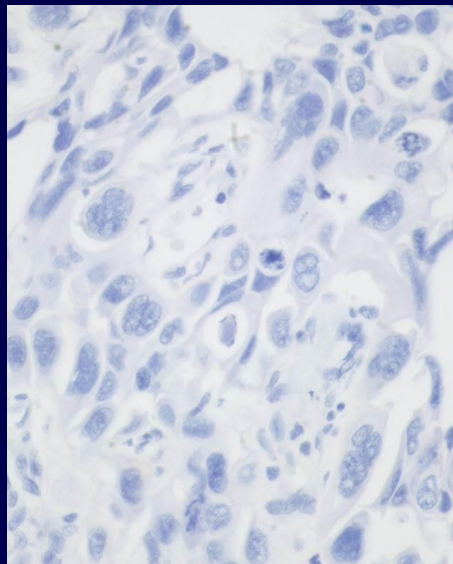
CI = confidence interval; HR = hazard ratio; mOS = median OS; mPFS = median PFS; OS = overall survival;  
PFS = progression-free survival.  
Hellmann MD, et al. Poster 1229PD presented at ESMO 2014 (Abstract 6111).

# **PDL1 Expression**

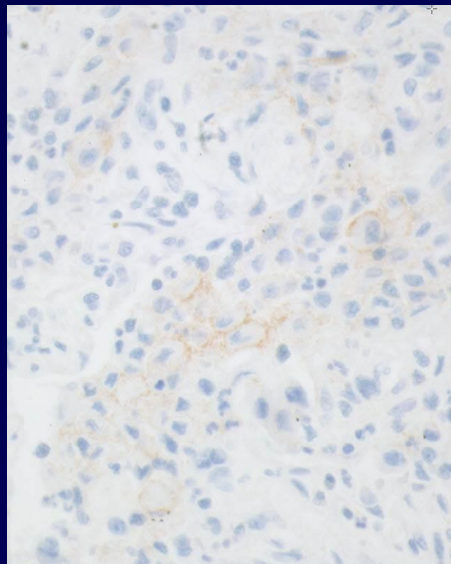


# **Pembrolizumab in NSCLC:**

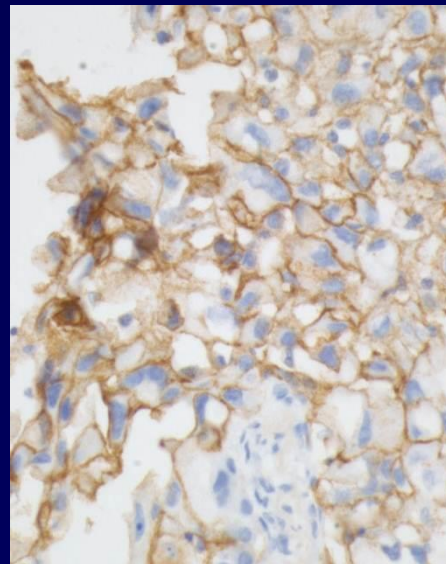
## **PD-L1 NSCLC Sample Immunohistochemical Staining using the 22C3 antibody**



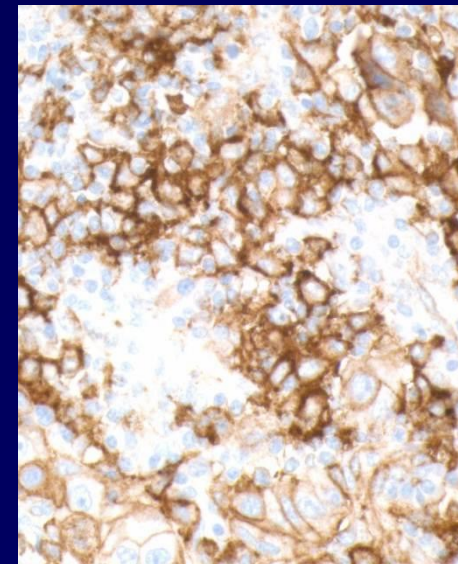
**Staining intensity: 0+**  
**PD-L1 = 0% positive**



**Staining intensity: 1+**  
**PD-L1 = 2% positive**



**Staining intensity: 2+**  
**PD-L1 = 100% positive**

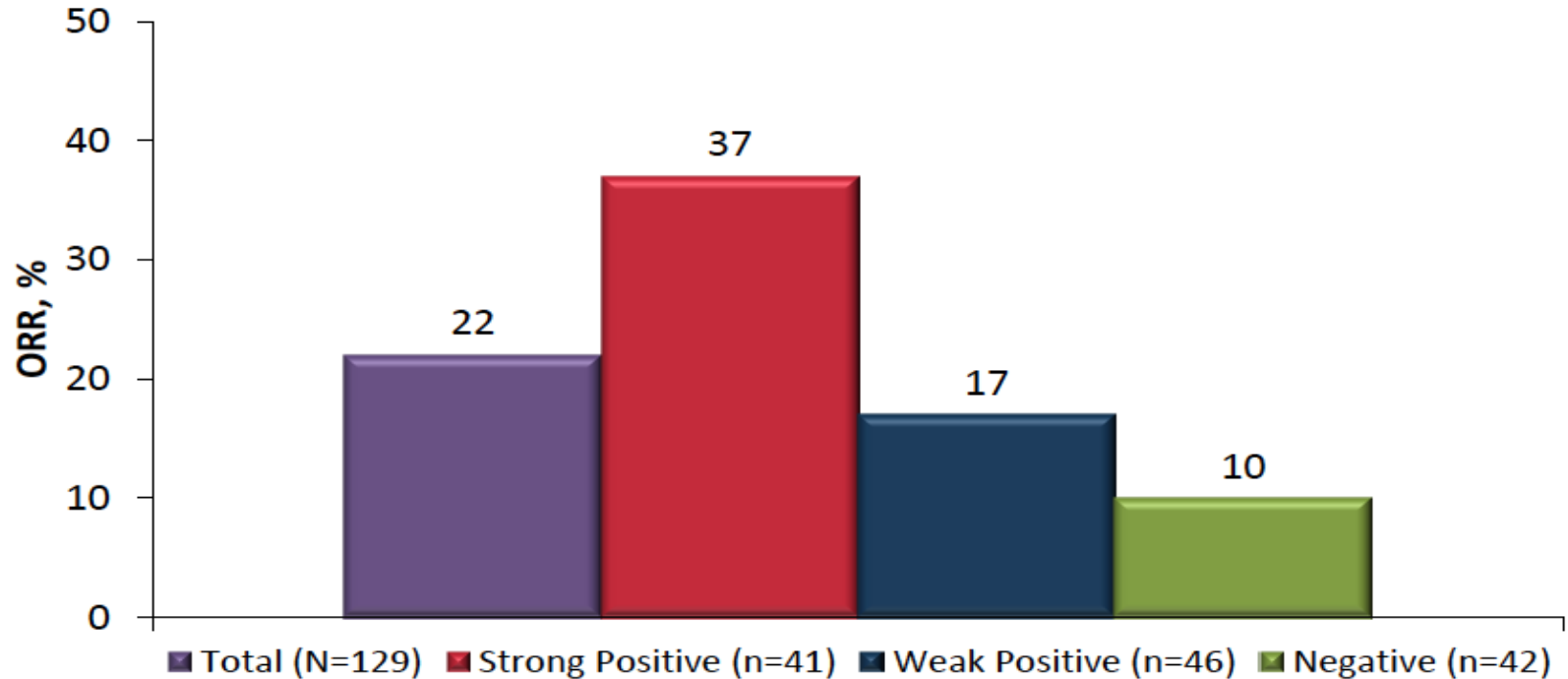


**Staining intensity: 3+**  
**PD-L1 = 100% positive**

**PD-L1-Negative**

**PD-L1-Positive**

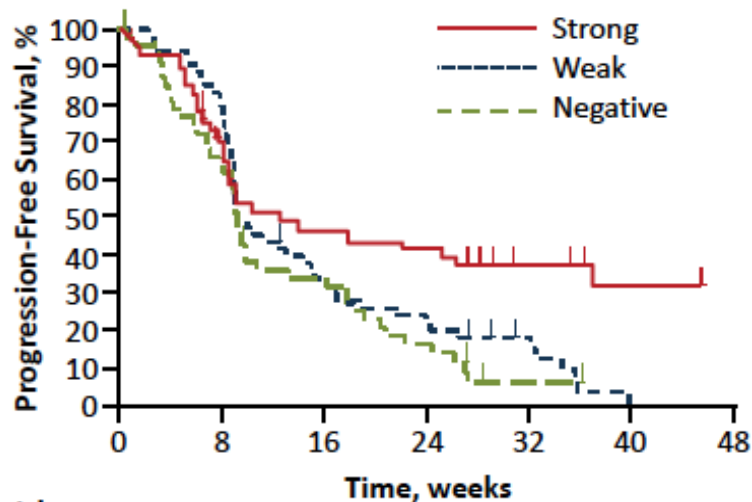
## Response Rate by Level of PD-L1 Expression (RECIST 1.1, Central Review)



RR = Response rate (confirmed and unconfirmed complete and partial response)  
PS=Proportion score. Strong PD-L1 positive staining was considered  $\geq 50\%$  of tumor cells, and weak was defined as staining between 1-49% of positively staining tumor cells. Negative had no tumor staining for PD-L1.

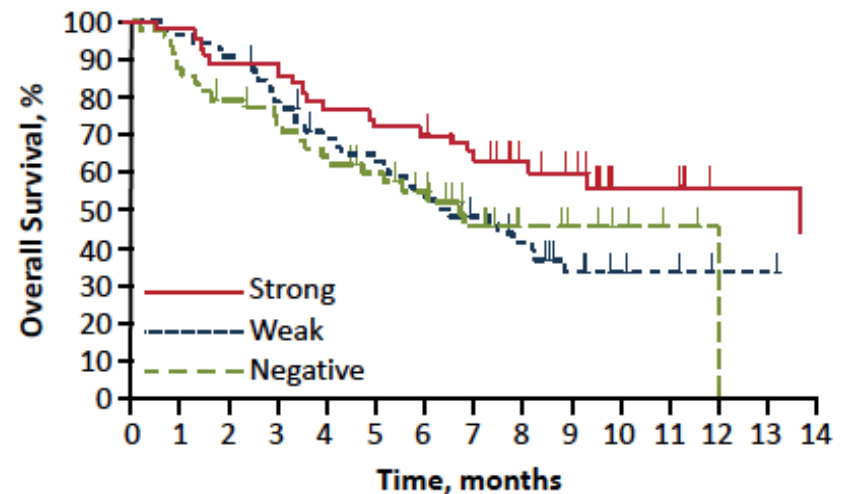
# Kaplan-Meier Estimates of Survival

PFS (RECIST v1.1, Central Review)



n at risk	0	8	16	24	32	40	48
Strong	44	28	18	17	9	6	3
Weak	53	43	17	12	6	0	0
Negative	49	30	15	7	1	0	0

OS



n at risk	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14
Strong	44	43	38	38	34	32	30	27	21	18	9	8	5	5	4
Weak	53	51	48	40	34	31	26	22	18	11	8	7	5	5	4
Negative	49	42	38	34	29	26	21	14	8	6	4	2	0	0	0

- PFS was longer in patients with PD-L1 strong-positive versus PD-L1 weak-positive/negative tumors (HR, 0.52; 95% CI, 0.33-0.80)
- OS was longer in patients with PD-L1 strong-positive versus PD-L1 weak-positive/negative tumors (HR, 0.59; 95% CI, 0.35-0.99)

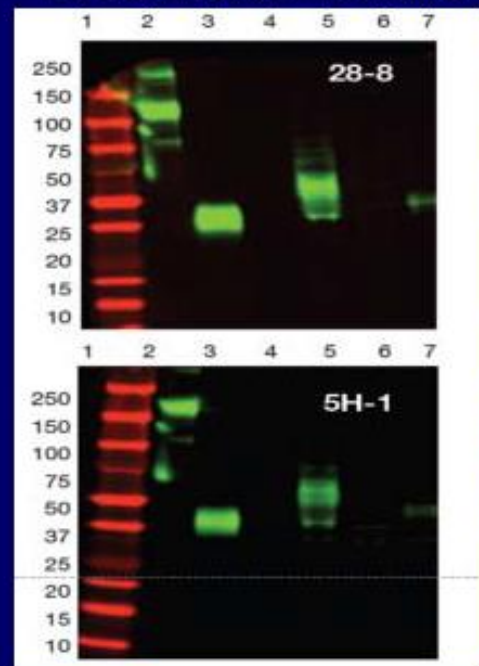


# Characterisation of 28-8 anti-PD-L1 antibody

## Affinity of 28-8 for PD-L1 protein by surface plasmon resonance analysis

	$K_a$ (1/Ms)	$K_d$ (1/s)	$K_D$ (pM)
5H-1	$1.54 \times 10^5$	$3.77 \times 10^{-5}$	294
28-8	$3.6 \times 10^5$	$4.2 \times 10^{-5}$	100

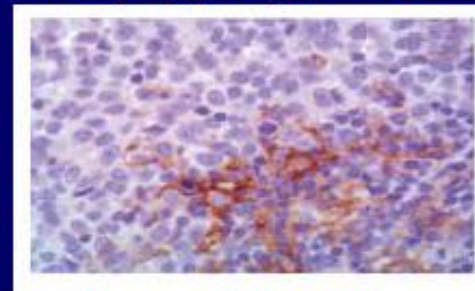
## Western blot analysis of 28-8 for PD-L1 protein binding



### Lanes

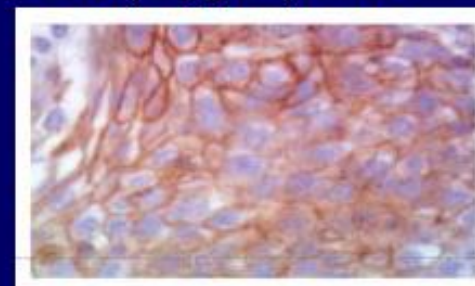
1. Molecular weight standard
2. 0.1 µg rHuB7-H1 #156-B7 (PD-L1-fc fusion)
3. 0.1 µg rHuPD-L1-biotin (extracellular domain)
4. Blank
5. CHO-PD-L1
6. CHO control
7. ES2

## 28-1, 2 µg/mL, melanoma



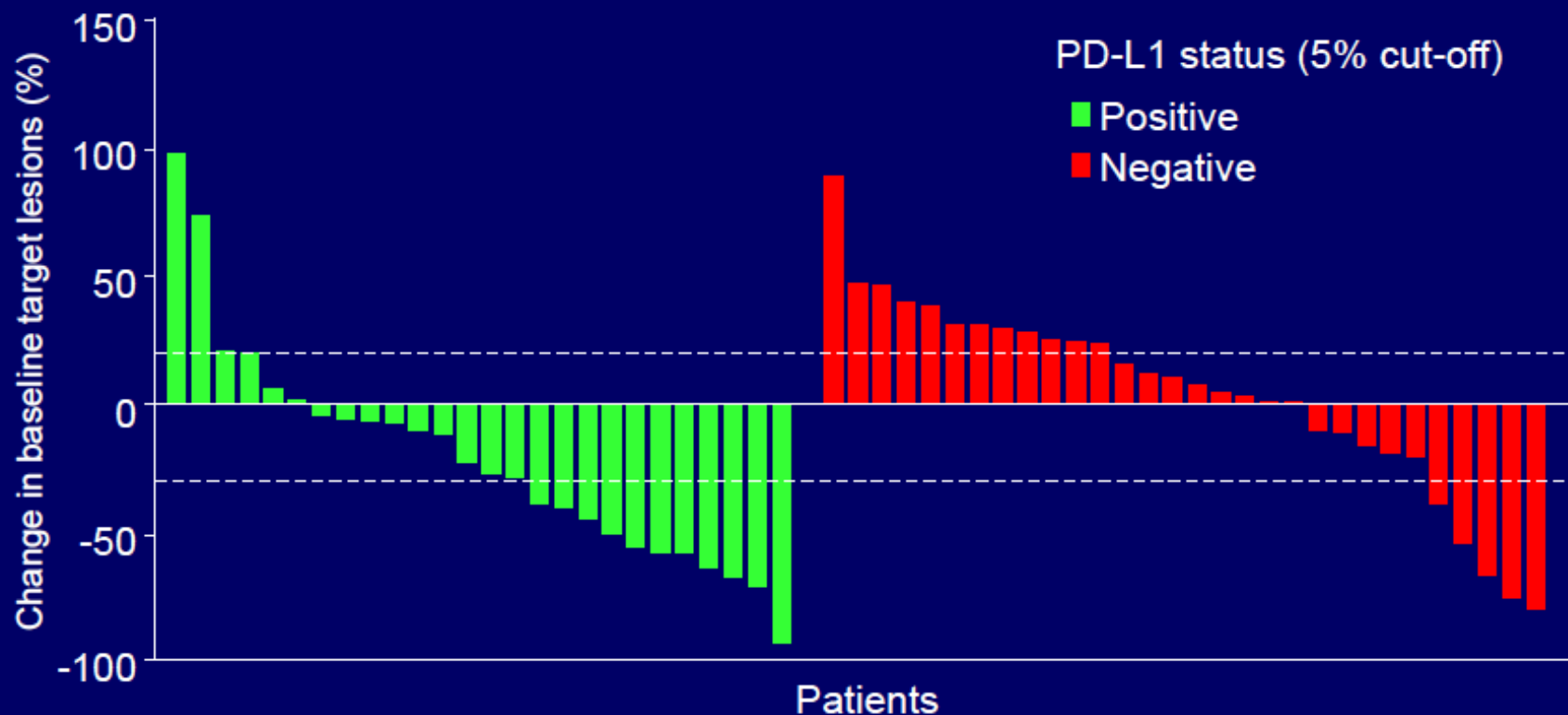
Positive staining of macrophages and scant mononuclear cells (60x)

## 28-1, 2 µg/mL, NSCLC



Moderate and weak plasma membrane staining of frequent tumour cells (60x)

# Best change in target lesion tumour burden by PD-L1 expression



- Nivolumab activity was observed in patients with PD-L1+ tumours as well as in some patients with PD-L1- tumours
- More patients with PD-L1+ than PD-L1- tumours had a decrease in tumour burden

# OS and PFS by PD-L1 expression

PD-L1 tumour status	mOS months (95% CI)	mPFS months (95% CI)
Positive	7.8 (5.6, 21.7)	3.6 (1.8, 7.5)
Negative	10.5 (5.2, 21.2)	1.8 (1.7, 2.3)

- PD-L1 expression appeared to have no clear association with PFS or OS

**PDL1 expression  
Predictive?**

**No obvious logic in pre-selecting  
patients based on current data**

**Current biomarker selection is,  
at best, an enrichment strategy**

# Protein Based Biomarkers in NSCLC

- Always difficult
- EGFR IHC remains of limited value with EGFR TKIs or monoclonal antibodies
- VEGF, VEGF receptor expression and other markers of angiogenesis not of value in selecting patients for anti-angiogenic therapy
  - Much work to be done!



# Personal Experience

- Seven patients with PD1/PDL1 targeted agents  
1<sup>st</sup> line setting
- All pre-selected based on IHC scores
  - 1 PR
  - 1 SD
  - 5 PDs – progress quickly
- Agents well tolerated but results appear modest

# THE



# TIMES

No. 67524

THURSDAY AUGUST 8 2002

2W

WV



# Summary

- Today chemotherapy and mutation defined targeted therapies remain the 1<sup>st</sup> line treatments of choice in NSCLC
- Immune therapy holds promise with proven efficacy in second line treatment of squamous cell NSCLC vs docetaxel chemotherapy
  - Data for 1<sup>st</sup> line therapy immature
  - The good news is we don't have too long to wait to find out the answer
- Biomarker of questionable value
  - Activity seen in positive and negative cases with all assays in development



# Prof Soria's and Our Dilemma.....

*so much to choose from but which one  
and for which patient?!*



