

#### Significance

Immune infiltration score provides prognostic sights in early breast cancer patients receiving dual HER2 blockade with trastuzumab and pertuzumab.

# CONTACT

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# Prognostic value of the immune infiltration score in early breast cancer patients receiving dual HER2 blockade with trastuzumab and pertuzumab: an exploratory analysis of a randomized clinical trial

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#### BACKGROUND

Although the survival benefit of dual epidermal growth factor receptor 2 (HER2) blockade with trastuzumab and pertuzumab was definitely demonstrated in HER2-amplified early breast cancer, sufficient biomarkers are urgently required to explain the heterogeneous response to dual HER-2 blockade therapy. The prognostic significance of immune infiltration in TRYPHAENA trial was investigated to tailor treatment in current analysis.

# **METHODS**

Among the 225 HER2-amplified early breast cancer patients randomly assigned to trastuzumab/pertuzumab concurrently or sequentially with standard chemotherapy as neoadjuvant therapy in TRYPHAENA trial, 162 patients with available gene expression profile and complete follow-up data were enrolled. The normalized gene expression matrix (GSE109710) based on the NanoString nCounter array was downloaded from Gene Expression Omnibus database and further used to estimate the immune infiltration score (IIS) for each patient by the Immune Cell Abundance Identifier tool. A cut-off of IIS to stratify patients was determined by the R-based survminer package. Multivariable Cox proportional event-free survival (EFS) hazard ratios were preformed.

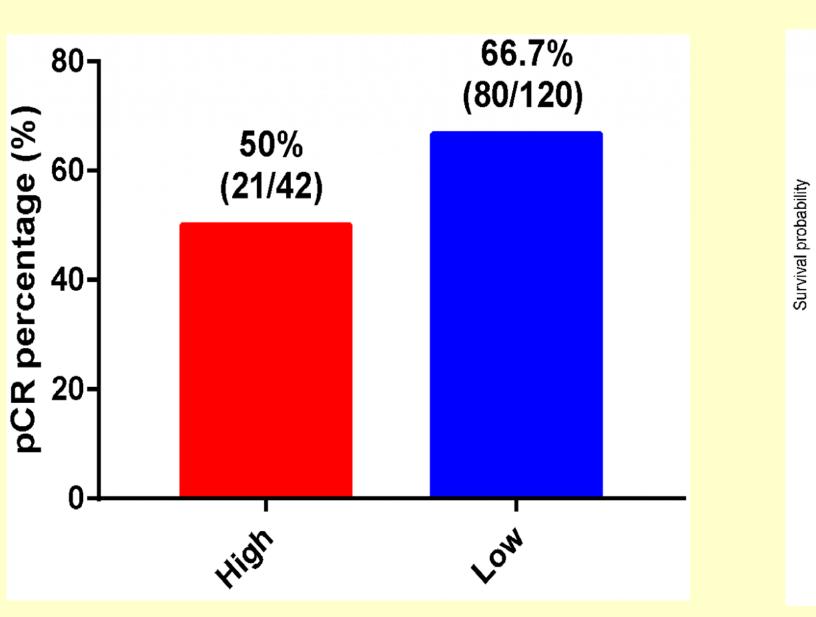
Among the 162 women included in the analysis (median [range] age, 49.0 [27-81] years), the pathologic complete response (pCR) rate was 50.0% (21/42) in patients with a high IIS (>0.628) and 66.7% (80/120) in patients with a low SII (≤0.628).

At a median follow-up of 4.7 years, the multivariable-adjusted hazard ratio for EFS was 2.933 (95%CI, 1.223-7.033) for the high IIS and 0.356 (95%CI, 0.127-0.999) in patients who achieved pCR, respectively.

Table 1. Comparison of patient characteristics.

Characteristics	High (n=42)	Low (n=120)	p-value		
Age,y					
≥50	18(42.9%)	57(47.5%)	0.604		
<50	24(57.1%)	63(52.5%)	0.004		
Histology grade					
G1	3(7.1%)	3(2.5%)	0.584		
G2	16(38.1%)	48(40.0%)			
G3	16(38.1%)	46(38.3%)			
Unknown	7(16.7%)	23(19.2%)			
Hormone receptor sta					
positive	17(40.5%)	65(54.2%)	0.127		
negative	25(59.5%)	55(45.8%)	0.127		
Clinical stage					
I	21(50.0%)	65(54.2%)	0.606		
III	21(50.0%)	54(45.0%)	0.000		
pCR					
yes	21(50.0%)	80(66.7%)	0.055		
no	21(50.0%)	40(33.3%)	0.000		

Variable Age (≥50 vs < Histology grad HR (positive v **Clinical stage** pCR (yes vs IIS (high vs lo



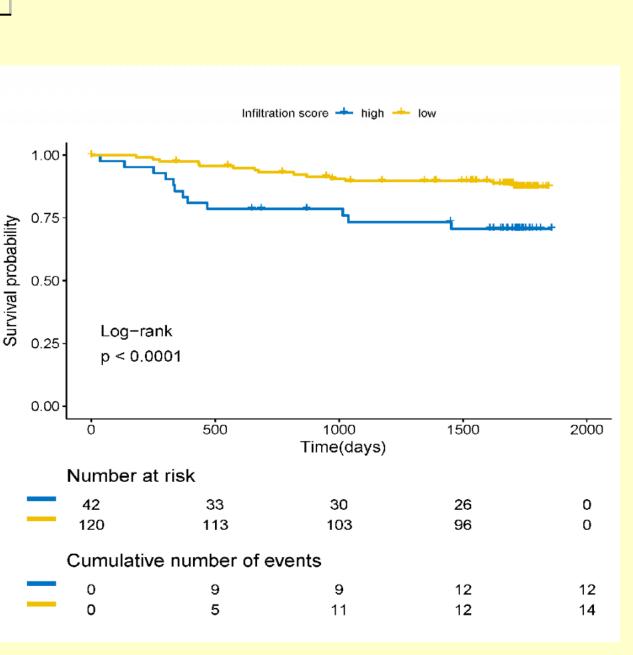


Figure 1. The pCR rate in high and low IIS group

### RESULTS

Table 2. Cox regression for EFS.

	Univariate analysis		Multivariable analysis	
	hazard ratio (95%CI)	Р	hazard ratio (95%CI)	Р
<50 y)	1.628(0.747-3.545)	0.220	1.779(0.760-4.165)	0.184
ade (G3 vs G1/G2)	0.855(0.563-1.300)	0.464	1.019(0.633-1.641)	0.938
vs negative)	0.918(0.426-1.982)	0.828	0.920(0.369-2.296)	0.859
e (III vs II)	2.207(0.975-4.995)	0.058	1.278(0.820-1.991)	0.279
no)	0.408(0.187-0.889)	0.024	0.356(0.127-0.999)	0.050
ow)	2.812(1.300-6.084)	0.009	2.933(1.223-7.033)	0.016

#### CONCLUSIONS

Our analysis demonstrates an independent prognostic value of IIS in patients receiving trastuzumab/ pertuzumab-based neoadjuvant chemotherapy.

## REFERENCES

1. Ignatiadis M, Van den Eynden G, Roberto S, et al. Tumor-Infiltrating Lymphocytes in Patients Receiving Trastuzumab/ Pertuzumab-Based Chemotherapy: A TRYPHAENA Substudy. J Natl Cancer Inst. 2019;111(1):69-77. doi:10.1093/jnci/djy076

Figure 2. Survival analysis of high vs. low IIS